

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
ADMINISTRATION FOR CHILDREN AND FAMILIES

SOCIAL SERVICES BLOCK GRANT

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**FY 2013 Proposed Appropriation Language**

ADMINISTRATION FOR CHILDREN AND FAMILIES  
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For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: *Provided*, That notwithstanding paragraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent. (*Department of Health and Human Services Appropriation Act, 2012.*)

**LANGUAGE ANALYSIS**

<b>Language Provision</b>	<b>Explanation</b>
-A	This language is added to clarify between Subtitle A which is Block Grants to States for Social Services versus Subtitle B which is Elder Justice under Title XX.

ADMINISTRATION FOR CHILDREN AND FAMILIES  
Social Services Block Grant

Authorizing Legislation

	FY 2012 Amount Authorized	FY 2012 Budget Estimate	FY 2013 Amount Authorized	FY 2013 Budget Request
1. Social Services Block Grant [Section 2001 of the Social Security Act]	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
2. Health Profession Opportunity Grants [Section 2008 of the Social Security Act]	85,000,000	85,000,000	85,000,000	85,000,000
Total request level		\$1,785,000,000		\$1,785,000,000

ADMINISTRATION FOR CHILDREN AND FAMILIES  
Social Services Block Grant

Appropriations History Table

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>Appropriation</u>
2004		
Appropriation	1,700,000,000	1,700,000,000
2005		
Appropriation	1,700,000,000	1,700,000,000
2006		
Appropriation	1,700,000,000	1,700,000,000
<i>Hurricane Relief</i>		<i>550,000,000</i> <sup>1</sup>
Total		2,250,000,000
2007		
Appropriation	1,700,000,000	1,700,000,000
2008		
Appropriation	1,700,000,000	1,700,000,000
<i>Disaster Assistance Funds</i>		<i>600,000,000</i> <sup>2</sup>
Total	1,700,000,000	2,300,000,000
2009		
Appropriation	0	1,700,000,000
2010		
Appropriation	1,700,000,000	1,700,000,000
<i>Pre-appropriated Health Profession Opportunity Grants</i>	<i>85,000,000</i> <sup>3</sup>	<i>85,000,000</i>
Total	1,785,000,000	1,785,000,000
2011		
Appropriation	1,700,000,000	1,700,000,000
<i>Pre-appropriated Health Profession Opportunity Grants</i>	<i>85,000,000</i> <sup>3</sup>	<i>85,000,000</i>
Total	1,785,000,000	1,785,000,000
2012		
Appropriation	1,700,000,000	1,700,000,000
<i>Pre-appropriated</i>		<i>85,000,000</i>

<sup>1</sup> As part of the Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006, Congress provided \$550 million in SSBG funds to be directed to states impacted by hurricanes in the Gulf of Mexico.

<sup>2</sup> The Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (P. L. 110-329) included \$600 million for SSBG to help states affected by Presidentially declared major natural disasters in 2008.

<sup>3</sup> Of the \$85 million appropriated for this program, \$5 million was delegated to HRSA for the Training and Certification Program.

<u>Year</u>	<u>Budget Estimate to Congress</u>	<u>Appropriation</u>
<i>Health Profession Opportunity Grants</i>	85,000,000 <sup>3</sup>	
Total	1,785,000,000	1,785,000,000
2013		
Appropriation	1,700,000,000	
<i>Pre-appropriated Health Profession Opportunity Grants</i>	85,000,000	
Total	1,785,000,000	

ADMINISTRATION FOR CHILDREN AND FAMILIES  
Social Services Block Grant

Amounts Available for Obligation

	FY 2011 <u>Actual</u>	FY 2012 <u>Estimate</u>	FY 2013 <u>Estimate</u>
Annual, B.A.	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
Pre-Appropriated, B.A.	85,000,000	85,000,000	85,000,000
<b>Subtotal, Net Budget Authority</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>
<b>Total Obligations</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>

Budget Authority by Activity

	FY 2011 <u>Enacted</u>	FY 2012 <u>Enacted</u>	FY 2013 <u>Estimate</u>
Social Services Block Grant	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
Health Profession Opportunity Grants	85,000,000	85,000,000	85,000,000
<b>Total, Budget Authority</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>	<b>\$1,785,000,000</b>

ADMINISTRATION FOR CHILDREN AND FAMILIES  
Social Services Block Grant

Justification

FY 2011 Enacted	FY 2012 Enacted	FY 2013 Estimate	FY13 Change from FY12 Enacted
\$1,785,000,000	\$1,785,000,000	\$1,785,000,000	\$0

Authorizing Legislation – Sections 2001 and 2008 of the Social Security Act

2013 Authorization ..... \$1,700,000,000;  
\$85,000,000 in pre-appropriated funds for Health Profession Opportunity Grants

Allocation Method ..... Formula & Competitive Grants

General Statement

The goals of the Social Services Block Grant (SSBG) are to reduce or eliminate dependency; achieve or maintain self-sufficiency for families; help prevent neglect, abuse or exploitation of children and adults; prevent or reduce inappropriate institutional care; and secure admission or referral for institutional care when other forms of care are not appropriate. SSBG serves low-income children and families, people with disabilities, and the elderly with documented need. The program provides state and local flexibility in allocating federal funds and enables states to target populations that might not otherwise be eligible for services needed to remain self-sufficient and economically independent.

**Program Description and Accomplishments** – SSBG is an appropriated entitlement program. SSBG funds are distributed to the 50 states and the District of Columbia based on each state’s population relative to all other states. Distributions are made to Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Marianas based on the same ratio allotted to them in 1981 as compared to the total 1981 appropriation. There are no matching requirements.

On March 23, 2010, President Obama signed into law the Patient Protection and Affordable Care Act (The Affordable Care Act), P.L. 111-148. Section 5507 amended title XX of the Social Security Act by adding Section 2008, Health Profession Opportunity Grants (HPOG). These demonstration projects support the establishment and maintenance of training, education, and career advancement programs to address health care professions workforce needs. In FY 2011, HHS awarded continuation grants to 32 organizations across 23 states. Health Profession Opportunity Grantee organizations consist of state entities, one tribal council, community colleges (including four tribal colleges), local workforce investment boards, and other community based organizations. The grants are in the second year of a five year project period. Two technical assistance contracts were awarded to provide additional support to the grantees. One contractor provides individualized technical assistance, preparing strategic planning tools and making site visits to each grantee; the other contractor provides group technical assistance by hosting grantee meetings, webinars and conference calls and making resources available on the internet. HHS is also implementing a multi-pronged evaluation to assess the success of these projects. Individuals eligible to receive education and training include TANF recipients receiving assistance under the state TANF program and other low-income individuals. Funds are used to provide training in a variety of high-demand health related occupations, including nursing, medical billing and coding, medical laboratory technology, and health information technology. Grantees are offering educational and training programs

that may lead to more than 50 unique occupations. All grantees are offering multiple supportive services, including financial aid, child care, transportation, and case management. Grantees are required to coordinate with the state agency responsible for administering the state TANF program, the local workforce investment board, the state workforce investment board, and the state apprenticeship agency. Grantees are also encouraged to coordinate with other local strategic partners. The funding for the demonstration projects is pre-appropriated through FY 2014. The full authorization level is \$85 million, however in FY 2010 through FY 2012, \$5 million is set aside by statute for the development of training and certification programs for personal or home care aides. The authority for operating the program for personal or home care aides has been delegated to HRSA, the agency with specialized expertise in this area. Beginning in FY 2013, the full \$85 million will be available to ACF. The additional funding will support activities based on our assessment of strategic and programmatic needs.

ACF's Office of Community Services (OCS) has implemented an accountability measure that is designed to decrease the percentage of SSBG funds identified as "administrative costs" in state post-expenditure reports. In FY 2010, the program decreased administrative costs as a percent of total costs to three percent, a significant improvement over the FY 2004 baseline of 10 percent and besting the FY 2010 target by three percentage points. This reduction in administrative costs allowed a greater percentage of funding to be expended for direct services.

SSBG has a unique structure as a block grant that allows for provision of a diverse array of services at the discretion of the state grantees. States have flexibility to determine the services and activities to be supported with grant funds, so long as those services and activities are targeted to the five goals identified in the statute: 1) to prevent, reduce, or eliminate dependency; 2) to achieve or maintain self-sufficiency; 3) to prevent neglect, abuse, or exploitation of children and adults; 4) to prevent or reduce inappropriate institutional care; and 5) to secure admission or referral for institutional care when other forms of care are not appropriate. Trend data compiled in the SSBG annual reports indicate that states often use their SSBG grants to supplement discrete activities and categorical grant programs for which there are identifiable and approved measures, as associated with those statutory goals. For example, SSBG funds are included in outcome measures for Child Care Development Block Grant and Child Welfare Services.

ACF has been re-examining measurement of success for SSBG. Based on this process, ACF has added a new performance measure to compare estimated state expenditures as reported on the pre-expenditure report with actual expenditures as reported on the post-expenditure report. The performance measure will be capped at 100 percent, reflecting how close a state came to spending at least the SSBG allocation dollars up to the level planned in each service category in the pre-expenditure report. This indicator is a measure of the degree to which planning materials submitted by states project the actual expenditures of SSBG funds, which is important for monitoring. The expectation is that this new performance measure will help ACF to identify states with the most significant variance between planned and actual expenditures, and will allow for focused technical assistance to these states. Although not all states currently use a comparable format for pre- and post-expenditure reports, the majority of states currently do use a comparable format. ACF is developing new guidance and technical assistance to promote the new performance measure and the submission of comparable reports. Based on an initial analysis of existing pre- and post-expenditure reports, the pre-baseline target is estimated at 80 percent for states, meaning that states are currently estimated to spend at least 80 percent of SSBG allocation funds in projected service categories. Continuing technical assistance will focus on providing guidance to states on this developmental performance measure.

**Budget Request** – The FY 2013 request for the Social Services Block Grant program is \$1,785,000,000, the same as the FY 2012 enacted level. This request will provide the same level of funding to states as in FY 2012. Additionally, this request includes \$85 million in pre-appropriated funds for Health Profession Opportunity Grants which will support 35 grants.

In FY 2013, SSBG expects to keep administrative costs to less than four percent through continued technical assistance and working with grantees to appropriately identify expenditures that may be mis-categorized as administrative costs to other activities and services. Future performance targets for this measure were updated from six percent to four percent in order to maintain rigor, given the most recent data trend. Some of the improvement in performance may be attributed to the fact that states are more familiar with the process of reporting expenditures by specific SSBG service category, rather than combining expenditures associated with providing a specific service into the "administrative" spending category. This performance measure identifies the sum effort of all states to reduce administrative costs in order to assure that SSBG funds social services for children and adults to as great an extent as possible.

**Outputs and Outcomes Table**

<b>Measure</b>	<b>Most Recent Result</b>	<b>FY 2012 Target</b>	<b>FY 2013 Target</b>	<b>FY 2013 Target +/- FY 2012 Target</b>
<u>21A</u> : Decrease administrative costs as a percent of total costs. (Efficiency)	FY 2010: 3%  Target: 6%  (Target Exceeded)	4%	4%	Maintain
<u>21B</u> : Decrease the percentage of variance between projected expenditures, by service for each state, and actual expenditures. (Developmental Outcome)	FY 2010: 80%  (Pre-baseline)	TBD	TBD	N/A
<u>21i</u> : Number of individuals receiving services funded by SSBG. (Output)	FY 2009: 22.6 million  (Historical Actual)	N/A	N/A	N/A

Resource and Program Data  
Social Services Block Grant

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
Discretionary			
Research/Evaluation			
Demonstration/Development			
Training/Technical Assistance			
Program Support			
Total, Resources	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
<u>Program Data:</u>			
Number of Grants	57	57	57
New Starts			
#	57	57	57
\$	\$1,700,000,000	\$1,700,000,000	\$1,700,000,000
Continuations			
#	0	0	0
\$	\$0	\$0	\$0
Contracts			
#	0	0	0
\$	\$0	\$0	\$0
Interagency Agreements			
#	0	0	0
\$	\$0	\$0	\$0

Resource and Program Data  
Health Profession Opportunity Grants

	FY 2011 Actual	FY 2012 Enacted	FY 2013 Estimate
<u>Resource Data:</u>			
Service Grants			
Formula			
Discretionary	\$67,046,000	\$67,046,000	\$72,046,000
Research/Evaluation	10,000,000	10,000,000	10,000,000
Demonstration/Development			
Training/Technical Assistance	2,104,000	2,080,000	2,080,000
Program Support	850,000	874,000	874,000
Total, Resources	\$80,000,000	\$80,000,000	\$85,000,000
<u>Program Data:</u>			
Number of Grants	32	32	35
New Starts			
#	0	0	3
\$	\$0	\$0	\$5,000,000
Continuations			
#	32	32	32
\$	\$67,046,000	\$67,046,000	\$67,046,000
Contracts			
#	5	5	5
\$	\$12,104,000	\$12,080,000	\$12,080,000
Interagency Agreements			
#	0	0	0
\$	\$0	\$0	\$0

Notes:

1. Program Support – Includes funding for information technology support, staffing and associated overhead costs.
2. Total Resources – Of the \$85 million appropriated for this program, \$5 million was delegated to HRSA for the Training and Certification program through FY 2012. Beginning in FY 2013, the entire \$85 million is provided to ACF.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

<b>STATE/TERRITORY</b>	<b>FY 2011 Actual</b>	<b>FY 2012 Enacted</b>	<b>FY 2013 Request</b>	<b>Difference +/- 2012</b>
Alabama	\$25,928,224	\$26,170,915	\$26,170,915	0
Alaska	3,846,101	3,888,791	3,888,791	0
Arizona	36,319,265	34,998,781	34,998,781	0
Arkansas	15,910,587	15,965,786	15,965,786	0
California	203,527,234	203,979,910	203,979,910	0
Colorado	27,668,480	27,536,806	27,536,806	0
Connecticut	19,373,246	19,569,572	19,569,572	0
Delaware	4,873,872	4,916,538	4,916,538	0
District of Columbia	3,301,976	3,294,668	3,294,668	0
Florida	102,078,238	102,944,491	102,944,491	0
Georgia	54,123,974	53,043,671	53,043,671	0
Hawaii	7,131,822	7,448,177	7,448,177	0
Idaho	8,511,862	8,583,122	8,583,122	0
Illinois	71,090,410	70,252,704	70,252,704	0
Indiana	35,368,495	35,501,340	35,501,340	0
Iowa	16,562,583	16,679,979	16,679,979	0
Kansas	15,521,265	15,621,932	15,621,932	0
Kentucky	23,755,410	23,759,723	23,759,723	0
Louisiana	24,735,353	24,821,976	24,821,976	0
Maine	7,259,147	7,273,294	7,273,294	0
Maryland	31,383,841	31,612,444	31,612,444	0
Massachusetts	36,307,200	35,850,817	35,850,817	0
Michigan	54,897,717	54,116,776	54,116,776	0
Minnesota	28,998,098	29,041,054	29,041,054	0
Mississippi	16,254,993	16,247,106	16,247,106	0
Missouri	32,970,258	32,791,706	32,791,706	0
Montana	5,368,720	5,417,432	5,417,432	0
Nebraska	9,892,977	9,999,928	9,999,928	0
Nevada	14,553,992	14,786,568	14,786,568	0
New Hampshire	7,293,695	7,208,186	7,208,186	0
New Jersey	47,948,654	48,139,042	48,139,042	0
New Mexico	11,066,135	11,274,807	11,274,807	0
New York	107,603,864	106,102,651	106,102,651	0
North Carolina	51,655,287	52,210,481	52,210,481	0
North Dakota	3,561,809	3,682,698	3,682,698	0

<b>STATE/TERRITORY</b>	<b>FY 2011 Actual</b>	<b>FY 2012 Enacted</b>	<b>FY 2013 Request</b>	<b>Difference +/- 2012</b>
Ohio	63,558,897	63,166,850	63,166,850	0
Oklahoma	20,302,524	20,540,107	20,540,107	0
Oregon	21,065,756	20,976,621	20,976,621	0
Pennsylvania	69,407,410	69,550,469	69,550,469	0
Rhode Island	5,799,434	5,763,214	5,763,214	0
South Carolina	25,116,211	25,325,668	25,325,668	0
South Dakota	4,473,339	4,457,952	4,457,952	0
Tennessee	34,669,953	34,747,395	34,747,395	0
Texas	136,462,292	137,681,734	137,681,734	0
Utah	15,333,082	15,133,346	15,133,346	0
Vermont	3,423,685	3,426,176	3,426,176	0
Virginia	43,405,019	43,808,721	43,808,721	0
Washington	36,695,999	36,819,474	36,819,474	0
West Virginia	10,020,495	10,145,863	10,145,863	0
Wisconsin	31,137,681	31,138,462	31,138,462	0
Wyoming	2,996,991	3,086,072	3,086,072	0
<b>Subtotal</b>	<b>1,690,513,552</b>	<b>1,690,501,996</b>	<b>1,690,501,996</b>	<b>0</b>
American Samoa	48,518	60,074	60,074	0
Guam	293,103	293,103	293,103	0
Northern Mariana Islands	58,621	58,621	58,621	0
Puerto Rico	8,793,103	8,793,103	8,793,103	0
Virgin Islands	293,103	293,103	293,103	0
<b>Subtotal</b>	<b>9,486,448</b>	<b>9,498,004</b>	<b>9,498,004</b>	<b>0</b>
<b>Total States/Territories</b>	<b>1,700,000,000</b>	<b>1,700,000,000</b>	<b>1,700,000,000</b>	<b>0</b>
<b>TOTAL RESOURCES</b>	<b>\$1,700,000,000</b>	<b>\$1,700,000,000</b>	<b>\$1,700,000,000</b>	<b>\$0</b>