

Federal Fiscal Year 2016 Adoption Savings Data

(Reported as of October 2017)

Background

Since federal fiscal year (FFY) 2010, the title IV-E Adoption Assistance program has provided expanded eligibility provisions for any child who meets the criteria for an “applicable child” as defined in section 473(e) of the Social Security Act (the Act). Use of the applicable child eligibility provisions (section 473(a)(2)(A)(ii) of the Act) tends to result in more children being determined as title IV-E eligible since it delinks eligibility for the title IV-E Adoption Assistance program from the Aid to Families with Dependent Children (AFDC) requirements, among other changes. (See Program Instruction (PI) [ACYF-CB-PI-09-10](#), dated August 29, 2009, for more details on the applicable child eligibility criteria.)

Federal law (section 473(a)(8) of the Act) requires title IV-E agencies to spend an amount equal to any savings they achieve, as a result of applying the differing program eligibility criteria to applicable children, for other child welfare service activities permitted under titles IV-B or IV-E of the Act. These funds are referred to as “Adoption Savings.” The amount of Adoption Savings is calculated from an assessment of title IV-E Adoption Assistance program claims made on behalf of those children who, absent the applicable child eligibility criteria, would not have been determined eligible for title IV-E adoption assistance. The savings is generally equal to the federal share of these claims since, in most instances, that amount would otherwise have been paid from non-federal title IV-E agency funds.

To implement the Adoption Savings requirements, the Children’s Bureau issued PIs providing detailed guidance on the calculation, expenditure and reporting of Adoption Savings and developed a new annual reporting form, designated as Form CB-496 Part 4, to collect the information. See program instructions [ACYF-CB-PI-15-06](#) and [ACYF-CB-PI-15-09](#) for details on these instructions.

Beginning with FFY 2015, title IV-E agencies are required to calculate and report annually their Adoption Savings, the methodology used to calculate the savings, how savings are spent, and on what services. A portion of the expenditures of Adoption Savings funds must be used for specified services.

In calculating Adoption Savings, title IV-E agencies must use a methodology specified by the Administration for Children and Families Children’s Bureau (CB) or may propose an alternative for approval. The methodology developed and issued by CB is referred to as “the CB Method.” This method could be applied either to a sample of cases or to the full universe of cases if the agency was able to access actual figures for needed information without conducting a special review.

The statute also requires that the annual Adoption Savings information reported by state and tribal title IV-E agencies be posted on the CB website.

This publication reflects data for FFY 2016 Adoption Savings data, as reported on each title IV-E agency’s Form CB-496 Part 4 submission through October 2017.

FFY 2016 Adoption Savings Highlights

The Children's Bureau has compiled key data from each title IV-E agency's most recent report of Adoption Savings, as reported on the agency's Form CB-496 Part 4 submission. Some highlights of the FFY 2016 Adoption Savings reporting include:

- All fifty-seven (57) state and tribal title IV-E agencies required to submit a report for FFY 2016 did so. Fifty-one (51) title IV-E agencies calculated Adoption Savings using the CB Method (with 15 using sampling and 36 actual amounts). Six (6) title IV-E agencies calculated Adoption Savings using an Alternate Approved Method.
- These 57 title IV-E agencies reported calculating a national total of **\$109,536,616** in Adoption Savings associated with **21,342** children for the reporting period of FFY 2016.
- A cumulative calculated national total of **\$183,011,750** in Adoption Savings was reported through FFY 2016. These amounts are for FFY 2015 and FFY 2016.
- A national total of **\$54,974,727** in Adoption Savings funds was reported as expended in FFY 2016. These expenditures are of funds calculated in either FFY 2015 or FFY 2016. The largest portion of these expenditures (47%) was for Post-Adoption or Post-Guardianship Services.
- A cumulative expenditure national total of **\$84,817,448** in Adoption Savings funds was reported through FFY 2016. These amounts are from calculated Adoption Savings for FFY 2015 and FFY 2016.
- The remaining cumulative reported calculated Adoption Savings that were unexpended as of the close of FFY 2016 is a national total of **\$98,194,302**. These funds must be expended in a future FFY.

Information on each title IV-E agency's FFY 2016 calculated Adoption Savings is contained in Table 1 and the FFY 2016 expenditure information in Table 2, shown below.

Federal Fiscal Year (FFY) 2016

Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)

Reported Data As Of: October 2017

Table 1 - FFY 2016 Calculated Adoption Savings

State/Tribe	Prior Reported FFYs - Cumulative Total Calculated Adoption Savings	FFY 2016 - Calculation Method: Children's Bureau (CB); CB - Actual Amounts; Alternate Approved	FFY 2016 - Average Monthly Number of Children "Applicable Child Only Status"	FFY 2016 - Calculated Savings from Adoption Assistance Payments	FFY 2016 - Calculated Savings from Adoption Assistance Administration	Total FFY 2016 Calculated Adoption Savings - All Funding Categories	Cumulative Total Calculated Adoption Savings Through FFY 2016
Alabama	440,151	Children's Bureau	26	112,669	2,194	\$114,863	\$555,014
Alaska	400,618	CB - Actual Amounts	112	542,665	206,320	\$748,985	\$1,149,603
Arizona	1,640,509	Children's Bureau	656	3,849,983	358,761	\$4,208,744	\$5,849,253
Arkansas	744,209	CB - Actual Amounts	280	1,127,545	79,722	\$1,207,267	\$1,951,476
California	6,542,716	Alternate Approved	1,488	8,283,570	1,568,054	\$9,851,624	\$16,394,340
Colorado	308,859	CB - Actual Amounts	127	248,638	29,388	\$278,026	\$586,885
Connecticut	1,360,552	CB - Actual Amounts	192	1,336,949	583,719	\$1,920,668	\$3,281,220
Delaware	32,342	CB - Actual Amounts	14	85,056	10,098	\$95,154	\$127,496
District of Columbia	0	CB - Actual Amounts	9	168,681	8,153	\$176,834	\$176,834
Florida	3,402,985	Children's Bureau	1,222	3,230,377	1,475,886	\$4,706,263	\$8,109,248
Georgia	1,668,655	Children's Bureau	1,048	4,746,970	384,281	\$5,131,251	\$6,799,906
Hawaii	166,064	CB - Actual Amounts	35	214,597	7,191	\$221,788	\$387,852
Idaho	137,630	CB - Actual Amounts	44	154,230	26,210	\$180,440	\$318,070
Illinois	3,871,075	CB - Actual Amounts	922	3,347,390	927,495	\$4,274,885	\$8,145,960
Indiana	2,632,923	Children's Bureau	1,162	5,208,912	650,882	\$5,859,794	\$8,492,717
Iowa	972,051	Children's Bureau	196	867,151	97,093	\$964,244	\$1,936,295
Kansas	649,090	CB - Actual Amounts	337	964,856	66,400	\$1,031,256	\$1,680,346
Kentucky	4,285,006	Children's Bureau	469	3,473,519	10,418	\$3,483,937	\$7,768,943
Louisiana	1,044,331	CB - Actual Amounts	419	1,328,022	181,267	\$1,509,289	\$2,553,620
Maine	433,058	CB - Actual Amounts	161	809,562	94,082	\$903,644	\$1,336,702
Maryland	514,345	CB - Actual Amounts	163	862,957	18,388	\$881,345	\$1,395,690
Massachusetts	0	Children's Bureau	4	18,119	3,873	\$21,992	\$21,992
Michigan	6,010,894	CB - Actual Amounts	1,369	8,448,624	1,137,882	\$9,586,506	\$15,597,400
Minnesota	847,033	CB - Actual Amounts	171	745,341	252,909	\$998,250	\$1,845,283
Mississippi	55,728	CB - Actual Amounts	11	32,318	14,217	\$46,535	\$102,263
Missouri	712,603	CB - Actual Amounts	407	915,045	255,169	\$1,170,214	\$1,882,817
Montana	168,524	CB - Actual Amounts	61	246,571	28,362	\$274,933	\$443,457
Nebraska	742,379	CB - Actual Amounts	279	1,066,882	98,872	\$1,165,754	\$1,908,133
Nevada	801,573	CB - Actual Amounts	197	1,160,800	88,153	\$1,248,953	\$2,050,526
New Hampshire	15,261	CB - Actual Amounts	7	15,834	4,307	\$20,141	\$35,402
New Jersey	362,346	CB - Actual Amounts	60	316,302	119,925	\$436,227	\$798,573
New Mexico	97,882	Children's Bureau	144	805,688	121,723	\$927,411	\$1,025,293
New York	4,686,038	Children's Bureau	908	5,888,898	157,661	\$6,046,559	\$10,732,597
North Carolina	2,015,684	Children's Bureau	413	1,927,222	142,136	\$2,069,358	\$4,085,042
North Dakota	321,614	Alternate Approved	85	509,770	70,123	\$579,893	\$901,507
Ohio	2,573,593	Alternate Approved	834	1,162,630	2,596,106	\$3,758,736	\$6,332,329
Oklahoma	2,819,124	CB - Actual Amounts	786	3,364,589	516,696	\$3,881,285	\$6,700,409
Oregon	1,466,984	CB - Actual Amounts	365	1,696,462	277,130	\$1,973,592	\$3,440,576
Pennsylvania	2,734,972	Alternate Approved	591	2,185,266	121,110	\$2,306,376	\$5,041,348
Puerto Rico	0	CB - Actual Amounts	-	0	0	\$0	\$0
Rhode Island	74,483	Children's Bureau	23	87,899	12,041	\$99,940	\$174,423
South Carolina	493,106	Children's Bureau	316	1,100,290	328,104	\$1,428,394	\$1,921,500
South Dakota	143,908	CB - Actual Amounts	56	188,433	13,493	\$201,926	\$345,834
Tennessee	3,495,896	CB - Actual Amounts	767	4,932,421	41,980	\$4,974,401	\$8,470,297
Texas	5,549,499	Alternate Approved	2,783	8,229,100	541,760	\$8,770,860	\$14,320,359
Utah	469,151	Alternate Approved	231	639,306	60,928	\$700,234	\$1,169,385
Vermont	106,008	CB - Actual Amounts	33	179,651	4,910	\$184,561	\$290,569
Virginia	2,540,053	Children's Bureau	636	4,613,536	595,045	\$5,208,581	\$7,748,634
Washington	699,388	CB - Actual Amounts	224	635,689	144,641	\$780,330	\$1,479,718
West Virginia	1,384,921	CB - Actual Amounts	356	1,832,234	8,723	\$1,840,957	\$3,225,878
Wisconsin	839,320	CB - Actual Amounts	143	984,036	99,380	\$1,083,416	\$1,922,736
Wyoming	0	Children's Bureau	-	0	0	\$0	\$0
Eastern Band of Cherokee Indians	0	CB - Actual Amounts	-	0	0	\$0	\$0
Chickasaw Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Keweenaw Bay Indian Comm.	0	CB - Actual Amounts	-	0	0	\$0	\$0
Navajo Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Port Gamble S'Klallam Tribe	0	CB - Actual Amounts	-	0	0	\$0	\$0
TOTALS	\$73,475,134		21,342	\$94,893,255	\$14,643,361	\$109,536,616	\$183,011,750

Federal Fiscal Year (FFY) 2016

Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)

Reported Data As Of: October 2017

Table 2 - FFY 2016 Expenditure of Calculated Adoption Savings

State/Tribe	Prior Reported FFYs - Total Cumulative Expenditure of Calculated Adoption Savings	Cumulative Reported Adoption Savings Available for Expenditure In FFY 2016	FFY 2016 - Expenditures on Post-Adoption or Post-Guardianship Services	FFY 2016 - Expenditures on Services for Children At-Risk of Foster Care	FFY 2016 - Expenditures on Other Title IV-B or Title IV-E Allowable Services	FFY 2016 - Total Expenditures of Calculated Adoption Savings - All Identified Services	Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2016	Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2016
Alabama*1	436,144	118,870	(150,984)	269,854	0	\$118,870	\$555,014	\$0
Alaska	400,618	748,985	224,697	367,006	157,282	\$748,985	\$1,149,603	\$0
Arizona	1,640,509	4,208,744	4,208,744	0	0	\$4,208,744	\$5,849,253	\$0
Arkansas	744,209	1,207,267	215,702	172,500	690,308	\$1,078,510	\$1,822,719	\$128,757
California	0	16,394,340	512,507	247,256	1,767,020	\$2,526,783	\$2,526,783	\$13,867,557
Colorado	0	586,885	5,650	0	0	\$5,650	\$5,650	\$581,235
Connecticut	558,973	2,722,247	401,753	63,339	383,847	\$848,939	\$1,407,912	\$1,873,308
Delaware	0	127,496	0	0	0	\$0	\$0	\$127,496
District of Columbia	0	176,834	0	0	0	\$0	\$0	\$176,834
Florida	3,402,985	4,706,263	2,925,210	1,781,053	0	\$4,706,263	\$8,109,248	\$0
Georgia	1,668,655	5,131,251	1,832,379	0	3,298,872	\$5,131,251	\$6,799,906	\$0
Hawaii	0	387,852	0	0	0	\$0	\$0	\$387,852
Idaho	0	318,070	0	0	0	\$0	\$0	\$318,070
Illinois	3,871,075	4,274,885	1,555,034	157,517	2,562,334	\$4,274,885	\$8,145,960	\$0
Indiana	0	8,492,717	0	0	5,944,902	\$5,944,902	\$5,944,902	\$2,547,815
Iowa	0	1,936,295	0	0	0	\$0	\$0	\$1,936,295
Kansas	0	1,680,346	278,750	0	0	\$278,750	\$278,750	\$1,401,596
Kentucky	0	7,768,943	0	0	0	\$0	\$0	\$7,768,943
Louisiana	1,044,331	1,509,289	421,583	27,833	1,048,636	\$1,498,052	\$2,542,383	\$11,237
Maine	433,058	903,644	903,644	0	0	\$903,644	\$1,336,702	\$0
Maryland	411,476	984,214	0	87,747	412,253	\$500,000	\$911,476	\$484,214
Massachusetts	0	21,992	0	0	0	\$0	\$0	\$21,992
Michigan	5,040,165	10,557,235	3,558,806	0	1,440,217	\$4,999,023	\$10,039,188	\$5,558,212
Minnesota	306,110	1,539,173	302,468	0	0	\$302,468	\$608,578	\$1,236,705
Mississippi	0	102,263	0	0	0	\$0	\$0	\$102,263
Missouri	643,210	1,239,607	994,562	0	0	\$994,562	\$1,637,772	\$245,045
Montana	2,000	441,457	0	0	0	\$0	\$2,000	\$441,457
Nebraska	671,856	1,236,277	1,165,754	0	0	\$1,165,754	\$1,837,610	\$70,523
Nevada	801,573	1,248,953	202,065	303,097	505,161	\$1,010,323	\$1,811,896	\$238,630
New Hampshire	15,261	20,141	3,775	9,989	6,377	\$20,141	\$35,402	\$0
New Jersey	0	798,573	229,247	0	0	\$229,247	\$229,247	\$569,326
New Mexico	97,882	927,411	164,995	659,979	0	\$824,974	\$922,856	\$102,437
New York	0	10,732,597	0	0	0	\$0	\$0	\$10,732,597
North Carolina	0	4,085,042	0	0	0	\$0	\$0	\$4,085,042
North Dakota	0	901,507	93,907	0	0	\$93,907	\$93,907	\$807,600
Ohio	0	6,332,329	0	0	2,246,949	\$2,246,949	\$2,246,949	\$4,085,380
Oklahoma	0	6,700,409	2,819,124	0	0	\$2,819,124	\$2,819,124	\$3,881,285
Oregon	0	3,440,576	88,968	0	47,798	\$136,766	\$136,766	\$3,303,810
Pennsylvania	0	5,041,348	0	0	0	\$0	\$0	\$5,041,348
Puerto Rico	0	0	0	0	0	\$0	\$0	\$0
Rhode Island	0	174,423	0	0	0	\$0	\$0	\$174,423
South Carolina	0	1,921,500	0	0	0	\$0	\$0	\$1,921,500
South Dakota	143,908	201,926	201,926	0	0	\$201,926	\$345,834	\$0
Tennessee	3,495,896	4,974,401	190,581	34,098	2,202,375	\$2,427,054	\$5,922,950	\$2,547,347
Texas	1,377,875	12,942,484	351,871	0	821,032	\$1,172,903	\$2,550,778	\$11,769,581
Utah	238,400	930,985	329,104	448,012	117,183	\$894,299	\$1,132,699	\$36,686
Vermont	106,008	184,561	0	0	0	\$0	\$106,008	\$184,561
Virginia	849,923	6,898,711	376,011	18,295	920,047	\$1,314,353	\$2,164,276	\$5,584,358
Washington	601,301	878,417	78,993	0	184,317	\$263,310	\$864,611	\$615,107
West Virginia	0	3,225,878	0	0	0	\$0	\$0	\$3,225,878
Wisconsin	839,320	1,083,416	1,083,416	0	0	\$1,083,416	\$1,922,736	\$0
Wyoming	0	0	0	0	0	\$0	\$0	\$0
Eastern Band of Cherokee Indians	0	0	0	0	0	\$0	\$0	\$0
Chickasaw Nation	0	0	0	0	0	\$0	\$0	\$0
Keweenaw Bay Indian Comm.	0	0	0	0	0	\$0	\$0	\$0
Navajo Nation	0	0	0	0	0	\$0	\$0	\$0
Port Gamble S'Klallam Tribe	0	0	0	0	0	\$0	\$0	\$0
TOTALS	\$29,842,721	\$153,169,029	\$25,570,242	\$4,647,575	\$24,756,910	\$54,974,727	\$84,817,448	\$98,194,302

*1- Alabama reported data on expenditures for post-adoption or post-guardianship services is a net negative amount due to adjustments made to its FFY 2015 reported amount.