

## Federal Fiscal Year 2017 Adoption Savings Data

(Revised and Updated as of August 2020)

### *Background*

Since federal fiscal year (FFY) 2010, the title IV-E Adoption Assistance program has provided expanded eligibility provisions for any child who meets the criteria for an “applicable child” as defined in section 473(e) of the Social Security Act (the Act). Use of the applicable child eligibility provisions (section 473(a)(2)(A)(ii) of the Act) tends to result in more children being determined as title IV-E eligible since it delinks eligibility for the title IV-E Adoption Assistance program from the Aid to Families with Dependent Children (AFDC) requirements, among other changes. (See Program Instruction (PI) [ACYF-CB-PI-09-10](#), dated August 29, 2009, for more details on the applicable child eligibility criteria.)

Federal law (section 473(a)(8) of the Act) requires title IV-E agencies to spend an amount equal to any savings they achieve, as a result of applying the differing program eligibility criteria to applicable children, for other child welfare service activities permitted under titles IV-B or IV-E of the Act. These funds are referred to as “Adoption Savings.” The amount of Adoption Savings is calculated from an assessment of title IV-E Adoption Assistance program claims made on behalf of those children who, absent the applicable child eligibility criteria, would not have been determined eligible for title IV-E adoption assistance. The savings is generally equal to the federal share of these claims since, in most instances, that amount would otherwise have been paid from non-federal title IV-E agency funds.

To implement the Adoption Savings requirements, the Children’s Bureau issued PIs providing detailed guidance on the calculation, expenditure and reporting of Adoption Savings and developed a new annual reporting form, designated as Form CB-496 Part 4, to collect the information. See program instructions [ACYF-CB-PI-15-06](#) and [ACYF-CB-PI-20-09](#) for details on these instructions.

Beginning with FFY 2015, title IV-E agencies are required to calculate and report annually their Adoption Savings, the methodology used to calculate the savings, how savings are spent, and on what services. A portion of the expenditures of Adoption Savings funds must be used for specified services.

In calculating Adoption Savings, title IV-E agencies must use a methodology specified by the Administration for Children and Families Children’s Bureau (CB) or may propose an alternative for approval. The methodology developed and issued by CB is referred to as “the CB Method.” This method could be applied either to a sample of cases or to the full universe of cases if the agency was able to access actual figures for needed information without conducting a special review.

The statute also requires that the annual Adoption Savings information reported by state and tribal title IV-E agencies be posted on the CB website.

Since the initial publication, a few title IV-E agencies submitted revised reports for FFY 2017, resulting in slight changes to the data previously reported. Therefore, this publication reflects updated data for FFY 2017, as reported by title IV-E agencies through August 2020.

### *FFY 2017 Adoption Savings Highlights*

The Children's Bureau has compiled key data from each title IV-E agency's most recent report of Adoption Savings, as reported on the agency's Form CB-496 Part 4 submission. Some highlights of the FFY 2017 Adoption Savings reporting include:

- All 61 state and tribal title IV-E agencies required to submit a report for FFY 2017 did so. Fifty-five (55) title IV-E agencies calculated Adoption Savings using the CB Method (with 14 using sampling and 41 actual amounts). Six (6) title IV-E agencies calculated Adoption Savings using an Alternate Approved Method.
- These 61 title IV-E agencies reported calculating a national total of **\$147,231,704** in Adoption Savings associated with **28,498** children for the FFY 2017 reporting period. These revised numbers represent an increase from previously reported FFY 2017 data of **0.04%** and **0.01%**, respectively. The revised FFY 2017 data, however, still represents an increase from FFY 2016 reported data of **34%** for both calculated amounts and associated children.
- A cumulative calculated national total of **\$330,291,310** in Adoption Savings was reported through FFY 2017. These amounts are for FFY 2015 thru FFY 2017.
- A national total of **\$95,855,616** in Adoption Savings funds was reported as expended in FFY 2017. These expenditures are of funds calculated in any of FFYs 2015 – 2017. The largest portion of these expenditures (44%) was for Post-Adoption or Post-Guardianship Services. The FFY 2017 expenditures represent an increase of **74%** from amounts reported in FFY 2016.
- A cumulative expenditure national total of **\$180,653,619** in Adoption Savings funds was reported through FFY 2017. These amounts are from calculated Adoption Savings for FFY 2015, FFY 2016 and FFY 2017.
- The remaining cumulative reported calculated Adoption Savings that were unexpended as of the close of FFY 2017 is a national total of **\$149,637,691**. These funds must be expended in a future FFY.

Information on each title IV-E agency's FFY 2017 calculated Adoption Savings is contained in Table 1 and the FFY 2017 expenditure information in Table 2, shown below.

*The information reflects data reported as of August 2020.*

**Federal Fiscal Year (FFY) 2017**  
**Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: August 2020

**Table 1 - FFY 2017 Calculated Adoption Savings**

State/Tribe	Prior Reported FFYs - Cumulative Total Calculated Adoption Savings	FFY 2017 - Calculation Method: Children's Bureau (CB); CB - Actual Amounts; Alternate Approved	FFY 2017 - Average Monthly Number of Children "Applicable Child Only Status"	FFY 2017 - Calculated Savings from Adoption Assistance Payments	FFY 2017 - Calculated Savings from Adoption Assistance Administration	Total FFY 2017 Calculated Adoption Savings - All Funding Categories	Cumulative Total Calculated Adoption Savings Through FFY 2017
Alabama	555,014	Children's Bureau	83	369,482	20,083	\$389,565	\$944,579
Alaska	1,149,603	CB - Actual Amounts	137	739,030	285,289	\$1,024,319	\$2,173,922
Arizona	5,846,579	Children's Bureau	1,859	11,222,499	451,654	\$11,674,153	\$17,520,732
Arkansas	1,951,476	CB - Actual Amounts	385	1,600,473	152,926	\$1,753,399	\$3,704,875
California	16,394,340	Alternate Approved	2,146	12,337,865	2,260,857	\$14,598,722	\$30,993,062
Colorado	586,885	CB - Actual Amounts	189	354,550	44,665	\$399,215	\$986,100
Connecticut	3,281,220	CB - Actual Amounts	258	1,779,563	850,407	\$2,629,970	\$5,911,190
Delaware	127,496	CB - Actual Amounts	24	158,669	12,152	\$170,821	\$298,317
District of Columbia	176,834	CB - Actual Amounts	10	119,203	6,902	\$126,105	\$302,939
Florida	8,109,248	Children's Bureau	1,644	5,702,275	1,700,439	\$7,402,714	\$15,511,962
Georgia	6,799,906	Children's Bureau	1,387	6,581,011	496,020	\$7,077,031	\$13,876,937
Hawaii	387,852	CB - Actual Amounts	46	273,857	12,754	\$286,611	\$674,463
Idaho	318,070	CB - Actual Amounts	66	230,467	42,126	\$272,593	\$590,663
Illinois	8,145,960	CB - Actual Amounts	1,102	4,108,141	1,134,114	\$5,242,255	\$13,388,215
Indiana	8,492,717	Children's Bureau	990	4,642,492	466,745	\$5,109,237	\$13,601,954
Iowa	2,378,823	Children's Bureau	364	1,662,266	159,039	\$1,821,305	\$4,200,128
Kansas	1,680,346	Alternate Approved	550	1,630,241	81,428	\$1,711,669	\$3,392,015
Kentucky	7,768,943	Children's Bureau	178	1,315,676	5,094	\$1,320,770	\$9,089,713
Louisiana	2,553,620	CB - Actual Amounts	551	1,714,566	241,199	\$1,955,765	\$4,509,385
Maine	1,336,702	CB - Actual Amounts	287	957,104	215,490	\$1,172,594	\$2,509,296
Maryland	1,395,690	CB - Actual Amounts	246	1,274,996	21,810	\$1,296,806	\$2,692,496
Massachusetts	21,992	Children's Bureau	78	362,567	76,147	\$438,714	\$460,706
Michigan	15,597,400	CB - Actual Amounts	761	4,345,562	659,117	\$5,004,679	\$20,602,079
Minnesota	1,845,283	CB - Actual Amounts	182	857,876	255,692	\$1,113,568	\$2,958,851
Mississippi	102,263	CB - Actual Amounts	14	32,306	21,453	\$53,759	\$156,022
Missouri	1,741,945	CB - Actual Amounts	515	1,441,923	108,932	\$1,550,855	\$3,292,800
Montana	443,457	CB - Actual Amounts	104	459,467	23,188	\$482,655	\$926,112
Nebraska	1,875,451	CB - Actual Amounts	348	1,678,029	72,321	\$1,750,350	\$3,625,801
Nevada	1,814,077	CB - Actual Amounts	260	1,275,716	144,425	\$1,420,141	\$3,234,218
New Hampshire	44,426	CB - Actual Amounts	15	46,943	17,547	\$64,490	\$108,916
New Jersey	798,573	CB - Actual Amounts	87	466,965	195,836	\$662,801	\$1,461,374
New Mexico	1,025,293	Children's Bureau	359	1,782,186	273,209	\$2,055,395	\$3,080,688
New York	10,732,597	Children's Bureau	969	6,055,491	134,408	\$6,189,899	\$16,922,496

State/Tribe	Prior Reported FFYs - Cumulative Total Calculated Adoption Savings	FFY 2017 - Calculation Method: Children's Bureau (CB); CB - Actual Amounts; Alternate Approved	FFY 2017 - Average Monthly Number of Children "Applicable Child Only Status"	FFY 2017 - Calculated Savings from Adoption Assistance Payments	FFY 2017 - Calculated Savings from Adoption Assistance Administration	Total FFY 2017 Calculated Adoption Savings - All Funding Categories	Cumulative Total Calculated Adoption Savings Through FFY 2017
North Carolina	4,094,023	Children's Bureau	406	1,919,456	183,686	\$2,103,142	\$6,197,165
North Dakota	901,507	Alternate Approved	127	827,768	104,948	\$932,716	\$1,834,223
Ohio	6,332,329	Alternate Approved	1,100	1,308,555	3,296,882	\$4,605,437	\$10,937,766
Oklahoma	6,700,409	CB - Actual Amounts	1,205	5,033,415	678,761	\$5,712,176	\$12,412,585
Oregon	3,440,576	CB - Actual Amounts	490	2,377,145	251,725	\$2,628,870	\$6,069,446
Pennsylvania	5,041,348	CB - Actual Amounts	1,006	4,167,784	2,070,139	\$6,237,923	\$11,279,271
Puerto Rico	0	CB - Actual Amounts	-	0	0	\$0	\$0
Rhode Island	174,423	Children's Bureau	57	220,318	24,919	\$245,237	\$419,660
South Carolina	1,921,500	Children's Bureau	383	1,386,476	365,865	\$1,752,341	\$3,673,841
South Dakota	345,834	CB - Actual Amounts	75	271,209	24,697	\$295,906	\$641,740
Tennessee	8,470,297	CB - Actual Amounts	1,061	6,834,058	61,917	\$6,895,975	\$15,366,272
Texas	14,320,359	Alternate Approved	4,210	12,092,196	989,359	\$13,081,555	\$27,401,914
Utah	1,169,385	Alternate Approved	279	747,701	128,172	\$875,873	\$2,045,258
Vermont	290,569	CB - Actual Amounts	44	237,540	6,850	\$244,390	\$534,959
Virgin Islands	0	CB - Actual Amounts	-	0	0	\$0	\$0
Virginia	7,748,634	Children's Bureau	999	7,325,591	847,727	\$8,173,318	\$15,921,952
Washington	1,479,718	CB - Actual Amounts	288	845,929	190,277	\$1,036,206	\$2,515,924
West Virginia	3,225,878	CB - Actual Amounts	361	2,500,391	135,117	\$2,635,508	\$5,861,386
Wisconsin	1,922,736	CB - Actual Amounts	212	1,375,255	175,686	\$1,550,941	\$3,473,677
Wyoming	0	CB - Actual Amounts	1	1,243	22	\$1,265	\$1,265
Eastern Band of Cherokee Indians	0	CB - Actual Amounts	-	0	0	\$0	\$0
Chickasaw Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Keweenaw Bay Indian Comm.	0	CB - Actual Amounts	-	0	0	\$0	\$0
Navajo Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Port Gamble S'Klallam Tribe	0	CB - Actual Amounts	-	0	0	\$0	\$0
Pascua Yaqui Tribe	0	CB - Actual Amounts	-	0	0	\$0	\$0
Tolowa Dee-ni' Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
<b>TOTALS</b>	<b>\$183,059,606</b>		<b>28,498</b>	<b>\$127,051,487</b>	<b>\$20,180,217</b>	<b>\$147,231,704</b>	<b>\$330,291,310</b>

**Federal Fiscal Year (FFY) 2017**  
**Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: August 2020

**Table 2 - FFY 2017 Expenditure of Calculated Adoption Savings**

State/Tribe	Prior Reported FFYs - Total Cumulative Expenditure of Calculated Adoption Savings	Cumulative Reported Adoption Savings Available for Expenditure In FFY 2017	FFY 2017 - Expenditures on Post-Adoption or Post-Guardianship Services	FFY 2017 - Expenditures on Services for Children At-Risk of Foster Care	FFY 2017 - Expenditures on Other Title IV-B or Title IV-E Allowable Services	FFY 2017 - Total Expenditures of Calculated Adoption Savings - All Identified Services	Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2017	Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2017
Alabama	555,014	389,565	3,607	0	229,736	\$233,343	\$788,357	\$156,222
Alaska	1,149,603	1,024,319	602,679	30,129	378,770	\$1,011,578	\$2,161,181	\$12,741
Arizona	5,846,579	11,674,153	11,618,921	0	0	\$11,618,921	\$17,465,500	\$55,232
Arkansas	1,822,719	1,882,156	350,680	175,340	1,227,379	\$1,753,399	\$3,576,118	\$128,757
California	2,526,783	28,466,279	1,203,011	436,449	7,971,606	\$9,611,066	\$12,137,849	\$18,855,213
Colorado	5,650	980,450	37,318	0	0	\$37,318	\$42,968	\$943,132
Connecticut	1,407,912	4,503,278	300,618	692,918	0	\$993,536	\$2,401,448	\$3,509,742
Delaware	0	298,317	0	0	119,594	\$119,594	\$119,594	\$178,723
District of Columbia	0	302,939	0	0	0	\$0	\$0	\$302,939
Florida	8,109,248	7,402,714	3,341,737	4,060,977	0	\$7,402,714	\$15,511,962	\$0
Georgia	6,799,906	7,077,031	2,641,954	0	4,435,077	\$7,077,031	\$13,876,937	\$0
Hawaii	0	674,463	0	0	0	\$0	\$0	\$674,463
Idaho	0	590,663	0	0	0	\$0	\$0	\$590,663
Illinois	8,145,960	5,242,255	1,048,451	524,226	3,669,578	\$5,242,255	\$13,388,215	\$0
Indiana	5,944,902	7,657,052	0	1,360,195	3,576,466	\$4,936,661	\$10,881,563	\$2,720,391
Iowa	0	4,200,128	0	0	410,000	\$410,000	\$410,000	\$3,790,128
Kansas	278,750	3,113,265	233,750	0	140,709	\$374,459	\$653,209	\$2,738,806
Kentucky	0	9,089,713	0	0	0	\$0	\$0	\$9,089,713
Louisiana	2,542,383	1,967,002	584,391	389,594	973,986	\$1,947,971	\$4,490,354	\$19,031
Maine	1,336,702	1,172,594	1,172,594	0	0	\$1,172,594	\$2,509,296	\$0
Maryland	911,476	1,781,020	30,650	123,249	376,751	\$530,650	\$1,442,126	\$1,250,370
Massachusetts	0	460,706	0	0	0	\$0	\$0	\$460,706
Michigan	10,039,188	10,562,891	3,547,629	0	1,135,703	\$4,683,332	\$14,722,520	\$5,879,559
Minnesota	608,578	2,350,273	377,047	0	0	\$377,047	\$985,625	\$1,973,226
Mississippi	0	156,022	0	0	0	\$0	\$0	\$156,022
Missouri	1,637,772	1,655,028	1,412,824	0	0	\$1,412,824	\$3,050,596	\$242,204
Montana	2,000	924,112	168,992	93,975	455,245	\$718,212	\$720,212	\$205,900
Nebraska	1,809,634	1,816,167	105,039	525,193	1,120,411	\$1,750,643	\$3,560,277	\$65,524
Nevada	1,814,077	1,420,141	283,448	425,171	708,618	\$1,417,237	\$3,231,314	\$2,904
New Hampshire	44,426	64,490	64,490	0	0	\$64,490	\$108,916	\$0
New Jersey	229,247	1,232,127	569,326	0	0	\$569,326	\$798,573	\$662,801
New Mexico	922,856	2,157,832	842,036	288,900	939,043	\$2,069,979	\$2,992,835	\$87,853
New York	0	16,922,496	1,566,953	0	0	\$1,566,953	\$1,566,953	\$15,355,543
North Carolina	0	6,197,165	0	0	0	\$0	\$0	\$6,197,165
North Dakota	93,907	1,740,316	144,786	97,610	255,425	\$497,821	\$591,728	\$1,242,495
Ohio	2,246,949	8,690,817	314,197	32,694	1,146,794	\$1,493,685	\$3,740,634	\$7,197,132
Oklahoma	2,819,124	9,593,461	3,881,285	0	0	\$3,881,285	\$6,700,409	\$5,712,176
Oregon	136,766	5,932,680	1,033,050	0	141,199	\$1,174,249	\$1,311,015	\$4,758,431
Pennsylvania	0	11,279,271	0	0	0	\$0	\$0	\$11,279,271

State/Tribe	Prior Reported FFYs - Total Cumulative Expenditure of Calculated Adoption Savings	Cumulative Reported Adoption Savings Available for Expenditure In FFY 2017	FFY 2017 - Expenditures on Post-Adoption or Post-Guardianship Services	FFY 2017 - Expenditures on Services for Children At-Risk of Foster Care	FFY 2017 - Expenditures on Other Title IV-B or Title IV-E Allowable Services	FFY 2017 - Total Expenditures of Calculated Adoption Savings - All Identified Services	Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2017	Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2017
Puerto Rico	0	0	0	0	0	\$0	\$0	\$0
Rhode Island	0	419,660	12,995	0	0	\$12,995	\$12,995	\$406,665
South Carolina	0	3,673,841	0	0	0	\$0	\$0	\$3,673,841
South Dakota	345,834	295,906	295,906	0	0	\$295,906	\$641,740	\$0
Tennessee	5,922,950	9,443,322	1,079,419	463,346	2,638,892	\$4,181,657	\$10,104,607	\$5,261,665
Texas	2,550,778	24,851,136	448,657	2,602,403	6,685,878	\$9,736,938	\$12,287,716	\$15,114,198
Utah	1,132,699	912,559	500,649	192,055	0	\$692,704	\$1,825,403	\$219,855
Vermont	106,008	428,951	0	75,666	0	\$75,666	\$181,674	\$353,285
Virgin Islands	0	0	0	0	0	\$0	\$0	\$0
Virginia	2,164,276	13,757,676	1,011,465	1,411	2,363,377	\$3,376,253	\$5,540,529	\$10,381,423
Washington*1	864,611	1,651,313	203,293	0	(420,910)	(217,617)	\$646,994	\$1,868,930
West Virginia	0	5,861,386	0	0	0	\$0	\$0	\$5,861,386
Wisconsin	1,922,736	1,550,941	1,550,941	0	0	\$1,550,941	\$3,473,677	\$0
Wyoming	0	1,265	0	0	0	\$0	\$0	\$1,265
Eastern Band of Cherokee Indians	0	0	0	0	0	\$0	\$0	\$0
Chickasaw Nation	0	0	0	0	0	\$0	\$0	\$0
Keweenaw Bay Indian Comm.	0	0	0	0	0	\$0	\$0	\$0
Navajo Nation	0	0	0	0	0	\$0	\$0	\$0
Port Gamble S'Klallam Tribe	0	0	0	0	0	\$0	\$0	\$0
Pascua Yaqui Tribe	0	0	0	0	0	\$0	\$0	\$0
Tolowa Dee-ni' Nation	0	0	0	0	0	\$0	\$0	\$0
<b>TOTALS</b>	<b>\$84,798,003</b>	<b>\$245,493,307</b>	<b>\$42,584,788</b>	<b>\$12,591,501</b>	<b>\$40,679,327</b>	<b>\$95,855,616</b>	<b>\$180,653,619</b>	<b>\$149,637,691</b>