

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

**Out-of-Home Care Data Elements – 45 CFR 1355.44**

Category	Element	Reponses Options	Section Citation
General information	Title IV-E agency	Name	1355.44(a)(1)
	Report date	Date	1355.44(a)(2)
	Local agency	Name	1355.44(a)(3)
	Child record number	Number	1355.44(a)(4)
Child information	Child's date of birth	Date	1355.44(b)(1)
	Child's gender	Male Female	1355.44(b)(2)(i)
	Child's sexual orientation	Straight or heterosexual Gay or lesbian Bisexual Don't know Something else Decline Not applicable	1355.44(b)(2)(ii)
	Reason to know a child is an "Indian child" as defined in the Indian Child Welfare Act		1355.44(b)(3)
	Inquired with the child's biological or adoptive mother	Yes No The biological or adoptive mother is deceased	1355.44(b)(3)(i)
	Inquired with the child's biological or adoptive father	Yes No The biological or adoptive father is deceased	1355.44(b)(3)(ii)
	Inquired with the child's Indian custodian.	Yes No Child does not have an Indian custodian	1355.44(b)(3)(iii)
	Inquired with the child's extended family.	Yes No	1355.44(b)(3)(iv)
	Inquired with the child.	Yes No	1355.44(b)(3)(v)
	Child is a member or eligible for membership in an Indian tribe.	Yes No unknown	1355.44(b)(3)(vi)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Domicile or residence of the child, the child's parent, or the child's Indian custodian is on a reservation or in an Alaska Native village.	Yes No Unknown	1355.44(b)(3)(vii)
	Application of ICWA	Yes No	1355.44(b)(4)
	The date that the state title IV-E agency first discovered information indicating the child is or may be an Indian child as defined in ICWA	Date	1355.44(b)(4)(i)
	All federally recognized Indian tribe(s) that may potentially be the Indian child's tribe(s)	Name(s)	1355.44(b)(4)(ii)
	Court determination that ICWA applies	Yes, ICWA applies No, ICWA does not apply No court determination	1355.44(b)(5)
	Date court determined that ICWA applies	Date	1355.44(b)(5)(i)
	Indian tribe that the court determined is the Indian child's tribe for ICWA purposes	Name	1355.44(b)(5)(ii)
	Notification		1355.44(b)(6)
	Whether the Indian child's parent or Indian custodian was sent legal notice more than 10 days prior to the first child custody proceeding in accordance with 25 U.S.C. 1912(a)	Yes No	1355.44(b)(6)(i)
	Whether the Indian child's tribe(s) was sent legal notice more than 10 days prior to the first child custody proceedings	Yes No	1355.44(b)(6)(ii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	in accordance with 25 U.S.C. 1912(a)  The Indian tribe(s) that were sent notice for a child custody proceeding as required in ICWA at 25 U.S.C. 1912(a)	Name(s)	1355.44(b)(6)(iii)
	Request to transfer to tribal court	Yes No	1355.44(b)(7)
	Denial of transfer	Yes No	1355.44(b)(8)
	Either of the parents objected to transferring the case to tribal court	Applies Does not apply	1355.44(b)(8)(i)
	The tribal court declined the transfer to the tribal court	Applies Does not apply	1355.44(b)(8)(ii)
	The state court determined good cause exists for denying the transfer to tribal court	Applies Does not apply	1355.44(b)(8)(iii)
	Child's race		1355.44(b)(9)
	Race—American Indian or Alaska Native	Yes No	1355.44(b)(9)(i)
	Race—Asian	Yes No	1355.44(b)(9)(ii)
	Race—Black or African America	Yes No	1355.44(b)(9)(iii)
	Race—Native Hawaiian or Other Pacific Islander	Yes No	1355.44(b)(9)(iv)
	Race—White	Yes No	1355.44(b)(9)(v)
	Race--Unknown	Yes No	1355.44(b)(9)(vi)
	Race—Abandoned	Yes No	1355.44(b)(9)(vii)
	Race—Declined	Yes No	1355.44(b)(9)(viii)
	Child's Hispanic or Latino ethnicity	Yes No Unknown Abandoned Declined	1355.44(b)(10)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Health assessment	Yes No	1355.44(b)(11)(i)
	Date of health assessment	Date	1355.44(b)(11)(ii)
	Timely health assessment	Yes No	1355.44(b)(12)
	Health, behavioral or mental health conditions	Child has a diagnosed condition No exam or assessment conducted Exam or assessment conducted and none of the conditions apply Exam or assessment conducted but results not received	1355.44(b)(13)
	Intellectual disability	Existing condition Previous condition Does not apply	1355.44(b)(13)(i)
	Autism spectrum disorder	Existing condition Previous condition Does not apply	1355.44(b)(13)(ii)
	Visual impairment and blindness	Existing condition Previous condition Does not apply	1355.44(b)(13)(iii)
	Hearing impairment and deafness	Existing condition Previous condition Does not apply	1355.44(b)(13)(iv)
	Orthopedic impairment or other physical condition	Existing condition Previous condition Does not apply	1355.44(b)(13)(v)
	Mental/emotional disorders	Existing condition Previous condition Does not apply	1355.44(b)(13)(vi)
	Attention deficit hyperactivity disorder	Existing condition Previous condition Does not apply	1355.44(b)(13)(vii)
	Serious mental disorders	Existing condition Previous condition Does not apply	1355.44(b)(13)(viii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Developmental delay	Existing condition Previous condition Does not apply	1355.44(b)(13)(ix)
	Developmental disability	Existing condition Previous condition Does not apply	1355.44(b)(13)(x)
	Other diagnosed condition	Existing condition Previous condition Does not apply	1355.44(b)(13)(xi)
	School enrollment	Elementary Secondary Post-secondary education or training College Not school-age Not enrolled	1355.44(b)(14)
	Educational level	Not school-age Kindergarten 1st grade 2nd grade 3rd grade 4th grade 5th grade 6th grade 7th grade 8th grade 9th grade 10th grade 11th grade 12th grade GED Post-secondary education or training College	1355.44(b)(15)
	Educational stability	Yes No	1355.44(b)(16)
	Proximity	Applies Does not apply	1355.44(b)(16)(i)
	District/zoning rules	Applies Does not apply	1355.44(b)(16)(ii)
	Residential facility	Applies Does not apply	1355.44(b)(16)(iii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Services/programs	Applies Does not apply	1355.44(b)(16)(iv)
	Child request	Applies Does not apply	1355.44(b)(16)(v)
	Parent/Legal guardian request	Applies Does not apply	1355.44(b)(16)(vi)
	Other	Applies Does not apply	1355.44(b)(16)(vii)
	Pregnant or parenting		1355.44(b)(17)
	Child is pregnant as of end of report period	Yes No	1355.44(b)(17)(i)
	Child has ever fathered or bore a child	Yes No	1355.44(b)(17)(ii)
	Child and his/her child(re) are placed together at any point during the report period	Yes No Not applicable	1355.44(b)(17)(iii)
	Special education	Yes No	1355.44(b)(18)
	Prior adoption	Yes No abandoned	1355.44(b)(19)
	Prior adoption date	Date	1355.44(b)(19)(i)
	Prior adoption intercountry	Yes No	1355.44(b)(19)(ii)
	Prior guardianship	Yes No Abandoned	1355.44(b)(20)(i)
	Prior guardianship date	Date	1355.44(b)(20)(ii)
	Child financial and medical assistance	Child has received support/assistance No support/assistance received	1355.44(b)(21)
	SSI or Social Security benefits	Applies Does not apply	1355.44(b)(21)(i)
	Title XIX Medicaid	Applies Does not apply	1355.44(b)(21)(ii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Title XXI SCHIP	Applies Does not apply	1355.44(b)(21)(iii)
	State/Tribal adoption assistance	Applies Does not apply	1355.44(b)(21)(iv)
	State/Tribal foster care	Applies Does not apply	1355.44(b)(21)(v)
	Child support	Applies Does not apply	1355.44(b)(21)(vi)
	Title IV-E adoption subsidy	Applies Does not apply	1355.44(b)(21)(vii)
	Title IV-E guardianship assistance	Applies Does not apply	1355.44(b)(21)(viii)
	Title IV-A TANF	Applies Does not apply	1355.44(b)(21)(ix)
	Title IV-B	Applies Does not apply	1355.44(b)(21)(x)
	SSBG	Applies Does not apply	1355.44(b)(21)(xi)
	Chafee Foster Care Independence Program	Applies Does not apply	1355.44(b)(xii)
	Other	Applies Does not apply	1355.44(b)(xiii)
	Title IV-E foster care during report period	Yes No	1355.44(b)(22)
	Total number of siblings	Number	1355.44(b)(23)
	Siblings in foster care	Number	1355.44(b)(24)
	Siblings in living arrangement	Number	1355.44(b)(25)
Parent or legal guardian information	Year of birth of first parent or legal guardian	Date	1355.44(c)(1)
	Year of birth of second parent or legal guardian	Date	1355.44(c)(2)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Tribal membership mother	Yes No Unknown	1355.44(c)(3)
	Tribal membership father	Yes No Unknown	1355.44(c)(4)
	Termination/modification of parental rights	Voluntary Involuntary Not applicable	1355.44(c)(5)
	Termination/modification of parental rights petition	Date	1355.44(c)(5)(i)
	Termination/modification of parental rights	Date	1355.44(c)(5)(ii)
	Involuntary termination/modification of parental rights under ICWA		1355.44(c)(6)
	State court found beyond reasonable doubt that continued custody of the Indian child by the parent or Indian custodian is likely to result in serious emotional or physical damage to the Indian child in accordance with 25 U.S.C. 1912(f)	Yes No	1355.44(c)(6)(i)
	Court decision to involuntary terminate parental rights included the testimony of one or more qualified expert witnesses in accordance with 25 U.S.C. 1912(f)	Yes No	1355.44(c)(6)(ii)
	Prior to terminating parental rights, the court concluded that active efforts have been made to prevent the breakup of the Indian family and that those efforts were unsuccessful in	Yes No	1355.44(c)(6)(iii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	accordance with 25 U.S.C. 1912(d)		
	Voluntary termination/modification of parental rights under ICWA	Yes No	1355.44(c)(7)
Removal information	Date of child's removal	Date	1355.44(d)(1)
	Removal transaction date	Date	1355.44(d)(2)
	Removals under ICWA		1355.44(d)(3)
	Court order for foster care placement was made as a result of clear and convincing evidence that continued custody of the Indian child by the parent or Indian custodian was likely to result in serious emotional or physical damage to the Indian child in accordance with 25 U.S.C. 1912(e) and 25 CFR 121(a)	Yes No	1355.44(d)(3)(i)
	Evidence presented for foster care placement as indicated in paragraph (d)(3)(i) included the testimony of a qualified expert witness in accordance with 25 U.S.C. 1912(e) and 25 CFR 121(a)	Yes No	1355.44(d)(3)(ii)
	Evidence presented for foster care placement as indicated in paragraph (d)(3)(i) indicates that prior to each removal reported in paragraph (d)(1) that active efforts have been made to prevent the breakup of the Indian family and that those efforts were unsuccessful in	Yes No	1355.44(d)(3)(iii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	accordance with 25 U.S.C. 1912(d)		
	Environment at removal	Parent household Relative household Legal guardian household Relative legal guardian household Justice facility Medical/mental health facility Other	1355.44(d)(4)
	Authority for placement and care responsibility	Court ordered Voluntary placement agreement Not yet determined	1355.44(d)(5)
	Child and family circumstances at removal		1355.44(d)(6)
	Runaway	Applies Does not apply	1355.44(d)(6)(i)
	Whereabouts unknown	Applies Does not apply	1355.44(d)(6)(ii)
	Physical abuse	Applies Does not apply	1355.44(d)(6)(iii)
	Sexual abuse	Applies Does not apply	1355.44(d)(6)(iv)
	Psychological or emotional abuse	Applies Does not apply	1355.44(d)(6)(v)
	Neglect	Applies Does not apply	1355.44(d)(6)(vi)
	Medical neglect	Applies Does not apply	1355.44(d)(6)(vii)
	Domestic violence	Applies Does not apply	1355.44(d)(6)(viii)
	Abandonment	Applies Does not apply	1355.44(d)(6)(ix)
	Failure to return	Applies Does not apply	1355.44(d)(6)(x)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Caretaker's alcohol use	Applies Does not apply	1355.44(d)(6)(xi)
	Caretaker's drug use	Applies Does not apply	1355.44(d)(6)(xii)
	Child alcohol use	Applies Does not apply	1355.44(d)(6)(xiii)
	Child drug use	Applies Does not apply	1355.44(d)(6)(xiv)
	Prenatal alcohol exposure	Applies Does not apply	1355.44(d)(6)(xv)
	Prenatal drug exposure	Applies Does not apply	1355.44(d)(6)(xvi)
	Diagnosed condition	Applies Does not apply	1355.44(d)(6)(xvii)
	Inadequate access to mental health services	Applies Does not apply	1355.44(d)(6)(xviii)
	Inadequate access to medical services	Applies Does not apply	1355.44(d)(6)(xix)
	Child behavior problem	Applies Does not apply	1355.44(d)(6)(xx)
	Death of caretaker	Applies Does not apply	1355.44(d)(6)(xxi)
	Incarceration of caretaker	Applies Does not apply	1355.44(d)(6)(xxii)
	Caretaker's significant impairment—physical/emotional	Applies Does not apply	1355.44(d)(6)(xxiii)
	Caretaker's significant impairment—cognitive	Applies Does not apply	1355.44(d)(6)(xxiv)
	Inadequate housing	Applies Does not apply	1355.44(d)(6)(xxv)
	Voluntary relinquishment for adoption	Applies Does not apply	1355.44(d)(6)(xxvi)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Child requested placement	Applies Does not apply	1355.44(d)(6)(xxvii)
	Sex trafficking	Applies Does not apply	1355.44(d)(6)(xxviii)
	Parental immigration detainment or deportation	Applies Does not apply	1355.44(d)(6)(xxix)
	Family conflict related to child's sexual orientation, gender identity, or gender expression	Applies Does not apply	1355.44(d)(6)(xxx)
	Educational neglect	Applies Does not apply	1355.44(d)(6)(xxxii)
	Public agency title IV-E agreement	Applies Does not apply	1355.44(d)(6)(xxxiii)
	Tribal title IV-E agreement	Applies Does not apply	1355.44(d)(6)(xxxiv)
	Homelessness	Applies Does not apply	1355.44(d)(6)(xxxv)
	Victim of sex trafficking prior to entering foster care	Yes No	1355.44(d)(7)
	Report to law enforcement	Yes No	1355.44(d)(7)(i)
	Date	Date	1355.44(d)(7)(ii)
	Victim of sex trafficking while in foster care	Yes No	1355.44(d)(8)
	Report to law enforcement	Yes No	1355.44(d)(8)(i)
	Date	Date	1355.44(d)(8)(ii)
Living arrangement and provider information	Date of living arrangement	Date	1355.44(e)(1)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Foster family home	Yes No	1355.44(e)(2)
	Foster family home type		1355.44(e)(3)
	Licensed home	Applies Does not apply	1355.44(e)(3)(i)
	Therapeutic foster family home	Applies Does not apply	1355.44(e)(3)(ii)
	Shelter care foster family home	Applies Does not apply	1355.44(e)(3)(iii)
	Relative foster family home	Applies Does not apply	1355.44(e)(3)(iv)
	Pre-adoptive home	Applies Does not apply	1355.44(e)(3)(v)
	Kin foster family home	Applies Does not apply	1355.44(e)(3)(vi)
	Other living arrangement type	Group home-family operated Group home-staff operated Group home-shelter care Residential treatment center Child care institution Child care institution-shelter care Supervised independent living Juvenile justice facility Medical or rehabilitative facility Psychiatric hospital Runaway Whereabouts unknown Placed at home	1355.44(e)(4)
	Private agency living arrangement	Private agency involvement No private agency involvement	1355.44(e)(5)
	Location of living arrangement	Out-of-state or out-of-tribal service area	1355.44(e)(6)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		In-state or in-tribal service area Out-of-country Runaway or whereabouts Unknown	
	Jurisdiction or country where child is living	Name	1355.44(e)(7)
	Available ICWA foster care and pre-adoptive placement preferences		1355.44(e)(8)
	A member of the Indian's extended family	Yes No	1355.44(e)(8)(i)
	A foster home licensed, approved, or specified by the Indian child's tribe	Yes No	1355.44(e)(8)(ii)
	An Indian foster home licensed or approved by an authorized non-Indian licensing authority	Yes No	1355.44(e)(8)(iii)
	An institution for children approved by an Indian tribe or operated by an Indian organization which has a program suitable to meet the Indian child's needs	Yes No	1355.44(e)(8)(iv)
	A placement that complies with the order of preference for foster care or pre-adoptive placements established by an Indian child's tribe, in accordance with 25 U.S.C. 1915(c)	Yes No	1355.44(e)(8)(v)
	Foster care and pre-adoptive placements preferences under ICWA	A member of the Indian child's extended family A foster home licensed, approved, or specified by the Indian child's tribe An Indian foster home licensed or approved by an	1355.44(e)(9)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		authorized non-Indian licensing authority An institution for children approved by an Indian tribe or operated by an Indian organization which has a program suitable to meet the Indian child's needs A placement that complies with the order of preference for foster care or pre-adoptive placements established by an Indian child's tribe, in accordance with 25 U.S.C. 1915(c) Placement does not meet ICWA placement preferences	
	Good cause under ICWA	Yes No	1355.44(e)(10)
	Basis for good cause  Request of one or both of the Indian child's parents  Request of the Indian child  Unavailability of suitable placement after a determination by the court that a diligent search was conducted to find suitable placements meeting the placement preferences in ICWA art 25 U.S.C. 1915 but none has been located  Extraordinary physical, mental or emotional needs of the Indian child, such as specialized treatment services that may be unavailable in the	Yes No  Yes No  Yes No  Yes No	1355.44(e)(11)  1355.44(e)(11)(i)  1355.44(e)(11)(ii)  1355.44(e)(11)(iii)  1355.44(e)(11)(iv)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	community where families who meet the placement preferences live  Presence of a sibling attachment that can be maintained only through a particular placement	Yes No	1355.44(e)(11)(v)
	Marital status of the foster parents	Married couple Unmarried couple Separated Single adult	1355.44(e)(12)
	Child's relationships to the foster parents	Paternal grandparent(s) Maternal grandparent(s) Other paternal relative(s) Other maternal relative(s) Sibling(s) Non-relative(s) Kin	1355.44(e)(13)
	Year of birth for first foster parent	Date	1355.44(e)(14)
	First foster parent tribal membership	Yes No Unknown	1355.44(e)(15)
	Race of first foster parent  Race—American Indian or Alaska Native Race—Asian  Race—Black or African America Race—Native Hawaiian or Other Pacific Islander Race—White  Race--Unknown  Race—Declined	Yes No Yes No Yes No Yes No Yes No Yes No Yes No	1355.44(e)(16)  1355.44(e)(16)(i)  1355.44(e)(16)(ii)  1355.44(e)(16)(iii)  1355.44(e)(16)(iv)  1355.44(e)(16)(v)  1355.44(e)(16)(vi)  1355.44(e)(16)(vii)
	Hispanic or Latino ethnicity of first foster parent	Yes No Unknown	1355.44(e)(17)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		Declined	
	Gender of first foster parent	Female Male	1355.44(e)(18)
	First foster parent sexual orientation	Straight or heterosexual Gay or lesbian Bisexual Don't know Something else Declined	1355.44(e)(19)
	Yes of birth for second foster parent	Date	1355.44(e)(20)
	Second foster parent tribal membership	Yes No Unknown	1355.44(e)(21)
	Race of second foster parent		1355.44(e)(22)
	Race—American Indian or Alaska Native	Yes No	1355.44(e)(22)(i)
	Race—Asian	Yes No	1355.44(e)(22)(ii)
	Race—Black or African America	Yes No	1355.44(e)(22)(iii)
	Race—Native Hawaiian or Other Pacific Islander	Yes No	1355.44(e)(22)(iv)
	Race—White	Yes No	1355.44(e)(22)(v)
	Race--Unknown	Yes No	1355.44(e)(22)(vi)
	Race—Declined	Yes No	1355.44(e)(22)(vii)
	Hispanic or Latino ethnicity of second foster parent	Yes No Unknown Declined	1355.44(e)(23)
	Gender of second foster parent	Female Male	1355.44(e)(24)
	Second foster parent sexual orientation	Straight or heterosexual Gay or lesbian	1355.44(e)(25)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		Bisexual Don't know Something else Declined	
Permanency planning	Permanency plan	Reunify with parent(s) or legal guardian(s) Live with other relatives Adoption Guardianship Planned permanent living arrangement Permanency plan not established	1355.44(f)(1)
	Date of permanency plan	Date	1355.44(f)(2)
	Date of periodic review	Date	1355.44(f)(3)
	Date of permanency hearing	Date	1355.44(f)(4)
	Juvenile justice	Yes No	1355.44(f)(5)
	Caseworker visit dates	Date	1355.44(f)(6)
	Caseworker visit location	Child's residence Other location	1355.44(f)(7)
	Transition plan	Yes No Not applicable	1355.44(f)(8)
	Date of transition plan	Date	1355.44(f)(9)
	Active efforts		1355.44(f)(10)
	Assist the parent(s) or Indian custodian through the steps of a case plan and with developing the resources necessary to satisfy the case plan	Applies Does not apply	1355.44(f)(10)(i)
	Conduct a comprehensive assessment of the circumstances of the Indian child's family, with a focus on safe reunification as the most desirable goal	Applies Does not apply	1355.44(f)(10)(ii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Identify appropriate services and to help the parent overcome barriers, including actively assisting the parents in obtaining such services	Applies Does not apply	1355.44(f)(10)(iii)
	Identify, notify and invite representatives of the Indian child's tribe to participate in providing support and services to the Indian child's family and in family team meetings, permanency planning and resolution of placement issues	Applies Does not apply	1355.44(f)(10)(iv)
	Conduct or cause to be conducted a diligent search for the Indian child's expended family members, and contacting and consulting with extended family members to provide family structure and support for the Indian child and the Indian child's parents	Applies Does not apply	1355.44(f)(10)(v)
	Offer and employ all available and culturally appropriate family preservation strategies and facilitate the use of remedial and rehabilitative services provide by the child's tribe	Applies Does not apply	1355.44(f)(10)(vi)
	Take steps to keep siblings together whenever possible	Applies Does not apply	1355.44(f)(10)(vii)
	Support regular visits with parents or Indian custodians in the most natural setting possible as	Applies Does not apply	1355.44(f)(10)(viii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	well as trial home visits of the Indian child during any period of removal, consistent with the need to ensure the health, safety, and welfare of the child		
	Identify community resources including housing, financial, transportation, mental health, substance use and peer support services and actively assisting the Indian child's parents or when appropriate, the child's family in utilizing and accessing those resources	Applies Does not apply	1355.44(f)(10)(ix)
	Monitor progress and participation in services	Applies Does not apply	1355.44(f)(10)(x)
	Consider alternative ways to address the needs of the Indian child's parents and, where appropriate, the family, if the optimum services do not exist or are not available	Applies Does not apply	1355.44(f)(10)(xi)
	Provide post-reunification services and monitoring	Applies Does not apply	1355.44(f)(10)(xii)
	Other active efforts tailored to the facts and circumstances of the case	Applies Does not apply	1355.44(f)(10)(xiii)
General exit information	Date of exit	Date	1355.44(g)(1)
	Exit transaction date	Date	1355.44(g)(2)
	Exit reason	Not applicable Reunify with parent(s)/legal guardian(s) Live with other relatives Adoption	1355.44(g)(3)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		Emancipation Guardianship Runaway or whereabouts unknown Death of child Transfer to another agency	
	Transfer to another agency	State title IV-E agency Tribal title IV-E agency Indian tribe or tribal agency (non IV-E) Juvenile justice agency Mental health agency Other public agency Private agency	1355.44(g)(4)
Exit to adoption and guardianship information	Marital status of adoptive parent(s) or guardian(s)	Married couple Married but individually adopting or obtaining legal guardianship Separated Unmarried couple Single adult	1355.44(h)(1)
	Child's relationship to the adoptive parent(s) or guardian(s)		1355.44(h)(2)
	Paternal grandparent(s)	Applies Does not apply	1355.44(h)(2)(i)
	Maternal grandparent(s)	Applies Does not apply	1355.44(h)(2)(ii)
	Other paternal relative(s)	Applies Does not apply	1355.44(h)(2)(iii)
	Other maternal relative(s)	Applies Does not apply	1355.44(h)(2)(iv)
	Sibling(s)	Applies Does not apply	1355.44(h)(2)(v)
	Kin	Applies Does not apply	1355.44(h)(2)(vi)
	Non-relative(s)	Applies Does not apply	1355.44(h)(2)(vii)
	Foster parent(s)	Applies Does not apply	1355.44(h)(2)(viii)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Date of birth of first adoptive parent or guardian	Date	1355.44(h)(3)
	First adoptive parent or guardian tribal membership	Yes No Unknown	1355.44(h)(4)
	Race of first adoptive parent or guardian		1355.44(h)(5)
	Race—American Indian or Alaska Native	Yes No	1355.44(h)(5)(i)
	Race—Asian	Yes No	1355.44(h)(5)(ii)
	Race—Black or African America	Yes No	1355.44(h)(5)(iii)
	Race—Native Hawaiian or Other Pacific Islander	Yes No	1355.44(h)(5)(iv)
	Race—White	Yes No	1355.44(h)(5)(v)
	Race--Unknown	Yes No	1355.44(h)(5)(vi)
	Race—Declined	Yes No	1355.44(h)(5)(vii)
	Hispanic or Latino ethnicity of first adoptive parent or guardian	Yes No Unknown Declined	1355.44(h)(6)
	Gender of first adoptive parent or guardian	Female Male	1355.44(h)(7)
	First adoptive parent or legal guardian sexual orientation	Straight or Heterosexual Gay or lesbian Bisexual Don't know Something else Declined	1355.44(h)(8)
	Date of birth of second adoptive parent, guardian or other member of the couple	Date	1355.44(h)(9)
	Second adoptive parent, guardian, or other member of the couple tribal membership	Yes No Unknown	1355.44(h)(10)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
	Race of second adoptive parent, guardian, or other member of the couple		1355.44(h)(11)
	Race—American Indian or Alaska Native	Yes No	1355.44(h)(11)(i)
	Race—Asian	Yes No	1355.44(h)(11)(ii)
	Race—Black or African America	Yes No	1355.44(h)(11)(iii)
	Race—Native Hawaiian or Other Pacific Islander	Yes No	1355.44(h)(11)(iv)
	Race—White	Yes No	1355.44(h)(11)(v)
	Race--Unknown	Yes No	1355.44(h)(11)(vi)
	Race—Declined	Yes No	1355.44(h)(11)(vii)
	Hispanic or Latino ethnicity of second adoptive parent, guardian, or other member of the couple	Yes No Unknown Declined	1355.44(h)(12)
	Gender of second adoptive parent, guardian, or other member of the couple	Female Male	1355.44(h)(13)
	Second adoptive parent, guardian, or other member of the couple sexual orientation	Straight or heterosexual Gay or lesbian Bisexual Don't know Something else Declined	1355.44(h)(14)
	Inter/Intrajurisdictional adoption or guardianship	Interjurisdictional adoption or guardianship Intercountry adoption or guardianship Intrajurisdictional adoption or guardianship	1355.44(h)(15)
	Interjurisdictional adoption or guardianship jurisdiction	Name	1355.44(h)(16)
	Adoption or guardianship placing agency	Title IV-E agency Private agency under agreement	1355.44(h)(17)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		Indian tribe under contract/agreement	
	Assistance agreement type	Title IV-E adoption assistance agreement State/tribal adoption assistance agreement Adoption-Title IV-E agreement non-recurring expenses only Adoption-Title IV-E agreement Medicaid only Title IV-E guardianship assistance agreement State/tribal guardianship assistance agreement No agreement	1355.44(h)(18)
	Siblings in adoptive or guardianship home	Number	1355.44(h)(19)
	Available ICWA adoptive placements		1355.44(h)(20)
	A member of the Indian child's extended family	Yes No	1355.44(h)(20)(i)
	Other members of the Indian child's tribe	Yes No	1355.44(h)(20)(ii)
	Other Indian families	Yes No	1355.44(h)(20)(iii)
	A placement that complies with the order of preference for foster care or pre-adoptive placements established by an Indian child's tribe, in accordance with 25 U.S.C. 1915(c)	Yes No	1355.44(h)(20)(iv)
	Adoption placement preferences under ICWA	A member of the Indian child's extended family Other members of the Indian child's tribe Other Indian families A placement that complies with the order of preference for adoptive placements established by	1355.44(h)(21)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

Category	Element	Reponses Options	Section Citation
		an Indian child's tribe, in accordance with 25 U.S.C. 1915(c) Placement does not meet ICWA placement preferences	
	Good cause under ICWA	Yes No	1355.44(h)(22)
	Basis for good cause		1355.44(h)(23)
	Request of one or both of the child's parents	Yes No	1355.44(h)(23)(i)
	Request of the Indian child	Yes No	1355.44(h)(23)(ii)
	The unavailability of a suitable placement after a determination by the court that a diligent search was conducted to find suitable placements meeting the placement preferences in ICWA at 25 U.S.C. 1915 but none has been located	Yes No	1355.44(h)(23)(iii)
	The extraordinary physical, mental, or emotional needs of the Indian child, such as specialized treatment services that may be unavailable in the community where families who meet the placement preferences live	Yes No	1355.44(h)(23)(iv)
	The presence of a sibling attachment that can be maintained only through a particular placement	Yes No	1355.44(h)(23)(v)

**List Data Elements (45 CFR 1355.44-.45)**  
**As Published in the Final Rule Issued on December 14, 2016 ([81 FR 90524](#))**

**Adoption and Guardianship Assistance Data Elements – 45 CFR 1355.45**

Data Element	Reponses Options	Section Citation
Title IV-E agency	Name	1355.45(a)(1)
Report date	Date	1355.45(a)(2)
Child record number	Number	1355.45(a)(3)
Child's date of birth	Date	1355.45(b)(1)
Child's gender	Male Female	1355.45(b)(2)
Child's race		1355.45(b)(3)
Race—American Indian or Alaska Native	Yes No	1355.45(b)(3)(i)
Race—Asian	Yes No	1355.45(b)(3)(ii)
Race—Black or African America	Yes No	1355.45(b)(3)(iii)
Race—Native Hawaiian or Other Pacific Islander	Yes No	1355.45(b)(3)(iv)
Race—White	Yes No	1355.45(b)(3)(v)
Race--Unknown	Yes No	1355.45(b)(3)(vi)
Race—Abandoned	Yes No	1355.45(b)(3)(vii)
Race—Declined	Yes No	1355.45(b)(3)(viii)
Hispanic or Latino Ethnicity	Yes No Unknown Abandoned Declined	1355.45(b)(4)
Assistance agreement type	Title IV-E adoption assistance agreement Title IV-E guardianship assistance agreement	1355.45(c)(1)
Subsidy amount	Number	1355.45(c)(2)
Adoption finalization or guardianship legalization date	Date	1355.45(d)
Agreement termination date	Date	1355.45(e)