

## Federal Fiscal Year 2018 Adoption Savings Data

(Reported as of August 20, 2019)

### *Background*

Since federal fiscal year (FFY) 2010, the title IV-E Adoption Assistance program has provided expanded eligibility provisions for any child who meets the criteria for an “applicable child” as defined in section 473(e) of the Social Security Act (the Act). Use of the applicable child eligibility provisions (section 473(a)(2)(A)(ii) of the Act) tends to result in more children being determined as title IV-E eligible since it delinks eligibility for the title IV-E Adoption Assistance program from the Aid to Families with Dependent Children (AFDC) requirements, among other changes. (See Program Instruction (PI) [ACYF-CB-PI-09-10](#), dated August 29, 2009, for more details on the applicable child eligibility criteria.)

Federal law (section 473(a)(8) of the Act) requires title IV-E agencies to spend an amount equal to any savings they achieve, as a result of applying the differing program eligibility criteria to applicable children, for other child welfare service activities permitted under titles IV-B or IV-E of the Act. These funds are referred to as “Adoption Savings.” The amount of Adoption Savings is calculated from an assessment of title IV-E Adoption Assistance program claims made on behalf of those children who, absent the applicable child eligibility criteria, would not have been determined eligible for title IV-E adoption assistance. The savings is generally equal to the federal share of these claims since, in most instances, that amount would otherwise have been paid from non-federal title IV-E agency funds.

To implement the Adoption Savings requirements, the Children’s Bureau issued PIs providing detailed guidance on the calculation, expenditure and reporting of Adoption Savings and developed a new annual reporting form, designated as Form CB-496 Part 4, to collect the information. See program instructions [ACYF-CB-PI-15-06](#) and [ACYF-CB-PI-15-09](#) for details on these instructions.

Beginning with FFY 2015, title IV-E agencies are required to calculate and report annually their Adoption Savings, the methodology used to calculate the savings, how savings are spent, and on what services. A portion of the expenditures of Adoption Savings funds must be used for specified services.

In calculating Adoption Savings, title IV-E agencies must use a methodology specified by the Administration for Children and Families Children’s Bureau (CB) or may propose an alternative for approval. The methodology developed and issued by CB is referred to as “the CB Method.” This method could be applied either to a sample of cases or to the full universe of cases if the agency was able to access actual figures for needed information without conducting a special review.

The statute also requires that the annual Adoption Savings information reported by state and tribal title IV-E agencies be posted on the CB website.

This publication reflects data for FFY 2018 Adoption Savings data, as reported on each title IV-E agency’s Form CB-496 Part 4 submission through August 2019.

### *FFY 2018 Adoption Savings Highlights*

The Children’s Bureau has compiled key data from each title IV-E agency’s most recent report of Adoption Savings, as reported on the agency’s Form CB-496 Part 4 submission. Some highlights of the FFY 2018 Adoption Savings reporting include:

- All sixty-two (62) state and tribal title IV-E agencies required to submit a report for FFY 2018 did so. Fifty-six (56) title IV-E agencies calculated Adoption Savings using the CB Method (with 14 using sampling and 36 actual amounts). Six (6) title IV-E agencies calculated Adoption Savings using an Alternate Approved Method.
- These 62 title IV-E agencies reported calculating a national total of **\$219,337,206** in Adoption Savings associated with **42,231** children for the reporting period. These numbers represent an increase from FFY 2017 reported data of **49%** and **48%**, respectively,
- A cumulative calculated national total of **\$ \$549,559,610** in Adoption Savings was reported through FFY 2018. These amounts are for FFY 2015 thru FFY 2018.
- A national total of **\$165,750,231** in Adoption Savings funds was reported as expended in FFY 2018. These expenditures are of funds calculated in either FFY 2015, FFY 2016, FFY 2017 or FFY 2018. The largest portion of these expenditures (44%) was for Other Title IV-B or Title IV-E Allowable Services. Expenditures for Post-Adoption or Post-Guardianship Services comprised the next largest category at 43% of the total. The FFY 2018 expenditures represent an increase of **73%** from amounts reported in FFY 2017.
- A cumulative expenditure national total of **\$346,252,747** in Adoption Savings funds was reported through FFY 2018. These amounts are from calculated Adoption Savings for FFY 2015, FFY 2016, FFY 2017 and FFY 2018.
- The remaining cumulative reported calculated Adoption Savings that were unexpended as of the close of FFY 2018 is a national total of **\$ \$203,306,863**. These funds must be expended in a future FFY.

Information on each title IV-E agency's FFY 2018 calculated Adoption Savings is contained in Table 1 and the FFY 2018 expenditure information in Table 2, shown below.

*The information reflects data reported as of August 2019.*

**Federal Fiscal Year (FFY) 2018  
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: August 2019

**Table 1 - FFY 2018 Calculated Adoption Savings**

State/Tribe	Prior Reported FFYs Cumulative Total Calculated Adoption Savings	FFY 2018 - Calculation Method: Children's Bureau (CB); CB - Actual Amounts; Alternate Approved	FFY 2018 - Average Monthly Number of Children "Applicable Child Only Status"	FFY 2018 - Calculated Savings from Adoption Assistance Payments	FFY 2018 - Calculated Savings from Adoption Assistance Administration	Total FFY 2018 Calculated Adoption Savings - All Funding Categories	Cumulative Total Calculated Adoption Savings Through FFY 2018
Alabama	944,579	Children's Bureau	164	899,729	32,446	\$932,175	\$1,876,754
Alaska	2,173,922	CB - Actual Amounts	190	1,057,593	490,657	\$1,548,250	\$3,722,172
Arizona	17,520,732	Children's Bureau	2,446	15,318,536	416,621	\$15,735,157	\$33,255,889
Arkansas	3,704,875	CB - Actual Amounts	631	2,410,854	278,525	\$2,689,379	\$6,394,254
California	30,993,062	Alternate Approved	3,272	19,614,610	3,628,629	\$23,243,239	\$54,236,301
Colorado	986,100	CB - Actual Amounts	266	497,192	78,269	\$575,461	\$1,561,561
Connecticut	5,911,190	CB - Actual Amounts	376	2,528,014	1,170,865	\$3,698,879	\$9,610,069
Delaware	296,775	CB - Actual Amounts	27	193,060	11,563	\$204,623	\$501,398
District of Columbia	302,939	CB - Actual Amounts	14	135,323	9,295	\$144,618	\$447,557
Florida	15,511,962	Children's Bureau	5,471	18,253,074	8,367,749	\$26,620,823	\$42,132,785
Georgia	13,876,937	Children's Bureau	2,158	10,533,965	733,473	\$11,267,438	\$25,144,375
Hawaii	674,463	CB - Actual Amounts	55	379,497	17,956	\$397,453	\$1,071,916
Idaho	590,663	CB - Actual Amounts	108	384,519	74,189	\$458,708	\$1,049,371
Illinois	13,388,215	CB - Actual Amounts	1,255	4,691,872	1,206,782	\$5,898,654	\$19,286,869
Indiana*	13,601,954	Children's Bureau	1,131	4,982,414	429,696	\$5,412,110	\$19,014,064
Iowa	4,200,128	Children's Bureau	499	2,352,527	255,653	\$2,608,180	\$6,808,308
Kansas	3,392,015	CB - Actual Amounts	729	1,985,818	103,141	\$2,088,959	\$5,480,974
Kentucky	9,089,713	Children's Bureau	610	4,647,638	32,419	\$4,680,057	\$13,769,770
Louisiana	4,509,385	CB - Actual Amounts	575	1,815,135	221,511	\$2,036,646	\$6,546,031
Maine	2,509,296	CB - Actual Amounts	469	1,551,425	327,181	\$1,878,606	\$4,387,902
Maryland	2,692,496	CB - Actual Amounts	379	2,011,539	40,325	\$2,051,864	\$4,744,360
Massachusetts	460,706	Children's Bureau	262	1,236,636	285,087	\$1,521,723	\$1,982,429
Michigan	20,602,079	CB - Actual Amounts	992	5,742,833	462,210	\$6,205,043	\$26,807,122
Minnesota	2,958,851	CB - Actual Amounts	226	976,884	278,311	\$1,255,195	\$4,214,046
Mississippi	156,022	CB - Actual Amounts	15	74,459	20,314	\$94,773	\$250,795
Missouri	3,292,800	CB - Actual Amounts	804	2,191,876	145,567	\$2,337,443	\$5,630,243
Montana	926,112	CB - Actual Amounts	182	780,070	34,087	\$814,157	\$1,740,269
Nebraska	3,625,801	CB - Actual Amounts	543	2,763,128	115,316	\$2,878,444	\$6,504,245
Nevada	3,234,218	CB - Actual Amounts	264	1,258,675	155,576	\$1,414,251	\$4,648,469
New Hampshire	77,407	CB - Actual Amounts	33	92,883	50,200	\$143,083	\$220,490
New Jersey	1,461,374	CB - Actual Amounts	103	564,779	223,637	\$788,416	\$2,249,790
New Mexico	3,080,688	Children's Bureau	535	2,691,644	396,682	\$3,088,326	\$6,169,014
New York	16,922,496	Children's Bureau	1,436	10,068,172	263,102	\$10,331,274	\$27,253,770
North Carolina	6,197,165	Children's Bureau	685	3,289,673	429,227	\$3,718,900	\$9,916,065
North Dakota	1,834,223	Alternate Approved	197	1,246,143	168,477	\$1,414,620	\$3,248,843
Ohio	10,937,766	Alternate Approved	1,037	1,318,491	3,139,753	\$4,458,244	\$15,396,010
Oklahoma	12,412,585	CB - Actual Amounts	1,651	6,424,050	846,372	\$7,270,422	\$19,683,007
Oregon	6,069,446	CB - Actual Amounts	695	3,440,937	304,231	\$3,745,168	\$9,814,614
Pennsylvania	11,279,271	Alternate Approved	1,190	3,572,338	2,493,626	\$6,065,964	\$17,345,235
Puerto Rico	0	CB - Actual Amounts	45	86,791	4,764	\$91,555	\$91,555
Rhode Island	419,660	Children's Bureau	154	622,243	58,227	\$680,470	\$1,100,130
South Carolina	3,673,841	Children's Bureau	633	2,413,510	544,991	\$2,958,501	\$6,632,342
South Dakota	641,740	CB - Actual Amounts	95	348,418	28,390	\$376,808	\$1,018,548
Tennessee	15,366,272	CB - Actual Amounts	1,404	9,112,731	89,739	\$9,202,470	\$24,568,742
Texas	27,401,914	Alternate Approved	5,718	16,594,753	1,498,842	\$18,093,595	\$45,495,509
Utah	2,045,258	Alternate Approved	358	958,011	146,588	\$1,104,599	\$3,149,857
Vermont*	499,104	CB - Actual Amounts	70	383,181	8,521	\$391,702	\$890,806
Virgin Islands	0	CB - Actual Amounts	-	0	0	\$0	\$0
Virginia	15,921,952	Children's Bureau	982	7,358,280	904,012	\$8,262,292	\$24,184,244
Washington	2,515,924	CB - Actual Amounts	294	1,014,669	180,962	\$1,195,631	\$3,711,555
West Virginia	5,861,386	CB - Actual Amounts	503	2,774,535	165,190	\$2,939,725	\$8,801,111
Wisconsin	3,473,677	CB - Actual Amounts	284	2,068,961	206,140	\$2,275,101	\$5,748,778
Wyoming	1,265	CB - Actual Amounts	16	46,157	1,875	\$48,032	\$49,297
Eastern Band of Cherokee Indians	0	CB - Actual Amounts	-	0	0	\$0	\$0
Chickasaw Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Keweenaw Bay Indian Community	0	CB - Actual Amounts	-	0	0	\$0	\$0
Navajo Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Port Gamble S'Klallam Tribe	0	CB - Actual Amounts	-	0	0	\$0	\$0
Pascua Yaqui Tribe	0	CB - Actual Amounts	-	0	0	\$0	\$0
Salt River Pima Maricopa Indian Comm.	0	CB - Actual Amounts	-	0	0	\$0	\$0
Tolowa Dee-ni' Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
Penobscot Indian Nation	0	CB - Actual Amounts	-	0	0	\$0	\$0
<b>TOTALS</b>	<b>\$330,222,404</b>		<b>42,231</b>	<b>\$187,760,245</b>	<b>\$31,576,961</b>	<b>\$219,337,206</b>	<b>\$549,559,610</b>

\*- The Indiana and Vermont FFY 2018 CB-496 Part 4 reports have not been accepted by the Administration for Children and Families due to non-compliance with the savings expenditure standards as required by section 473(a)(8)(D)(i) of the Social Security Act (Act). Each state has been notified of the requirement to develop and submit a Program Improvement Plan (PIP) describing the steps it will take to meet the minimum expenditure requirements of the Act for future reporting periods.

**Federal Fiscal Year (FFY) 2018  
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: August 2019

Table 2 - FFY 2018 Expenditure of Calculated Adoption Savings

State/Tribe	Prior Reported FFYs - Total Cumulative Expenditure of Calculated Adoption Savings	Cumulative Reported Adoption Savings Available for Expenditure In FFY 2018	FFY 2018 - Expenditures on Post-Adoption or Post-Guardianship Services	FFY 2018 - Expenditures on Services for Children At-Risk of Foster Care	FFY 2018 - Expenditures on Other Title IV-B or Title IV-E Allowable Services	FFY 2018 - Total Expenditures of Calculated Adoption Savings - All Identified Services	Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2018	Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2018
Alabama	788,357	1,088,397	(115,823)	343,062	219,628	\$446,867	\$1,235,224	\$641,530
Alaska	2,161,181	1,560,991	492,990	1,013,494	0	\$1,506,484	\$3,667,665	\$54,507
Arizona	17,465,500	15,790,389	15,465,417	0	0	\$15,465,417	\$32,930,917	\$324,972
Arkansas	3,576,118	2,818,136	537,876	268,938	1,882,565	\$2,689,379	\$6,265,497	\$128,757
California	12,137,849	42,098,452	1,152,352	594,315	3,420,194	\$5,166,861	\$17,304,710	\$36,931,591
Colorado	42,968	1,518,593	33,981	0	0	\$33,981	\$76,949	\$1,484,612
Connecticut	2,401,448	7,208,621	350,276	657,667	469,934	\$1,477,877	\$3,879,325	\$5,730,744
Delaware	0	501,398	0	0	0	\$0	\$0	\$501,398
District of Columbia	0	447,557	0	0	0	\$0	\$0	\$447,557
Florida	15,511,962	26,620,823	15,534,807	11,086,016	0	\$26,620,823	\$42,132,785	\$0
Georgia	13,876,937	11,267,438	4,011,883	0	7,239,902	\$11,251,785	\$25,128,722	\$15,653
Hawaii	0	1,071,916	0	0	0	\$0	\$0	\$1,071,916
Idaho	0	1,049,371	0	0	0	\$0	\$0	\$1,049,371
Illinois	13,388,215	5,898,654	1,628,691	140,906	4,129,057	\$5,898,654	\$19,286,869	\$0
Indiana*	10,881,563	8,132,501	0	1,901,406	2,428,282	\$4,329,688	\$15,211,251	\$3,802,813
Iowa	410,000	6,398,308	0	0	1,300,000	\$1,300,000	\$1,710,000	\$5,098,308
Kansas	653,209	4,827,765	311,843	0	1,274,901	\$1,586,744	\$2,239,953	\$3,241,021
Kentucky	0	13,769,770	0	0	0	\$0	\$0	\$13,769,770
Louisiana	4,490,354	2,055,677	607,238	404,825	1,012,064	\$2,024,127	\$6,514,481	\$31,550
Maine	2,509,296	1,878,606	1,878,606	0	0	\$1,878,606	\$4,387,902	\$0
Maryland	1,442,126	3,302,234	0	87,747	412,253	\$500,000	\$1,942,126	\$2,802,234
Massachusetts	0	1,982,429	0	0	0	\$0	\$0	\$1,982,429
Michigan	14,722,520	12,084,602	4,854,941	0	4,791,126	\$9,646,067	\$24,368,587	\$2,438,535
Minnesota	985,625	3,228,421	810,356	0	0	\$810,356	\$1,795,981	\$2,418,065
Mississippi	0	250,795	0	0	0	\$0	\$0	\$250,795
Missouri	3,050,596	2,579,647	2,579,647	0	0	\$2,579,647	\$5,630,243	\$0
Montana	720,212	1,020,057	104,002	51,225	362,196	\$517,423	\$1,237,635	\$502,634
Nebraska	3,560,277	2,943,968	568,845	853,267	1,422,111	\$2,844,223	\$6,404,500	\$99,745
Nevada	3,231,314	1,417,155	280,952	84,286	571,270	\$936,508	\$4,167,822	\$480,647
New Hampshire	77,407	143,083	143,083	0	0	\$143,083	\$220,490	\$0
New Jersey	798,573	1,451,217	646,242	0	0	\$646,242	\$1,444,815	\$804,975
New Mexico	2,992,835	3,176,179	162,889	162,889	193,075	\$518,853	\$3,511,688	\$2,657,326
New York	1,566,953	25,686,817	5,498,554	0	0	\$5,498,554	\$7,065,507	\$20,188,263
North Carolina	0	9,916,065	0	0	0	\$0	\$0	\$9,916,065
North Dakota	591,728	2,657,115	319,968	0	333,792	\$653,760	\$1,245,488	\$2,003,355
Ohio	3,740,634	11,655,376	1,098,557	1,506,907	7,383,464	\$9,988,928	\$13,729,562	\$1,666,448
Oklahoma	6,700,409	12,982,598	0	0	0	\$0	\$6,700,409	\$12,982,598
Oregon	1,311,015	8,503,599	815,346	0	263,903	\$1,079,249	\$2,390,264	\$7,424,350
Pennsylvania	0	17,345,235	0	0	0	\$0	\$0	\$17,345,235
Puerto Rico	0	91,555	0	0	0	\$0	\$0	\$91,555

State/Tribe	Prior Reported FFYs - Total Cumulative Expenditure of Calculated Adoption Savings	Cumulative Reported Adoption Savings Available for Expenditure In FFY 2018	FFY 2018 - Expenditures on Post-Adoption or Post-Guardianship Services	FFY 2018 - Expenditures on Services for Children At-Risk of Foster Care	FFY 2018 - Expenditures on Other Title IV-B or Title IV-E Allowable Services	FFY 2018 - Total Expenditures of Calculated Adoption Savings - All Identified Services	Cumulative Total Expenditures of Calculated Adoption Savings Through FFY 2018	Cumulative Total Unexpended Balance (Total Savings less Total Expenditures) Through FFY 2018
Rhode Island	12,995	1,087,135	138,080	0	0	\$138,080	\$151,075	\$949,055
South Carolina	0	6,632,342	3,257,859	0	0	\$3,257,859	\$3,257,859	\$3,374,483
South Dakota	641,740	376,808	376,808	0	0	\$376,808	\$1,018,548	\$0
Tennessee	10,104,607	14,464,135	989,045	1,314,949	3,612,817	\$5,916,811	\$16,021,418	\$8,547,324
Texas	12,287,716	33,207,793	630,778	1,813,939	23,407,491	\$25,852,208	\$38,139,924	\$7,355,585
Utah	1,825,403	1,324,454	508,654	309,516	0	\$818,170	\$2,643,573	\$506,284
Vermont*	181,674	709,132	0	0	623,564	\$623,564	\$805,238	\$85,568
Virgin Islands	0	0	0	0	0	\$0	\$0	\$0
Virginia	5,540,529	18,643,715	2,528,699	0	5,900,297	\$8,428,996	\$13,969,525	\$10,214,719
Washington	646,994	3,064,561	22,448	0	0	\$22,448	\$669,442	\$3,042,113
West Virginia	0	8,801,111	0	0	0	\$0	\$0	\$8,801,111
Wisconsin	3,473,677	2,275,101	2,275,101	0	0	\$2,275,101	\$5,748,778	\$0
Wyoming	0	49,297	0	0	0	\$0	\$0	\$49,297
Eastern Band of Cherokee Indians	0	0	0	0	0	\$0	\$0	\$0
Chickasaw Nation	0	0	0	0	0	\$0	\$0	\$0
Keweenaw Bay Indian Community	0	0	0	0	0	\$0	\$0	\$0
Navajo Nation	0	0	0	0	0	\$0	\$0	\$0
Port Gamble S'Klallam Tribe	0	0	0	0	0	\$0	\$0	\$0
Pascua Yaqui Tribe	0	0	0	0	0	\$0	\$0	\$0
Salt River Pima Maricopa Indian Comm.	0	0	0	0	0	\$0	\$0	\$0
Tolowa Dee-ni Nation	0	0	0	0	0	\$0	\$0	\$0
Penobscot Indian Nation	0	0	0	0	0	\$0	\$0	\$0
<b>TOTALS</b>	<b>\$180,502,516</b>	<b>\$369,057,094</b>	<b>\$70,500,991</b>	<b>\$22,595,354</b>	<b>\$72,653,886</b>	<b>\$165,750,231</b>	<b>\$346,252,747</b>	<b>\$203,306,863</b>

\*- The Indiana and Vermont FFY 2018 CB-496 Part 4 reports have not been accepted by the Administration for Children and Families due to non-compliance with the savings expenditure standards as required by section 473(a)(8)(D)(i) of the Social Security Act (Act). Each state has been notified of the requirement to develop and submit a Program Improvement Plan (PIP) describing the steps it will take to meet the minimum expenditure requirements of the Act for future reporting periods.