

<h1 style="margin: 0;">ACF</h1> <p style="margin: 0;">Administration for Children and Families</p>	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children, Youth and Families	
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INFORMATION MEMORANDUM

TO: STATE AGENCIES ADMINISTERING OR SUPERVISING THE ADMINISTRATION OF TITLE IV-B OF THE SOCIAL SECURITY ACT.

SUBJECT: FY 1986 ALLOTMENTS FOR TITLE IV-B AND PROCEDURES FOR APPLYING FOR: (1) A STATE'S SHARE OF FUNDS AVAILABLE UNDER TITLE IV-B IN FY 1986; (2) REALLOTTED IV-B FUNDS; AND (3) FUNDS TO BE TRANSFERRED FROM TITLE IV-3-FOSTER CARE.

LEGAL AND RELATED REFERENCES: SECTIONS 421, 422, 423, 427, 474(C) AND 475 OF THE SOCIAL SECURITY ACT and 45 CFR 1355.30, 1356.65, 1356.70, 1357.30 and 1357.40.

CONTENT: this transmittal establishes the procedures for States to follow in order to apply for:

1. Title IV-B funds available to a State from its share of FY 1986 funds;
2. Title IV-B-FY1986 funds that will become available for reallocation to other States; and
3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

The procedures that a State must follow in order to receive title IV-B funds for FY 1986 are the same as those that were in effect in FY 1985. They are again detailed below, with updated amounts of funds.

ACTION REQUIRED:

Date and Place to send Requests

In order to be eligible to receive any funds to be awarded under title IV-B (funds allotted or reallocated under title IV-B or transferred to title IV-B) during the FY 1986, States must send requests to ACYF as detailed under (1), (2) and (3) below. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked

registered or certified mail no later than August 11. There will be no exceptions, since all State requests must be taken into account in determining the amount of awards, The original and one copy of any requests should be sent to:

Formula Grants Branch
Management Support Division
ACYF
P.O. Box 1182
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate HDS Regional Administrator.

1. Funds available to a State from its share of FY 1986 funds.

Under the appropriation for FY 1986, Congress has approved a total of \$207M for title IV-B (Child Welfare Services). However, Congress also enacted into law P.L. 99-177, the Balanced Budget and Emergency Deficit Control Act of 1985 (popularly known as "Gramm-Rudman-Hollings"). Under that act, the amount of funds available for FY 1986 has been reduced by 4.3 percent to \$198,099,000. The allotments for each State at the levels of \$141M and \$198.099M are listed in Enclosure 1. These are the same amounts sent to you on March 20, 1986 in ACYF-IM-86-09.

States are advised that, under section 428 of the Social Security Act (the Act) and 45 CFR 1357.40, direct grants are made to eligible Indian Tribal Organizations from the allotment of the State in which the ITO or portion of it is located. In order to receive its share of \$141M, a State must meet the general requirements of the title IV-B Program, including jointly planning its child welfare services with the Administration for Children, Youth and Families (ACYF) and submitting, properly completed, the Form CWS-101, including both the Annual Summary of Child Welfare Services and the Annual Budget Request.

In order to receive its share of the additional funds up to \$198.099M, a State must, in addition to the requirement stated above:

- a. Certify that it is meeting the requirements of section 427 of the Act. (States that have certified previously that they are eligible need not recertify unless they have withdrawn their certification and have not recertified.);
- b. Be meeting and continue to meet the requirements of section 427 of the Act;
- c. Submit to ACYF a revised Annual Budget Request incorporating the additional funds requested up to the State's share of \$198.099M or whatever portion of its share over \$141M a State can obligate during FY 1986;
- d. Jointly plan with ACYF Regional staff the use of those funds applied for; and
- e. Submit to ACYF an Annual Summary of Child Welfare Services incorporating those additional services to be provided.

2. Title IV-B FY 1986 Funds that will become available for reallocation to other States.

Some States may not use all title IV-B funds allotted to them for FY 1986, either because they do not meet the requirements of section 427 of the Act or because they cannot obligate all of the funds during FY 1986. (Note: Under 45 CFR 74.71, obligations for salaries must be used for services received during the fiscal year in which we award them, unless State purchasing laws permit otherwise or the services are provided under a contract entered into during the fiscal year.) In order to apply for reallocated FY 1986 title IV-B funds, a State must meet all the same conditions listed under (1) (a) through (e), above.

Failure of a State to apply for its share of funds up to \$141M or \$198.099M by the required date will be considered equivalent to certification that the funds will not be required by the State during FY 1986, in accordance with 45 CFR 1357.30(g)(2). These funds will then be available for reallocation to other States.

3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

Under 45 CFR 1356.70, a State may transfer funds not needed for foster care under title IV-E-Foster Care to title IV-B for child welfare services. In FY 1986, as was the case in FY 1985, there are no mandatory allotment limitations on States for title IV-E-Foster Care. However, for purposes of possible transfer, hypothetical allotments have been calculated on the same basis as if they were mandatory (Enclosure 2). The Act mandates that a State's allotment limitation be the higher amount under paragraph (A) or (B) of section 474(b)(3). (Paragraph (c) does not apply to transfers. Since there is no mandatory allotment limitation, paragraph (C) is irrelevant in FY 1986.) Therefore, Enclosure 2 shows the amount of the hypothetical foster care allotment limitation and indicates only the paragraph ((A) or (B)) which resulted in the greater amount.

In order to apply for the transfer, a State must:

- a. Submit a Form IV-E-1 (Quarterly Estimate of Expenditures) for the Fourth quarter, with all sections applicable to foster care completed;
- b. Submit Form IV-E-2's (Quarterly Statements of Expenditures) for the quarters ended December 31, 1985, March 31, 1986 and June 30, 1986;
- c. Meet the requirements of 1(a), (b), and (d), above, regarding section 427 and joint planning, incorporating the additional services to be provided;
- d. Submit a revised Annual Summary of Child Welfare Services incorporating the additional services to be provided; and
- e. Submit a SEPARATE CWS-101 Annual Budget Request requesting the funds to be transferred.

Transfer funds are further limited in two ways:

- vi. Under 45 CFR 1356.70(a)(3), the total funds each State may transfer to title IV-B may not, when added to the funds received under title IV-B directly or by reallocation ((1) and (2) above), exceed the amount the State would have been allotted under title IV-B if \$266M had been appropriated and allotted to States (Enclosure 3); and
- vii. Funds are limited to the amount made available to the Department by the Congress under the appropriation for FY 1986.

Summary

Only one section 427 certification need be filed for all three types of funds: (1) those directly allotted under title IV-B, (2) those reallocated from funds not used by other States under title IV-B and (3) those transferred from title IV-E-Foster Care. One CWS-101 may be filed for the directly allotted and reallocated funds ((1) and (2)). A separate CWS-101 must be filed for transfer funds (3). However, in all cases, the amounts requested for the quarter, the year and the total expenditures should be cumulative.

States which have not yet requested the full amount of their allotment and wish to do so are strongly encouraged to send their revised CWS-101s requesting the increased amounts under their allotments as soon as possible (well before August 15). Early receipt of such requests, including receipt by the regional office, would enable all States to receive all funds directly allotted to them at an early date, possibly as a part of their fourth quarter award. States may request a share of reallocated funds on the same CWS-101. Transfer requests must necessarily await the filing of claims for IV-E-Foster Care expenditures for the third quarter of this fiscal year, ending June 30, 1986.

To reiterate: In order to apply for any of the additional funds discussed above, a State must send to ACYF, as detailed above, the necessary requests and reports. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked registered or certified mail no later than August 11. There will be no exception, since all State requests must be taken into account in determining the amount of awards. The original and one copy of any requests should be sent to:

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Management Support Division
ACYF
P.O. Box 1182
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate HDS Regional Administrator.

EFFECTIVE DATE: Effective upon issuance.

INQUIRIES: Regional Administrators Office of Human Development Services

/s/

Dodie Livingston
Commissioner

Enclosures

[Enclosure I](#) - Final Apportionment of Title IV-B Funds, FY 1986

[Enclosure II](#) - Hypothetical FY 1986 Foster Care Allotments

[Enclosure III](#) - Title IV-B Hypothetical Allotments at \$266M

Enclosure I

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Office of Human Development Services
Administration for Children, Youth and Families

**APPORTIONMENT OF TITLE IV-B SERVICES STATE GRANT FUNDS FISCAL
YEAR 1986**

STATE	FEDERAL ALLOTMENT	FEDERAL ALLOTMENT
UNITED STATES	\$198,099,000	\$141,000,000
ALABAMA	\$4,098,071	\$2,907,676
ALASKA	\$359,910	\$274,692
ARIZONA	\$2,804,440	\$2,000,658
ARKANSAS	\$2,452,224	\$1,751,976
CALIFORNIA	\$17,269,878	\$12,214,018
COLORADO	\$2,399,446	\$1,714,711
CONNECTICUT	\$1,785,787	\$1,281,436
DELAWARE	\$515,063	\$384,238
DISTRICT OF COLUMBIA	\$359,206	\$274,194
FLORIDA	\$7,712,795	\$5,466,215
GEORGIA	\$5,642,090	\$4,004,188
GUAM	\$270,066	\$211,257
HAWAII	\$900,366	\$656,282
IDAHO	\$1,160,941	\$840,262
ILLINOIS	\$8,559,133	\$6,063,774
INDIANA	\$5,012,064	\$3,559,357
IOWA	\$2,498,566	\$1,784,695
KANSAS	\$1,910,773	\$1,369,683

KENTUCKY	\$3,725,080	\$2,650,679
LOUISIANA	\$4,500,964	\$3,198,494
MAINE	\$1,148,933	\$831,783
MARYLAND	\$3,060,860	\$2,181,705
MASSACHUSETTS	\$3,872,801	\$2,754,978
MICHIGAN	\$7,686,033	\$5,447,320
MINNESOTA	\$3,382,869	\$2,409,060
MISSISSIPPI	\$3,139,738	\$2,237,396
MISSOURI	\$4,276,271	\$3,039,848
MONTANA	\$850,188	\$620,854
NEBRASKA	\$1,417,497	\$1,021,404
NEVADA	\$710,843	\$522,469
NEW HAMPSHIRE	\$831,914	\$607,952
NEW JERSEY	\$4,618,394	\$3,281,405
NEW MEXICO	\$1,576,503	\$1,133,670
NEW YORK	\$12,122,899	\$8,579,980
NORTH CAROLINA	\$5,776,153	\$4,098,844
NORTH DAKOTA	\$652,144	\$481,025
NORTHERN MARIANAS	\$104,404	\$94,291
OHIO	\$9,027,320	\$6,394,339
OKLAHOMA	\$2,880,768	\$2,054,550
OREGON	\$2,310,843	\$1,652,153
PENNSYLVANIA	\$9,026,633	\$6,393,853
PUERTO RICO	\$5,139,202	\$3,649,123
RHODE ISLAND	\$777,550	\$569,567
SOUTH CAROLINA	\$3,475,161	\$2,474,223

SOUTH DAKOTA	\$772,923	\$566,300
TENNESSEE	\$4,497,007	\$3,195,699
TEXAS	\$13,803,968	\$9,766,903
UTAH	\$2,258,715	\$1,615,348
VERMONT	\$559,596	\$415,681
VIRGIN ISLANDS	\$252,177	\$198,626
VIRGINIA	\$4,364,455	\$3,102,111
WASHINGTON	\$3,331,227	\$2,372,598
WEST VIRGINIA	\$1,946,483	\$1,394,896
WISCONSIN	\$4,030,491	\$2,866,315
WYOMING	\$488,170	\$365,250
TOTALS	\$198,099,000	\$141,000,000

Enclosure II

Hypothetical FY 1986 Foster Care Allotments

State	Amount of Hypothetical Allotment	Applicable Paragraph
ALABAMA	\$2,800,181	A
ALASKA	\$307,633	A
ARIZONA	\$1,367,066	B
ARKANSAS	\$1,041,650	B
CALIFORNIA	\$117,468,498	A
COLORADO	\$2,809,534	A
CONNECTICUT	\$3,364,499	A
DELAWARE	\$924,152	A
DISTRICT OF COLUMBIA	\$977,555	A
FLORIDA	\$4,005,487	B
GEORGIA	\$4,726,517	A
HAWAII	\$457,816	B
IDAHO	\$589,760	A
ILLINOIS	\$4,929,094	B
INDIANA	\$2,958,140	A
IOWA	\$2,497,155	A
KANSAS	\$5,376,246	A
KENTUCKY	\$4,039,806	A
LOUISIANA	\$5,644,903	A
MAINE	\$3,636,863	A
MARYLAND	\$6,563,160	A

MASSACHUSETTS	\$5,669,579	A
MICHIGAN	\$31,356,074	A
MINNESOTA	\$6,833,405	A
MISSISSIPPI	\$1,725,580	A
MISSOURI	\$3,791,666	A
MONTANA	\$1,186,554	A
NEBRASKA	\$1,471,051	A
NEVADA	\$603,383	A
NEW HAMPSHIRE	\$1,077,158	A
NEW JERSEY	\$2,957,457	B
NEW MEXICO	\$695,497	B
NEW YORK	\$242,981,833	A
NORTH CAROLINA	\$2,553,877	B
NORTH DAKOTA	\$889,981	A
OHIO	\$5,604,204	A
OKLAHOMA	\$1,469,157	B
OREGON	\$10,138,834	A
PENNSYLVANIA	\$35,721,846	A
RHODE ISLAND	\$360,510	B
SOUTH CAROLINA	\$1,478,728	B
SOUTH DAKOTA	\$752,876	A
TENNESSEE	\$4,346,041	A
TEXAS	\$7,522,851	B
UTAH	\$996,985	B
VERMONT	\$1,359,640	A
VIRGINIA	\$5,856,083	A

WASHINGTON	\$5,742,506	A
WEST VIRGINIA	\$1,513,300	A
WISCONSIN	\$12,724,627	A
WYOMING	\$255,228	B

Enclosure III

Title IV-B FY 1986 Hypothetical Allotments at Trigger Amount (266M)

State	Hypothetical Allotment
ALABAMA	\$5,493,964
ALASKA	\$461,250
ARIZONA	\$3,760,281
ARKANSAS	\$3,284,946
CALIFORNIA	\$23,282,207
COLORADO	\$3,213,719
CONNECTICUT	\$2,385,551
DELAWARE	\$670,637
DISTRICT OF COLUMBIA	\$460,299
FLORIDA	\$10,384,282
GEORGIA	\$7,589,850
HAWAII	\$1,190,626
IDAHO	\$1,542,287
ILLINOIS	\$11,526,565
INDIANA	\$6,739,595
IOWA	\$3,347,487

KANSAS	\$2,554,227
KENTUCKY	\$5,002,737
LOUISIANA	\$6,049,837
MAINE	\$1,526,081
MARYLAND	\$4,106,335
MASSACHUSETTS	\$5,202,095
MICHIGAN	\$10,348,267
MINNESOTA	\$4,540,904
MISSISSIPPI	\$4,212,784
MISSOURI	\$5,746,600
MONTANA	\$1,122,908
NEBRASKA	\$1,888,524
NEVADA	\$934,853
NEW HAMPSHIRE	\$1,098,247
NEW JERSEY	\$6,208,315
NEW MEXICO	\$2,103,110
NEW YORK	\$16,336,068
NORTH CAROLINA	\$7,770,776
NORTH DAKOTA	\$855,637
OHIO	\$12,158,409
OKLAHOMA	\$3,863,290
OREGON	\$3,094,145
PENNSYLVANIA	\$12,157,482
RHODE ISLAND	\$1,024,878
SOUTH CAROLINA	\$4,665,457
SOUTH DAKOTA	\$1,018,634

TENNESSEE	\$6,044,496
TEXAS	\$18,604,766
UTAH	\$3,023,795
VERMONT	\$730,738
VIRGINIA	\$5,865,610
WASHINGTON	\$5,471,211
WEST VIRGINIA	\$2,602,420
WISCONSIN	\$5,414,907
WYOMING	\$634,345
GUAM	\$340,001
NORTHERN MARIANA	\$116,430
PUERTO RICO	\$6,911,174
VIRGIN ISLANDS	\$315,858
TOTALS	\$266,000,000

