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**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children, Youth and Families**

1. Log No: ACYF-IM-85-15

2. Issuance Date: 5/1/85

3. Originating Office: Formula Grants Branch, MSD

4. Key Words: FY85 IV-B Funds; Allotments and Reallotments; Transfers from IV-E-FC to IV-B

INFORMATION MEMORANDUM

TO: STATE AGENCIES ADMINISTERING OR SUPERVISING THE ADMINISTRATION OF TITLE IV-B OF THE SOCIAL SECURITY ACT.

SUBJECT: FY 1985 ALLOTMENTS FOR TITLE IV-B AND PROCEDURES FOR APPLYING FOR: (1) A STATES'S SHARE OF FUNDS AVAILABLE UNDER TITLE IV-B IN FY 1985; (2) REALLOTTED IV-B FUNDS; AND (3) FUNDS TO BE TRANSFERRED FROM TITLE IV-E FOSTER CARE.

LEGAL AND RELATED REFERENCES: SECTIONS 421, 422, 423, 427, 474(c) and 475 OF THE SOCIAL SECURITY ACT AND 45 CFR 1355.30, 1356.65, 1356.70, 1357.30 AND 1357.40.

CONTENT: This transmittal established the procedures for States to follow in order to apply for:

1. Title IV-B funds available to a State from its share of FY 1985 funds;
2. Title IV-B FY 1985 funds that will become available for reallotment to other States; and
3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

The procedures that a State must follow in order to receive title IV-B funds for FY 1985 are the same as those that were in effect in FY 1984. They are again detailed below, with updated amounts of funds.

ACTION REQUIRED:

Date and Place to send Requests

In order to be eligible to receive any funds to be awarded under title IV-B (funds allotted or reallotted under title IV-B or transferred to title IV-B) during FY 1985, States must send requests to ACYF as detailed under (1), (2) and (3) below. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked registered or certified mail no

later than August 10. There will be no exceptions, since all State requests must be taken into account in determining the amount of awards. The original and one copy of any requests should be sent to:

Formula Grants Branch
Management Support Division
ACYF
P.O. Box 1182
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate ACYF Regional Program Director.

1. Funds available to a State from its share of FY 1985 funds.

Under the appropriation for FY 1985, Congress has made available a total of \$200M for title IV-B (Child Welfare Services). The allotments for each State at the levels of \$141M and \$200M are listed in Enclosure 1.

States are advised that, under section 428 of the Social Security Act (the Act) and 45 CFR 1357.40, direct grants are made to eligible Indian Tribal Organizations from the allotment of the State in which the ITO or portion of it is located.

In order to receive its share of \$141M, a State must meet the general requirements of the title IV-B Program, including jointly planning its child welfare services with the Administration for Children, Youth and Families (ACYF) and submitting, properly completed, the Form CWS-101, including both the Annual Summary of Child Welfare Services and the Annual Budget Request.

In order to receive its share of the additional funds up to \$200M, a State must, in addition to the requirements stated above:

- a. Certify that it is meeting the requirements of section 427 of the Act. (States that have certified previously that they are eligible need not recertify unless they have withdrawn their certification and have not recertified.);
 - b. Be meeting and continue to meet the requirements of section 427 of the Act;
 - c. Submit to ACYF a revised Annual Budget Request incorporating the additional funds requested up to the State's share of \$200M or whatever portion of its share over \$141M a State can obligate during FY 1985;
 - d. Jointly plan with ACYF Regional staff the use of those funds applied for; and
 - e. Submit to ACYF an Annual Summary of Child Welfare Services incorporating those additional services to be provided.
2. Title IV-B FY 1985 funds that will become available for reallocation to other States.

Some States may not use all title IV-B funds allotted to them for FY 1985, either because they do not meet the requirements of section 427 of the Act or because they cannot obligate all of the funds during FY 1985. (Note: Under 45 CFR 74.71, obligations for salaries must be used for services received during the fiscal year in which we award them, unless State purchasing laws permit otherwise or the services are provided under a contract entered into during the fiscal year.)

In order to apply for reallocated FY 1985 title IV-B funds, a State must meet all the same conditions listed under 1(a) through (e), above.

Failure of a State to apply for its share of funds up to \$141M or \$200M by the required date will be considered equivalent to certification that the funds will not be required by the State during FY 1985, in accordance with 45 CFR 1357.30(g)(2). These funds will then be available for reallocation to other States.

3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

Under 45 CFR 1356.70, a State may transfer funds not needed for foster care under title IV-E-Foster Care to title IV-B for child welfare services. In FY 1985, as was the case in FY 1984, there are no mandatory allotment limitations on States for title IV-E-Foster Care. However, for purposes of possible transfer, hypothetical allotments have been calculated on the same basis as if they were mandatory (Enclosure 2). The Act mandates that a State's allotment limitation be the higher amount of the hypothetical foster care allotment limitation and indicates only the paragraph ((A) or (B)) which resulted in the greater amount.

In order to apply for the transfer, a State must:

- a. Submit a Form IV-E-1 (Quarterly Estimate of Expenditures) for the fourth quarter, with all sections applicable to foster care completed;
- b. Submit Form IV-E-2's (Quarterly Statements of Expenditures) for the quarters ended December 31, 1984, March 31, 1985 and June 30, 1985;
- c. Meet the requirements of 1(a), (b), and (d), above, regarding section 427 and joint planning, incorporating and additional services to be provided;
- d. Submit a revised Annual Summary of Child Welfare Services incorporating the additional services to be provided; and
- e. Submit a separate CWS-101 Annual Budget Request requesting the funds to be transferred.
- f. Transfer funds are further limited in two ways:
 - i. Under 45 CFR 1356.70(a)(3), the total funds each State may transfer to title IV-B may not, when added to the funds received under title IV-B directly or by reallocation ((1) and (2) above), exceed the amount the State would have been allotted under title IV-B if \$266M had been appropriated and allotted to States (Enclosure 3); and
 - ii. Funds are limited to the amount made available to the Department by the Congress under the appropriation for FY 1985.

Summary

Only one section 427 certification need be filed for all three types of funds: (1) those directly allotted under title IV-B, (2) those reallocated from funds not used by other States under title IV-B and (3) those transferred from title IV-E-Foster Care. One CWS-101 may be filed for the directly allotted and reallocated funds ((1) and (2)). A Separate CWS-101 must be filed for transfer funds (3). However, in all cases, the amounts requested for the quarter, the year and the total expenditures should be cumulative.

States which have not yet requested the full amount of their allotment and wish to do so are strongly encouraged to send their revised CWS-101's requesting the increased amounts under their allotments as soon as possible. Early receipt of such requests, including receipt by the regional office, would enable all States to receive all funds directly allotted to them at an early date, possibly as a part of their fourth quarter award. States may request a share of reallocated funds on the same CWS-101. Transfer requests must necessarily await the filing of claims for IV-E-Foster Care expenditures for the third quarter of this fiscal year, ended June 30, 1985.

To reiterate: In order to apply for any of the additional funds discussed above, a State must send to ACYF, as detailed above, the necessary reports. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked registered or certified mail no later than August 10. There will be no exceptions, since all State requests must be taken into account in determining the amount of awards. The original and one copy of any requests should be sent to:

Formula Grants Branch
Management Support Division
ACYF
P.O. Box 1182
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate ACYF Regional Program Director.

EFFECTIVE DATE: Effective upon issuance.

INQUIRIES: Regional Program Director for Children, Youth and Families

Dodie Livingston
COMMISSIONER

Attachments

- [Attachment 1-](#) Final Apportionment of Title IV-B Funds, FY 1985
- [Attachment 2-](#) Hypothetical FY 1985 Foster Care Allotments
- [Attachment 3-](#) Title IV-B Hypothetical Allotments at \$266M

Enclosure 1

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Office of Human Development Services
Administration for Children, Youth and Families

**APPORTIONMENT OF TITLE IV-B CHILD WELFARE SERVICES STATE GRANT
FUNDS FISCAL YEAR 1985**

STATE	FEDERAL ALLOTMENT	FEDERAL ALLOTMENT
UNITED STATES	\$200,000,000	\$141,000,000
ALABAMA	\$4,135,867	\$2,912,894
ALASKA	\$386,444	\$291,260
ARIZONA	\$2,606,955	\$1,843,864
ARKANSAS	\$2,471,725	\$1,749,309
CALIFORNIA	\$17,204,175	\$12,050,382
COLORADO	\$2,506,390	\$1,773,548
CONNECTICUT	\$1,929,161	\$1,369,944
DELAWARE	\$529,551	\$391,323
DISTRICT OF COLUMBIA	\$386,175	\$291,073
FLORIDA	\$7,836,117	\$5,500,145
GEORGIA	\$5,753,792	\$4,044,163
GUAM	\$258,133	\$201,544
HAWAII	\$883,373	\$638,718
IDAHO	\$1,134,401	\$814,240
ILLINOIS	\$8,418,599	\$5,907,422
INDIANA	\$4,948,353	\$3,480,992
IOWA	\$2,442,704	\$1,729,018
KANSAS	\$1,947,478	\$1,382,751

KENTUCKY	\$3,817,768	\$2,690,476
LOUISIANA	\$4,663,947	\$3,282,133
MAINE	\$1,185,228	\$849,778
MARYLAND	\$3,159,462	\$2,230,182
MASSACHUSETTS	\$4,168,684	\$2,935,840
MICHIGAN	\$7,355,194	\$5,163,879
MINNESOTA	\$3,418,725	\$2,411,462
MISSISSIPPI	\$3,153,161	\$2,225,777
MISSOURI	\$4,364,344	\$3,072,648
MONTANA	\$839,020	\$607,706
NEBRASKA	\$1,433,076	\$1,023,076
NEVADA	\$679,966	\$496,495
NEW HAMPSHIRE	\$874,768	\$632,701
NEW JERSEY	\$4,914,600	\$3,457,392
NEW MEXICO	\$1,586,410	\$1,130,288
NEW YORK	\$12,529,098	\$8,781,523
NORTH CAROLINA	\$5,859,474	\$4,118,057
NORTH DAKOTA	\$695,732	\$507,518
NORTHERN MARIANAS	\$101,947	\$92,338
OHIO	\$8,942,699	\$6,273,878
OKLAHOMA	\$2,946,730	\$2,081,438
OREGON	\$2,232,748	\$1,582,214
PENNSYLVANIA	\$9,161,019	\$6,426,529
PUERTO RICO	\$5,163,788	\$3,631,626
RHODE ISLAND	\$802,866	\$582,427
SOUTH CAROLINA	\$3,546,392	\$2,500,727
SOUTH DAKOTA	\$779,431	\$566,041

TENNESSEE	\$4,583,050	\$3,225,569
TEXAS	\$13,902,440	\$9,741,778
UTAH	\$2,187,903	\$1,550,858
VERMONT	\$579,442	\$426,207
VIRGIN ISLANDS	\$243,934	\$191,617
VIRGINIA	\$4,564,478	\$3,212,583
WASHINGTON	\$3,248,270	\$2,292,277
WEST VIRGINIA	\$1,975,470	\$1,402,323
WISCONSIN	\$4,082,530	\$2,875,601
WYOMING	\$476,813	\$354,448
TOTALS	\$200,000,000	\$141,000,000

Enclosure 2

Hypothetical FY 1985 Foster Care Allotments

State	Amount of Hypothetical Allotment	Applicable Paragraph
ALABAMA	\$2,612,109	A
ALASKA	\$286,971	A
ARIZONA	\$1,367,066	B
ARKANSAS	\$1,041,650	B
CALIFORNIA	\$109,578,823	A
COLORADO	\$2,620,834	A
CONNECTICUT	\$3,138,525	A

DELAWARE	\$862,082	A
DIST. OF COL.	\$911,898	A
FLORIDA	\$4,005,487	B
GEORGIA	\$4,409,064	A
HAWAII	\$457,816	B
IDAHO	\$561,727	B
ILLINOIS	\$4,929,094	B
INDIANA	\$2,759,459	A
IOWA	\$2,329,436	A
KANSAS	\$5,015,155	A
KENTUCKY	\$3,768,476	A
LOUISIANA	\$5,265,768	A
MAINE	\$3,392,596	A
MARYLAND	\$6,122,351	A
MASSACHUSETTS	\$5,288,786	A
MICHIGAN	\$30,832,552	A
MINNESOTA	\$6,455,871	A
MISSISSIPPI	\$1,609,683	A
MISSOURI	\$3,677,536	A
MONTANA	\$1,106,860	A
NEBRASKA	\$1,372,249	A
NEVADA	\$562,857	A
NEW HAMPSHIRE	\$1,004,812	A
NEW JERSEY	\$2,957,457	B
NEW MEXICO	\$695,497	B
NEW YORK	\$228,081,790	A

NORTH CAROLINA	\$2,553,877	B
NORTH DAKOTA	\$830,206	A
OHIO	\$5,227,802	A
OKLAHOMA	\$1,469,157	B
OREGON	\$9,457,868	A
PENNSYLVANIA	\$33,322,618	A
RHODE ISLAND	\$360,510	B
SOUTH CAROLINA	\$1,478,728	B
SOUTH DAKOTA	\$702,310	A
TENNESSEE	\$4,054,143	A
TEXAS	\$7,522,851	B
UTAH	\$996,985	B
VERMONT	\$1,268,321	A
VIRGINIA	\$5,462,764	A
WASHINGTON	\$5,356,815	A
WEST VIRGINIA	\$1,411,660	A
WISCONSIN	\$11,869,988	A
WYOMING	\$255,228	B

Enclosure 3

Title IV-B FY 1985 Hypothetical Allotments at Trigger Amount (266M)

State	Hypothetical Allotment
ALABAMA	\$5,503,938
ALASKA	\$492,920
ARIZONA	\$3,460,582
ARKANSAS	\$3,279,850
CALIFORNIA	\$22,969,435
COLORADO	\$3,326,179
CONNECTICUT	\$2,554,727
DELAWARE	\$684,180
DIST. OF COL.	\$492,561
FLORIDA	\$10,449,238
GEORGIA	\$7,666,259
GUAM	\$321,435
HAWAII	\$1,157,055
IDAHO	\$1,492,548
ILLINOIS	\$11,227,712
INDIANA	\$6,589,807
IOWA	\$3,241,064
KANSAS	\$2,579,206
KENTUCKY	\$5,078,806
LOUISIANA	\$6,209,706
MAINE	\$1,560,476
MARYLAND	\$4,198,996
MASSACHUSETTS	\$5,547,797
MICHIGAN	\$9,806,495
MINNESOTA	\$4,545,495

MISSISSIPPI	\$4,190,575
MISSOURI	\$5,809,293
MONTANA	\$1,097,778
NEBRASKA	\$1,891,720
NEVADA	\$885,206
NEW HAMPSHIRE	\$1,145,554
NEW JERSEY	\$6,544,698
NEW MEXICO	\$2,096,647
NEW YORK	\$16,721,300
NORTH CAROLINA	\$7,807,500
NORTH DAKOTA	\$906,277
NORTHERN MARIANAS	\$112,697
OHIO	\$11,928,159
OKLAHOMA	\$3,914,684
OREGON	\$2,960,463
PENNSYLVANIA	\$12,219,939
PUERTO RICO	\$6,877,731
RHODE ISLAND	\$1,049,459
SOUTH CAROLINA	\$4,716,119
SOUTH DAKOTA	\$1,018,138
TENNESSEE	\$6,101,589
TEXAS	\$18,556,741
UTAH	\$2,900,528
VERMONT	\$750,858
VIRGIN ISLANDS	\$302,459
VIRGINIA	\$6,076,767

WASHINGTON	\$4,317,685
WEST VIRGINIA	\$2,616,617
WISCONSIN	\$5,432,655
WYOMING	\$613,697
TOTAL	\$266,000,000