

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

REFUGEE AND ENTRANT ASSISTANCE

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FY 2013 Proposed Appropriation Language

ADMINISTRATION FOR CHILDREN AND FAMILIES Refugee and Entrant Assistance

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, for carrying out section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, and the Trafficking Victims Protection Act of 2000, for costs associated with the care and placement of unaccompanied alien children, and for carrying out the Torture Victims Relief Act of 1998, [~~\$769,789,000~~]~~\$805,358,000~~, of which up to [~~9,794,000~~]~~\$9,775,000~~ shall be available to carry out the Trafficking Victims Protection Act of 2000: *Provided*, That funds appropriated under this heading pursuant to section 414(a) of the Immigration and Nationality Act, section 462 of the Homeland Security Act of 2002, section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, and the Trafficking Victims Protection Act of 2000 for fiscal year [~~2012~~]~~2013~~ shall be available for the costs of assistance provided and other activities to remain available through September 30, [~~2014~~]~~2015~~. (Department of Health and Human Services Appropriations Act, 2012)

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Authorizing Legislation

	FY 2012 Amount Authorized	FY 2012 Enacted	FY 2013 Amount Authorized	FY 2013 Budget Request
1. Section 414(a) of the Immigration and Nationality Act, and Section 501 of the Refugee Education Assistance Act of 1980. (The authorization for these programs expired on September 30, 2002.)				
o Transitional and Medical Services	Such sums	372,295,030	Such sums	403,000,000
o Social Services	Such sums	153,406,513	Such sums	153,407,000
o Preventive Health	Such sums	4,730,043	Such sums	4,730,000
o Targeted Assistance	Such sums	48,401,348	Such sums	48,401,000
2. Section 113(b) of the Trafficking Victims Protection Act of 2000.	12,500,000	9,775,489	12,500,000	9,775,000
3. Sections 202(d) and 203(g) of the Trafficking Victims Protection Reauthorization Act of 2005.	13,000,000	0	13,000,000	0
4. Section 5(b)(1) of the Torture Victims Relief Act of 1998. (The authorization for this program expired on September 30, 2007.)	25,000,000	11,045,085	25,000,000	11,045,000
5. Section 462(a) of the Homeland Security Act of 2002.				
Unaccompanied Alien Children		168,680,590		175,000,000
Total request level		768,334,099		805,358,000
Total request level against definite authorizations	50,500,000	20,820,574	50,500,000	20,820,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
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Appropriations Not Authorized by Law

Program	Last Year of Authorization	Authorization Level in Last Year of Authorization	Appropriations in Last Year of Authorization	Appropriations in FY 2012
Transitional and Medical Services	FY 2002	Such sums	\$227,243,000	\$372,295,030
Social Services	FY 2002	Such sums	\$158,600,000	\$153,406,513
Preventive Health	FY 2002	Such sums	\$4,835,000	\$4,730,043
Targeted Assistance	FY 2002	Such sums	\$49,477,000	\$48,401,348
Torture Victims Relief Act	FY 2007	\$25,000,000	\$9,817,000	\$11,045,085

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Appropriations History Table

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2004				
Appropriation	\$461,626,000	\$461,853,000	\$428,056,000	\$447,598,000
Rescission				-2,678,000
Total				444,920,000
2005				
Appropriation	473,239,000	491,336,000	447,239,000	484,714,000
Rescission				-3,940,000
Total				480,774,000
2006				
Appropriation	571,140,000	560,919,000	571,140,000	575,579,000
Rescission				-5,756,000
Section 202 Transfer				-391,000
Total				569,432,000
2007				
Appropriation	614,935,000	604,329,000	599,935,000	587,847,000
2008				
Appropriation	655,630,000	650,630,000	654,166,000	667,288,000
Rescission				-11,657,000
Total				655,631,000
2009				
Appropriation	628,044,000	641,144,000	635,044,000	633,442,000
Supplemental (P.L. 111-32)				82,000,000
Total				715,442,000
2010				
Appropriation	740,657,000	714,968,000	730,657,000	730,928,000
Rescission				-111,000
Total				730,817,000
2011				
Appropriation	877,602,000			730,928,000
Rescission				-1,461,856
Total				729,466,144
2012				
Appropriation	824,964,000			769,789,000
Rescission				-1,455,000
Total				768,334,000

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>House Allowance</u>	<u>Senate Allowance</u>	<u>Appropriation</u>
2013 Appropriation	805,358,000			

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Amounts Available for Obligation

	FY 2011 <u>Actual</u>	FY 2012 <u>Enacted</u>	FY 2013 <u>Estimate</u>
Annual, B.A.	\$730,928,000	\$769,789,000	\$805,358,000
Rescission	-1,462,000	-1,455,000	0
Subtotal, Net Budget Authority	\$729,466,000	\$768,334,000	\$805,358,000
Unobligated balance, start of year	53,641,000	23,363,000	0
Unobligated balance, end of year	-23,363,000	0	0
Total Obligations	\$759,744,000	\$791,697,000	\$805,358,000

Budget Authority by Activity

	FY 2011 <u>Enacted</u>	FY 2012 <u>Enacted</u>	FY 2013 <u>Estimate</u>
Transitional and Medical Services	\$352,625,000	\$372,295,000	\$403,000,000
Victims of Trafficking	9,794,000	9,775,000	9,775,000
Social Services	153,697,000	153,407,000	153,407,000
Victims of Torture	11,066,000	11,045,000	11,045,000
Preventive Health	4,739,000	4,730,000	4,730,000
Targeted Assistance	48,493,000	48,401,000	48,401,000
Unaccompanied Alien Children	149,052,000	168,681,000	175,000,000
Total, Budget Authority	\$729,466,000	\$768,334,000	\$805,358,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Summary of Changes

FY 2012 Enacted	
Total estimated budget authority	\$768,334,000
(Obligations)	(\$791,697,000)
FY 2013 Estimate	
Total estimated budget authority	\$805,358,000
Net change	+\$37,024,000

	<u>FY 2012 Enacted</u>	<u>Change from Base</u>
<u>Increases:</u>		
A. <u>Program:</u>		
1) Transitional and Medical Services: Increase will provide up to 8 months of assistance to refugee arrivals and eligibles.	\$372,295,000	+\$30,705,000
2) Unaccompanied Alien Children: Increase will provide care, placement and related services to unaccompanied alien children.	\$168,681,000	+\$6,319,000
Subtotal, Program Increases		+\$37,024,000
Total, Increases		+\$37,024,000
<u>Decreases:</u>		
A. <u>Program:</u>		
Net Change		+\$37,024,000

ADMINISTRATION FOR CHILDREN AND FAMILIES
Refugee and Entrant Assistance

Justification

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$729,466,000	\$768,334,000	\$805,358,000	+\$37,024,000

General Statement

The Refugee and Entrant Assistance program is designed to help refugees, asylees, Cuban and Haitian entrants, Special Immigrant Visa arrivals and trafficking victims to become employed and self-sufficient as quickly as possible. As a result of the Homeland Security Act of 2002, the program also is responsible for coordinating and implementing the care and placement of unaccompanied alien children who are in federal custody by reason of immigration status. These duties are consistent with the Administration for Children and Families' strategic goals of increasing independence and productivity of families, increasing employment and promoting the social well-being of children.

Refugee and Entrant Assistance funds support seven programs:

1) Transitional and Medical Services

- **State-administered/Wilson-Fish Programs:** Provides, through state governments and other non-profit agencies, cash and medical assistance to eligible refugees, entrants, asylees, and trafficking victims, as well as foster care services to unaccompanied refugee minors, certain minors granted Special Immigrant Juvenile Status, and unaccompanied minor victims of a severe form of trafficking until emancipation. Grantees are reimbursed for costs incurred to administer refugee program activities.
- **Voluntary Agency Matching Grant Program:** Funds U.S. voluntary resettlement agencies to take responsibility for resettling refugees during their initial four months in the United States by providing services such as case management, job development, job placement and follow up, and interim housing and cash assistance to help refugees become employed and self-sufficient within their first four months in the U.S. (up to six months as determined on a case-by-case basis). Participating refugees may not access public cash assistance.

2) Victims of Trafficking – Funds non-profit and for-profit organizations to assist foreign victims of human trafficking in the U.S. to meet the certification requirements so they can become eligible to access refugee-related programs. Support services include financial and case-management services to both pre and post certified victims. This program also increases public awareness about human trafficking.

3) Social Services – Funds state governments and private, non-profit agencies responsible for providing services such as English language training, employability services, case management, social adjustment services and interpretation services, to ensure that refugees become self-sufficient as quickly as possible after their arrival in the U.S.

- 4) Victims of Torture – Funds non-profit organizations to provide victims of torture with treatment, rehabilitation, and social and legal services; also supports research and training for health care providers to enable them to treat the physical and psychological effects of torture.
- 5) Preventive Health – Funds states to coordinate and promote refugee access to health screening, assessment, treatment, and medical follow-up services, recognizing that a refugee’s medical condition may affect public health as well as prevent a refugee from achieving economic self-sufficiency.
- 6) Targeted Assistance – Provides grants to states with counties that have large numbers of refugees. States are required by statute to pass on to the designated counties at least 95 percent of the funds awarded. Services provided by this program are generally designed to help refugees secure employment within one year or less.
- 7) Unaccompanied Alien Children – Funds private, non-profit agencies to provide shelter care services and trafficking victim screening for all unaccompanied alien minors who are apprehended in the U.S. by Department of Homeland Security (DHS) agents, Border Patrol officers, or other law enforcement agencies, and referred for care pending resolution of their claims for relief under U.S. immigration law or release to an adult family member or responsible adult guardian.

The FY 2013 baseline budget of \$805,358,000 for this account represents the cost of maintaining current law and service requirements.

Office of Refugee Resettlement Populations Served

Year	State Dept Refugee Ceiling	Refugee Arrivals	Special Immigrant Visa (SIV) Arrivals*	Cuban- Haitian	Asylees	Trafficking Victims	Unaccompanied Alien Children
2003	70,000	28,348		11,838	26,272	151	4,792
2004	70,000	52,869		27,982	24,942	163	6,200
2005	70,000	53,813		17,425	23,412	231	7,800
2006	70,000	41,279		23,614	25,066	231	7,746
2007	70,000	48,281	100	18,269	25,047	303	8,212
2008	80,000	60,193	1,015	19,367	22,852	310	7,211
2009	80,000	74,652	2,612	17,124	22,016	380	6,622
2010	80,000	73,311	2,515	20,725	20,956	549	8,287**
2011	80,000	56,419	2,500	19,938	21,763	661	7,120

* SIV arrivals include Iraq and Afghan SIVs and their family members. FY 2011 level is an estimate pending further data from the Department of Homeland Security.

** Excludes 697 Haitian children served as a result of the Haiti Earthquake Repatriation effort.

TRANSITIONAL AND MEDICAL SERVICES

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$352,625,000	\$372,295,000	\$403,000,000	+\$30,705,000

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980

2013 Authorization Such sums as may be appropriated pending Congressional action

Allocation Method State Grants

Program Description and Accomplishments – Transitional and Medical Services can be provided in three ways:

1. State refugee program offices are reimbursed for costs incurred to administer the program. Cash and medical assistance is provided to adult refugees, asylees, entrants and trafficking victims who are not categorically eligible for Temporary Assistance for Needy Families (TANF), Medicaid, or Supplemental Security Income (SSI). Currently, reimbursement for cash and medical assistance is provided for all eligible arrivals seeking services for up to eight months. State refugee program offices also are reimbursed for providing foster care to unaccompanied refugee minors and minors who are determined to be victims of trafficking, and/or certain minors who are granted Special Immigrant Juvenile Status (SIJS) until the children reach the age of eighteen or the date of emancipation if a state has established a later age. The William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008 (P.L. 110-457) (TVPRA) contains provisions that create new categories of eligible minors. Specifically, the Act authorizes the Secretary of HHS to provide interim assistance to children presumed to be victims of trafficking for up to ninety days (this may be extended an additional 30 days), and makes certain minors with SIJS visas eligible for placement and services through the unaccompanied refugee minors program as well.
2. Under the Voluntary Agency (Matching Grant) Program, participating national voluntary refugee resettlement agencies provide a match (in cash and/or in-kind services) of one dollar for every two dollars of federal contribution. The participating agencies provide services such as case management, job development, job placement and follow up, and interim cash assistance to help refugees become employed and self-sufficient within their first four months in the U.S. (for up to six months as determined on a case by case basis). Participating refugees may not access other forms of public cash assistance while receiving assistance through this program.
3. Alternative projects that encourage refugee self-sufficiency are funded through discretionary grants under the Wilson-Fish program. Projects are accepted under either of two circumstances: (1) to establish or maintain a refugee program in a state where the state is not participating in the refugee program or is withdrawing from the refugee program or a portion of the program; and (2) to demonstrate an alternative to the existing system of assistance and services to refugees in order to improve outcomes for refugees. Discretionary grants provide interim financial aid (and, in some cases, medical assistance) to newly arrived refugees to increase their prospects for early employment and self-sufficiency and to reduce welfare dependency.

The chart below provides estimated cost and population data for the components of this program.

State Program Recipients/Costs			
Arriving Populations	FY 2011	FY 2012	FY 2013
Refugees	56,419	76,000	72,500
Asylees	21,763	24,000	24,000
Cuban/Haitian Entrants	19,938	21,000	21,000
SIVs	2,500	2,500	2,500
Trafficking Victims	661	1,000	600
Total Populations	101,281	124,500	120,600
Total Eligible Population	67,858	82,170	79,596
Recipients			
Cash Assistance	18,287	22,144	21,451
Medical Assistance	22,843	27,661	26,824
Unaccompanied Refugee Minors (URM)	1,409	1,650	1,850
Average Unit Costs			
Average Cash Assistance Unit Cost	2,705	2,787	2,870
Average Medical Assistance Unit Cost	5,234	5,391	5,553
Total State Costs	244,202,010	294,282,426	300,590,800
Matching Grant Recipients/Costs			
Population Served	29,686	29,686	29,686
Matching Grant Costs	65,309,200	64,877,150 ¹	65,309,200
Wilson/Fish Recipients/Costs			
Population Served	16,000	16,000	16,000
Wilson/Fish Costs	32,000,000	32,000,000	32,000,000
Data Collection/Monitoring Costs	4,036,653	5,100,000	5,100,000
TOTAL PROGRAM COSTS	345,547,863	396,691,626	403,000,000

FY 2011 - FY 2013 costs are estimated.

FY 2011 and FY 2012 costs are supported by annual appropriations and unobligated available balances from prior-year appropriations.

Funding for the program during the last five years has been as follows:

2008	\$296,057,000
2009	\$282,348,000
2010	\$353,281,000
2011	\$352,625,000
2012	\$372,295,000

¹ This figure represents the rescission taken in the FY 2012 appropriations act. ORR will use carryover funds from prior-year appropriations to restore the Matching Grant program in FY 2012 to its historical levels.

Fiscal year 2010 saw a \$0.06 increase in the aggregate average hourly wage for refugees entering employment from FY 2009, missing the target by just \$0.02. While \$9.08 per hour is a modest wage, it is nonetheless 25 percent above the federal minimum wage of \$7.25 an hour. The Office of Refugee Resettlement (ORR) provides assistance and incentives such as training bonuses, early employment bonuses, and job retention bonuses. Although the hourly wages have increased in fiscal years 2009 and 2010, it remains to be seen whether this hourly wage trend can be maintained given continuing adverse economic conditions.

Budget Request – The FY 2013 request for Transitional and Medical Services is \$403,000,000, a \$30,705,000 increase over the FY 2012 enacted level. This is an increase of \$13,879,000 over total resources available in FY 2012, which includes both that year’s appropriation level and carryover from prior-year appropriations. This budget request will provide up to 8 months of cash and medical assistance for 72,500 refugees and all other eligible populations and sustains the Matching Grant program at \$65 million and the Wilson-Fish program at \$32 million. The request reflects an updated estimate that is based on past arrivals rather than the current State Department ceiling. The Administration will continue to closely monitor arrival levels as well as the impact of TVPRA on the unaccompanied refugee minors program and the increasing need for capacity and keep Congress apprised of any changes in the arriving populations that may alter current budget estimates.

ORR’s success in promoting economic self-sufficiency via the Refugee Transitional and Medical Services program has been measured by tracking cash assistance terminations due to earned income from employment. A termination is defined as the closing of a cash assistance case due to earned income in an amount that is predicted to exceed the state’s payment standard for the case based from employment on family size, rendering the case ineligible for cash assistance. In FY 2010, the 53 percent target was not met (actual result was 49 percent), but still much improved over the FY 2008 actual result of 44 percent at the outset of the economic downturn. ORR plans to continue to assess future year targets based on data trends as they emerge. In FY 2013, ORR aims to meet the target of 53 percent of cash assistance terminations due to earned income from employment for those clients receiving cash assistance at employment entry.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
15.1LT and 15A: Increase the percent of cash assistance terminations due to earned income from employment for those clients receiving cash assistance at employment entry. (Outcome)	FY 2010: 49.40% Target: 52.61% (Target Not Met)	Prior Result +1%	53%	N/A

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
<u>15.2LT and 15B</u> : Increase the average hourly wage of refugees at placement (employment entry). (Outcome)	FY 2010: \$9.08 Target: \$9.11 ¹ (Target Not Met but Improved)	Prior Result +1%	\$9.10	N/A
<u>15C (revised)</u> : For refugees receiving Refugee Cash Assistance (RCA), increase the percentage of refugees who are not dependent on RCA within the first eight months 240 days) after arrival. (Transitional and Medical Services and Refugee Social Services) (Developmental Efficiency)	TBD	TBD	TBD	N/A
<u>15i</u> : Number of cash assistance terminations due to earned income from employment. (Output)	FY 2010: 10,828 (Historical Actual)	N/A	N/A	N/A
<u>16A</u> : Increase the percentage of refugees who enter employment through the Matching Grant (MG) program as a subset of all MG employable (after 120 days). ² (Outcome)	PY 2011: 51.02% Target: 49.17% (Target Exceeded)	51.53%	Prior Result +1%	N/A
<u>16B</u> : Increase the percentage of refugees who are not dependent on any cash assistance within the first four months (120 days) after arrival. ³ (Outcome)	PY 2011: 55.58% Target: 54.81% (Target Exceeded)	56.14%	Prior Result +1%	N/A
<u>16.1LT and 16C</u> : Increase the percentage of refugees who are not dependent on any cash assistance within the first six months (180 days) after arrival. (Outcome)	PY 2011: 71.04% Target: 68.79% (Target Exceeded)	71.75%	Prior Result +1%	N/A
<u>16D</u> : Increase the number of Matching Grant program refugees who are not dependent on any cash assistance within the first six months (180 days after arrival), per million federal dollars awarded to grantees (adjusted for inflation). (Efficiency)	PY 2011: 274.5 Target: 312.7 (Target Not Met)	277.2	Prior Result +1%	N/A

¹ The FY 2010 target for this measure has been updated per the technical correction to the FY 2009 actual result (target is calculated as previous year's result plus one percent).

² Data for the Matching Grants program is based on a program year of February 1st through January 31st in 2008, 2009, and 2010. The 2011 program year was compressed to just 8 months to allow the program to transition to the federal fiscal year beginning October 1, 2012.

³ The language of this performance measure and the following Matching Grant performance measures has been updated to clarify that the measure pertains to refugees who are not dependent on cash assistance.

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
16i: Number of Matching Grant program refugees who are not dependent on any cash assistance within the first six months. (Output)	PY 2010: 18,132 (Historical Actual)	N/A	N/A	N/A

Resource and Program Data
Transitional and Medical Services

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$234,544,000	\$269,913,000	\$300,558,000
Discretionary	97,309,000	97,309,000	97,309,000
Research/Evaluation	3,053,000	4,075,000	4,135,000
Demonstration/Development			
Training/Technical Assistance			
Program Support	983,000	998,000	998,000
Total, Resources	\$335,889,000	\$372,295,000	\$403,000,000
<u>Program Data:</u>			
Number of Grants	68	69	69
New Starts			
#	59	47	69
\$	\$234,544,000	\$269,913,000	\$397,867,000
Continuations			
#	9	22	0
\$	\$97,309,000	\$97,309,000	\$0
Contracts			
#	3	3	3
\$	\$3,053,000	\$4,075,000	\$4,135,000
Interagency Agreements			
#	1	1	1
\$	\$605,000	\$620,000	\$620,000

Notes:

1. Program Support – Includes funding for information technology support, overhead, and monitoring/on-site review costs.
2. Total Resources – The FY 2011 enacted level was \$353 million. \$17 million of FY 2011 carryover funding will be obligated in FY 2012.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2013 Discretionary State/Formula Grants

Refugee and Entrant Assistance - TMS				CFDA #	93.566
STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012	
Alabama	0	0	0	0	
Alaska	0	0	0	0	
Arizona	\$8,600,000	\$9,896,884	\$11,020,543	\$1,123,659	
Arkansas	10,000	11,508	12,815	1,307	
California	26,000,000	29,920,811	33,317,920	3,397,109	
Colorado	7,280,000	8,377,827	9,329,018	951,191	
Connecticut	745,000	857,346	954,687	97,340	
Delaware	12,000	13,810	15,378	1,568	
District of Columbia	265,000	304,962	339,586	34,624	
Florida	56,800,000	65,365,463	72,786,840	7,421,377	
Georgia	5,450,000	6,271,862	6,983,949	712,086	
Hawaii	10,000	11,508	12,815	1,307	
Idaho	1,465,000	1,685,923	1,877,337	191,414	
Illinois	7,300,000	8,400,843	9,354,647	953,804	
Indiana	295,000	339,486	378,030	38,544	
Iowa	950,000	1,093,260	1,217,386	124,125	
Kansas	645,000	742,266	826,541	84,274	
Kentucky	0	0	0	0	
Louisiana	15,000	17,262	19,222	1,960	
Maine	335,000	385,518	429,289	43,770	
Maryland	11,700,000	13,464,365	14,993,064	1,528,699	
Massachusetts	8,500,000	9,781,803	10,892,397	1,110,593	
Michigan	10,600,000	12,198,484	13,583,460	1,384,975	
Minnesota	1,400,000	1,611,121	1,794,042	182,921	
Mississippi	1,000,000	1,150,800	1,281,458	130,658	
Missouri	1,190,000	1,369,452	1,524,936	155,483	
Montana	5,000	5,754	6,407	653	
Nebraska	2,070,000	2,382,157	2,652,619	270,462	
Nevada	0	0	0	0	
New Hampshire	590,000	678,972	756,060	77,088	
New Jersey	1,900,000	2,186,521	2,434,771	248,250	
New Mexico	585,000	673,218	749,653	76,435	
New York	8,600,000	9,896,884	11,020,543	1,123,659	
North Carolina	3,161,539	3,638,300	4,051,381	413,080	
North Dakota	1,200,000	1,380,960	1,537,750	156,790	

STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012
Ohio	3,600,000	4,142,881	4,613,250	470,369
Oklahoma	640,000	736,512	820,133	83,621
Oregon	2,250,000	2,589,301	2,883,282	293,981
Pennsylvania	8,800,000	10,127,044	11,276,834	1,149,791
Rhode Island	105,000	120,834	134,553	13,719
South Carolina	275,000	316,470	352,401	35,931
South Dakota	335,000	385,518	429,289	43,770
Tennessee	0	0	0	0
Texas	27,300,000	31,416,851	34,983,816	3,566,965
Utah	7,400,000	8,515,923	9,482,793	966,870
Vermont	415,000	477,582	531,805	54,223
Virginia	4,800,000	5,523,842	6,151,001	627,159
Washington	8,500,000	9,781,803	10,892,397	1,110,593
West Virginia	55,000	63,294	70,480	7,186
Wisconsin	1,390,000	1,599,613	1,781,227	181,615
Wyoming	0	0	0	0
Subtotal	234,543,539	269,912,800	300,557,800	30,645,000
Total States/Territories	234,543,539	269,912,800	300,557,800	30,645,000
Discretionary Funds	97,309,200	97,309,200	97,309,200	0
Other	4,036,653	5,073,000	5,133,000	60,000
Subtotal, Adjustments	101,345,853	102,382,200	102,442,200	60,000
TOTAL RESOURCES	\$335,889,392	\$372,295,000	\$403,000,000	\$30,705,000

VICTIMS OF TRAFFICKING

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$9,794,000	\$9,775,000	\$9,775,000	\$0

Authorizing Legislation – Section 113(b) of the Trafficking Victims Protection Act of 2000

2013 AuthorizationSuch sums as may be appropriated pending Congressional action

Allocation Method Discretionary Grants

Program Description and Accomplishments – The Trafficking Victims Protection Act of 2000 (TVPA), as amended, focuses on preventing human trafficking, increasing prosecutions of human trafficking, protecting victims, and providing victims in the United States with federal assistance. The TVPA defines severe forms of trafficking in persons as “sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age” or “the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subsection to involuntary servitude, peonage, debt bondage, or slavery.”

The TVPA extends eligibility for federally funded benefits and services to foreign trafficking victims in the United States to the same extent as refugees. The law directs the Secretary of Health and Human Services (HHS), after consultation with the Attorney General and the Secretary of Homeland Security, to provide certification to adult aliens who have met certain requirements to make them eligible for the federal benefits available to victims of trafficking. To receive certification, an adult alien must meet the federal definition of a victim of a severe form of trafficking, be willing to assist in every reasonable way in the investigation and prosecution of severe forms of trafficking in persons or be unable to cooperate with such a request due to physical or psychological trauma, and have either completed a bona fide application for a T visa or received Continued Presence from the Department of Homeland Security. Adult trafficking victims may be eligible for refugee cash and medical assistance and social services.

The eligibility requirements for foreign victims who are under 18 years of age (child victims) differ from those of adult victims. Child victims do not require certification in order to be eligible for benefits and services made available under the TVPA, including participation in the Unaccompanied Refugee Minors program. Instead, HHS provides eligibility to alien children who have been subjected to a severe form of trafficking by means of eligibility letters. Further, under the William Wilberforce Trafficking Victims Protection Reauthorization Act (TVPRA) of 2008, upon receiving credible information that a foreign child may be a trafficking victim, the Secretary of HHS is authorized to provide eligibility for interim assistance to that child for up to 90 days, which may be extended for an additional 30 days. The TVPRA of 2008 gives the Secretary exclusive authority to determine a child’s eligibility for interim assistance, but directs the Secretary to consult with the Attorney General, the Secretary of Homeland Security, and nongovernmental organizations with expertise on victims of severe form of trafficking before determining if the child is eligible for assistance. Prior to the end of the interim assistance period, the Office of Refugee Resettlement (ORR) conducts an assessment to determine a minor’s eligibility as a victim of trafficking.

In addition to issuing letters of certification and eligibility, ORR directly provides time-limited benefits and services to foreign trafficking victims prior to and post-certification, and to those immediate family members of victims who have received T nonimmigrant status, when needed and to the extent funds are

available. Services provided include case management, benefit coordination, housing assistance, and counseling. For the period FY 2006 – FY 2010, ORR provided these services via a national contract. Starting in FY 2011, ORR awarded three separate cooperative agreements to support on-going case management services on a national level. This approach will allow ORR increased flexibility to meet local needs, potentially reducing regional disparities and also will allow ORR to have a more active role in key decisions, such as the approval of sub-awards, and budgets.

Further, the program funds “intermediaries” to augment the work of local anti-trafficking coalitions in over two dozen cities and States through the Rescue and Restore Victims of Human Trafficking Regional Program. These intermediary entities serve as the focal point for local outreach and victim identification. The intermediary grantees manage the local network of sub-awardees and have continued to help lead the Rescue and Restore coalitions in their areas.

Funding for the Anti-Trafficking in Persons program during the last five years has been as follows:

2008	\$9,814,000
2009	\$9,814,000
2010	\$9,814,000
2011	\$9,794,000
2012	\$9,775,000

The program is working to enhance its trafficking database to better track the progress of victims served, revise current activities to develop new program structures to improve communities’ capacities to identify and serve victims, and continue to build relationships with other HHS offices to increase awareness and knowledge about trafficking.

In FY 2010, the program certified 449 victims of human trafficking and issued eligibility letters to 92 children, exceeding the FY 2010 target of 399 victims certified or issued eligibility letters. Since April 2004 when HHS launched the *Rescue & Restore Victims of Human Trafficking* public awareness campaign, the Anti-Trafficking in Persons program also has seen major efficiency gains with respect to the number of foreign victims certified and served by the network of grantees (including children who received eligibility letters) per million dollars invested, up to 55.1 in FY 2010 from the FY 2004 baseline of 16. However, it is relevant to note that there may be many thousands of foreign victims of trafficking in the United States, including persons who cannot be certified because they have not yet been identified or rescued, do not want to cooperate with federal law enforcement, want to be repatriated back to their home country, or have not been granted continued presence or T nonimmigrant status by the Department of Homeland Security.

Budget Request – The FY 2013 budget request for Victims of Trafficking is \$9,775,000, the same as the FY 2012 enacted level. This funding will support the national network for identifying, certifying and providing services to international victims of trafficking.

The program is continuing to examine ways in which additional victims may be identified and certified, including increased cooperative efforts with law enforcement entities responsible for investigating cases and improved protocols and training for the identification and case management of trafficked children in ORR custody within the Division of Unaccompanied Children’s Services and in communities throughout the country. In FY 2013, the program expects to increase the number of victims certified by five percent over the previous year’s actual result.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
17.1LT and 17A: Increase the number of victims of trafficking certified per year. (Outcome)	FY 2010: 541 ¹ Target: 399 (Target Exceeded)	Prior Result +5%	Prior Result +5%	N/A
17B: Increase the number of victims certified and served by whole network of grantees per million dollars invested. (Efficiency)	FY 2010: 55.1 ² Target: 40.6 (Target Exceeded)	Prior Result +5%	Prior Result +5%	N/A
17C1: Increase the number of hotline calls per thousand dollars invested. (Efficiency)	FY 2010: 19.5 Target: 15.14 (Target Exceeded)	Prior Result +3%	Prior Result +3%	N/A
17C2: Increase the number of website visits per thousand dollars invested. (Efficiency)	FY 2010: 3,445 Target: 2,497 (Target Exceeded)	Prior Result +3%	Prior Result +3%	N/A
17iii: Number of website visits. (Output)	FY 2010: 347,952 (Historical Actual)	N/A	N/A	N/A

¹ACF recognizes that the FY 2010 actual results for this measure significantly exceed the FY 2010 target. ACF will continue to evaluate future year targets for this measure, should this result prove to be a new data trend.

²ACF recognizes that the FY 2010 actual result significantly exceeds the FY 2010 target; however, this result is likely an anomaly, based on a significant number of victims from three large labor cases certified during FY 2010. ACF will continue to evaluate future year targets for this measure, should this result prove to be a new data trend.

Resource and Program Data
Victims of Trafficking

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$6,299,000	\$8,279,000	\$8,232,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support	3,405,000	1,496,000	1,543,000
Total, Resources	\$9,704,000	\$9,775,000	\$9,775,000
<u>Program Data:</u>			
Number of Grants	15	15	16
New Starts			
#	14	0	1
\$	\$5,500,000	\$0	\$800,000
Continuations			
#	1	15	15
\$	\$799,000	\$8,279,000	\$7,432,000
Contracts			
#	4	3	3
\$	\$2,389,000	\$470,000	\$504,000
Interagency Agreements			
#	1	1	1
\$	\$105,000	\$108,000	\$111,000

Notes:

1. Program support - Includes funding for information technology support, contractor support, salaries and benefits and associated overhead costs, printing, and monitoring/on-site review costs.

SOCIAL SERVICES

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$153,697,000	\$153,407,000	\$153,407,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980

2013 AuthorizationSuch sums as may be appropriated pending Congressional action

Allocation Method Formula/Discretionary Grants

Program Description and Accomplishments – A portion of the Social Services funding is distributed by formula to states and Wilson-Fish projects and a portion is distributed through discretionary grants. The program supports employment and support services that address participants’ barriers to employment such as social adjustment services, interpretation and translation services, childcare, and citizenship and naturalization services. Services are designed to enable refugees to obtain jobs within one year of enrollment. Priority is given to English language training, case management, employment preparation, and job placement and retention services.

Social Services formula grant allocations to states and Wilson-Fish grantees are based on the number of refugees, entrants, asylees, victims of trafficking, and Afghans and Iraqis with Special Immigrant Visas in each state. Secondary migration of refugees from the state of initial resettlement also is considered in reaching the final arrival figures for refugee social services formula allocations. By statute, allocations are based on the total number of refugees who arrived in the United States not more than 36 months before the beginning of such fiscal year and who are actually residing in each state as of the beginning of the fiscal year. Starting in FY 2010, allocations were based on arrivals in the most recent 24 months rather than being based on arrivals in the most recent 36 months so that the allocation would best serve the current needs of newly arriving refugees and improve the ability of states to respond to shifting arrivals patterns.

Social Services discretionary grants are awarded on a competitive basis to public and private, non-profit agencies to address current, critical issues facing refugees and other eligible populations. The chart below shows a breakout of discretionary expenditures by category for fiscal years 2011 – 2013 (FY 2012 and FY 2013 funding levels are estimates):

FY	Cuban/Haitian	Education	Emerging Populations	Self Sufficiency and other Targeted Initiatives	Technical Support
2011	\$19,000,000	\$15,000,000	\$15,000,000	\$16,000,000	\$3,800,000
2012	\$19,000,000	\$15,000,000	\$15,000,000	\$17,000,000	\$3,200,000
2013	\$19,000,000	\$15,000,000	\$15,000,000	\$17,000,000	\$3,200,000

Funding for Social Services during the last five years has been as follows:

2008	\$154,005,000
2009	\$154,005,000
2010	\$154,005,000
2011	\$153,697,000
2012	\$153,407,000

In FY 2010, performance targets were exceeded for the entered employment rate and 90-day employment retentions. In FY 2010, the percentage of refugees entering employment through ACF-funded refugee employment services (under both the ORR Social Services and Targeted Assistance programs) exceeded the target of 40.87 percent with an actual result of 42.13 percent. The difficult economic climate has made the achievement of employment target for refugees particularly difficult to achieve. In addition, ORR faces challenges in terms of performance on this measure given the changing demographics of the U.S. Resettlement Program, as many populations require extended employment services in order to enter the U.S. labor market and integrate into U.S. society. Many recent arrivals have spent protracted periods of time in refugee camps in countries of first asylum, have experienced intense trauma, and have limited work skills. In addition, the percentage of refugees retaining employment after 90 days exceeded the FY 2010 target of 70.18 percent, with an actual result of 73.33 percent. Improvement in retention outcomes can be attributed to service providers' intensified efforts designed to increase refugee understanding of the importance of employment retention in light of tight job markets.

Budget Request – The FY 2013 request for Social Services is \$153,407,000, the same as the FY 2012 enacted level. This budget request will continue to support state-administered social services through formula-funded programs and discretionary grants emphasizing employment-related services, such as job-preparation, placement, retention, and upgrading services, provided concurrently with English language training.

This budget also includes the FY 2012 President's Budget proposal to revise the Social Services allocation formula to focus on newly arriving populations. The revised formula would allocate funds based on arrivals data over a period of up to 24 months for both the Social Services formula grants and the Targeted Assistance formula grants.

Meeting the critical needs of many incoming populations presents new challenges for the refugee program. Newly arriving populations are more ethnically diverse and have an even greater need than past arrivals for services to become self-sufficient. Refugees often are without a safety net or links to much needed services and currently face multiple challenges as they try to navigate the system without the appropriate level of assistance. The economic situation in the U.S. also is significantly affecting the ability of refugees to achieve self-sufficiency, compounding the issues already faced by these high-risk populations. These barriers, coupled with continuing difficult economic conditions in the U.S., have made future performance on measures related to refugee employment uncertain. Nonetheless, by FY 2013, the program aims to improve employment retention to 75.5 percent by promoting vocational activities and sharing knowledge of best practices with states and Wilson-Fish agencies so that refugees will be better equipped to reach self-sufficiency.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
<u>15C (revised)</u> : For refugees receiving Refugee Cash Assistance (RCA), increase the percentage of refugees who are not dependent on RCA within the first eight months (240 days) after arrival. (Transitional and Medical Services and Refugee Social Services) (Developmental Efficiency)	TBD	TBD	TBD	N/A
<u>18.1LT and 18A</u> : Increase the percentage of refugees entering employment through ACF-funded refugee employment services. (Outcome)	FY 2010: 42.13% Target: 40.87% (Target Exceeded)	Prior Result +2%	46.5%	N/A
<u>18B</u> : Increase the percentage of entered employment with health benefits available as a subset of full-time job placements. (Outcome)	FY 2010: 59.78% Target: 61.92% ¹ (Target Not Met)	Prior Result +1%	62%	N/A
<u>18C</u> : Increase the percentage of 90-day job retention as a subset of all entered employment. (Outcome)	FY 2010: 73.33% Target: 69.97% (Target Exceeded)	Prior Result +1%	75.05%	N/A
<u>18i</u> : Number of refugees entering employment through ACF-funded employment services. (Output)	FY 2010: 40,302 (Historical Actual)	N/A	N/A	N/A
<u>18ii</u> : Number of refugees entering full-time employment with health benefits available. (Output)	FY 2010: 18,602 (Historical Actual)	N/A	N/A	N/A
<u>18iii</u> : Number of refugees with 90-day job retention. (Output)	FY 2010: 27,459 (Historical Actual)	N/A	N/A	N/A

¹The calculation of the FY 2010 target for this measure has been adjusted to better capture data trends and to better reflect actual performance.

Resource and Program Data
Social Services

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$84,731,000	\$84,402,000	\$84,710,000
Discretionary	65,520,000	66,430,000	66,122,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance	3,040,000	2,000,000	2,000,000
Program Support	406,000	575,000	575,000
Total, Resources	\$153,697,000	\$153,407,000	\$153,407,000
<u>Program Data:</u>			
Number of Grants	297	297	297
New Starts			
#	112	203	123
\$	\$92,351,000	\$137,876,000	\$94,720,000
Continuations			
#	185	94	174
\$	\$60,940,000	\$14,956,000	\$58,112,000
Contracts			
#	1	1	1
\$	\$406,000	\$395,000	\$395,000
Interagency Agreements			
#	0	1	1
\$	\$0	\$180,000	\$180,000

Notes:

1. Program support - Includes funding for a conference contract and inter-agency agreement with CDC.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2013 Discretionary State/Formula Grants

Refugee and Entrant Assistance - Social Services				CFDA #	93.566
STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012	
Alabama	\$140,934	\$140,000	\$140,000	0	
Alaska	100,000	99,000	99,000	0	
Arizona	3,050,583	3,038,000	3,038,000	0	
Arkansas	75,000	75,000	75,000	0	
California	10,001,006	9,962,000	9,962,000	0	
Colorado	1,894,684	1,887,000	1,900,000	\$13,000	
Connecticut	356,192	354,000	357,000	3,000	
Delaware	75,000	75,000	75,000	0	
District of Columbia	202,262	201,000	202,000	1,000	
Florida	20,468,960	20,410,000	20,410,000	0	
Georgia	2,652,963	2,642,000	2,661,000	19,000	
Hawaii	77,726	77,000	77,000	0	
Idaho	833,417	830,000	836,000	6,000	
Illinois	2,152,182	2,143,000	2,159,000	16,000	
Indiana	1,101,475	1,097,000	1,104,000	7,000	
Iowa	601,100	598,000	603,000	5,000	
Kansas	380,561	379,000	381,000	2,000	
Kentucky	1,687,954	1,681,000	1,693,000	12,000	
Louisiana	266,434	265,000	267,000	2,000	
Maine	339,540	338,000	340,000	2,000	
Maryland	1,243,627	1,238,000	1,247,000	9,000	
Massachusetts	1,567,328	1,561,000	1,572,000	11,000	
Michigan	2,830,450	2,819,000	2,839,000	20,000	
Minnesota	2,085,980	2,077,000	2,092,000	15,000	
Mississippi	75,000	75,000	75,000	0	
Missouri	1,098,632	1,094,000	1,102,000	8,000	
Montana	75,000	75,000	75,000	0	
Nebraska	679,487	676,000	681,000	5,000	
Nevada	568,202	565,000	570,000	5,000	
New Hampshire	448,794	447,000	450,000	3,000	
New Jersey	762,341	759,000	764,000	5,000	
New Mexico	170,989	170,000	171,000	1,000	
New York	4,325,484	4,308,000	4,339,000	31,000	
North Carolina	1,930,425	1,922,000	1,936,000	14,000	
North Dakota	354,974	353,000	356,000	3,000	

STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012
Ohio	1,808,986	1,801,000	1,814,000	13,000
Oklahoma	207,136	206,000	207,000	1,000
Oregon	827,325	824,000	829,000	5,000
Pennsylvania	2,007,999	2,000,000	2,014,000	14,000
Rhode Island	164,896	164,000	165,000	1,000
South Carolina	119,408	118,000	119,000	1,000
South Dakota	490,221	488,000	491,000	3,000
Tennessee	1,318,765	1,313,000	1,322,000	9,000
Texas	7,294,025	7,265,000	7,268,000	3,000
Utah	940,640	936,000	943,000	7,000
Vermont	267,246	266,000	268,000	2,000
Virginia	1,619,721	1,613,000	1,624,000	11,000
Washington	2,335,355	2,326,000	2,342,000	16,000
West Virginia	75,000	75,000	75,000	0
Wisconsin	579,574	577,000	581,000	4,000
Wyoming	0	0	0	0
Subtotal	84,730,983	84,402,000	84,710,000	308,000
Total States/Territories	84,730,983	84,402,000	84,710,000	308,000
Discretionary Funds	65,520,000	66,430,000	66,122,000	-308,000
Other	406,000	575,000	575,000	0
Training and Technical Assistance	3,040,000	2,000,000	2,000,000	0
Subtotal, Adjustments	68,966,000	69,005,000	68,697,000	-308,000
TOTAL RESOURCES	\$153,696,983	\$153,407,000	\$153,407,000	\$0

VICTIMS OF TORTURE

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$11,066,000	\$11,045,000	\$11,045,000	\$0

Authorizing Legislation – Section 5(b)(1) of the Torture Victims Relief Act

2013 AuthorizationSuch sums as may be appropriated pending Congressional action

Allocation MethodDiscretionary Grants

Program Description and Accomplishments – This program provides services and rehabilitation for between 5,000 and 6,000 victims of torture annually. Grantees are primarily non-profit organizations that provide treatment, social and legal services, and training to health care providers on treating the physical and psychological effects of torture.

Funding for Victims of Torture during the last five years has been as follows:

2008	\$ 9,817,000
2009	\$10,817,000
2010	\$11,088,000
2011	\$11,066,000
2012	\$11,045,000

Budget Request – The FY 2013 budget request for Victims of Torture is \$11,045,000, the same as the FY 2012 enacted level. This funding will maintain medical and psychological treatment, social and legal services and rehabilitation for victims of torture.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
<u>18i</u> : Number of torture survivors served. (Output)	FY 2011: 5,912 (Historical Actual)	N/A	N/A	N/A
<u>18v</u> : Increase the capacity of mainstream and resettlement providers to serve torture survivors through community trainings and follow-up evaluation. (Developmental Outcome)	FY 2011: 452 (Historical Actual)	N/A	N/A	N/A

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
18vi: Number of community trainings conducted by grantees (Output)	FY 2011: 528 (Historical Actual)	N/A	N/A	N/A
18vii: Number of hours contributed by pro bono services. (Output)	FY 2011: 58,705 ¹ (Historical Actual)	N/A	N/A	N/A

¹ The FY 2010 actual result for this output measure was previously reported as 14,075; this data was incomplete. The final actual result for FY 2010 was 56,320.

Resource and Program Data
Victims of Torture

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$10,867,000	\$10,904,000	\$10,903,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support	168,000	141,000	142,000
Total, Resources	\$11,035,000	\$11,045,000	\$11,045,000
<u>Program Data:</u>			
Number of Grants	30	30	30
New Starts			
#	0	30	0
\$	\$0	\$10,904,000	\$0
Continuations			
#	30	0	30
\$	\$10,867,000	\$0	\$10,903,000
Contracts			
#	1	0	0
\$	\$32,000	\$0	\$0
Interagency Agreements			
#	1	1	1
\$	\$13,000	\$15,000	\$15,000

Notes:

1. Program support - Includes funding for information technology support and salaries and benefits and associated overhead costs.

PREVENTIVE HEALTH

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$4,739,000	\$4,730,000	\$4,730,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980

2013 AuthorizationSuch sums as may be appropriated pending Congressional action

Allocation MethodDiscretionary Grants

Program Description and Accomplishments – Funding for preventive health services is awarded to states through this competitive discretionary grant program to coordinate and promote access to health screening/assessment, treatment and medical follow up services to newly-arrived refugees. The awards are based on demonstrated need for health-related services in locations with large numbers of refugees. The Office of Refugee Resettlement recognizes that a refugee’s medical condition may affect public health as well as prevent a refugee from achieving economic self-sufficiency.

Funding for Preventive Health during the last five years has been as follows:

2008	\$4,748,000
2009	\$4,748,000
2010	\$4,748,000
2011	\$4,739,000
2012	\$4,730,000

Budget Request – The FY 2013 budget request for Preventive Health is \$4,730,000, the same as the FY 2012 enacted level. This funding will support continued medical screening, outreach, orientation and access to health care for refugees to preserve the public health and ensure health problems are not a barrier to achieving self-sufficiency.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
18viii: Number of health screenings completed. (Output)	FY 2011: 77,115 (Historical Actual)	N/A	N/A	N/A

Resource and Program Data
Preventive Health

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$4,739,000	\$4,730,000	\$4,730,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$4,739,000	\$4,730,000	\$4,730,000
<u>Program Data:</u>			
Number of Grants	38	38	38
New Starts			
#	38	0	0
\$	\$4,739,000	\$0	\$0
Continuations			
#	0	38	38
\$	\$0	\$4,730,000	\$4,730,000
Contracts			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements			
#	0	0	0
\$	\$0	\$0	\$0

TARGETED ASSISTANCE

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$48,493,000	\$48,401,000	\$48,401,000	\$0

Authorizing Legislation – Section 414 of the Immigration and Nationality Act and Section 501 of the Refugee Education Assistance Act of 1980

2013 AuthorizationSuch sums as may be appropriated pending Congressional action

Allocation Method Formula/Discretionary Grants

Program Description and Accomplishments – This program allocates formula and discretionary grants to states and Wilson-Fish projects to distribute to counties with the greatest number of refugee arrivals so the maximum number of refugees can receive sufficient services to ensure economic self-sufficiency and full integration in the most affected communities. Services provided are similar to Refugee Social Services and are intended to assist refugees obtain employment within one year’s participation in the program and achieve self-sufficiency. Ninety percent of program funding is allocated through formula grants to states. Eligible counties are determined every three years based on a review of all counties that received refugee arrivals. The remaining funds are allocated via discretionary grants through a competitive process and supplement funding in counties heavily affected by arrivals. By statute, states are required to pass on to designated counties at least 95 percent of the funds awarded under this program.

Previously, allocations were based on arrivals in the most recent 60 months. However, in FY 2010, the formula was modified and is now based on arrivals in the most recent 24 months. This modification ensures that the allocation serves the current needs of newly arriving refugees and improves the states’ ability to respond to shifting arrivals patterns.

Funding for Targeted Assistance during the last five years has been as follows:

2008	\$48,590,000
2009	\$48,590,000
2010	\$48,590,000
2011	\$48,493,000
2012	\$48,401,000

For performance information on the Targeted Assistance program, see the Social Services section.

Budget Request – The FY 2013 budget request for Targeted Assistance is \$48,401,000, the same as the FY 2012 enacted level. This funding will be awarded to states to continue to provide services to counties and other localities with the highest number of refugee arrivals.

This budget also includes the FY 2012 President’s Budget proposal to revise the Social Services allocation formula to focus on newly arriving populations. The revised formula would allocate funds based on a period of up to 24 months of data on estimates of future arrivals for both Social Services formula grants and the Targeted Assistance formula grants.

Resource and Program Data
Targeted Assistance

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$43,644,000	\$43,561,000	\$43,561,000
Discretionary	4,849,000	4,840,000	4,840,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$48,493,000	\$48,401,000	\$48,401,000
<u>Program Data:</u>			
Number of Grants	55	55	55
New Starts			
#	55	29	29
\$	\$48,493,000	\$43,561,000	\$43,561,000
Continuations			
#	0	26	26
\$	\$0	\$4,840,000	\$4,840,000
Contracts			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements			
#	0	0	0
\$	\$0	\$0	\$0

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2013 Discretionary State/Formula Grants

Refugee and Entrant Assistance - Targeted Assistance				CFDA #	93.566
STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012	
Alabama	0	0	0	0	0
Alaska	0	0	0	0	0
Arizona	\$2,171,442	\$2,166,000	\$2,166,000	\$2,166,000	0
Arkansas	0	0	0	0	0
California	5,754,035	5,740,000	5,740,000	5,740,000	0
Colorado	835,877	833,000	833,000	833,000	0
Connecticut	0	0	0	0	0
Delaware	0	0	0	0	0
District of Columbia	0	0	0	0	0
Florida	11,884,935	11,885,000	11,885,000	11,885,000	0
Georgia	1,629,238	1,625,000	1,625,000	1,625,000	0
Hawaii	0	0	0	0	0
Idaho	423,056	422,000	422,000	422,000	0
Illinois	1,116,427	1,113,000	1,113,000	1,113,000	0
Indiana	522,784	521,000	521,000	521,000	0
Iowa	326,740	325,000	325,000	325,000	0
Kansas	0	0	0	0	0
Kentucky	987,306	985,000	985,000	985,000	0
Louisiana	0	0	0	0	0
Maine	0	0	0	0	0
Maryland	640,620	639,000	639,000	639,000	0
Massachusetts	860,284	858,000	858,000	858,000	0
Michigan	1,798,521	1,794,000	1,794,000	1,794,000	0
Minnesota	695,733	694,000	694,000	694,000	0
Mississippi	0	0	0	0	0
Missouri	340,124	339,000	339,000	339,000	0
Montana	0	0	0	0	0
Nebraska	320,966	320,000	320,000	320,000	0
Nevada	380,803	379,000	379,000	379,000	0
New Hampshire	0	0	0	0	0
New Jersey	0	0	0	0	0
New Mexico	0	0	0	0	0
New York	2,395,830	2,394,000	2,394,000	2,394,000	0
North Carolina	765,805	764,000	764,000	764,000	0
North Dakota	0	0	0	0	0

STATE/TERRITORY	FY 2011 Actual	FY 2012 Enacted	FY 2013 Request	Difference +/- 2012
Ohio	445,364	444,000	444,000	0
Oklahoma	0	0	0	0
Oregon	583,670	582,000	582,000	0
Pennsylvania	867,369	865,000	865,000	0
Rhode Island	0	0	0	0
South Carolina	0	0	0	0
South Dakota	267,428	266,000	266,000	0
Tennessee	587,607	586,000	586,000	0
Texas	4,465,183	4,454,000	4,454,000	0
Utah	631,960	630,000	630,000	0
Vermont	0	0	0	0
Virginia	260,605	259,000	259,000	0
Washington	1,368,896	1,365,000	1,365,000	0
West Virginia	0	0	0	0
Wisconsin	314,930	314,000	314,000	0
Wyoming	0	0	0	0
Subtotal	43,643,538	43,561,000	43,561,000	0
Total States/Territories	43,643,538	43,561,000	43,561,000	0
Discretionary Funds	4,849,282	4,840,000	4,840,000	0
Subtotal, Adjustments	4,849,282	4,840,000	4,840,000	0
TOTAL RESOURCES	\$48,492,820	\$48,401,000	\$48,401,000	\$0

UNACCOMPANIED ALIEN CHILDREN

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	Change from 2012 Enacted
\$149,052,000	\$168,681,000	\$175,000,000	+\$6,319,000

Authorizing Legislation – Section 462 of the Homeland Security Act

2013 Authorization Such sums as may be appropriated

Allocation Method Discretionary Grant

Program Description and Accomplishments The Unaccompanied Alien Children (UAC) program provides for the care and placement of unaccompanied alien minors who are either in the custody of federal agencies or have been apprehended at a border, port of entry, or in the interior of the U.S. by Department of Homeland Security (DHS) officials, including border patrol agents or Immigration and Customs Enforcement (ICE) agents. Children are taken into care pending resolution of their claims for relief under U.S. immigration law or released to an adult family member or responsible adult custodian. Resolution of UAC immigration claims may result in granting of an immigration status (such as Special Immigrant Juvenile Status (SIJS) or asylum), voluntary departure, or removal from the United States.

The UAC program provides shelter, medical care, assistance with pro-bono legal services, and other support services to children in the care of ACF. State licensed facilities receive grants or contracts to provide shelter, including therapeutic care, foster care, staff secure and secure detention care. The majority of costs (over 80 percent) are expended on shelter care. Funds also support background checks of sponsors and facility staff, family reunification efforts and follow-up services.

The William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008 (P.L. 110-457) (TVPRA) contained several provisions that have affected the number of children likely to enter care and how long children remain in our care. For example, TVPRA provided that children from contiguous countries who are determined by DHS to be either victims of trafficking, at risk of trafficking upon return, or who have a credible fear of persecution upon return, must be transferred to HHS for UAC program services within 48 hours of apprehension. Prior to enactment of TVPRA, all children from contiguous countries who were apprehended at the borders were immediately returned to their country of origin and therefore were not served by the UAC program. TVPRA also requires increased home studies for children prior to release to sponsor(s) in the United States and mandatory follow up services for those children.

In addition, TVPRA expanded several program activities including:

- Increased monitoring and monthly review of all children in secure placements
- Provision of specialized training for federal, state and local personnel with substantive UAC contact
- Transferred authority to provide first consent in the SIJS process from DHS to HHS
- Participation in coordination of UAC repatriation activities
- Enhanced the level of follow-up services provided post-release.

Funding for the program during the last five years has been as follows:

2008 \$132,600,000

2009	\$123,120,000
2009 Supplemental	\$ 82,000,000
2010	\$149,291,000
2011	\$149,052,000
2012	\$168,681,000

The chart below provides estimated costs for shelter, medical, support services (including pro-bono legal services, family reunification and background check costs) and administrative expenses (including expenses for home studies and follow-up services) for FY 2012 and FY 2013.

(in millions)				
FY	Shelter	Medical	Support Services	Administrative
2012	\$130	\$11	\$16	\$12
2013	\$135	\$12	\$16	\$12

The Office of Refugee Resettlement (ORR) uses outcome measures to monitor aspects of the program’s performance, including an indicator that measures the percentage of closed corrective actions. Overall, the UAC program is focused on improving the quality of services at the shelters, physical security, staff and staffing oversight at the shelters, and timely approvals of reunification requests with family and other sponsors. The performance measure on closed corrective actions allows the UAC program to monitor its efficiency in using training, technical assistance, and guidance/monitoring activities to improve program performance in this area. Fiscal year 2010 data indicates that the program met the target of 92 percent of closed corrective actions being made. ORR increased the overall number of grantees monitored in FY 2010 and provided training and technical assistance to facilitate their compliance with revised ORR policies and procedures.

Budget Request – The FY 2013 request for the UAC program is \$175,000,000, a \$6,319,000 increase from the FY 2012 enacted level. It is about the same amount as the total resources available in FY 2012, which includes that year’s appropriation plus carryover funding from prior year’s appropriations. This budget request will maintain current program services and support for approximately 8,000 children with an average length of stay of 75 days. It also will continue to support the follow-up services for all those who were unified upon approval of Home Assessment until finalization of their dependency proceeding process, as required by TVPRA. ORR anticipates that this funding level will be adequate to meet program requirements in FY 2013; however demand for UAC services is sensitive to unforeseen circumstances such as natural disasters, emergencies, and/or legislation that would lead to increased UAC referrals and/or added responsibility for their care. The Administration will continue to closely monitor all potential program impacts and keep Congress apprised of changes in caseload projections and potential changes in the UAC population that may alter current budgetary estimates.

In addition to the existing five performance measures that ORR has been tracking for the UAC program, two performance measures were recently added. The goals of these new performance measures are to increase the percentage of UAC that receive legal screening, and increase the percentage of UAC that are referred to and access specific follow up services. These measures reflect ACF’s implementation of the TVPRA Safe and Secure Placement provisions. Initial pre-baseline data for these measures was collected in FY 2010; future performance targets will be established pending an additional year of baseline data.

Outputs and Outcomes Table

Measure	Most Recent Result	FY 2012 Target	FY 2013 Target	FY 2013 Target +/- FY 2012 Target
<u>19.1LT and 19A</u> : Reduce time between Department of Homeland Security/Immigration and Customs Enforcement (DHS/ICE) notification to Office of Refugee Resettlement (ORR) of Unaccompanied Alien Child (UAC) apprehension and ORR placement designation in a care provider facility. (Outcome)	FY 2010: 4.03 hours ¹ Target: 1.18 hours (Target Not Met)	Prior Result -2%	Prior Result -2%	N/A
<u>19.2LT and 19B</u> : Increase the percentage of UAC that receive medical screening or examination within 48 hours. (Outcome)	FY 2010: 89.9% Target: 89.89% (Target Met)	Prior Result +1%	Prior Result +1%	N/A
<u>19C</u> : Maintain the percentage of runaways from UAC shelters at 1.5 percent. (Outcome)	FY 2010: 1.2% Target: 1.5% (Target Exceeded)	1.5%	1.5%	Maintain
<u>19D</u> : Increase the percentage of "closed" corrective actions. (Efficiency)	FY 2010: 92% Target: 91.9% (Target Exceeded)	Prior Result +2%	Prior Result +2%	N/A
<u>19E</u> : Increase the percentage of secure placements reviewed every 30 days. (Outcome)	FY 2011: 92% (Baseline)	90%	90%	Maintain
<u>19F</u> : Increase the percentage of UAC that receive legal screening. (Developmental Outcome)	FY 2010: 92.4% (Pre-baseline)	TBD	TBD	N/A
<u>19G</u> : Increase the percentage of UAC that are referred to and access specific follow up services. (Developmental Outcome)	FY 2010: 9% (Pre-baseline)	TBD	TBD	N/A
<u>19i</u> : Number of medical screenings completed within 48 hours of apprehension. (Output)	FY 2010: 5,284 (Historical Actual)	N/A	N/A	N/A
<u>19ii</u> : Number of runaways from UAC shelters. (Output)	FY 2011: 60 (Historical Actual)	N/A	N/A	N/A
<u>19iii</u> : Average number of UAC in care at high point. (Output)	FY 2011: 1,968 (Historical Actual)	N/A	N/A	N/A

¹The FY 2010 actual result for this measure has been updated from the previously reported preliminary data.

Resource and Program Data
Unaccompanied Alien Children

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$117,204,000	\$123,557,000	\$129,368,000
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support	26,234,000	45,124,000	45,632,000
Total, Resources	\$143,438,000	\$168,681,000	\$175,000,000
<u>Program Data:</u>			
Number of Grants	30	31	31
New Starts			
#	19	0	0
\$	\$50,665,000	\$0	\$0
Continuations			
#	11	31	31
\$	\$66,539,000	\$123,557,000	\$129,368,000
Contracts			
#	3	4	4
\$	\$12,042,000	\$23,845,000	\$23,861,000
Interagency Agreements			
#	3	4	4
\$	\$7,962,000	\$15,048,000	\$15,481,000

Notes:

1. Program support - Includes funding for information technology support, salaries and benefits and overhead costs, contractor support costs, monitoring/on-site review costs, medical costs, facility costs, legal system support costs, family reunification costs, and background checks.
2. Total Resources – The FY 2011 enacted level was \$149 million. \$6 million of FY 2011 carryover funding will be obligated in FY 2012.