

Federal Fiscal Year 2016 Adoption Savings Data

(Revised and Updated August 20, 2019)

Background

Since federal fiscal year (FFY) 2010, the title IV-E Adoption Assistance program has provided expanded eligibility provisions for any child who meets the criteria for an “applicable child” as defined in section 473(e) of the Social Security Act (the Act). Use of the applicable child eligibility provisions (section 473(a)(2)(A)(ii) of the Act) tends to result in more children being determined as title IV-E eligible since it delinks eligibility for the title IV-E Adoption Assistance program from the Aid to Families with Dependent Children (AFDC) requirements, among other changes. (See Program Instruction (PI) [ACYF-CB-PI-09-10](#), dated August 29, 2009, for more details on the applicable child eligibility criteria.)

Federal law (section 473(a)(8) of the Act) requires title IV-E agencies to spend an amount equal to any savings they achieve, as a result of applying the differing program eligibility criteria to applicable children, for other child welfare service activities permitted under titles IV-B or IV-E of the Act. These funds are referred to as “Adoption Savings.” The amount of Adoption Savings is calculated from an assessment of title IV-E Adoption Assistance program claims made on behalf of those children who, absent the applicable child eligibility criteria, would not have been determined eligible for title IV-E adoption assistance. The savings is generally equal to the federal share of these claims since, in most instances, that amount would otherwise have been paid from non-federal title IV-E agency funds.

To implement the Adoption Savings requirements, the Children’s Bureau issued PIs providing detailed guidance on the calculation, expenditure and reporting of Adoption Savings and developed a new annual reporting form, designated as Form CB-496 Part 4, to collect the information. See program instructions [ACYF-CB-PI-15-06](#) and [ACYF-CB-PI-15-09](#) for details on these instructions.

Beginning with FFY 2015, title IV-E agencies are required to calculate and report annually their Adoption Savings, the methodology used to calculate the savings, how savings are spent, and on what services. A portion of the expenditures of Adoption Savings funds must be used for specified services.

In calculating Adoption Savings, title IV-E agencies must use a methodology specified by the Administration for Children and Families Children’s Bureau (CB) or may propose an alternative for approval. The methodology developed and issued by CB is referred to as “the CB Method.” This method could be applied either to a sample of cases or to the full universe of cases if the agency was able to access actual figures for needed information without conducting a special review.

The statute also requires that the annual Adoption Savings information reported by state and tribal title IV-E agencies be posted on the CB website. In October 2017, the Children’s Bureau compiled and published FFY 2016 Adoption Savings data, as reported on each title IV-E agency’s Form CB-496 Part 4 submission.

Since the initial publication, a few title IV-E agencies submitted revised reports for FFY 2016, resulting in slight changes to the data previously reported. Therefore, this publication reflects updated data for FFY 2016, as reported by title IV-E agencies through August 2019.

FFY 2016 Adoption Savings Highlights

The Children’s Bureau has compiled key data from each title IV-E agency’s most recent report of Adoption Savings, as reported on the agency’s Form CB-496 Part 4 submission. Some highlights of the FFY 2016 Adoption Savings reporting include:

- All fifty-seven (57) state and tribal title IV-E agencies required to submit a report for FFY 2016 did so. Fifty-one (51) title IV-E agencies calculated Adoption Savings using the CB Method (with 15 using sampling and 36 actual amounts). Six (6) title IV-E agencies calculated Adoption Savings using an Alternate Approved Method.
- These 57 title IV-E agencies reported calculating a national total of **\$109,661,603** in Adoption Savings associated with **21,277** children for the reporting period.
- A cumulative calculated national total of **\$183,050,582** in Adoption Savings was reported through FFY 2016. These amounts are for FFY 2015 and FFY 2016.
- A national total of **\$54,996,775** in Adoption Savings funds was reported as expended in FFY 2016. These expenditures are of funds calculated in either FFY 2015 or FFY 2016. The largest portion of these expenditures (46%) was for Other Title IV-B or Title IV-E Allowable Services.
- A cumulative expenditure national total of **\$84,788,979** in Adoption Savings funds was reported through FFY 2016. These amounts are from calculated Adoption Savings for FFY 2015 and FFY 2016.
- The remaining cumulative reported calculated Adoption Savings that were unexpended as of the close of FFY 2016 is a national total of **\$98,261,603**. These funds must be expended in a future FFY.

Information on each title IV-E agency's FFY 2016 calculated Adoption Savings is contained in Table 1 and the FFY 2016 expenditure information in Table 2, shown below.

The information reflects data reported as of August 2019.

Federal Fiscal Year (FFY) 2016 - Revised
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)

Reported Data As Of: August 2019

Table 1 - FFY 2016 Calculated Adoption Savings

| State/Tribe | Prior Reported FFYs - Cumulative Total Calculated Adoption Savings | FFY 2016 - Calculation Method: Children's Bureau (CB); CB - Actual Amounts; Alternate Approved | FFY 2016 - Average Monthly Number of Children "Applicable Child Only Status" | FFY 2016 - Calculated Savings from Adoption Assistance Payments | FFY 2016 - Calculated Savings from Adoption Assistance Administration | Total FFY 2016 Calculated Adoption Savings - All Funding Categories | Cumulative Total Calculated Adoption Savings Through FFY 2016 |
|----------------------------------|--|--|--|---|---|---|---|
| Alabama | 440,151 | Children's Bureau | 26 | 112,669 | 2,194 | \$114,863 | \$555,014 |
| Alaska | 400,618 | CB - Actual Amounts | 112 | 542,665 | 206,320 | \$748,985 | \$1,149,603 |
| Arizona | 1,641,889 | Children's Bureau | 639 | 3,846,305 | 358,385 | \$4,204,690 | \$5,846,579 |
| Arkansas | 744,209 | CB - Actual Amounts | 280 | 1,127,545 | 79,722 | \$1,207,267 | \$1,951,476 |
| California | 6,542,716 | Alternate Approved | 1,488 | 8,283,570 | 1,568,054 | \$9,851,624 | \$16,394,340 |
| Colorado | 308,859 | CB - Actual Amounts | 127 | 248,638 | 29,388 | \$278,026 | \$586,885 |
| Connecticut | 1,360,552 | CB - Actual Amounts | 192 | 1,336,949 | 583,719 | \$1,920,668 | \$3,281,220 |
| Delaware | 32,342 | CB - Actual Amounts | 14 | 85,056 | 10,098 | \$95,154 | \$127,496 |
| District of Columbia | 0 | CB - Actual Amounts | 10 | 168,681 | 8,153 | \$176,834 | \$176,834 |
| Florida | 3,402,985 | Children's Bureau | 1,222 | 3,230,377 | 1,475,886 | \$4,706,263 | \$8,109,248 |
| Georgia | 1,668,655 | Children's Bureau | 1,048 | 4,746,970 | 384,281 | \$5,131,251 | \$6,799,906 |
| Hawaii | 166,064 | CB - Actual Amounts | 35 | 214,597 | 7,191 | \$221,788 | \$387,852 |
| Idaho | 137,630 | CB - Actual Amounts | 44 | 154,230 | 26,210 | \$180,440 | \$318,070 |
| Illinois | 3,871,075 | CB - Actual Amounts | 922 | 3,347,390 | 927,495 | \$4,274,885 | \$8,145,960 |
| Indiana | 2,632,923 | Children's Bureau | 1,162 | 5,208,912 | 650,882 | \$5,859,794 | \$8,492,717 |
| Iowa | 972,051 | Children's Bureau | 286 | 1,265,095 | 141,677 | \$1,406,772 | \$2,378,823 |
| Kansas | 649,090 | CB - Actual Amounts | 337 | 964,856 | 66,400 | \$1,031,256 | \$1,680,346 |
| Kentucky | 4,285,006 | Children's Bureau | 469 | 3,473,519 | 10,418 | \$3,483,937 | \$7,768,943 |
| Louisiana | 1,044,331 | CB - Actual Amounts | 419 | 1,328,022 | 181,267 | \$1,509,289 | \$2,553,620 |
| Maine | 433,058 | CB - Actual Amounts | 161 | 809,562 | 94,082 | \$903,644 | \$1,336,702 |
| Maryland | 514,345 | CB - Actual Amounts | 163 | 862,957 | 18,388 | \$881,345 | \$1,395,690 |
| Massachusetts | 0 | Children's Bureau | 4 | 18,119 | 3,873 | \$21,992 | \$21,992 |
| Michigan | 6,010,894 | CB - Actual Amounts | 1,369 | 8,448,624 | 1,137,882 | \$9,586,506 | \$15,597,400 |
| Minnesota | 847,033 | CB - Actual Amounts | 171 | 745,341 | 252,909 | \$998,250 | \$1,845,283 |
| Mississippi | 55,728 | CB - Actual Amounts | 11 | 32,318 | 14,217 | \$46,535 | \$102,263 |
| Missouri | 676,965 | CB - Actual Amounts | 320 | 983,170 | 81,810 | \$1,064,980 | \$1,741,945 |
| Montana | 168,524 | CB - Actual Amounts | 61 | 246,571 | 28,362 | \$274,933 | \$443,457 |
| Nebraska | 742,379 | CB - Actual Amounts | 227 | 1,062,972 | 70,100 | \$1,133,072 | \$1,875,451 |
| Nevada | 749,676 | CB - Actual Amounts | 197 | 960,072 | 104,329 | \$1,064,401 | \$1,814,077 |
| New Hampshire | 15,261 | CB - Actual Amounts | 7 | 15,834 | 4,307 | \$20,141 | \$35,402 |
| New Jersey | 362,346 | CB - Actual Amounts | 60 | 316,302 | 119,925 | \$436,227 | \$798,573 |
| New Mexico | 97,882 | Children's Bureau | 144 | 805,688 | 121,723 | \$927,411 | \$1,025,293 |
| New York | 4,686,038 | Children's Bureau | 908 | 5,888,898 | 157,661 | \$6,046,559 | \$10,732,597 |
| North Carolina | 2,015,684 | Children's Bureau | 413 | 1,936,203 | 142,136 | \$2,078,339 | \$4,094,023 |
| North Dakota | 321,614 | Alternate Approved | 85 | 509,770 | 70,123 | \$579,893 | \$901,507 |
| Ohio | 2,573,593 | Alternate Approved | 834 | 1,162,630 | 2,596,106 | \$3,758,736 | \$6,332,329 |
| Oklahoma | 2,819,124 | CB - Actual Amounts | 786 | 3,364,589 | 516,696 | \$3,881,285 | \$6,700,409 |
| Oregon | 1,466,984 | CB - Actual Amounts | 365 | 1,696,462 | 277,130 | \$1,973,592 | \$3,440,576 |
| Pennsylvania | 2,734,972 | Alternate Approved | 591 | 2,185,266 | 121,110 | \$2,306,376 | \$5,041,348 |
| Puerto Rico | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| Rhode Island | 74,483 | Children's Bureau | 23 | 87,899 | 12,041 | \$99,940 | \$174,423 |
| South Carolina | 493,106 | Children's Bureau | 316 | 1,100,290 | 328,104 | \$1,428,394 | \$1,921,500 |
| South Dakota | 143,908 | CB - Actual Amounts | 56 | 188,433 | 13,493 | \$201,926 | \$345,834 |
| Tennessee | 3,495,896 | CB - Actual Amounts | 767 | 4,932,421 | 41,980 | \$4,974,401 | \$8,470,297 |
| Texas | 5,549,499 | Alternate Approved | 2,783 | 8,229,100 | 541,760 | \$8,770,860 | \$14,320,359 |
| Utah | 469,151 | Alternate Approved | 231 | 639,306 | 60,928 | \$700,234 | \$1,169,385 |
| Vermont | 106,008 | CB - Actual Amounts | 33 | 179,651 | 4,910 | \$184,561 | \$290,569 |
| Virginia | 2,540,053 | Children's Bureau | 636 | 4,613,536 | 595,045 | \$5,208,581 | \$7,748,634 |
| Washington | 699,388 | CB - Actual Amounts | 224 | 635,689 | 144,641 | \$780,330 | \$1,479,718 |
| West Virginia | 1,384,921 | CB - Actual Amounts | 356 | 1,832,234 | 8,723 | \$1,840,957 | \$3,225,878 |
| Wisconsin | 839,320 | CB - Actual Amounts | 143 | 984,036 | 99,380 | \$1,083,416 | \$1,922,736 |
| Wyoming | 0 | Children's Bureau | - | 0 | 0 | \$0 | \$0 |
| Eastern Band of Cherokee Indians | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| Chickasaw Nation | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| Keweenaw Bay Indian Comm. | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| Navajo Nation | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| Port Gamble S'Klallam Tribe | 0 | CB - Actual Amounts | - | 0 | 0 | \$0 | \$0 |
| TOTALS | \$73,388,979 | | 21,277 | \$95,159,989 | \$14,501,614 | \$109,661,603 | \$183,050,582 |

Federal Fiscal Year (FFY) 2016 - Revised
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)

Reported Data As Of: August 2019

Table 2 - FFY 2016 Expenditure of Calculated Adoption Savings

| State/Tribe | Prior Reported FFYs Total Cumulative Expenditure of Calculated Adoption Savings | Cumulative Reported Adoption Savings Available for Expenditure In FFY 2016 | FFY 2016 - Expenditures on Post-Adoption or Post-Guardianship Services | FFY 2016 - Expenditures on Services for Children At-Risk of Foster Care | FFY 2016 - Expenditures on Other Title IV-B or Title IV-E Allowable Services | FFY 2016 - Total Expenditures of Calculated Adoption Savings - All Identified Services | Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2016 | Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2016 |
|----------------------------------|---|--|--|---|--|--|---|--|
| Alabama*1 | 436,144 | 118,870 | (150,984) | 269,854 | 0 | \$118,870 | \$555,014 | \$0 |
| Alaska | 400,618 | 748,985 | 224,697 | 367,006 | 157,282 | \$748,985 | \$1,149,603 | \$0 |
| Arizona | 1,641,889 | 4,204,690 | 4,204,690 | 0 | 0 | \$4,204,690 | \$5,846,579 | \$0 |
| Arkansas | 744,209 | 1,207,267 | 215,702 | 172,500 | 690,308 | \$1,078,510 | \$1,822,719 | \$128,757 |
| California | 0 | 16,394,340 | 512,507 | 247,256 | 1,767,020 | \$2,526,783 | \$2,526,783 | \$13,867,557 |
| Colorado | 0 | 586,885 | 5,650 | 0 | 0 | \$5,650 | \$5,650 | \$581,235 |
| Connecticut | 558,973 | 2,722,247 | 401,753 | 63,339 | 383,847 | \$848,939 | \$1,407,912 | \$1,873,308 |
| Delaware | 0 | 127,496 | 0 | 0 | 0 | \$0 | \$0 | \$127,496 |
| District of Columbia | 0 | 176,834 | 0 | 0 | 0 | \$0 | \$0 | \$176,834 |
| Florida | 3,402,985 | 4,706,263 | 2,925,210 | 1,781,053 | 0 | \$4,706,263 | \$8,109,248 | \$0 |
| Georgia | 1,668,655 | 5,131,251 | 1,832,379 | 0 | 3,298,872 | \$5,131,251 | \$6,799,906 | \$0 |
| Hawaii | 0 | 387,852 | 0 | 0 | 0 | \$0 | \$0 | \$387,852 |
| Idaho | 0 | 318,070 | 0 | 0 | 0 | \$0 | \$0 | \$318,070 |
| Illinois | 3,871,075 | 4,274,885 | 1,555,034 | 157,517 | 2,562,334 | \$4,274,885 | \$8,145,960 | \$0 |
| Indiana | 0 | 8,492,717 | 0 | 0 | 5,944,902 | \$5,944,902 | \$5,944,902 | \$2,547,815 |
| Iowa | 0 | 2,378,823 | 0 | 0 | 0 | \$0 | \$0 | \$2,378,823 |
| Kansas | 0 | 1,680,346 | 278,750 | 0 | 0 | \$278,750 | \$278,750 | \$1,401,596 |
| Kentucky | 0 | 7,768,943 | 0 | 0 | 0 | \$0 | \$0 | \$7,768,943 |
| Louisiana | 1,044,331 | 1,509,289 | 421,583 | 27,833 | 1,048,636 | \$1,498,052 | \$2,542,383 | \$11,237 |
| Maine | 433,058 | 903,644 | 903,644 | 0 | 0 | \$903,644 | \$1,336,702 | \$0 |
| Maryland | 411,476 | 984,214 | 0 | 87,747 | 412,253 | \$500,000 | \$911,476 | \$484,214 |
| Massachusetts | 0 | 21,992 | 0 | 0 | 0 | \$0 | \$0 | \$21,992 |
| Michigan | 5,040,165 | 10,557,235 | 3,558,806 | 0 | 1,440,217 | \$4,999,023 | \$10,039,188 | \$5,558,212 |
| Minnesota | 306,110 | 1,539,173 | 302,468 | 0 | 0 | \$302,468 | \$608,578 | \$1,236,705 |
| Mississippi | 0 | 102,263 | 0 | 0 | 0 | \$0 | \$0 | \$102,263 |
| Missouri | 643,210 | 1,098,735 | 994,562 | 0 | 0 | \$994,562 | \$1,637,772 | \$104,173 |
| Montana | 2,000 | 441,457 | 0 | 0 | 0 | \$0 | \$2,000 | \$441,457 |
| Nebraska | 671,856 | 1,203,595 | 68,267 | 341,333 | 728,178 | \$1,137,778 | \$1,809,634 | \$65,817 |
| Nevada | 749,676 | 1,064,401 | 212,881 | 319,320 | 532,200 | \$1,064,401 | \$1,814,077 | \$0 |
| New Hampshire | 15,261 | 20,141 | 3,775 | 9,989 | 6,377 | \$20,141 | \$35,402 | \$0 |
| New Jersey | 0 | 798,573 | 229,247 | 0 | 0 | \$229,247 | \$229,247 | \$569,326 |
| New Mexico | 97,882 | 927,411 | 164,995 | 659,979 | 0 | \$824,974 | \$922,856 | \$102,437 |
| New York | 0 | 10,732,597 | 0 | 0 | 0 | \$0 | \$0 | \$10,732,597 |
| North Carolina | 0 | 4,094,023 | 0 | 0 | 0 | \$0 | \$0 | \$4,094,023 |
| North Dakota | 0 | 901,507 | 93,907 | 0 | 0 | \$93,907 | \$93,907 | \$807,600 |
| Ohio | 0 | 6,332,329 | 0 | 0 | 2,246,949 | \$2,246,949 | \$2,246,949 | \$4,085,380 |
| Oklahoma | 0 | 6,700,409 | 2,819,124 | 0 | 0 | \$2,819,124 | \$2,819,124 | \$3,881,285 |
| Oregon | 0 | 3,440,576 | 88,968 | 0 | 47,798 | \$136,766 | \$136,766 | \$3,303,810 |
| Pennsylvania | 0 | 5,041,348 | 0 | 0 | 0 | \$0 | \$0 | \$5,041,348 |
| Puerto Rico | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Rhode Island | 0 | 174,423 | 0 | 0 | 0 | \$0 | \$0 | \$174,423 |
| South Carolina | 0 | 1,921,500 | 0 | 0 | 0 | \$0 | \$0 | \$1,921,500 |
| South Dakota | 143,908 | 201,926 | 201,926 | 0 | 0 | \$201,926 | \$345,834 | \$0 |
| Tennessee | 3,495,896 | 4,974,401 | 190,581 | 34,098 | 2,202,375 | \$2,427,054 | \$5,922,950 | \$2,547,347 |
| Texas | 1,377,875 | 12,942,484 | 351,871 | 0 | 821,032 | \$1,172,903 | \$2,550,778 | \$11,769,581 |
| Utah | 238,400 | 930,985 | 329,104 | 448,012 | 117,183 | \$894,299 | \$1,132,699 | \$36,686 |
| Vermont | 106,008 | 184,561 | 0 | 0 | 0 | \$0 | \$106,008 | \$184,561 |
| Virginia | 849,923 | 6,898,711 | 376,011 | 18,295 | 920,047 | \$1,314,353 | \$2,164,276 | \$5,584,358 |
| Washington | 601,301 | 878,417 | 78,993 | 0 | 184,317 | \$263,310 | \$864,611 | \$615,107 |
| West Virginia | 0 | 3,225,878 | 0 | 0 | 0 | \$0 | \$0 | \$3,225,878 |
| Wisconsin | 839,320 | 1,083,416 | 1,083,416 | 0 | 0 | \$1,083,416 | \$1,922,736 | \$0 |
| Wyoming | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Eastern Band of Cherokee Indians | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Chickasaw Nation | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Keweenaw Bay Indian Comm. | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Navajo Nation | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| Port Gamble S'Klallam Tribe | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 |
| TOTALS | \$29,792,204 | \$153,258,378 | \$24,479,517 | \$5,005,131 | \$25,512,127 | \$54,996,775 | \$84,788,979 | \$98,261,603 |

*1- Alabama reported data on expenditures for post-adoption or post-guardianship services is a net negative amount due to adjustments made to its FFY 2015 reported amount.