

# ACF

Administration  
for Children  
and Families

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Administration for Children, Youth and Families**

**1. Log No:** ACYF-IM-87-15

**2. Issuance Date:** 4/8/87

**3. Originating Office:** Formula Grants Branch, MSD

**4. Key Words:** Direct Grants to Eligible ITOs; P.L. 96-272

## INFORMATION MEMORANDUM

**TO:** INDIAN TRIBES, STATE ADMINISTRATORS OF STATE PUBLIC WELFARE AGENCIES AND OTHER INTERESTED INDIVIDUALS

**SUBJECT:** Apportionment of Direct Grants to Eligible Indian Tribes and Indian Tribal Organizations: Section 428 of the Social Security Act.

**LEGAL AND RELATED REFERENCES:** Sections 422(a), (b)(2)-(8), 425, 427 and 428 of the Social Security Act; 45 CFR 1355.30, 1357.10(c), 1357.15(b)(c) & (d), 1357.25(d), 1357.40; and ACYF-PI-83-3 (June 6, 1983) and ACYF-IM-83-4-(June 6, 1983).

**CONTENT:** For FY 1987, the appropriation for the Child Welfare Services State Grant Program (title IV-B of the Social Security Act) is \$222.5 million. In order to be eligible for a direct grant at the amount of their share of \$141 million, an Indian Tribal Organization (ITO) must meet the requirements of 45 CFR 1357.40(a) (e) and (g). In order to be eligible for any funds above that level, an ITO must:

1. be located in a State meeting the requirements of section 427(a) or (b) of the Act,
2. be meeting the same requirements and
3. certify that it is meeting the requirements.

Direct title IV-B grants to ITOs are paid from the title IV-B allotment of the State or States in which the ITO is located. If an ITO is located in more than one State, funds are paid from the allotment of each State in which the ITO is located. Title IV-B allotments for ITOs are calculated by computing the amount per Child in the State multiplied by the ITO population in the State and multiplied (or weighted) by a factor of 1.4. This factor, (similar to Territories) is used to compensate for the low tribal income.

In order to receive the funds potentially available to it, the ITO must provide at least 25 percent of the total funds expended by the ITO for Child Welfare Services. The Federal share is 75 percent of the total, up to the amount listed in the attached table. The ITO's total expenditures

may be greater than the amount needed to draw down the Federal funds, but the Federal reimbursement is limited.

In filling out the Annual Budget Request, the ITO should enter both its name and the name of the State in which it is located in the upper left hand corner. The ITO should enter the total ITO and Federal funds it will expend during the Federal fiscal year in item IA (regardless of the amount of reimbursement available) and the Federal share of the total in item IB up to the maximum amount listed in the attached table for which the ITO is eligible. ITOs must also have jointly planned the use of the funds and must have submitted an Annual Summary of Child Welfare Services to ACYF.

Within 30 days after each quarter has ended, the ITO must file a quarterly expenditure report, using the Financial Status Report, SF-269 (copy attached).

**ACTION REQUIRED:** Eligible ITOs should submit an Annual Budget Request and an Annual Summary of Child Welfare Services to:

Formula Grants Branch  
Management Support Division, ACYF  
P.O. Box 1182  
Washington, D.C. 20013

A copy of the Annual Budget Request and the Annual Summary of Child Welfare Services and the original of the State Plan or update should be sent to the appropriate Regional Office, ACYF.

/s/

Dodie Livingston  
Commissioner

**Attachments:**

[Attachment A](#) - Potentially eligible ITOs and the FY 1987 title IV-B allotments.

[Attachment B](#) - Sample Annual Budget Request.

[Attachment C](#) - Financial Status Report (SF 269).

**ATTACHMENT A**

**INDIAN TRIBES POTENTIALLY ELIGIBLE FOR DIRECT GRANTS UNDER SECTION 428 CHILD POPULATION AND FEDERAL SHARE OF FUNDS**

**Fiscal Year 1987**

<b>State</b>	<b>Tribal Organization</b>	<b>Estimated Tribal Population Under 21</b>	<b>Share of \$222,500,000</b>	<b>Share of \$141,000,000</b>
<b>Region I</b>				
Maine	Passamaquoddy Indian Township	200	\$982	\$636
Maine	Passamaquoddy Pleasant Point	297	\$1,458	\$944
Maine	Penobscot	281	\$1,380	\$894
Maine	Holton Band of Maliseets	135	\$663	\$429
<b>Total</b>			\$4,483	\$2903
<b>Region IV</b>				
Florida	Seminole	626	\$2,542	\$1,603
<b>Region VII</b>				
Kansas	<b>Four Tribes of Kansas Consortium</b>			
	Prairie Potawatomi	154	\$596	\$382
	Kickapoo	185	\$716	\$459
	Sac and Fox	27	\$104	\$67
	Iowa			
	KS Part NE part	10	\$39	\$25
<b>TOTAL</b>			\$1,455	\$933
<b>Region VIII</b>				

Colorado	Southern Ute	490	\$1,813	\$1,156
Colorado	Ute Mountain	566	\$2,094	\$1,336
<b>TOTAL</b>			\$3,907	\$2,492
Montana	Confederated Tribes of Salish & Kootenai Flathead Tribe	1,738	\$8,464	\$5,544
Montana	Northern Cheyenne	1,824	\$8,883	\$5,819
Montana	Rocky Boy's Chippewa Cree	897	\$4,368	\$2,861
<b>TOTAL</b>			\$21,715	\$14,224
N. Dakota	Devils Lake Sioux Tribe	1,370	\$6,042	\$4,000
N. Dakota	Sisseton- Wahpeton Sioux N.D. Part	4	\$18	\$12
N. Dakota	Standing Rock N.D. Part	1,341	\$5,914	\$3,916
N. Dakota	Three Affiliated	1,384	\$6,103	\$4,041
<b>TOTAL</b>			\$18,077	\$11,969
S. Dakota	Sisseton- Wahpeton Sioux			
	S.D. Part	1,524	\$7,620	\$5,014
	Crow Creek	850	4,250	2,797
	Sioux	1,243	6,215	4,089
	Standing Rock	3,225	16,125	10,610
	Sioux	922	4,610	3,033
	Rosebud Sioux			
	Yankton Sioux			
<b>TOTAL</b>			\$38,820	\$25,543
Utah	Paiute Indian Tribe of Utah	130	\$649	\$413
Utah	Navajo Nation			
	New Mexico Part			
	Arizona Part			
	Utah Part	2,917	\$14,556	\$9,276

Utah	Unitah Quray	1,163	\$5,803	\$3,698
<b>TOTAL</b>			\$20,359	\$12,974
<b>Region IX</b>				
Arizona	Navajo Nation			
	Arizona Part	39,470	\$174,063	\$110,516
	New Mexico Part			
	Utah Part			
<b>Region X</b>				
Alaska	Copper River Native Assoc.	1		
Alaska	Kenaitze Indian Tribe	1		
	Wildwood Semaldorf Kenaitze			
Alaska	Kodiak Area Native Assoc.	1,311	\$3,776	\$2,609
Alaska	North Pacific Rim	559	\$1,610	\$1,112
Alaska	Assoc. of Village Council	1		
Alaska	Fairbanks Native Assoc.	2,800	\$8,064	\$5,572
Alaska	Inupiat Community of Arctic Slope	1		
Alaska	Tanana Chief's Conference	3,448	\$9,930	\$6,862
Alaska	Sitka Community Assoc.	1,026	\$2,955	\$2,042
<b>TOTAL</b>			\$58,321	\$40,368
Oregon	Warm Springs	1,089	\$4,781	\$3,049
Oregon	Siletz	1		
Washington	Colville Agency	1,777	\$6,806	\$4,318
Washington	Quinault	490	\$1,877	\$1,191
<b>TOTAL</b>			\$8,683	\$5,509

**TOTAL ALL TRIBAL  
ORGANIZATIONS**

\$788,090

\$506,791

<sup>1</sup> No population data available

**ATTACHMENT B**

**ANNUAL BUDGET REQUEST FOR TITLE IV-B FUNDS**

OMB Approval #0980-0047  
Approved thru 9/30/89

**State of:** Maine

**Fiscal Year 1985:** October 1, 1984 through September 30, 1985

**Revision #**

**Tribe:** Passamaquoddy Indian Township

- I. COMPUTATION OF FEDERAL GRANT AWARD
  - A. Total estimated title IV-B expenditures: 500,000
  - B. Enter Federal Share (75% of A) 375,000
- II. REQUEST FOR GRANT AWARD

Indicate the total request for the year and the request for each of the four quarters. (Funds totaling more than the State's share of the \$141 million allotment will not be released to the State until there has been verification that the State meets the conditions of P.L. 96-272 for those funds.)

<b>Total (from Line I.B)</b>	<b>1st Q</b>	<b>2nd Q</b>	<b>3rd Q</b>	<b>4th Q</b>
	(Oct.-Dec.)	(Jan.-Mar.)	(Apr.-Jun.)	(Jul.-Sept.)
375,000	93,750	93,750	93,750	93,750

III. CERTIFICATION BY STATE AGENCY

The State Agency submits the above estimate and request of grant award under title IV-B of the Social Security Act, as amended, and agrees that the estimated expenditures will be made in accordance with the Child Welfare Services Plan, agreed to by the Agency and the Administration for Children, Youth and Families, for the fiscal year ending September 30.

John Doe 12-27-83  
(Signature)  
Administrator, Social Services Agency  
Date

(Title--please type)  
Robert White 12-27-83  
(Signature)

Director, Single Organizational Unit  
(Optional) Date

Regional Office Approval

---

Regional Program  
Director  
(Title--please type)  
Date

<sup>1</sup> If this amount is more than the amount available, a revised CWS 101 is not necessary as the request will be adjusted to reflect the maximum amount available. If this amount is less than the amount available, and a revised CWS 101 is not submitted, the grantee will receive only the amount requested.



10c Enter the amount of all program income realized in this period that is required by the terms and conditions of the Federal award to be deducted from total project costs. For reports prepared on a cash basis, enter the amount of cash income received during the reporting period. For reports prepared on an accrual recipient basis, enter the amount of income earned since the beginning of the reporting period. When the terms or conditions allow program income to be added to the total award, explain in remarks, the source, amount and disposition of the income.

10f Enter amount pertaining to the non-Federal share of program outlays included in the amount on line e.

Enter total amount of unliquidated obligations for this project or program, including unliquidated obligations to subgrantees and contractors. Unliquidated obligations are:

Cash basis--obligations incurred but not paid;

Accrued expenditure basis-obligations incurred but for which an outlay has not been recorded.

Do not include any amounts that have been included on lines a through g. On the final report, line h should have a zero balance.

10j Enter the Federal share of unliquidated obligations shown on line h. The amount shown on this line should be the difference between the amounts on lines h and i.

10k Enter the sum of the amounts shown on lines g and j. If the report is final the report should not contain any unliquidated obligations.

10m Enter the unobligated balance of Federal funds. This amount should be the difference between lines k and l.

11b Enter rate in effect during the reporting period.

11c Enter amount of the base to which the rate was applied.

11d Enter total amount of indirect cost charged during the report period.

11e Enter amount of the Federal share charged during the report period.

If more than one rate was applied during the project period, include a separate schedule showing bases against which the indirect cost rates were applied, the respective indirect rates the month, day, and year the indirect rates were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.