

**AFCARS Assessment Review Findings: Foster Care Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
1. Title IV-E Agency	4	<u>Program Code LNs¹ 3359</u> The numerical State code “39” is hard coded in the program code.
2. Report Period Ending Date	4	<u>Program Code LNs 12 and 34 – 36</u>
3. Local Agency (FIPS Code)	4	<u>Program Code LNs 725 – 727 and 2516</u>
4. Record Number	4	<u>Program Code LNs 3206 – 3216 and 3587</u> The record number is the encrypted person number.
5. Date of Most Recent Periodic Review (if applicable)	2	<p><u>Frequency Report² (n=16,740):</u> 2011= 3,764 (23%); 2012 = 9,563 (57%); Not reported = 3,413 (20%) Note that the frequency report for the report period ending September, 2012 (2012B) there are review dates that go back to 2008. Also, the percentage of records that were blank increased. A detailed analysis of the data was not conducted and the State is encouraged to evaluate the cases with the old periodic review dates.</p> <p><u>Screens</u> <i>Case Review/SAR>Case Review Topics>Case Analysis; or Legal</i> On the Case Review screen, there is a field for the date the case review was completed. The screen has a section “Case Analysis” with a field “Case Review Type.” The options for Review Type are: Mapping Default, Case Review/Closure/Semiannual Administrative Review, Case Review – Optional, 3 month Case Review, Semiannual Administrative Review/Closure, and 3 Month Case Review/Closure.</p> <p>The State team indicated that before a case can be closed there has to be a review of the case. The caseworker can select “semi-annual review/closure.”</p> <p><i>Court – Legal Action – Ruling Information</i> On this screen, there is a field for the date of the ruling, the ruling type (a drop-down list), and ruling(s) received (a selection box). The options that appear relevant for this element are: Annual Court Review, Dispositional, Dispositional Review, Permanency Plan, SAR, and Sunset. The State team indicated that A sunset hearing is a review that occurs after the child has been in care 20-22 months.</p> <p>The State team indicated reviews are generally held every 90 days. See the notes for the program code for information on what is reported to AFCARS.</p> <p><u>Case File Review Findings</u> 13 (18%) of the records analyzed did not match what was reported in AFCARS. In the majority of error cases, the error was</p>

¹ LN stands for Line Numbers

² The 2012A Regular file was used for the frequency report.

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		<p>due to the wrong type of review being reported to AFCARS. In three error cases, the child had been in foster care for six or more months (in two cases the child had been in care several years) and no periodic review date was reported to AFCARS. The reviewers did identify that a periodic review had been held in these cases.</p> <p><u>Program Code LNs 2422 – 2498</u> The periodic review date is taken from the Case Review/SAR Filter screen (for administrative reviews) and the Court – Legal Action – Ruling Information screen (for court reviews).</p> <p>The program code checks for the most recent court or administrative review date. For the administrative review date, the program code selects the latest SAR (semiannual administrative review) with a completed date (SAR held with family date). The case review types included are “semiannual review” or “semiannual review/closure.”</p> <p>For periodic reviews conducted in court, the program code checks the legal screen for the latest ruling date for the legal action values “annual court review” (ACR), “case plan” (CASEPLAN), “custody extension” (CUSTEXT), “dispositional” (DISPO), “dispositional review” (DISPORVW), “mediation court ordered review” (MEDIATIONCRTRVW), “permanent custody” (PERMCUST), “permanency plan” (PERMPLAN), “review” (RVW), “semi-annual administrative review” (SAR), “status review” (STATUSRVW), and “sunset” (SUNSET).</p> <p>Based on a discussion of the legal actions, “mediation court ordered review,” “review,” and “status review” do not meet the requirements in section 422(b)(8)(ii) and 475(5)(B) of the Social Security Act (SSA). The program code must be modified and these removed from the routine.</p> <p>In both of the routines, the program code will check if the date is greater than or equal to the reporting period start date minus 90 days. Remove this calculation from the program code. It does not matter how long the child has been in foster care. If a review that meets the requirements for a periodic review is held, then the date needs to be reported to AFCARS for this element.</p> <p>The program code checks that the periodic review date for either an administrative or court review is for the current removal episode (the date is after the child’s date of removal in element #21). Also, that the date is less than or equal to the end of the report period or the discharge date (if applicable).</p> <p><u>Post-site visit findings (LN 2963)</u> The program code was modified and the court action types of “mediation court ordered review,” “review,” and “status review” were removed. Also, the “minus 90” check has been removed. However, a routine was added that will report this element as a blank if the child’s foster care episode, as of the end of the report period, is less than 214 days. If a periodic review was conducted and entered into the system prior to 214 days, it will not be reported. This is incorrect and needs to be removed</p>

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		from the program code. The guidance provided to the State was that if a periodic review that meets the requirements in section 422(b)(8)(ii) and 475(5)(B) of the Social Security Act (SSA) is held, it is to be reported.
6. Date of Birth	4	<p><u>Frequency Report:</u> There are no records reported for youth over age 19.</p> <p><u>Screen:</u> Basic Tab This screen also contains fields for a deceased date.</p> <p><u>Program Code LNs 742 – 757</u> The child’s date of birth is obtained from their person record.</p>
7. Sex 1 = Male 2 = Female	4	<p><u>Screen:</u> Basic Tab</p> <p><u>Program Code LNs 742 – 757</u> The child’s gender code is obtained from their person record.</p>
8. Child’s Race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	2	<p><u>Frequency Report:</u> There are 1,159 (7%) records reported with more than one race. There are no records reported as having a race and “unable to determine.”</p> <p><u>Case File Review Findings</u> 12 (17%) of the records analyzed did not match what was reported in AFCARS. In nine error cases, the reviewers found that an additional race should have been reported. The categories were: American Indian, Asian, Black/African American, and White.</p> <p><u>Screen:</u> Demographic Tab The screen has checkbox fields for each of the Federal identified races. Additional options are: Declined and Unable to Determine. Since the use of “unable to determine” in AFCARS has a specific definition, which includes “declined,” the State should either remove it or replace it with “Safe Haven” or other similar language.</p> <p>There is not a value for the National Youth in Transition Database (NYTD) administrative value “unknown” (multi-racial, one race unknown) listed.</p> <p>The system will not allow “unable to determine” to be selected if a race has been selected, or vice versa.</p> <p><u>Program Code LNs 771 - 837</u> The extraction code selects values for each race field and if there is a match sets the corresponding AFCARS race value is set to “yes,” otherwise it is set to “no.” The program code correctly maps the value “declined” to “unable to determine.”</p> <p>If a race and “unable to determine” are selected, the program code sets “unable to determine” to “no.”</p>

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		<p>The program code is not checking the ancestry field and mapping applicable ethnicities to the appropriate race category if one is selected. Modify the program code to check the ancestry field for any race values that may have been selected and map the value to the appropriate race value in element #8.</p> <p>See NYTD Question and Answers for how to map NYTD values to AFCARS values.</p> <p><u>Post-site visit findings (LNs 1252 and 4513):</u> The program code was partially corrected by mapping some of the ethnicities to related AFCARS races. The program code needs to map all ethnicities or add a system edit that will set the appropriate race on the screen if the worker has not already selected it when selecting an ethnicity. For instance, Cambodian and Chinese map to "Asian."</p>
<p>9. Child's Hispanic or Latino Ethnicity</p> <p>1 = Yes 2 = No 3 = Unable to Determine</p>	<p>2</p>	<p><u>Frequency Report (n=16,740):</u> Yes = 668 (4%); No = 12,524 (75%); Unable to determine = 2,381 (14%); Not reported = 1,167 (7%)</p> <p><u>Case File Review Findings</u> 4 (6%) of the records analyzed did not match what was reported in AFCARS. In one error case the response should have been "no" instead of being reported as a blank. In three error cases, the response should have been "no" instead of "unable to determine."</p> <p><u>Screen: Demographic Tab</u> There is an area "Ethnicity/Ancestry" with two fields for recording ethnic information. One field is labeled "Hispanic/Latino" and has a dropdown options list. The options are yes, no, unable to determine, and declined. Since the use of "unable to determine" in AFCARS has a specific definition, which includes "declined," the State should either remove it or replace it with "Safe Haven" or other similar language.</p> <p><u>Program Code LNs 742 – 757 and 2568 - 2579</u> This information is extracted from the "Hispanic/Latino" field and the State's values are mapped to the appropriate AFCARS values except for "declined." If this option is selected it will be mapped to blank. Modify the program code to map "declined" to "unable to determine."</p> <p><u>Post-site visit findings</u> The ancestry field allows the worker to select all nationalities that apply. The options for ancestry include values that are also races (see notes in foster care element #8) and Hispanic/Latino ethnicities. It was determined during the post-site visit analysis that the program is not checking the ancestry field for applicable Hispanic/Latino ethnicities. If one is selected, and the response on the screen is no, then the response should be reset to yes and this element would be reported as "yes."</p> <p>Program Code LNs 1221 and 3229: The program code was modified to include the value "unk" (unknown) and it is mapped to</p>

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		<p>the AFCARS value of “unable to determine.” The value “unknown” is not an option on the screen. Since the State does not have the NYTD value of “unknown” on the screen please clarify why this value is in the program code. Also, the program code was modified by replacing “unable to determine” with “declined.” However, “unable to determine” is an option on the screen. Therefore, this value if selected will be mapped to blank. The State needs to make corresponding changes to the screen as noted above.</p>
<p>10. Has the Child Been Clinically Diagnosed with a Disability(ies)?</p> <p>1 = Yes 2 = No 3 = Not Yet Determined</p>	<p>2 3</p>	<p><u>Screen:</u> Person/Characteristic Tab</p> <p>It appears the State has combined the recording of placement characteristics with medical information on this screen as demonstrated by the following message under the heading “Documented Person Characteristics”:</p> <p>“The Characteristics Tab allows the worker to record helpful information that assists the worker when making placement decisions for a child. This includes preferences, restrictions, and can be identified as searchable criteria at the time of completing a provider service search. This information is reflective of self-reported or observed characteristics of a person and does not imply that diagnosis has been performed.”</p> <p>This screen also has two statements related to a child’s health assessment.</p> <ul style="list-style-type: none"> • A clinical assessment by a qualified professional has not yet been completed for this person. • A qualified professional has conducted a clinical assessment of this person and has determined that the person has no disabilities. <p>The next section, Person Characteristics, has two options the worker can select: Add Medical/Mental Health Characteristics and Add Traits/Behaviors/Family History Characteristics.</p> <p>Once the worker selects one of these options, there is a more detailed list from which the worker selects all appropriate characteristics. Also, below the selection boxes there is a field to indicate whether the characteristic is self-reported, observed, or clinically diagnosed. There is a text field for the user to enter who observed or diagnosed the characteristic, and date fields for observation and diagnosis. For diagnosed characteristics there is a field for entering the ending date of the characteristic.</p> <p>There is a separate “Medical” screen in the system. Tabs on the medical screen include provider, treatment, medications, immunizations, insurance, birth and pregnancy. The medical screen does link to the case plan. Medications are entered on the Medical History/ Medication tab without any link to whether there is a related diagnosed condition for that medication. There is no link between the Medical section, specifically when a doctor’s visit occurs, and the entry of diagnosed conditions on the characteristics screen.</p> <p>As noted by both the frequency numbers and the case file review findings, there are issues with the accuracy of this data. The collection of all health and mental health information needs to be entered under the Medical section of the system. There needs to be a link between the doctor’s appointment and the results of the doctor’s assessment. The two statements related</p>

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		<p>to whether the child has been seen by a health care professional needs to be moved to the medical module. It may be more clear to remove these questions and to add a field on the screen where doctor appointments are recorded that asks whether the child has a diagnosed condition – Y/N/Not Yet Determined, and if the response is yes, the worker is directed to the field listing the medical and mental health diagnoses.</p> <p>Also, since the information gathered is about the child’s health, consider changing the terms used on the screen and not using “disability” to describe the diagnosed conditions. Most of the characteristics do not meet the standards for a disability.</p> <p>The State’s policy is that children are required to receive a medical screening within five days of entering foster care in order to rule out if the child has a communicable disease. The child is to receive a more comprehensive health screening within 60 days of entering foster care.</p> <p><u>Frequency Report</u> (n=16,740): Yes = 1,229 (7%); No = 5,461 (33%); Not yet determined = 10,050 (60%); Not reported = 0 Note the high number of records reported as “not yet determined.” This response in AFCARS “indicates that a clinical assessment of the child by a qualified professional has not been conducted.” As noted below in the case file review, it would appear that the children are being seen by a health care provider in a timely manner, but that the data is not being entered into the system.</p> <p><u>Case File Review Findings</u> 43 (60%) of the records analyzed did not match what was reported in AFCARS. The majority of the cases reported in error indicated “not yet determined.” In 16 of these error cases the reviewers noted the child had been seen by a health care professional and had a diagnosed condition that would be reported to AFCARS.</p> <p><u>Program Code LNs 851 – 999, 2580 – 2591 and 3377</u> The program code maps diagnosed conditions in elements 11 -15 first. If any of these elements are set to “applies,” then this element is mapped as “yes.” The program code then checks if the field indicating the child has been seen by a health care professional and was determined to not have a disability. If it was checked, then this element is set to “no.”</p> <p>The program code does not check the option on the characteristics screen that was added to identify if the child had not yet been by a health care professional. Modify the program code to include it in the mapping section.</p> <p>Missing data are mapped to “not yet determined.” Modify the program code to map missing data to blank.</p> <p><u>Post-site visit findings (LNs 1338 and 3241):</u> The program code has been changed to include a check for the field indicating an assessment has not been completed and if it is selected, this element is set to “3.” Also, if there are no characteristics selected and the child is in care for less than 180, this element will be set to “not yet determined.” Otherwise, this element will</p>

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		<p>be left blank.</p> <p>As noted by the frequency report for the 2012B file, the response rate for “yes” more than doubled. The number of records reported as “not yet determined” significantly dropped. 2012B Frequency Report (n=16,834): Yes = 3,635 (22%); No = 7,391 (44%); Not yet determined = 4,276 (25%); Not reported = 1,532 (9%)</p>
<p>11 – 15</p> <p>0 = Condition Does Not Apply 1 = Condition Applies</p>		<p><u>Screen:</u> Characteristic Tab See notes for element #10.</p> <p>There is a selection button “Add Medical/Mental Health Characteristics.” The conditions are selected by category based on the Characteristics Details screen. There is a field that indicates “Characteristic Group.” Once the caseworker selects Add Medical/Mental Health Characteristics this field is set and if the caseworker selects the other option (traits, etc) a different list appears.</p> <p>The worker can select all that apply. There are also fields for: “Method – unknown, N/A, self-reported, observed, and clinically diagnosed;” “Diagnosed by;” “Diagnosed Date;” and “End Date.”</p> <p><u>Program Code LNs 851 – 999</u> Elements #11 through 15 are set to “applies” if the program code finds a clinically diagnosed code set to “Y.” If no diagnosed condition exists for a category, then the element is set to “does not apply.”</p> <p>The program code checks the effective and end date for each condition on the characteristics table. The logic for elements #11 – 15 only includes characteristics applicable during the reporting period. The routine checks if the condition’s beginning effective date is less than or equal to the last day the child was in the AFCARS population (the condition was in effect at some point during the reporting period) and the end effective date is greater than or equal to the last AFCARS day (the condition was still in effect at the end of the reporting period or the child’s last day in the reporting period). If either the effective begin or end date is null the last AFCARS date is substituted. This seems to be an effort to allow the values of #11 – 15 to be set in instances where no begin and end dates have been entered for the condition.</p> <p>The mapping for these elements is listed on the spreadsheet “Characteristics.” Items that need to be corrected are noted under the column “AFCARS.” In the chart there are notes made for certain marked elements regarding appropriate inclusion into AFCARS. Also, there are some items listed in the program code that are not listed on the screen. Items that are on the screen but are not mapped are noted as well.</p>
<p>11. Mental Retardation</p>	<p>2</p>	<p><u>Case File Review Findings</u> 11 (15%) of the records analyzed did not match what was reported in AFCARS. The response reported to AFCARS should have been “condition applies” instead of “condition does not apply.”</p>

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		<p><u>Program Code LNs 851 – 999</u> See the spreadsheet “Characteristics” for notes on mapping.</p>
12. Visually or Hearing Impaired	2	<p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code LNs 851 – 999</u> See the spreadsheet “Characteristics” for notes on mapping.</p>
13. Physically Disabled (Child)	2	<p><u>Case File Review Findings</u> 4 (6%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code LNs 851 – 999</u> See the spreadsheet “Characteristics” for notes on mapping.</p>
14. Emotionally Disturbed	2 3	<p><u>Case File Review Findings</u> 24 (33%) of the records analyzed did not match what was reported in AFCARS. The response reported to AFCARS should have been “condition applies” instead of “condition does not apply.”</p> <p><u>Program Code LNs 851 – 999</u></p>
15. Other Medically Diagnosed Conditions Requiring Special Care	2	<p><u>Case File Review Findings</u> 9 (13%) of the records analyzed did not match what was reported in AFCARS. In eight of the error cases, the response reported to AFCARS should have been “condition applies” instead of “condition does not apply.” In the other error case, the response should have been “does not apply” instead of “applies.”</p> <p><u>Program Code LNs 851 – 999</u> See the spreadsheet “Characteristics” for notes on mapping.</p>
16. Has this Child Ever Been Adopted? 1 = Yes 2 = No 3 = Unable to Determine	4	<p><u>Frequency Report (n=16,740)</u>: Yes = 469 (3%); No = 16,227 (97%); Unable to determine = 44 (.26%); Not reported = 0</p> <p><u>Case File Review Findings</u> One of the records analyzed did not match what was reported in AFCARS. The child had been adopted prior to the current removal episode. The AFCARS response was “no.”</p> <p><u>Screen: Profile/Additional Tab</u> There is a section “Miscellaneous” that includes a field “Previously Adopted” with the options “yes,” “no,” “unable to determine.” As previously noted, the administrative value in AFCARS of “unable to determine” has a specific definition. The State should use more clear terminology for the values in the information system. For this element, consider replacing “unable to determine” with Safe Haven.</p>

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		<p>The system does not have the capacity to collect the information that must be reported under section 422(b)(12) of the Act. This section of the Act relates to inter-country adoptions and requires title IV-E agencies to identify the number of children who were adopted from other countries and entered into custody of the title IV-E agency, the reasons for the disruptions or dissolutions, the permanency plan for the children, and identify the agencies that handled the placement or adoption. Title IV-E/B agencies are currently required to provide this information in the Five-Year Child and Family Services plan, and annually in the Annual Progress and Services Report.</p> <p><u>Program Code LNs 742 -757 and 2594 – 2602</u> The value of element #16 is determined from the field on the screen. The State's values are mapped correctly to the AFCARS values. If the field is blank, this element is set to blank.</p>
<p>17. If Yes, How Old Was Child When Adoption Was Legalized?</p> <p>0 = Not Applicable 1=less than 2 years old 2=2-5 years old 3=6 to 12 years old 4=13 years or older 5 = Unable to Determine</p>	<p>2</p>	<p><u>Frequency Report</u> (n=16,740): Not applicable = 0; Unable to determine = 13 (.08%); Age categories = 482; Not reported = 16, 245 (97%)</p> <p><u>Screen:</u> Profile/Additional Tab There is a section "Miscellaneous" that includes a field "Age Adopted." The options are ages from 0 to 21, Over 21 and "unable to determine." As previously noted, the administrative value in AFCARS of "unable to determine" has a specific definition. The State should use more clear terminology for the values in the information system. For this element, consider replacing "unable to determine" with Safe Haven. There is not an option for "not applicable" that the caseworker can select. The State should either add the value "not applicable" to the options list or to have the system set the field to "not applicable" if the answer to previously adopted is "no." Alternatively, have the program code check element #16 for a value of "no." A response of "no" in the previously adopted field would then be mapped to "not applicable" in this element.</p> <p><u>Program Code LNs 742 -757 and 2603 – 2619</u> The program code sets this element from the age at adoption field on the screen. The program code first checks if the field contains an age. Since the actual age of the child at the time of adoption is entered the program code maps the age to the respective age group for this element. If "unable to determine" is selected it is mapped to the AFCARS value "5." Otherwise, this element is set to blank.</p> <p>All responses in element #16 that are "no" are incorrectly mapped to blank in this element instead of "not applicable."</p> <p><u>Post-site visit findings (LNs 1221and 3255):</u> The program code was corrected to map this element to "not applicable" if the option in the previously adopted field is a "no." The program next checks the previously adopted field for a response of "unable to determine" and if it is present, this element is mapped to "unable to determine" in AFCARS. The program code then checks and maps the ages.</p> <p>After mapping the age, the program code checks if "unable to determine" was selected and maps it to AFCARS "unable to</p>

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		determine." "Unable to determine" should only be reported for this element if the response in element #16 is also "unable to determine." If the child was previously adopted and the age is not known or the caseworker did not gather this information, then element #17 is to be left blank.
18 - 21		<p><u>Screen:</u> Removal Information; Case/Workload (history screen); Legal Information is entered on the Legal Custody/Status" screen. The screen "Removal Information" has the fields "Custody Removal Date," "Custody Discharge Date" and "Custody Discharge Reason." On the legal status screen, there is a field for the effective time of removal. This is a custody time that can be entered but if a time is not entered the system defaults to midnight. There does not appear to be an effective time related to the end of the removal.</p> <p>From the link "Initial Removal" the worker selects "Add Removal Record." Removal Information Tab: This screen has a field "Initial Removal Legal Status" that is pre-filled from the legal screen.</p> <p>The State modified the system and added a field for the worker to select whether the child is on a runaway status at the time the agency receives responsibility for placement and care. Once the child is found the worker can enter an end date. This enhancement was implemented on August 23, 2012. The State needs to provide a copy of the screen.</p> <p>In the section Legal Custody Episode and Status Information section of the legal screen, the history of a child's out-of-home experiences while in the agency's responsibility for placement and care is displayed. It does include those "custody" episodes in which the child's only placement was a hospital or a locked facility. If there is a gap in the legal status a red asterisk appears in the list.</p>
18. Date of First Removal From Home	3 ³ 2	<p><u>Case File Review Findings</u></p> <p>5 (7%) of the records analyzed did not match what was reported in AFCARS. The errors were related to the findings noted in the General Requirements and the program code. In one case, the date reported for this element was when the agency received responsibility of a child who was placed in a hospital. The child did enter foster care. Another was related to an infant of a teen mother. The infant had not been removed from his mother at the time. Later as a teenager, the child did enter foster care. This would be the child's only removal episode at this time. One error was due to the inclusion of an episode that was less than 24 hours in duration. There were two of the error cases an earlier date should have been reported.</p> <p><u>Program Code LNs 400 - 468</u></p> <p>The program code checks for the first removal episode date on the placement episode (removal episode) table. The program code correctly uses the start date of a foster care placement setting in those instances in which the child's first placement was either a locked facility or a hospital during the first removal episode.</p> <p>The program code needs to be modified to check the new runaway status field. If the first ever removal episode begins with a child on runaway, then the date the agency's responsibility for placement and care begins is to be reported for element #18.</p>

³ Elements 18 - 21 were incorrectly rated during the onsite review.

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		<p>The State will need to make related changes to this element to ensure that the correct date is used for the first ever removal episode of infant children of a minor parent who is in foster care if the infant is not in the same foster care setting as the minor parent (see General Requirements (GR) #1).</p> <p>As noted in GR #5, the State is not correctly identifying all records of children whose removal episode is 24 hours or less; the code is only checking for a start and end date of a removal that is on the same day. If the first ever removal episode was 24 hours or less, then the date reported for element #18 may be incorrect. This will have to be addressed once changes are made to correctly identify removal episodes that are 24 hours or less.</p> <p><u>Post-site visit findings (LN 244; runaway flag 265, 272, 332; provider relationship code of mother or father 319)</u> The program code was corrected by adding a check for the indicator field that identifies the child as being on runaway status at the time the agency is given responsibility for placement and care. The date the agency receives responsibility for placement and care, and not the date the child is picked up and placed in foster care, is reported to AFCARS for the date of the first removal from home, if applicable.</p> <p>As noted in GR #6, the program code was modified to check if the provider relationship is "father" or "mother." This was added, according to the programmer notes, to exclude records of children who were removed from a custodial parent and directly placed with a non-custodial parent. Consequently, if the child's first episode that is under the agency's responsibility for placement and care involved a removal from the custodial parent to the non-custodial parent, the date of that placement will not be reported for this element. If there is a subsequent removal episode that involves the child being placed in a foster care setting, it will be this episode that will be reported as the first removal from home date.</p>
19. Total Number of Removals From Home to Date	3 2	<p><u>Frequency Report:</u> There is a discrepancy of three records between elements #19 and #20. There are fewer records with a prior discharge date.</p> <p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. The error cases were the same cases noted above for element #18. There was one error case where it appears the child had one continuous episode and not two removal episodes. It appears that a placement in detention was reported as a discharge.</p> <p><u>Program Code LNs 400 - 468</u> Beginning with the removal date selected for element #18 the procedure counts the total number of distinct episodes that satisfy the placement type search criteria and that occur prior to the end of the current report period.</p> <p>The State will need to make related changes to this element to ensure that only situations where an infant is actually removed from a teen parent who is in foster care is included in the number of removals (see GR #1).</p> <p>Removal episodes that are less than 24-hours are never to be included in the removal count. As noted in GR #5, the State is</p>

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		<p>not correctly identifying all the records of children whose removal episode is 24 hours or less; the code is only checking for a start and end date of a removal that is on the same day. If a removal episode was 24 hours or less, then it will incorrectly be included in the total number of removals. This will have to be addressed once changes are made to correctly identify removal episodes that are 24 hours or less.</p> <p>If a child is removed from a custodial parent and placed directly with a non-custodial parent, this should never be included in the count of removal episodes (see GR #6).</p> <p><u>Post-site visit findings (LN 244; provider relationship code of mother or father 319)</u> As noted in GR #6, the program code was modified to check if the provider relationship is “father” or “mother.” This was added, according to the programmer notes, to exclude records of children who were removed from a custodial parent and directly placed with a non-custodial parent. It appears these situations will never be counted in the number of removal episodes.</p>
<p>20. Date Child Was Discharged from Last Foster Care Episode</p>	<p>3 2</p>	<p><u>Case File Review Findings</u> 3 (4%) of the records analyzed did not match what was reported in AFCARS. The error cases were the same cases noted above for element #18. There was one error case that based on the reviewer’s findings the child’s current removal episode began prior to the date that was reported in this element.</p> <p><u>Program Code LNs 486 - 540</u> The program code selects the most recent discharge date from a placement (removal) episode where the discharge date is prior to the end of the reporting period and prior to the most recent removal date. For children who have never had a prior removal episode, this element is set to blanks.</p> <p>The State will need to make related changes to this element to ensure the correct handling of cases of infants placed with their teen mother who is in foster care (see GR #1).</p> <p>As noted in GR #5, the State is not correctly identifying all records of children whose removal episode is 24 hours or less. If there was a prior removal episode that was 24 hours or less, and the child later re-enters foster care, the end date of the previous 24-hour episode is not to be reported for this element.</p> <p><u>Post-site visit findings (LN 244; runaway flag 265, 272, 332; provider relationship code of mother or father 319)</u> As noted in GR #6, the program code was modified to check if the provider relationship is “father” or “mother.” This was added, according to the programmer notes, to exclude records of children who were removed from a custodial parent and directly placed with a non-custodial parent. Consequently, if the child’s prior removal episode that is under the agency’s responsibility for placement and care involved a removal from the custodial parent to the non-custodial parent, the record will not be considered for this element.</p>

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Data Element	Rating Factor	Findings/Notes
21. Date of Latest Removal from Home	3 2	<p><u>Case File Review Findings</u> 3 (4%) of the records analyzed did not match what was reported in AFCARS. The error cases were the same cases noted above for element #18.</p> <p><u>Program Code LNs 78 – 154</u> The program code selects the most recent removal date on the placement (removal) episode table and the removal date is prior to the end of the report period and the discharge date (if present) is after the beginning of the reporting period.</p> <p>If the child’s first placement is a hospital or a locked facility, the date of the first foster care setting is correctly used as the removal date.</p> <p>The program code needs to be modified to check the runaway status field. The State’s data for the 2012A report period includes records of children whose only placement setting is “runaway” (element #41) and both the date of removal and date of placement are the same. Additionally, the number of placements is correctly reported as zero.</p> <p>Once changes are made to correctly exclude all records of children whose removal episode is 24 hours or less (see GR #5), this element should be corrected too.</p> <p><u>Post-site visit findings (LN 244; runaway flag 265, 272, 332; provider relationship code of mother or father 319):</u> The program code was corrected by adding the check for the indicator field that identifies the child as being on runaway status at the time the agency is given responsibility for placement and care. The date the agency receives responsibility for placement and care, and not the date the child is picked up and placed in foster care, is reported to AFCARS for the date of latest removal from home.</p> <p>The State will need to make related changes to this element to ensure the correct date is used for infant children of a minor parent who is in foster care if the infant is not in the same foster care setting as the minor parent (see GR #1).</p>
22. Removal Transaction Date	4	<p><u>Program Code LNs 80</u> The removal transaction date is set to the creation date of the placement (removal) episode entry representing the most recent removal for the child (the record selected for element #21). The creation date of the record is computer generated and non-modifiable.</p>
Placement Information - Elements #23, 24, and 41		<p><u>Screen: Case>Workload>Placements</u> There are the fields: Service Type, Begin Date, and Placement Type.</p> <p>There is an area for “Additional Information.” The options here include: ICPC Placement, Emergency Placement, After-Hours Placement, ICWA Placement, and Was Race, Color, or National Origin a factor in the Placement Decision. The State team indicated “ICPC Placement” is checked for outbound placements (placements by ODJFS that are in another State).</p>

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Data Element	Rating Factor	Findings/Notes
		<p>There is a section for “Provider Information.” There are four pre-populated fields: Provider, Service Description, Service ID, and Primary Address. There is a field “ICCA Delivered Date” that can be selected as well as “Relationship to Child.”</p> <p>There are fields to enter first the “service type” then the “placement type.” The options in “placement type” are dependent on what was entered in the “service type” field. There is yet another field that the worker must select in order to enter an absence from an ongoing placement (“leave”).</p> <p>The options for “Service Type” are: Approved Adoptive Home, Children’s Residential Center, Detention Facility, Emergency Foster Care, Emergency Shelter Care Facility, Family Foster Home, Group Home, Independent Living, Inpatient Psychiatric, Kinship Care – Parent (ICPC), Kinship Care – Relative Home, Kinship Care – Non-Relative Home, Medically Fragile Foster Home, Nursing Home, Pre-Adoptive Infant Home, Residential Parenting Home, Treatment Foster Home Special Needs, Treatment Foster Home Exceptional, Hospital Admission, Trial Home Visit, Emergency Care, Historical IV-E Service, Non-Reimbursable Service.</p> <p>Except for two service type options (Child Residential Center and Non-Reimbursable Service) all service types have only one corresponding placement type. Also, there are some placement types that are listed under different service types (i.e., are in more than one category). Some of the service types are more descriptive of the living arrangement than the placement type, for instance the service type “inpatient psychiatric” (placement type of “licensed medical/educational facility – MEF”) and “pre-adoptive infant home” (placement type of “certified foster home”).</p> <p>The “placement leaves” screen includes “AWOL” and hospital. There are values for medical facilities in both the placement setting (“licensed medical/educational facility”) and placement leave (“hospital admission”) sections.</p> <p>There is not a central location that a person can view a history of the all the locations the child has been since entering the agency’s responsibility for placement and care. Additionally, the “placement records” section of the system that does display a history of the placement has no connection to removal episodes. There are gaps of time between placements and one must know that these reflect the end of an episode. The system should have one history screen depicting all locations (placements and temporary absences from the ongoing placement) for a child in one history table that can be viewed on the screen. As discussed during the review, this is important in the event of a disaster (natural or man-made).</p>
23. Date of Placement in Current Foster Care Setting	2 3	<p><u>Screen:</u> Case>Workload>Placements</p> <p>There is a field to enter the begin date and an estimated end date. There is not a field to enter the actual end date of a placement. However, the system will allow the entry of a placement date that is the same as the end date of the prior placement. This prevents a having a gap appear when the placements are displayed in the history screen.</p> <p><u>Case File Review Findings</u> 4 (6%) of the records analyzed did not match what was reported in AFCARS. One error was related to the child being placed</p>

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Data Element	Rating Factor	Findings/Notes
		<p>with a non-custodial parent. The record should have been reported as a discharge from the AFCARS reporting population. The other errors were related to what was being considered as a placement move. See findings for element #24.</p> <p><u>Program Code LNs 78 – 153, 282 – 382, and 2396</u> The program code selects the placement date that is the most recent one prior to the end of, or equal to, the report period being transmitted.</p> <p>The program code will report the start date of a placement in a locked facility.</p> <p>The program code excludes placement dates of hospitals. The program code must be modified to determine if a hospitalization is for an acute, short-term stay or longer. If it is for non-acute care, the date of the hospitalization is to be reported for this element if the child is in the hospital as of the end of the report period.</p> <p>The program code does not check for the start date of a “trial home visit” or runaway. If at the end of the report period the child is placed back in his/her own home and the agency still has responsibility for placement and care, then the date the child was placed home is to be reported for element #23. Also, if at the end of the report period the child is on a runaway status, the date the child ran away is to be reported for element #23. If the child returns to the same foster home, then the date that foster home placement originally began is to continue to be reported as the date of the current placement. This is also true if a child returns to the same foster home following a “trial home visit.”</p> <p>The living arrangements “AWOL, abducted by family member, AWOL” and “non-family abduction” do not meet the AFCARS definition of runaway. If the child is abducted from his/her foster care setting, the State is to continue reporting information on the foster home setting from where the child was abducted. For this element, the date does not change.</p> <p>Based on the results of the test deck, the State is incorrectly reporting the date when a placement status changes for a foster parent who becomes the pre-adoptive parent. The date a pre-adoptive placement begins is to only be reported if the pre-adoptive parents are not the same as the foster parents. See the findings for foster care element #41. The Ohio SACWIS design originally did not allow the selection of pre-adoptive home for those children whose foster parents become the prospective adoptive parents. The system was modified in July, 2012 and the enhancement now allows the caseworker to enter that the current foster parent has become a pre-adoptive parent. The program code will correctly report the date of the placement as when the child was initially placed in the foster home.</p> <p>If a child is placed in a setting like a campus that has multiple “cottages” (group home settings) on the same campus and moves from one setting to another on the campus, then the date is not to change. It appears based on the program code that in these situations the State is incorrectly reporting the placement date. Modify the program code to check if the setting is on the same campus or not, and if so then report the original placement date of the first setting on this campus.</p>

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Data Element	Rating Factor	Findings/Notes
		<p><u>Post-site visit findings (LN 244 and 756):</u> It appears that if a hospital stay is greater than 15 days, the date the child entered the hospital will be used for this element. Additionally, once the child is discharged and returns to a foster care setting this date will be reported even if the child returns to the same foster home.</p> <p>The program code related to AWOL and trial home visit has been modified. The program code references “Leaves” and “Leaves Dates.”</p> <p>The State’s values for “AWOL, abducted by family member, AWOL” and “non-family abduction” have been removed. The State also removed “AWOL, whereabouts unknown contact CW.” This value seems it would be a valid value for “runaway.” The State and Federal team need to discuss whether it should be included.</p> <p>The program code was modified to account for placements between cottages on the same campus. It appears that the date will not change when these moves occur.</p>
24. Number of Previous Placement Settings During This Removal Episode?	2 3	<p><u>Frequency Report:</u> There are 31 records reported as having zero placements. A review of the detailed data finds that 30 of the records reflect the child was on a runaway status and the dates of removal and date of placement are the same. These are children that at the time the agency received responsibility for placement and care the child was a runaway and this is the only placement the child has had by the end of the report period for 2012A. Additionally, one record indicates the child has been in the agency’s responsibility for placement and care and on a runaway status since 2010.</p> <p>One of the above 31 records indicated the child’s current living arrangement is “trial home visit.” The date of removal and date of placement are the same. This record should not have been included in the foster care reporting population. See findings for GRs #1 and #6.</p> <p><u>Case File Review Findings</u> 11 (15%) of the records analyzed did not match what was reported in AFCARS. The errors are related to the issues identified below with how the extraction code is counting placements.</p> <p><u>Program Code LNs 558 - 605</u> The program code excludes temporary absences from an ongoing placement in the number of placements. However, there is no logic to check for the length of a hospital stay. If a child is hospital for longer than an acute care stay, the hospitalization is to be included in the placement count.</p> <p>The program code initializes this element to zero. As noted above for the frequency response for this element there were 31 records reported as zero. While in the data set used for the review the use of zero placements accurately reflects children on a runaway status as their only placement, the program code needs to be modified. Initialize this element to blank and only set</p>

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Data Element	Rating Factor	Findings/Notes
		<p>this element to zero if the only placement as of the end of the report period is “runaway.”</p> <p>The State needs to verify that if a detention is entered as a “placement” that it is counted as a move.</p> <p>If a child is placed in a setting on a campus that has multiple “cottages” (group home settings) and the child moves from one setting to another on the campus, then the placement count does not change. It appears based on the program code that in these situations the State is incorrectly counting the placement move. Modify the program code to check if the setting is on the same campus or not, and if so, do not increment the placement count.</p> <p><u>Post-site visit findings (LN 1067)</u> The program code was modified. Detention is now included in the placement count.</p> <p>If a hospital stay is greater than 15 days, it will be included in the placement count.</p> <p>The program code was modified to account for placements between cottages on the same campus. These moves will not increment the placement count.</p>
<p>25. Manner of Removal from Home for Current Removal Episode</p> <p>1 = Voluntary 2 = Court Ordered 3 = Not Yet Determined</p>	<p>2</p>	<p><u>Frequency Report</u> (n=16,740): Voluntary = 1,499 (9%); Court Ordered = 15,172 (91%); Not Yet Determined = 39 (.23%); Not reported = 30 The records missing a manner of removal could be converted records.</p> <p><u>Case File Review Findings</u> 5 (7%) of the records analyzed did not match what was reported in AFCARS. The cases identified in error were reported as “court order” and the reviewers found that the child initially entered foster care under a “voluntary placement agreement.”</p> <p><u>Screen:</u> “Removal Information.” There is a field “Circumstances.” The options for this field (based on the data dictionary) are: Court Ordered Removal, Undetermined (the circumstances of the child’s removal are undetermined), Voluntary, Childs parent/guardian/custodians identity and/or whereabouts unknown, PCSA Received Custody, Child of a Minor Parent.</p> <p>The State team indicated the values for “child of minor parent” and “Childs parent/guardian/custodians identity and/or whereabouts unknown” are inactive. Since a child of a minor parent who is residing with the parent in the same foster home is not to be included in the reporting population, the State needs to ensure that this element is reported correctly.</p> <p>The State does enter into voluntary placement agreements (VPA) with the parent(s). The time frame for the initial VPA is 30 days. The VPA can be extended for 30 days up to two times for a maximum of 90 days. The system does not maintain a history of the manner of removal and the workers are entering (thus deleting) when a VPA becomes a court ordered custody.</p>

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Data Element	Rating Factor	Findings/Notes
		<p>The system has to be modified to maintain a history of this removal information. If the agency obtains a court order to retain responsibility for placement and care in order to continue a child’s removal episode at the end of the VPA timeframe, then this element must continue being reported as “voluntary.”</p> <p>The responses for this element may also be incorrect due to the issue of a court order expiring while the child is still in foster care but the caseworker enters it as a new removal episode when the new court order is obtained. (See foster care element #56.)</p> <p><u>Program Code LNs 78 – 152, 282 – 381 and 2621 - 2635</u> The program code selects this information from the removal circumstance field. The State maps its values as follows: “Voluntary” is mapped to AFCARS value “1.” “Court Order Removal,” “PCSA Received Custody,” “CHILDPARENTGURDCUSTIDENT” and “Child of Minor Parent” are mapped to the AFCARS value “2.” “Undetermined” is mapped to “3” and if any other value is found or no information is found, this element will be set to blank.</p> <p>Infants of teen parents who reside with the teen parent are not considered to be in the AFCARS reporting population (see General Requirements #1). This element should only apply to the teen parent. If the infant is removed from the teen parent and placed in a different foster care setting, then the infant is part of the reporting population and this element would need to be reported with the appropriate AFCARS value.</p>
<p>Actions or Conditions Associated With Child’s Removal</p> <p>0-Does not Apply 1-Applies</p>		<p><u>Screen:</u> “Removal Information.” There is a field “Primary Removal Reason” that has a drop-down list that the caseworker can select one option. There also is a field “Available Secondary Removal Reasons” where the worker can select all additional reasons.</p> <p>The “primary removal reason” field was implemented in July 2011. The State needs to combine the removal reasons into one list and not have a primary and a secondary field. All conditions that contributed to why the child was removed from his/her home and are to be addressed as part of a case/family plan. See the findings from the case file review. There were additional reasons found by the reviewers that were not entered into the system and reported to AFCARS.</p> <p>Due to the number of records identified as having additional removal reasons than what was reported to AFCARS, these elements were rated a two and the State needs to remove the secondary field. The State should be identifying all reasons that contributed to why a child entered foster care and use this data in its evaluation of programs and services to identify gaps in services or other contributing factors. As noted in GR #21, a State is to use its AFCARS data, in conjunction with other data in a process to continually improve services to children and families. The Federal and State team will further discuss during the Improvement Plan Phase.</p> <p><u>Program Code LNs 1013 – 1188</u> The program code checks both the primary and secondary removal reasons for the current removal episode.</p>

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Data Element	Rating Factor	Findings/Notes
26. Physical Abuse (alleged/reported)	3 2	<u>Case File Review Findings</u> 4 (6%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
27. Sexual Abuse (alleged/reported)	3 2	<u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
28. Neglect (alleged/reported)	3 2	<u>Case File Review Findings</u> 8 (11%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.” In addition to neglect, the State’s values “emotional maltreatment mental injury” and “withholding treatment from a disabled infant” are mapped to this element.
29. Alcohol Abuse (parent)	3 2	<u>Case File Review Findings</u> One of the records analyzed did not match what was reported in AFCARS. The response should have been “applies” instead of “does not apply.”
30. Drug Abuse (parent)	3 2	<u>Case File Review Findings</u> 8 (11%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
31. Alcohol Abuse (child)	3 2	<u>Case File Review Findings</u> 4 (6%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
32. Drug Abuse (child)	3 2	<u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
33. Child's Disability	3 2	<u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”
34. Child's Behavior Problem	3 2	<u>Case File Review Findings</u> 5 (7%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.” In addition to “child behavior problem,” “delinquency,” and “unruly status offender” is mapped to this element
35. Death of Parent(s)	3 2	

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Data Element	Rating Factor	Findings/Notes
36. Incarceration of Parent(s)	3 2	<p><u>Case File Review Findings</u> 3 (4%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”</p>
37. Caretaker’s Inability to Cope Due to Illness or Other Reason	3 2	<p><u>Case File Review Findings</u> 6 (8%) of the records analyzed did not match what was reported in AFCARS. In the error cases, the response should have been “applies” instead of “does not apply.”</p> <p>In addition to “caretaker’s inability to cope,” “dependency” is mapped to this element.</p>
38. Abandonment	3 2	
39. Relinquishment	3 2	In addition to “relinquishment” “deserted child/Safe Haven” is mapped to this element.
40. Inadequate Housing	3 2	<p><u>Case File Review Findings</u> 5 (7%) of the records analyzed did not match what was reported in AFCARS. In four of the error cases, the response should have been “applies” instead of “does not apply.” In one error case, the response should have been “does not apply” instead of “applies.”</p>
<p>41. Current Placement Setting</p> <p>1 = Pre-Adoptive Home 2 = Foster Family Home (Relative) 3 = Foster Family Home (Non-Relative) 4 = Group Home 5 = Institution 6 = Supervised Independent Living 7 = Runaway 8 = Trial Home Visit</p>	2	<p><u>Frequency Report (n=16,740)</u>: Pre-Adoptive Home = 825 (5%); Foster Family Home (Relative) = 3,125 (19%); Foster Family Home (Non-Relative) = 9,732 (58%); Group Home = 540 (3%); Institution = 1,754 (10%); Supervised Independent Living = 155 (1%); Runaway = 239 (1%); Trial Home Visit = 370 (2%); Not reported = 0</p> <p>By State statute children who are being adopted must reside with the family for six months. The response rate for “pre-adoptive home” is low but the State team believes the numbers will increase because of the enhancement that was implemented in July, 2012. The Ohio SACWIS design originally did not allow the selection of pre-adoptive home for those children whose foster parents become the prospective adoptive parents. The enhancement now allows the caseworker to note this once the adoption placement agreement is signed.</p> <p><u>Case File Review Findings</u> 3 (4%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Screen</u>: Case>Workload>Placements</p> <p><u>Program Code LNs 78 – 152, 167 – 173, 282 – 382, 2396 – 2414, and 2636 – 2684</u> The program code checks leave and placement types (placement setting table and placement leave table). The program code also checks for certain provider numbers when determining a “leave” from a placement. This element will be set to blank if no information is entered. The State’s mapping of its placement and leave type codes is correct except for the items listed</p>

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Data Element	Rating Factor	Findings/Notes
		<p>below.</p> <p>The State is incorrectly mapping “ICPCPARENT” and “ICPCREGULATION7PARENT” to “foster family home (relative).” Parents are not considered relatives and if the child is being placed with a non-custodial parent, even if the agency still has placement and care, then the child is to be reported as discharged and reunified for AFCARS reporting purposes (element #56 and #58).</p> <p>The leave type codes “AWOLABDUCTEDBYFAMILYMEMBER” and “AWOLNONFAMILYABDUCTION” are mapped to “runaway.” These values are to be removed from the extraction as they are not considered to be runaway situations and the setting from which the child was abducted is to be reported in AFCARS.</p> <p>The program code needs to be modified to check the number of days of a hospital stay. If the placement is for non-acute care, then it is to be included as a placement of “institution” in AFCARS.</p> <p><u>Post-site visit findings</u> The State’s definitions of a Certified Children’s Residential Center (CRC) is “Children’s residential center” (CRC) means a facility in which eleven or more children, including the children of any staff residing at the facility, are given non-secure care and supervision twenty-four hours a day.” These are being mapped to “institution.” However, for AFCARS reporting a facility that is less than 13 beds is to be reported as a “group home.” Based on the State’s definition of a CRC, these should be mapped to “group home” in AFCARS.</p> <p>Provide the size of “Emergency Shelter Care Facility” and “Residential parenting facility.”</p> <p><u>LN 244 – 552, 891, and 3302:</u> The program code was modified and the leave types related to abduction were removed. Also, the program code now checks if a hospital stay is greater than 15 days. The leave type codes of “Hospital” and “Hospital Admission” are mapped “Institution.” The leave type code “Detention” was also added and is mapped to “institution.”</p> <p>The placement of ICPC parent is still incorrectly mapped to foster home (relative).</p>
<p>42. Is Current Placement Setting Outside of the State or Tribal Service Area</p> <p>1 = yes 2 = no</p>	<p>4</p>	<p><u>Frequency Report</u> (n=16,740): Yes = 286 (2%); No = 16,450 (98%); Not reported = 4</p> <p><u>Program Code LNs 1238 – 1249</u> The value of element #42 is derived from the value of the State code in the address record for the current foster care placement. If the code indicates an in-State placement the value of #42 is set to “2” (no) otherwise it is set to “1” (yes).</p>
<p>43. Most Recent Case Plan Goal</p>	<p>2</p>	<p><u>Frequency Report</u> (n=16,740): Reunify = 9,698 (58%); Live With Other Relative(s) = 190 (1%); Adoption = 3,257 (20%); Long-Term Foster Care 922 (6%); Emancipation = 378 (2%); Guardianship = 0; Case Plan Goal Not Yet Established = 1,392 (8%);</p>

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Data Element	Rating Factor	Findings/Notes
<p>1 = Reunify with Parent(s) or Principal caretaker(s) 2 = Live with Other Relative(s) 3 = Adoption 4 = Long Term Foster Care 5 = Emancipation 6 = Guardianship 7 = Case Plan Goal Not Yet Established</p>		<p>Not reported = 903 (5%)</p> <p><u>Case File Review Findings</u> 6 (8%) of the records analyzed did not match what was reported in AFCARS. There were three errors related to the reporting of emancipation” and “long-term foster care.” In two records the child did have a connection to an adult and the goal should have been “emancipation” instead of “long-term foster care.” In the other case, the child did not have a connection to an adult and the goal should have been “long-term foster care.” In one error case, the response should have been “reunification” instead of “case plan goal not yet established.” There were two records reported as blanks but the reviewer found an active case plan goal. In one case, the case plan goal should have been “emancipation.” The youth had been in foster care for eight years. In the other case, the response should have been “reunification.”</p> <p><u>Screen: Case>Workload>Case Plans</u> The options are: Adoption; Independent Living; Maintain in own home; Prevent removal; Permanent Placement with Relative; Placement of Child(ren) in a Planned Permanent Living Arrangement, excluding adoption (PPLA); Return the child to parent/guardian/or custodian (Reunification).</p> <p>Guardianship is not an option on the list. The State team indicated they do not use guardianship as a goal. However, there are 174 records reported as an outcome to “guardianship.” Clarify if all these were relative guardianships and that none were granted to a non-relative.</p> <p>The State and Federal teams discussed how to report the State’s goal of PPLA. If a child does not have a permanent connection to an adult, then PPLA is to be mapped to the AFCARS value of “long-term foster care.” If there is a permanent connection, then map it to “emancipation.”</p> <p><u>Program Code LNs 1202 – 1224 and 2693 - 2713</u> The program code selects the most recent case plan goal with a date that is prior to the end of the report period. If the child has been in foster care for less than 60 days, and a goal has not been entered into the system this element is set to “not yet established.”</p> <p>If the child has been in foster care for 60 or more days and a goal has not been entered into the system, this element will be reported correctly as a blank.</p> <p>If the child has been in foster care for less than 60 days, and the goal is “maintain in own home,” this element is set to “not yet established.” This does not seem correct. The State needs to clarify when this situation would occur and whether the child had been in foster care or not per the standards in GR items #1 and #6.</p>

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Data Element	Rating Factor	Findings/Notes
		<p>If the child has been in care for 60 or more days and the goal is “maintain in own home” the goal will be reported as blank. This may be a problem if the caseworker chooses this option for children who are still in the agency’s responsibility for placement and care but returned home (reported as a living arrangement of “trial home visit” in element #41). Verify that a goal will be reported in this situation.</p> <p>Also, the goal “Prevent removal” is not mapped to an AFCARS goal and if selected would be reported as a blank. This too might be an option a caseworker selects for a child who has been returned home and is still in the agency’s responsibility for placement and care.</p> <p>The State’s goals are mapped correctly except for the issue noted above. The State needs to implement a method to determine if the child has a permanent connection with an adult in order to correctly map the State’s goals “Placement of Child(ren) in a Planned Permanent Living Arrangement, excluding adoption (PPLA) and “Independent Living.” If there is no connection to an adult then these goals are to be mapped to the AFCARS value of “long-term foster care.” If there is a connection to an adult, then the goals would be mapped to “emancipation.”</p>
<p>44. Caretaker Family Structure</p> <p>1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male 5 = Unable to Determine</p>	<p>2</p>	<p><u>Screen:</u> Initial removal screen</p> <p>There is section “Child removal from home information” with a field for “caretaker structure. The options are: Single female; legally separated; married couple; single female; single male; unable to determine; and unmarried couple.</p> <p>See previous discussions regarding using the AFCARS administrative code “unable to determine” as an option on the selection list. This numbers for this value in AFCARS should reflect children who were abandoned and at the time the file was transmitted the agency had not yet identified the parent(s) or children who entered foster care through the State’s Safe Haven program.</p> <p><u>Frequency Report</u> (n=16,740): Married Couple = 2,905 (17%); Unmarried Couple = 2,524 (15%); Single Female = 8,912 (53%); Single Male = 825 (5%); Unable to Determine = 1,574 (9%); Not reported = 0</p> <p><u>Case File Review Findings</u></p> <p>6 (8%) of the records analyzed did not match what was reported in AFCARS. Three of the error cases were entered as “unable to determine.” The reviewer found that the family structure was “single female.” In one of the cases, a year of birth was reported in the AFCARS file for element #45.</p> <p><u>Program Code LNs 78 – 153, 282 – 382 and 2714 - 2728</u></p> <p>The extraction code determines the caretaker family structure from the field on the screen for the child’s current removal episode. The State is correctly mapping its values to those in AFCARS except as noted below.</p> <p>In addition to the values on the screen the values ““FATHER –PARENT” and “MOTHER –PARENT” (mapped to “unmarried</p>

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Data Element	Rating Factor	Findings/Notes
		<p>couple”), “MOTHERONLY” (mapped to “single female”), and “FATHER ONLY” (mapped to “single male”) are included in the program code. These are to account for older values used and for children who entered foster care and are still in foster care. If the program code finds a value other than those on the screen or listed above or no information is entered in the field, this element is incorrectly set to “unable to determine.” Consequently, the State’s option “legally separated” and missing data are incorrectly mapped to “unable to determine.” Modify the program code to map missing data to blank. Map “legally separated” to “married couple.”</p>
45. Year of Birth (1 st Principal Caretaker)	3	<p><u>Screen:</u> Basic Tab</p> <p><u>Frequency Report:</u> There is one record with a year of 1900; 1 with 1918. There are several records with a year of birth after 2002 (108). There were 70 of these records with a year of birth that was between 2007 and 2012.</p> <p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. <u>Program Code LNs 1263 – 1275</u> The principle caretaker’s year of birth is obtained from their person record.</p> <p><u>Post-site visit findings (LNs 244 – 334, 751 – 865 and 3382)</u> The program code was modified so that if the year 1900 was entered into the system it will be mapped to blank.</p>
46. Year of Birth (2 nd Principal Caretaker - if applicable)	3	<p><u>Screen:</u> Basic Tab</p> <p><u>Frequency Report:</u> There are two records with a year of 1900; 1 with 1911; 1 with 1913; and 1 with 1921. There are several records with a year of birth after 2002 (52).</p> <p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. <u>Program Code LNs 1289 – 1301</u> The 2nd caretaker’s year of birth is obtained from their person record.</p> <p><u>Post-site visit findings (LNs 244 – 334, 751 – 865 and 3382)</u> The program code was modified so that if the year 1900 was entered into the system it will be mapped to blank.</p>
47. Date of Mother's Parental Rights Termination (if applicable)	2	<p><u>Screen:</u> Legal, Ruling Information Section There are the fields “Date of Ruling,” “Ruling Type,” and a selection list “Ruling(s) Received.” The caseworker can select all related rulings and add them to the selection box.</p> <p><u>Case File Review Findings</u></p>

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Data Element	Rating Factor	Findings/Notes
		<p>2 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code LNs 2139 – 2176</u> The program code checks for a TPR date in two routines. In both of the routines the program code checks the fields ruling type and ruling(s) received. Also, both routines check for the earliest date. In the first routine, the program code looks for a legal action type code of “permanent custody” and “TPR-Mother” and a legal action code of “RECRLNG.” This routine looks for a date that is prior to the end of the report period. Prior to the onsite review, this section was modified to also include the legal action type code “PC” (permanent custody).</p> <p>The second routine checks for “ruling received text” of: “PC,” “PERMCUST,” “PERMSURR,” “PTRMOMANBNDN,” “TPRMOM,” “TPRMOMCNVCTD,” “TPRMOMDESERTEED,” “TPRMOMFAILTX,” “TPRMOMINVOLTPRSIB,” “TPRMOMINVOLUNTARY,” “TPRMOMVOLPERMSURR,” and “TPRMOMWHELDMED.” This routine does not check for a date that is prior to the end of the report period. The program code needs to be modified to include this check, especially for submitting prior report periods in order to ensure the date reported does not appear to be a future date. Prior to the onsite review, this section was also modified to include the legal action type code “PC” (permanent custody).</p> <p>The date reported should be reflective of the most recent TPR date for the mother. Check the routine in the program code that is checking for the earliest date and verify that the date is accurately being reported to AFCARS.</p> <p>Also, the program code needs to be modified to also check the field on the person screen that is for a deceased date. If the legal or biological parent is deceased, and no other parent needs to have their parental rights terminated, then the deceased date should be reported for this element.</p> <p><u>Post-site visit findings (LN 2603)</u> The program code was modified in both routines so that now it selects the latest TPR date. Also, in both routines the legal action type codes “PERMSURR” and “PERMCUST” were added. The second routine was modified to check for a date prior to the end of the report period. The program code appears to still not check for a deceased date. There is a check for a deceased date when the code is selecting information for the caretakers but it is not used for the TPR field.</p>
48. Date of Legal or Putative Father's Parental Rights Termination (if applicable)	2	<p><u>Screen:</u> Legal, Ruling Information Section See notes for element #47.</p> <p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS.</p> <p><u>Program Code LNs 2190 - 2227</u> The program code for this element is the same as for the mother's TPR except using the codes related to the father. The</p>

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Data Element	Rating Factor	Findings/Notes
		<p>program code needs to extract the latest date for the last father that has had a termination of parental rights, and not check for just the legal or biological father.</p> <p><u>Post-site visit findings (LN 2651)</u> The same changes made for element #47 were also made for this element.</p>
<p>49. Foster Family Structure</p> <p>0=Not Applicable 1 = Married Couple 2 = Unmarried Couple 3 = Single Female 4 = Single Male</p>	<p>2 3</p>	<p><u>Frequency Report</u> (n=16,740): Not applicable = 0; Married Couple = 7,209 (43%); Unmarried Couple = 538 (3%); Single Female = 3,724 (22%); Single Male = 306 (2%); Not reported = 4,963 (30%)</p> <p><u>2012B. Frequency Report</u> (n=16,834): Not applicable = 3,200 (19%); Married Couple = 7,766 (46%); Unmarried Couple = 650 (4%); Single Female = 4,162 (25%); Single Male = 390 (2%); Not reported = 666 (4%)</p> <p>Note the number of records reported in the 2012B file for non-foster home settings is 3,200. In element #51, second foster parent's year of birth, there are 8,447 records reported with a year of birth. However, there were only 8,416 records reported in this element for married and unmarried couple. Also, note that the number of records reported for element #50, first caretaker's year of birth, was greater than the number of records reported to this element with a value of 1 through 4. This was supported by the case file review. The year of birth is being reported but the remainder of the demographic information. The State needs to investigate if this is a data entry issue or if there is something incorrect with the extraction code.</p> <p><u>Case File Review Findings</u> 4 (9%) of the records analyzed did not match what was reported in AFCARS. The error cases do not include those in which the response was left blank instead of indicating "not applicable" for those elements that this is a valid response. This is a technical error and not a data entry error and is noted in the findings of the program code. Also, there were 28 records reported to AFCARS as the child's living arrangement that was not a foster home setting. There was an additional record reported as a foster home but the reviewer found that the current setting was actually a group home. The percentage of errors is based on the 44 records found to be of children living in a foster home. There were records reported with missing information for these elements. Three records were reported as blank for foster parent family structure.</p> <p><u>Screen: Provider/Directory Tab</u> Under the section "Household Marital Status Details" there is a field for "marital status" as well as "effective date." The options on the list include: Married two parent household with two biological/adoptive parents; Married two parent household with one biological/adoptive and one step-parent (not in data dictionary); Unmarried two parent household with two biological/adoptive parent and cohabitating partner; Unmarried two parent household with one biological/adoptive parent and cohabitating partner; Single parent household, mother only; Single parent household, father only; Single parent household, mother with other adult (relative); Single parent household, father with other adult (relative).</p> <p>The list used for foster family structure and the list used for the caretakers are not the same. The field name on the provider tab indicates "marital status" but the options are descriptions of household members.</p>

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Data Element	Rating Factor	Findings/Notes
		<p>There is a new enhancement that now requires all substitute care givers demographics to be entered.</p> <p><u>Program Code LNs 1315 – 1331 and 2731 - 2764</u> The foster family’s structure is taken from the field “marital status.” The program code first checks for the leave type codes “AWOL,” “AWOLRNWYWHRBTSUNKCONTACTCW,” “RUNAWAYAWOL” or “TRIALHOMEVISIT” and if one of these is the child’s current living arrangement this element is set to blank. Also, the program code checks for the values related to abduction. The program code needs to be modified to remove the values for abduction. The code should continue to report the marital status of the foster caretaker for the foster home the child was living in at the time the child was abducted.</p> <p>If no family structured entered into the system, or if the child is in a non-foster home setting, this element is set to blank. If the child’s current placement setting is not a foster home (values “4” through “8” in element #41), then this element is to be set to “not applicable.”</p> <p>The program code includes the values “married couple,” “unmarried couple,” “single female” and “single male.” These are not options on the screen. The State needs to clarify where in the system the program code is checking for this value.</p> <p>The remaining State values are mapped correctly to AFCARS.</p> <p><u>Post-site visit findings (LN3406)</u> The program code was modified. The routine to check for the leave values for abduction, runaway, and trial home visit were removed. The program code now checks the routine that sets the current placement (element #41) and if the value is for a non-foster home setting, this element is set to “not applicable.”</p>
50. Year of Birth (1 st Foster Caretaker)	2 3	<p><u>Screen:</u> Basic Tab</p> <p><u>Frequency Report:</u> There are four records with a year of 1900. There are five records with a year of 2011.</p> <p><u>Case File Review Findings</u> 3 (7%) of the records analyzed did not match what was reported in AFCARS. The percentage of errors is based on the 44 records found to be of children living in a foster home. In two error cases a date should have been reported instead of this element being blank.</p> <p><u>Program Code LNs 1418 - 1429</u> The extraction code obtains the 1st foster caretaker’s year of birth from the birth date in their person record. If the child’s leave_type_code in their episode data is “AWOL,” “AWOLABDUCTEDBYFAMILYMEMBER,” “AWOLNONFAMILYABDUCTED,” “AWOLRNWYWHRBTSUNKCONTACTCW,” “RUNAWAYAWOL” or “TRIALHOMEVISIT” the value of #50 is set to null.</p>

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Data Element	Rating Factor	Findings/Notes
		<p>The program code needs to be modified to remove the values for abduction. The code should continue to report the year of birth of the foster caretaker for the foster home from which the child was abducted.</p> <p><u>Post-site visit findings (LN3435)</u> The program code was modified by removing the leave type codes. The routine now uses the values for the element #41 and if the child is in a non-foster home setting, this element is left blank. If a year of birth of 1900 was entered into the system, then this element is set to blank.</p>
51. Year of Birth (2 nd Foster Caretaker)	2 3	<p><u>Screen:</u> Basic Tab</p> <p><u>Frequency Report:</u> There are four records with a year of 1900 and two with a year of 1916. There are two records with a year of 1997, 3 for 2001, and 1 with 2005. There is one record with invalid data (0).</p> <p><u>Case File Review Findings</u> 2 (5%) of the records analyzed did not match what was reported in AFCARS. The percentage of errors is based on the 44 records found to be of children living in a foster home. In the error cases, this element was reported as blank. In one case, the response in element #49 was “married couple” and in the other case element #49 indicated “unmarried couple.”</p> <p><u>Program Code LNs 1444 - 1455</u> The extraction code obtains the 2nd foster caretaker’s year of birth from the birth date in their person record. If the child’s leave_type_code in their episode data is “AWOL,” “AWOLABDUCTEDBYFAMILYMEMBER,” “AWOLNONFAMILYABDUCTED,” “AWOLRNWYWHRBTSUNKCONTACTCW,” “RUNAWAYAWOL” or “TRIALHOMEVISIT” the value of #51 is set to null.</p> <p>The program code needs to be modified to remove the values for abduction. The code should continue to report the year of birth of the foster caretaker for the foster home the child was abducted.</p> <p><u>Post-site visit findings (LN 3442)</u> The program code was modified by removing the leave type codes. The routine now uses the values for the element #41 and if the child is in a non-foster home setting, this element is left blank. If a year of birth of 1900 was entered into the system, then this element is set to blank.</p>
52. Race of 1 st Foster Caretaker a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific	2	<p><u>Screen:</u> Demographic Tab</p> <p>The same person screen is used for the demographic information for foster parents. See the findings in foster care element #8.</p> <p>The AFCARS administrative value “unable to determine” would only be used for foster parents if they declined to give their race information.</p>

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Data Element	Rating Factor	Findings/Notes
Islander e. White f. Unable to Determine		<p><u>Frequency Report:</u> There are 178 (1%) records reported with more than one race. There are no records reported as having a race and “unable to determine.”</p> <p><u>Case File Review Findings</u> 7 (16%) of the records analyzed did not match what was reported in AFCARS. The percentage of errors is based on the 44 records found to be of children living in a foster home. The AFCARS file was missing this information.</p> <p><u>Program Code LNs 1468 – 1564</u> The extraction code obtains the first foster caretaker’s race from the race code field. The program code correctly maps the value “declined” to “unable to determine.”</p> <p>If the current placement setting in element #41 is not “1,” “2,” or “3” (i.e., the setting is not a foster home), the value of #52 is correctly set to blanks.</p> <p>If no race information is found and all race categories are set to zero; the program code resets the race categories, including “unable to determine,” to blank.</p> <p>This element is rated a 2 because the program is not checking the ancestry field and mapping applicable ethnicities to the appropriate race category if one is selected (see foster care element #8). Modify the program code to check the ancestry field for any race values that may have been selected and map the value to the appropriate race value in element #52.</p>
53. Hispanic or Latino Ethnicity of 1 st Foster Caretaker 0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine	2	<p><u>Screen:</u> Demographic Tab The same person screen is used for the demographic information for foster parents. See the findings in foster care element #9.</p> <p>The AFCARS administrative value “unable to determine” would only be used for foster parents if they declined to give their ethnicity information.</p> <p><u>Frequency Report</u> There are zero records reported for “not applicable.”</p> <p><u>Case File Review Findings</u> 3 (7%) of the records analyzed did not match what was reported in AFCARS. The error cases do not include those in which the response was left blank instead of indicating “not applicable” for those elements that this is a valid response. This is a technical error and not a data entry error and is noted in the findings of the program code. The percentage of errors is based on the 44 records found to be of children living in a foster home. The AFCARS file was missing this information.</p>

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Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code LNs 3049 - 3082</u> The program code selects the first foster caretaker's Hispanic or ethnic origin information from their person record. If there is a leave type for the current living arrangement (foster care element #41), this element is incorrectly set to blank. The program code needs to be modified to set this element to "not applicable." Also, as previously noted the leave type codes related to abduction are to be removed from the program code.</p> <p>In addition to a placement setting of a 1, 2 or 3 there is a condition "OR curplset IS NULL" to each response check. So, if the code found a response of "yes," and the value reported in foster care element #41 is a 1, 2, 3, or is blank, the response for this element is set to "yes."</p> <p>If no response is found, this element is set to blank.</p> <p>Additionally, see the findings for foster care element #9 as the findings for that element are applicable here.</p> <p><u>Post-site visit findings (LNs 3746)</u> The program code was modified. The leave type codes were removed. The "or" statement related to the placement setting being blank was removed.</p> <p>The value "unable to determine" was removed and replaced with "UNK" and "declined." These are mapped to "unable to determine." "Unknown" is not an option on the screen and so this value needs to be removed from the code (see notes in foster care element #9).</p> <p>Also, the program code now checks if the child is in a non-foster home setting and if so, this element is set to "not applicable." This was added to the end of the routine instead of the beginning.</p>
<p>54. Race of 2nd Foster Caretaker (if applicable)</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	<p>2</p>	<p><u>Screen: Demographic Tab</u> The same person screen is used for the demographic information for foster parents. See notes in foster care elements #8 and 52.</p> <p><u>Frequency Report:</u> There are 74 (.44%) records reported with more than one race. There are no records reported as having a race and "unable to determine."</p> <p><u>Case File Review Findings</u> Four of the records analyzed did not match what was reported in AFCARS. The AFCARS record was missing this information but either element #49 was reported as a family structure of a couple or the reviewers found that the foster parents were a couple.</p>

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Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code LNs 1576 - 1671</u> The extraction code obtains the second foster caretaker’s race from their person record and maps the race to the AFCARS values. The program code correctly maps the value “declined” to “unable to determine.”</p> <p>Unlike the first foster caretaker race routine, if there is a leave type code this element is set to blank. As previously noted, the leave type codes related to abduction are to be removed.</p> <p>If all race categories, including “unable to determine,” are set to zero, the program code sets the race categories to blank. The program code checks that the child’s placement set in element #41 is a foster home, or if the placement setting is blank, and that the foster family structure is either “married couple” or “unmarried couple.” If not, or if no information is found, the race categories are set to blank. The condition “or current placement setting is null” needs to be removed from the program code.</p> <p><u>Post-site visit findings (LN 3761)</u> The program code was modified. The leave codes were removed and replaced with checking the current placement setting (values set for element #41) and if the child is in a non-foster home setting this element continues to be left blank.</p> <p>This element is rated a 2 because the program is not checking the ancestry field and mapping applicable ethnicities to the appropriate race category if one is selected. Modify the program code to check the ancestry field for any race values that may have been selected and map the value to the appropriate race value in element #52.</p>
<p>55. Hispanic or Latino Ethnicity of 2nd Foster Caretaker (if applicable)</p> <p>0 = Not Applicable 1 = Yes 2 = No 3 = Unable to Determine</p>	<p align="center">2</p>	<p><u>Screen:</u> Demographic Tab The same person screen is used for the demographic information for foster parents. See notes in foster care elements #9 and 53.</p> <p><u>Frequency Report</u> There are zero records reported for “not applicable.”</p> <p><u>Case File Review Findings</u> Three of the records analyzed did not match what was reported in AFCARS. The AFCARS record was missing this information but either element #49 was reported as a family structure of a couple or the reviewers found that the foster parents were a couple.</p> <p><u>Program Code LNs 3158 - 3180</u> The extraction code obtains the second foster caretaker’s Hispanic or ethnic origin information from their person record.</p> <p>The program code checks if there is a leave type code and if so, this element is set to blank instead of “not applicable.” If the</p>

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Data Element	Rating Factor	Findings/Notes
		<p>child's placement is a foster home setting (from element #41) and the foster family structure is "married couple" or "unmarried couple," the Hispanic/Latino Ethnicity value is mapped to AFCARS. Otherwise, this element is left blank.</p> <p><u>Post-site visit findings (LNs 3827)</u> The program code was modified. The leave type codes and the "or" statement related to the current placement setting being blank were removed. The value "unable to determine" was removed and replaced with "UNK" and "declined" and these are mapped to "unable to determine." "Unknown" is not an option on the screen and so this value needs to be removed from the code (see notes in foster care element #9).</p> <p>Also, the program code now checks if the child is in a non-foster home setting and if so, this element is set to "not applicable." This was added to the end of the routine instead of the beginning.</p>
56. Date of Discharge from Foster Care	2 3	<p><u>Screen:</u> Child Legal Status Details - There are fields for the effective date (a required field) and the effective time. There also is a field termination date that is not required. Removal Information Tab - Custody Discharge Date</p> <p>As noted in the findings for element #58, discharge reason, there is some confusion regarding the mapping of the values from the custody discharge reason field and the purpose of the field.</p> <p><u>Case File Review Findings</u> 3 (4%) of the records analyzed did not match what was reported in AFCARS. In one error case this element was blank but should have reflected a discharge date. In one error case the child was placed with a non-custodial parent and so the date the child was placed with the parent should be reported as a discharge date. One record was in error because the date should have been the date the child turned 18. Based on the response submitted for foster care element #59, the child was not receiving title IV-E funds during the report period.</p> <p><u>Program Code LNs 78 – 154, 282 – 381 and 2348 - 2392</u> The discharge date, if present, is selected from the most recent placement episode for the child. It is then adjusted as follows using the "last AFCARS date" (the last day the child was in the AFCARS reporting population):</p> <ol style="list-style-type: none"> 1. If child's eighteenth birthday falls before the last AFCARS date and there is no IV-E eligibility the discharge date is set to the eighteenth birthday, 2. If child's eighteenth birthday falls before the last AFCARS date and there is IV-E eligibility but the last day of eligibility is after the discharge date then the last day of eligibility is used as the discharge date, 3. If child's eighteenth birthday falls before the last AFCARS date and there is IV-E eligibility but the last day of eligibility is before the discharge date then the eighteenth birthday is used as the discharge date, 4. When the child's nineteenth birthday is less than the last AFCARS date the nineteenth birthday is used, 5. When the report period end date is prior to the last AFCARS date the field is set to null, and

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Data Element	Rating Factor	Findings/Notes
		<p>6. If none of the foregoing is true the last AFCARS date is used.</p> <p><u>Post-site visit findings</u> During the onsite review an issue was identified with court orders. Court orders expire while the child is still in foster care. The State team explained that temporary custody can only last for a year and there can be up to two extensions. Petition dismissals and re-files can also occur in situations where parents contest Permanent Custody and time limited TCO or Emergency (pre-dispositional that only last 90 days) orders are issued. This gets entered into SACWIS as custody terminated and new order on same day. It is being reported as a new removal in AFCARS. The State team noted that the child remained in the existing foster care placement and had never exited foster care. The title IV-E agency should continue to report the child in AFCARS as continuously in foster care during the period between the expired court order and the establishment of a new court order. AFCARS regulations at 45 CFR 1355.40 and Appendix A, Section II indicate that the title IV-E agency must report any child under the placement and care or supervision of the title IV-E agency. Since the child remains in the foster care placement under the supervision of the title IV-E agency during this lapse in court orders, and has not otherwise met the definition of discharge (CWPM 1.2 B.3 #4), the title IV-E agency should continue to report the child to AFCARS.</p> <p><u>LN 715 -865 and 2823 - 2861- 2902, 3234, 3867</u> The State modified the program code to address the issue related to court orders noted above. The program code will consider the situation as one continuous removal episode and disregard the date of the new court order as long as the child never exited foster care.</p>
57. Foster Care Discharge Transaction Date	4	<u>Program Code LNs 86 - 90 and 2348 - 2392</u>
58. Reason for Discharge 0 = Not Applicable 1 = reunification with Parent(s) or Primary Caretaker(s) 2 = Living with Other Relative(s) 3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Transfer to Another Agency 7 = Runaway 8 = Death of Child	2	<p><u>Screens:</u> Legal - There are two fields for the termination reason. The second field is labeled "Secondary Termination Reason."</p> <p>In the Legal Actions section "adoption finalization" is listed both in the "Ruling Type" and "Rulings Received" sections. Also, there are other rulings that could equate to a discharge of a child from the responsibility for placement and care of the agency.</p> <p>Removal Information Tab - Custody Discharge Reason (drop-down list) As noted in element #56, the caseworker has to enter the discharge information twice; once on the legal screen and again in the custody discharge section of the removal tab. It is not clear how all of the sections where possible discharge information can be entered are linked and whether any of the fields once completed by a caseworker pre-populate the other fields.</p> <p><u>Frequency Report</u> (n=16,740): Not Applicable = 1; Reunification = 1,899 (11%); Living with Other Relative(s) = 1,022 (6%); Adoption = 642 (4%); Emancipation = 770 (5%); Guardianship = 174 (1%); Transfer to Another Agency = 27 (.16%); Runaway = 44 (.26%); Death of Child = 4 (.02%); Not reported = 12,157 (73%)</p>

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Data Element	Rating Factor	Findings/Notes
		<p><u>Case File Review Findings</u> 2 (3%) of the records analyzed did not match what was reported in AFCARS. The error cases do not include those that were reported as blank instead of “not applicable” because the child was still in foster care. In one error case the child was placed with a non-custodial parent and the discharge reason should be “reunification.” It appears in one error case that the actual date that the court discharged the agency from placement and care responsibility was three months after the date reported to AFCARS. The relatives were given physical custody but the agency still had legal custody of the child.</p> <p><u>Program Code LNs 282 – 382 and 2786 – 2862</u> The program code selects discharge reason, if present, from the most recent placement (removal) episode for the child. The program code checks both the legal termination reasons and the custody discharge reason fields.</p> <p>The program code checks if the child’s 18th or 19th birthday is the AFCARS discharge date (calculated for element #56), and checks for “when the last title IV-E eligibility day is the AFCARS discharge date,” these are mapped to “emancipation.”</p> <p>If there is no discharge date, this element is incorrectly set to blank. It should be set to “not applicable.”</p> <p>The program code then maps the termination codes (from the screen field “termination reason”) to the appropriate AFCARS values. However, the value “VAC Expired” seems to represent a voluntary agreement for care that was in place with another State. If this is the case, then this record and this value should not have been in the reporting population.</p> <p>Also, if the value “VAC Terminated” represents the end of a voluntary placement agreement <i>and</i> the child did return home, the mapping for this value is correct. However, if it represents the end of the voluntary agreement but the agency obtained a court order to retain placement and care responsibility, then this child is still in the reporting population, the date of removal has not changed as this is the same removal episode, and element #56 is to be reported as a blank and this element as “not applicable.”</p> <p>The value for the option “Child AWOL > 30 days” is mapped to “runaway.” The State team indicated this value would only be entered if the court has dismissed the agency from placement and care responsibility. The State should monitor the use of this value to ensure it is being entered correctly.</p> <p>The termination reason “custody to non-removal parent” is incorrectly mapped to “living with other relatives.” This should be mapped to “reunification.” As noted in the findings for GR #6, if the child was removed from home and initially placed with a non-custodial parent, then the child is not part of the AFCARS foster care reporting population. This value would only be appropriate if the child had been in a foster care setting and then reunified with the non-custodial parent.</p> <p>The program code also maps the discharge reason codes (from the field “custody discharge reason”) to the appropriate</p>

**AFCARS Assessment Review Findings: Foster Care Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p>AFCARS values. However, the mapping includes the discharge reason value “custody to other relative” and it is not an option on the screen and it is not in the data dictionary. The program code maps it to “living with other relative(s).” Please clarify if this is an inactive value from prior versions of the system or prior to the SACWIS.</p> <p>The custody discharge reason options include “living with removal parents.” The program code has the value “RETURNTOPARENT.” Confirm whether these are the same value as what is on the screen.</p> <p>Another value used in the program code is “RETURNTOPARENT/GUARD/CUSTO” and there is not a screen option that matches this value and it is not in the data dictionary. Please confirm if this is a code that is no longer used by caseworkers.</p> <p>The program code includes the discharge reasons AWOL/abducted by family member, AWOL/nonfamily abduction and maps them to “runaway.” In the version of the code modified prior to the onsite review these were changed to map to “reunification.” As previously noted, these values are to be removed from consideration in the code as the child is most likely still in the agency’s responsibility for placement and care. Since these reasons are not on the legal screen as discharge reasons, and the child is considered in foster care reporting population until the agency no longer has placement and care responsibilities, these values need to be removed from the mapping of this element. The State needs to develop a means to ensure that if the court dismisses the agency from responsibility for placement and care due to one of these reasons, then the appropriate AFCARS discharge reason that reflects the situation of the child is to be reported.</p> <p>The custody discharge reason values AWOL/runaway whereabouts unknown (AWOLRUNAWAYWHEREUNK) and AWOL/runaway whereabouts unknown but contacting caseworker (AWOLRUNAWAYWHEREUNKCONTCW) are mapped to “runaway.” However, since these are not on the legal screen and the child is considered in the reporting population until the agency no longer has responsibility for placement and care, these need to be removed from the mapping of element #58. Ensure that the only time this element is set to a discharge reason of “runaway” for children who are AWOL is when the court has dismissed the agency from placement and care responsibility.</p> <p>The custody discharge reasons “living with non-removal father” (LIVNONRF), “living with non-removal mother” (LIVNONRM), and “living with non-removal parent(s)” (LIVNONRP) are to be mapped to “reunification” if the child had been in foster care. See the findings for GR #6.</p> <p>See the notes in element #56 related to expired court orders.</p> <p><u>Post-site visit findings (LN 3449)</u> The program code was modified. The termination codes for “custody to non-removal parent” and custody discharge reason codes living with non-removal father (LIVNONRF), living with non-removal mother (LIVNONRM), and living with non-removal parent (LIVNONRP) were removed from the mapping. However, as noted in element #41, if the child was placed with the</p>

**AFCARS Assessment Review Findings: Foster Care Elements
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Data Element	Rating Factor	Findings/Notes
		<p>non-custodial parent after having been in foster care, then the child is discharged from the AFCARS reporting population with a reason of reunification. Ensure that caseworkers are using reunification as a discharge reason.</p> <p>The custody discharge reason values living with removal father (LIVWREMF), living with removal mother (LIVWREVM), living with removal parent(s) (LIVWREMP) were removed. The State needs to provide an explanation and also descriptions for these discharge reasons. Are these meant to be placement end reasons related to when the child is on a “trial home visit” and not an actual discharge from the agency’s placement and care?</p> <p>The custody discharge reason values legal custody to kinship non-relative (LCKINNR), legal custody to kinship relative (LCKINREL), living with kinship non-relative (LIVWKNR), living with kinship relative (LIVWKINP), guardianship to kinship non-relative (GUAKNONREL), and guardianship to kinship relative (GUAKINREL) were also removed from the mapping. The State needs to provide an explanation and also descriptions for these discharge reasons.</p>
<p>Source(s) of Federal financial support/ assistance for child</p> <p>59. Title IV-E (Foster Care) 0-Does not apply 1-Applies</p>	<p align="center">2</p>	<p><u>Frequency Report</u> (n=16,740): Does not apply = 8,567 (51%); Applies = 8,173 (49%)</p> <p><u>Program Code LNs 1684 – 1698</u> The extraction code sets the value of element #59 to “applies” if a record is found on the State payment table for the child with a category type of “Placement” and payment start and end dates within the current reporting period. The field will be set to “does not apply” when the file is formatted and if no value has been set for this element. The fields used are those that reflect when the State Central Office pays the local county offices. This element should reflect whether any time during the report period, title IV-E was a source of income for a child for the service of a foster care placement.</p> <p><u>Post-site visit findings</u> The program code was modified. The changes include using “disbursement journal” and that the warrant date is within the report period. The State needs to clarify if this is the date of the check to the foster parent. Also, it still is not clear how the changes will ensure that title IV-E foster care funds are a source of income to the child during the report period if the child enters foster care in the last month of the report period but a payment is not made to the foster care setting until the next report period.</p>
<p>Source(s) of Federal financial support/ assistance for child</p> <p>60. Title IV-E (Adoption Assistance)</p>	<p align="center">4</p>	<p><u>Frequency Report</u> (n=16,740): Does not apply = 16,344 (98%); Applies = 396 (2%)</p> <p><u>Program Code LNs 1711 – 1729</u> The extraction code sets the value of element #60 to “applies” if a record is found on the payment request table for the child with service type of “IV-E AA” and a related payment distribution record within the current reporting period, otherwise it will be set to “does not apply.”</p>
<p>Source(s) of Federal financial support/ assistance for child</p>	<p align="center">3</p>	<p><u>Frequency Report</u> (n=16,740): Does not apply = 16,740 (100%); Applies = 0</p> <p>“Ohio works first (OWF)” is a program funded under Title IV-A of the Social Security Act. This program was formerly referred to</p>

**AFCARS Assessment Review Findings: Foster Care Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
61. Title IV-A		<p>as the “TANF program” and the “ADC program.”</p> <p><u>Program Code LNs 1746 – 1771</u> The program code checks for the benefit type of “OWF” that has a payment date within the report period and the transaction type is “deposit” and the amount is greater than zero.</p> <p>It is not clear from the program code that the payment is made only if the child is residing with relative who is receiving the funds on behalf of the child who is in foster care. The frequency report indicates no records as “applies.” The State needs to provide clarification.</p>
<p>Source(s) of Federal financial support/ assistance for child</p> <p>62. Title IV-D (Child Support)</p>	3	<p><u>Frequency Report</u> (n=16,740): Does not apply = 16,503 (99%); Applies = 237 (1%)</p> <p><u>Screen/Interface</u> The State does not have an interface with the State’s child support information system. The amount received by the agency for a foster care child can be entered manually into the agency’s SACWIS.</p> <p>The system has the capacity for the information to be entered by a child welfare staff person.</p> <p><u>Program Code LNs 1815 – 1829</u> This element is set to “applies” if a record is found for the child with a benefit type of “Child Support” and a transaction type of “Deposit” for a payment within the report period.</p>
<p>Source(s) of Federal financial support/ assistance for child</p> <p>63. Title XIX (Medicaid)</p>	3	<p><u>Frequency Report</u> (n=16,740): Does not apply = 6,115 (37%); Applies = 10,625 (63%)</p> <p><u>Screen/Interface</u> The State implemented a change to the system the week of the AFCARS Review that will now allow the child welfare agency to receive Medicaid information on children who are not eligible for title IV-E funds.</p> <p><u>Program Code LNs 1843 – 1855</u> This element is set to “applies” if a record is found on the Medicaid eligibility table for the child with an effective date that covers the report period.</p>
<p>Source(s) of Federal financial support/ assistance for child</p> <p>64. SSI or Other Social Security Benefits</p>	2	<p><u>Frequency Report</u> (n=16,740): Does not apply = 16,143 (96%); Applies = 597 (4%)</p> <p><u>Screen/Interface</u> The State does not have an interface with the State’s Social Security information system. The child welfare agency staff can manually enter the SSI payment for children who do receive an SSI benefit.</p> <p><u>Program Code LNs 1869 – 1884</u></p>

AFCARS Assessment Review Findings: Foster Care Elements
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Data Element	Rating Factor	Findings/Notes
		This element is set to “applies” if a record is found for the child with a benefit type of “SSA,” “SSI,” or “Veterans Benefits” and a transaction type of “Deposit” for a payment that occurred within the reporting period. The State is not to include Veteran’s Benefits in this element. Veterans Benefits should be included in the other sources of income in element #65.
65. None of the Above	4 2	<p><u>Frequency Report</u> (n=16,740): Does not apply = 11,168 (67%); Applies = 5,572 (33%)</p> <p><u>Program Code LNs 2893 0 2904</u> The extraction code checks the value of elements #59 – 64 and if all are equal to “0,” this element is set to “applies.” Otherwise it is set to “0.”</p> <p>The program code also checks if there is a benefit type of “parental contributions” and “stipend.”</p> <p>Also, the program code checks for income type codes from the person’s unearned income for: alimony, annuity, other contribution, education grant, Tribal Assistance, Trust Est Income, Insurance Benefit, Pension Retirement, Royalty, parent spousal support, savings bond dividend interest, unemployment compensation, and unemployment insurance.</p> <p>Lastly, there is a check for an earned income type of cash bonus, cash income, “csulincom,” commission, employer disability, farm income, rent income, room and board income, self-employment income, tip, wage salary, and workman’s compensation.</p> <p>Veterans Benefits are to be mapped to this element. The State needs to make corrections to element #64 and remove Veterans Benefits from that element and map it to this element.</p>
66. Amount of Monthly Foster Care Payment	2 3	<p><u>Program Code</u> The program code sums the <i>most recent month’s paid amounts</i> from the payment request table where the claim date falls within the reporting period and the service category is “placement.”</p> <p>This will include the administrative costs. The program code must be modified to only include the foster care maintenance amount.</p> <p>If the days reported are less than 30, or no payment is found, the amount is set to zero.</p> <p><u>Post-site visit findings</u> The program code was modified. The program code now checks (joins) the payment detail record and the payment request table. The program code was modified to now sum only the “maintenance cost amount” when the “agency warrant date” is not null, indicating that the payment has been disbursed.</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
1. Title IV-E Agency	4	<u>Program Code LNs 3585</u> The State code of "39" is hard coded in the program code.
2. Report Period Ending Date	4	<u>Program Code LNs 12 and 34 – 36</u>
3. Record number	4	<u>Program Code LNs 3206 – 3216 and 3587</u>
4. Did the title IV-E Agency Have any Involvement in this Adoption? 1=Yes 2=No	4	<u>Program Code LNs 3588</u> This element is hard coded as "yes" for all adoption records. The State is not reporting any adoptions in which they do not have any involvement with the case.
5. Child's Date of Birth	4	<u>Screen:</u> Basic Tab This is the same screen/field noted for foster care element #6. <u>Program Code LNs 742 – 757</u> The child's date of birth is obtained from their person record.
6. Sex 1=Male 2=Female	4	<u>Screen:</u> Basic Tab This is the same screen/field noted for foster care element #7. <u>Program Code LNs 742 – 757</u> The child's gender code is obtained from their person record.
7. Child's race a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine	2	<u>Screen:</u> Basic Tab This is the same screen/field noted for foster care element #8. See the findings for foster care element #8. <u>Case File Review Findings</u> One of the records analyzed did not match what was reported in AFCARS. An additional race was found to be applicable. <u>Program Code LNs 771 - 837</u> The routine in the program code that identifies race for the child to be reported in the adoption file is the same routine as described for foster care element #8. See foster care element #8 for findings.
8. Child's Hispanic or Latino Ethnicity 1=Yes 2=No 3=Unable to determine	2	<u>Screen:</u> Basic Tab This is the same screen/field noted for foster care element #9. See the findings for foster care element #9. <u>Case File Review Findings</u> One of the records analyzed did not match what was reported in AFCARS.

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code LNs 742 – 757 and 2568 - 2579</u> The routine in the program code that identifies Hispanic/Latino ethnicity information for the child to be reported in the adoption file is the same routine as described for foster care element #9. See foster care element #9 for findings.</p>
9 and 10		<p><u>Screens</u> <i>Financial/Eligibility</i> This is the screen the agency uses to determine if a child is eligible for title IV-E foster care and adoption, state adoption subsidy, Medicaid and other programs. On the adoption subsidy page, there is a section Special Needs Criteria. On the Special Needs Criteria screen there are three categories of information: Special Needs Criteria, Age Qualification, and Reasonable Efforts to Place without Subsidy.</p> <p>The options under Special Needs Criteria are: Age, Six years or older; Child has a medical Condition; At Risk Medically; Developmental Delay; Developmental Disability; Emotionally attached to foster parents; Mental Illness; Mental Retardation; Minority Race or Ethnic Group; Permanent Custody over 365 days; Physical Disability/Impairment; Previous Adoption Disruption/3 or more placements; Sibling Group of 2 or more.</p> <p><i>Case Overview>Placement/Finalization/Case Closure</i> On this screen there is a section “Finalization Checklist.” Here there is a field “Primary Factor for Special Needs Determination.” The dropdown box is populated from the selections that were made on the eligibility screen’s special needs criteria list. The worker then selects the one that is the primary barrier to the child’s adoption.</p> <p>The State’s mapping form references the list on the first screen for the purpose of element #9. The field that should be checked is the second screen where the primary basis is selected. If the State continues to have issues with the accuracy of the information reported for element #9, a separate question may need to be added to note if the agency has determined whether the child is special needs with yes and no response options.</p>
<p>9. Has the title IV-E agency determined that the child has special needs?</p> <p>1=Yes 2=No</p>	3	<p><u>Frequency Report (n=642):</u> Yes = 0; No = 642; Not reported = 0 <u>Element #35:</u> Yes = 624; No = 18; Not reported = 0 Children are receiving subsidy even though this element indicates the agency has determined children to not be special needs. There is an issue with the extraction routine that compiles the data for this element. The data for the report period ending September, 2012 (2012B) indicates corrections were made. There are 594 records reported as “yes” and 14 reported as “no.”</p> <p><u>Case File Review Findings</u> 100% of the records reviewed did not match what was reported in AFCARS. In all of the cases, the response to AFCARS was “no,” the child was receiving a monthly subsidy, and the reviewers found that the agency had determined the child to be special needs.</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code LNs 1999 – 2010, 2985 - 2990</u> The program code sets this element based on the results of element #10. If a value was not found and mapped to element #10, then element #9 is set to “no.” Since there are items that may not be included in the mapping, or if the program code is checking the wrong field in the system, this element will be reported incorrectly. See additional findings for element #10.</p>
<p>10. Primary Factor or Condition for Special Needs</p> <p>0=Not applicable 1=Racial/Ethnic Background 2=Age 3=Membership in a Sibling Group 4=Medical conditions or Mental, Physical or Emotional Disabilities 5=other</p>	2	<p><u>Screen:</u> See notes above.</p> <p><u>Frequency Report</u> (n=642): Not applicable = 0; Race/Original Background = 110 (17%); Age = 77 (12%); Sibling group = 209 (33%); Medical, etc. = 102 (16%); Other = 85 (13%); Not reported = 59 (9%)</p> <p><u>Case File Review Findings</u> While the response in element #9 for all the records is “no,” as noted in the frequency report, there are responses to this element. For 21 records, the response to element #10 was correct. There were four errors found by the reviewers for this element. In one case, the AFCARS file reflected a blank but the reviewer noted the response should have been “medical conditions or mental, physical or emotional disabilities.” Another had the AFCARS response “medical conditions or mental, physical or emotional disabilities” and the reviewer noted there were no diagnosed conditions and that the primary basis for special needs determination was “membership in a sibling group.” The third case was also reported as “medical conditions or mental, physical or emotional disabilities” but the child appears to be diagnosed as being at risk of future medical issues.</p> <p><u>Program Code LNs 1999 – 2010, 2927 – 2943, 2985 - 2990</u> There is a routine in the program code that selects the earliest special need code. Please explain what this section of the routine is meant to accomplish.</p> <p>The program code checks for a special need code for the child and maps the value to one of the AFCARS values. The values listed in the extraction routine appear to be coming from the Special Needs Criteria screen and not from the primary factor field on the Finalization/Case Closure screen. The State needs to confirm if this is the case and if so, why the values in the criteria field are included in the mapping of this element.</p> <p>The program code has two routines; one is used for private agency adoptions and one for the agency adoptions. The program code first identifies the adoptions conducted through a private agency and for whom the State is paying an adoption subsidy. The source of special needs information is obtained from the adoption subsidy record rather than from the adoption participant record used for foster care children. Based on the mapping forms submitted by the State, a hierarchy is used to set element #10 for the primary basis of special need instead of the actual primary basis. This section of the program code also uses a “MAX” statement. The hierarchy based on the mapping form is: medical, etc., sibling group, race, age, and then other. The agency should provide the need that was the primary barrier to the child’s adoption.</p> <p>In the routine for the private agency adoptions there are values being mapped that are not listed on the screen. These codes are:</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p>“EMOTIONALDISABILITY,” “MENTALCONDITION,” “MEDICALCONDITION,” and “EMTNLDISTURB.” Please explain where these values are located in the system and/or if they are old values. The value for “at risk medical (Phy/Psych/Dev/Emot)” is incorrectly mapped to the AFCARS value of “4.” It should be mapped to the AFCARS value “5, other.”</p> <p>In addition to the value that is on the screen, “sibling group of two or more,” this section also includes the mapping of “sibling of three.” Sibling group of three is not an option on the criteria selection screen. The State team indicated it should be sibling group of two or more. This needs to be corrected in the program code. The value “race” was not included in the private agency adoptions section for the AFCARS value “Racial/Ethnic Background.”</p> <p>The second routine does the mapping for the agency adoptions and does the final mapping for both the agency and private agency adoptions (“special_need_code2”). The mapping of the State’s values to the AFCARS value “medical conditions or mental, physical or emotional disabilities” is incorrect. The option in the primary factor field indicates “medical condition or disability.” Since this is the field that is to be used for extraction, the State needs to provide its mapping of the values that are included in the option “medical condition or disability.”</p> <p>The value for “at risk medical (Phy/Psych/Dev/Emot)” is included and it should be mapped to the AFCARS value “5, other.”</p> <p>The values in the criteria field of “developmental delay” and “developmental disability” are not included in the extraction code.</p> <p>The value for a sibling group of three is also in this section. Since this value appears not to be a criterion the State uses for determining a child to be eligible for special needs subsidy, it should be removed from the program code.</p> <p>If no value is found or a value other than one already mapped is found, element #10 is set blank. Since it is not clear how the information entered on the criteria screen are being mapped to the primary factor field, and whether the program code is only checking the primary factor field, there can be special needs criteria being selected that are not being mapped and reported to AFCARS.</p> <p>There is no means to record “not applicable” if the agency has determined the child is not eligible for special needs (element #9). This element is to be set to “not applicable” in those situations and blank should be used only if the agency has not entered whether it has or has not determined the child to be special needs.</p> <p><u>Post-site visit findings</u> The section that was added for private agency adoptions was modified. It no longer initially uses (creates the value) “special_need_code2.” It is set, as before, at the end of the routine. There were also changes to the mapping in this routine. The code for “at risk medical (phy/psych/dev/emot)” is now mapped to “other” instead of “medical conditions or mental, physical or emotional disabilities.” The special need value for “race” was added and mapped to “racial/Ethnic background.” The value for “Previous Adoption Disruption/3 or More Placements” was added and is mapped to “other.”</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p>Another revision to the program code is the routine that sets element #10 (Primary Special Needs (adoption file) – special_needs). There were modifications to the mapping. The value “siblingoftwo” was removed. The value for “at risk medical (Phy/Psych/Dev/Emot)” is now mapped to the AFCARS value “5, other.” The value for “Previous Adoption Disruption/ 3 or More Placements” was added to the mapping of “other.” Also, the values for “not applicable” and “permanent custody” were added to the routine and if they are found, the program code checks and uses the “special_need_code2.”</p> <p>Another change is that the mapping from the private agency adoption section that set the value “special_need_code2” was removed from the individual mapping of the agency adoption information. It is now set when the agency special need code is blank.</p> <p>In regard to the value for not applicable used in the program code, there is not an option on either screen for “not applicable.” Note, that there were no records reported with the value “not applicable” in the 2012B file. The State needs to clarify where in the system this value is located.</p> <p>No changes were made to set this element to “not applicable” if the child was determined by the agency to not have a special need (as asked in AFCARS element #9).</p>
#11 – 15		<p><u>Frequency Report (n=642):</u> There are a total of 79 records reported as “applies” for elements #11 – 15. However, there are 102 records reported as “medical conditions or mental, physical or emotional disabilities” in element #10. It seems there should be at least 102 records with a response of “applies” for these elements. There are 343 records reported as blank.</p> <p><u>Case File Review Findings</u> There 12 records reported as blank for these elements. Elements 11 – 15 should only be reported as blank when element #10 is “medical conditions or mental, physical or emotional disabilities” and no diagnosed condition was entered into the system. In these error cases, the medical information was not the primary basis for special needs.</p> <p><u>Program Code</u> The extraction code uses the same logic to set the values for diagnosed conditions for both foster care and adoption. If the code from the child’s characteristic table indicates there are conditions listed that apply for elements #11 – 15, the value of that element is set to “1” (applies). Otherwise, it is set to “0” (does not apply). If none are found, this element is set to blank.</p> <p>The program code will set elements #11 – 15 regardless of what the primary basis was for the child. This is incorrect. The information in elements #11 – 15 should only be included if the primary basis of a child’s special need is “medical conditions or mental, physical or emotional disabilities.” Modify the program code to only check for these elements if the response to element #10 is “4.” These elements should be set to “does not apply” if any other basis is identified in element #10.</p> <p><u>Post-site visit findings</u></p>

**AFCARS Assessment Review Findings: Adoption Elements
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Data Element	Rating Factor	Findings/Notes
		The program code for elements #11 – 15 has been changed so that now these fields are only set if the value of element #10 is “4.” However, if the value for element #10 is other than “medical conditions or mental, physical or emotional disabilities,” these elements are still set to blank. These elements should be set to zero instead.
11. Type of Disability-Mental Retardation	2	<p><u>Case File Review Findings</u> 2 (8%) of the records analyzed did not match what was reported in AFCARS. In one error case the response should have been “yes, applies” instead of being “does not apply.” The other case should have been “does not apply” instead of blank. (The response reported in element #10 was medical conditions or mental, physical or emotional disabilities.)</p> <p><u>Program Code</u> See foster care findings for element #11.</p>
12. Type of Disability-Visually or Hearing Impaired	2	<p><u>Case File Review Findings</u> 1 (4%) of the records analyzed did not match what was reported in AFCARS. The error case should have been “does not apply” instead of blank. (The response reported in element #10 was medical conditions or mental, physical or emotional disabilities.)</p> <p><u>Program Code</u> See foster care findings for element #12.</p>
13. Type of Disability-Physically Disabled	2	<p><u>Case File Review Findings</u> 1 (4%) of the records analyzed did not match what was reported in AFCARS. The error case should have been “does not apply” instead of blank. (The response reported in element #10 was medical conditions or mental, physical or emotional disabilities.)</p> <p><u>Program Code</u> See foster care findings for element #13.</p>
14. Type of Disability-Emotionally Disturbed	2	<p><u>Case File Review Findings</u> 3 (12%) of the records analyzed did not match what was reported in AFCARS. In two error cases the response should have been “yes, applies” instead of being “does not apply.” One error case should have been “does not apply” instead of blank. (The response reported in element #10 was medical conditions or mental, physical or emotional disabilities.)</p> <p><u>Program Code</u> See foster care findings for element #14.</p>
15. Type of Disability-Other medically diagnosed condition requiring special care	2	<p><u>Case File Review Findings</u> 2 (8%) of the records analyzed did not match what was reported in AFCARS. One error case should have been “yes, applies” instead of blank. (The response reported in element #10 was medical conditions or mental, physical or emotional disabilities.) In one error case the response should have been “yes, applies” instead of being “does not apply.”</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p><u>Program Code</u> See foster care findings for element #15.</p>
16. Mother's year of birth	4	<p><u>Frequency Report (n=642):</u> There is one record with a year reported of 1900. One record had a year of 1999. There were 10 records reported as blank.</p> <p><u>Program Code LNs 2099 – 2103</u> The mother's year of birth is derived from her birth date as recorded in her person record.</p> <p><u>Post-site visit findings</u> The program code was modified by setting this element to blank if a year of 1900 is entered into the system.</p>
17. Father's year of birth	3	<p><u>Frequency Report (n=642):</u> There were 46 records reported as 1900. There were 31 records reported as blank.</p> <p><u>Case File Review Findings</u> 5 (20%) of the records analyzed did not match what was reported in AFCARS. There were two records reported with the wrong year. One error record had the default year of 1900 but the reviewer found a birth year. Two other error records were blank but the reviewers found dates.</p> <p><u>Program Code LNs 2120 - 2126</u> The father's year of birth is derived from his birth date as recorded in his person record.</p> <p><u>Post-site visit findings</u> The program code was modified by setting this element to blank if a year of 1900 is entered into the system.</p>
18. Was the Mother Married at the Time of the Child's Birth? 1=Yes 2=No 3=Unable to determine	2	<p><u>Screen: Birth Parents</u> It appears this is a screen that is located in the Adoption module and is not completed at the time the child enters foster care but at the time the child is in the adoption phase. The State needs to either move this field to the person or some other screen used earlier in the life of a case, or to make this field one that workers would go to as part of the normal flow of recording case management activities.</p> <p>The options on the screen also include "unable to determine." See previous discussions related to this AFCARS value.</p> <p><u>Frequency Report (n=642):</u> Yes = 90 (14%); No = 464 (72%); Unable to determine = 54 (8%); Not reported = 34 (5%)</p> <p><u>Case File Review Findings</u> 4 (16%) of the records analyzed did not match what was reported in AFCARS. In two error cases the response should have been "no" instead of "unable to determine." In one record the AFCARS field was blank but the reviewer found that it should</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p>have been “no.” In the last case, the response should have been “no” instead of “yes.”</p> <p><u>Program Code LNs 2062 – 2081 and 2917 - 2926</u> The program code sets this element from the field on the above noted screen. The State’s values are mapped to the appropriate AFCARS value. If no selection is entered, this element is set to blank.</p>
19. Date of Mother’s termination of parental rights	2	<p><u>Screen</u> See notes for foster care element #47.</p> <p><u>Case File Review Findings</u> One error record should have had later date than the one reported in AFCARS.</p> <p><u>Program Code LNs 2139 – 2176</u> The extraction routine used to report the mother’s TPR date in the adoption file is the same one used for the foster care file. See findings in foster care element #47.</p> <p>Private agency adoptions are identified by a private agency code on the child’s adoption subsidy record. The source of TPR dates is the adoption subsidy record rather than from the adoption participant record used for foster care children.</p> <p><u>Post Site-Visit Findings:</u> See the post site-visit findings for foster care element #47 for changes made to the TPR date.</p>
20. Date of Father’s termination of parental rights	2	<p><u>Screen</u> See notes for foster care element #48.</p> <p><u>Case File Review Findings</u> One error record should have had later date than the one reported in AFCARS.</p> <p><u>Program Code LNs 2190 - 2227</u> The extraction routine used to report the father’s TPR date in the adoption file is the same one used for the foster care file. See findings in foster care element #48. Private agency adoptions are identified by a private agency code on the child’s adoption subsidy record. The source of TPR dates is the adoption subsidy record rather than from the adoption participant record used for foster care children.</p> <p><u>Post Site-Visit Findings:</u> See the post site-visit findings for foster care element #47 for changes made to the TPR date for agency adoptions.</p>
21. Date adoption legalized	4	<u>Program Code LNs 2348 - 2368</u>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		The extraction code sets the date the adoption was legalized for children discharged for the reason of adoption or is a private agency adoption.
#22 – 28		<u>Screen</u> The information for the adoptive parents is entered in the same screens as the foster care providers.
22. Adoptive parents' family structure 1=Married couple 2=Unmarried couple 3=Single female 4=Single male	3 2	<u>Frequency Report (n=642):</u> Married Couple = 471 (73%); Unmarried Couple = 24 (4%); Single Female = 138 (22%); Single Male = 7 (1%); Not reported = 2 (.31%) <u>Program Code LNs 1319 – 1333 and 2731 - 2763</u> The program code uses the same logic for this element as is used for foster care element #49; including the same variable name (fosfamst). The program code is incorrectly checking for whether the child's placement is "runaway" or one of the values related to abduction. The program code must be modified to only check for the adoptive parent's family structure of a child who has been adopted (public agency and private agency adoptions). <u>Post Site-Visit Findings:</u> See the post site-visit corrections in foster care element #49. The program code has been corrected to exclude runaways from the mapping and only set the value of element #22 when the current placement setting is a pre-adoptive home, foster home non-relative, or foster home relative. The State needs to clarify how the private agency adoptions are being entered into the system and how the program code is setting this element for those cases.
#23 Adoptive Mother's Year of Birth	2 3	<u>Program Code LNs 1418 – 1429 and 2765 – 2774</u> The program code uses the same logic for this element as is used for foster care element #50. This means that the program code is incorrectly checking for whether the child's placement is "runaway" or one of the values related to abduction. The program code must be modified to only check for the adoptive parents of a child who has been adopted (public agency and private agency adoptions). <u>Post Site-Visit Findings:</u> The program code was modified by removing the codes for runaway/abductions. Additionally, if a birth year of 1900 was entered into the system, it would be set to blank.
#24 Adoptive Father's Year of Birth	2 3	<u>Program Code LNs 1444 – 1454 and 2775 – 2783</u> The program code uses the same logic for this element as is used for foster care element #50. This means that the program code is incorrectly checking for whether the child's placement is "runaway" or one of the values related to abduction. The program code must be modified to only check for the adoptive parents of a child who has been adopted (public agency and private agency adoptions).

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p><u>Post Site-Visit Findings</u> The program code was modified by removing the noted exclusions. Additionally, if a birth year of 1900 was entered into the system, it would be set to blank.</p>
<p>#25 Adoptive Mother's Race</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	2	<p><u>Program Code LNs 1468 – 1564 and 3623 – 3665</u> This is the same routine used for foster care element #52; see those findings for information related to the mapping and extraction of this element.</p> <p><u>Post Site-Visit Findings</u> See foster care element #52 for post site findings.</p>
<p>#26 Adoptive Mother's Hispanic Origin</p> <p>0=Not Applicable 1=Yes 2=No 3=Unable to determine</p>	2	<p><u>Program Code LNs 1418 – 1428 and 3049 – 3067</u> This is the same routine used for foster care element #53; see those findings for information related to the mapping and extraction of this element. Also since this is the same routine as foster care, the program code is incorrectly checking for whether the child's placement is "runaway" or one of the values related to abduction. The program code must be modified to only check for the adoptive parents of a child who has been adopted (public agency and private agency adoptions).</p> <p><u>Post Site-Visit Findings</u> See foster care element #53 for post site findings.</p>
<p>#27 Adoptive Father's Race</p> <p>a. American Indian or Alaska Native b. Asian c. Black or African American d. Native Hawaiian or Other Pacific Islander e. White f. Unable to Determine</p>	2	<p><u>Program Code LNs 1576 – 1672 and 3623 – 3665</u> This is the same routine used for foster care element #54; see those findings for information related to the mapping and extraction of this element.</p> <p><u>Post Site-Visit Findings</u> See foster care element #54 for post site findings.</p>
<p>#28 Adoptive Father's Hispanic Origin</p> <p>0=Not Applicable 1=Yes 2=No 3=Unable to determine</p>	2	<p><u>Program Code LNs 1444 – 1454 and 3159 - 3179</u> This is the same routine used for foster care element #55; see those findings for information related to the mapping and extraction of this element.</p> <p><u>Post Site-Visit Findings</u> See foster care element #55 for post site findings.</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
<p>#29 –32</p> <p>0 = Does not Apply 1 = Applies</p>		<p><u>Screen</u> These elements are not multi-select. However, there are multiple responses reported to AFCARS. The process is handled in the extraction code.</p> <p>The options on the list include all relative (through blood or marriage) relationships, including “other relative.” The other options are: non-relative, other, and unknown. The options “unknown” and “other” need to be removed. If the child is being placed with someone, that person’s relationship to the child ought to be known.</p> <p>Also, the list does not include the option foster parent. See additional notes in element #31 regarding the program code.</p>
<p>29. Relationship to Adoptive Parent-Stepparent</p> <p>0=Does not apply 1=Yes, Applies</p>	2	<p><u>Frequency Report</u> (n=642): Does not apply = 642 (100%), Applies = 0</p> <p><u>Program Code LNs 167 – 173, 2945 – 2953 and 3683</u> This element is set to “applies” if the provider relationship to the child is “stepfather” or “stepmother.” If the code is “unknown,” the element is set to blank. Otherwise, this element is set to “0” (does not apply).</p>
<p>30. Relationship to Adoptive Parent - Other relative</p> <p>0=Does not apply 1=Yes, Applies</p>	2	<p><u>Frequency Report</u> (n=642): Does not apply = 615 (96%), Applies = 27 (4%)</p> <p><u>Case File Review Findings</u> 3 (12%) of the records analyzed did not match what was reported in AFCARS. In one error case, the AFCARS field was blank but the reviewer found that this element applied. In two cases, all of the elements 29 – 32 were reported in AFCARS as “does not apply.” In one of these, the response for this element should have been “yes, applies.”</p> <p><u>Program Code LNs 167 – 173, 2955 - 2967 and 3684</u> This element is set to “applies” if the provider relationship to the child is “aunt,” “brother,” “cousin,” “father,” “fatherinlaw,” “grandfather,” “grandmother,” “grandson,” “greataunt,” “greatuncle,” “halfbrother,” “halfsister,” “mother,” “motherinlaw,” “sister,” “stepbrother,” “stepsister” or “uncle.” If the code is “unknown” the element is set to blank. Otherwise, this element is set to “0” (does not apply).</p>
<p>31. Relationship to Adoptive Parent - Foster parent</p> <p>0=Does not apply 1=Yes, Applies</p>	2	<p><u>Frequency Report</u> (n=642): Does not apply = 120 (19%), Applies = 483 (75%)</p> <p><u>Case File Review Findings</u> 3 (12%) of the records analyzed did not match what was reported in AFCARS. In two error cases, the response should have been “yes, applies” instead of “does not apply.”</p> <p><u>Program Code LNs 2288 - 2316 and 3685</u> The program code sets this element by checking if the child’s placement type is “certifiedfosterhome” and a discharge reason code of “adoptionfinalized” or a reason for termination code of “adoptfinalized.” Missing information is mapped to blank.</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
		<p>Otherwise, this element is set to "0" (does not apply).</p> <p>It is not clear from the method used to record and extract this data if this element will always be set to "applies" if the child was in foster care. Clarify, if the foster family does not adopt the child, will this element be set to "applies" anyway?</p>
<p>32. Relationship to Adoptive Parent - Other non-relative</p> <p>0=Does not apply 1=Yes, Applies</p>	<p>2</p>	<p><u>Frequency Report</u> (n=642): Does not apply = 250 (39%), Applies = 392 (61%)</p> <p><u>Case File Review Findings</u> 5 (20%) of the records analyzed did not match what was reported in AFCARS. The response should have been "yes, applies" instead of "does not apply."</p> <p><u>Program Code LNs 167 – 173, 2968 - 2977 and 3686</u> This element is set to "applies" if the provider relationship to the child is "nonmaritaladultcaretaker," "nonrelative" or "other." If the code is "unknown" the element is set to blank. Otherwise, this element is set to "0" (does not apply).</p>
<p>33. Child was placed from</p> <p>1=Within State or Tribal Service Area 2=Another State or Tribal Service Area 3=Another Country</p>	<p>2 1</p>	<p><u>Frequency Report</u> (n=642): Within State =642 (100%)</p> <p><u>Screen</u> There is not a field in the system to identify the location of the agency placing the child. For those adoptions the agency is involved with because the family adopted a special needs child through a private agency, the State must be able to report if the placing agency is in another State, a Tribal Service area, or another country. The element was rated a one as it appears there are no existing fields to derive this information.</p> <p><u>Program Code LN 3687</u> This element is hardcoded to "within State" for all adoption records. Modify the system to collect "another State or Tribal Service Area" and "another country." Also, see the findings for GR #11.</p>
<p>34. Child was placed by</p> <p>1=Public agency 2=Private agency 3=Tribal Agency 4=Independent person 5=Birth parent</p>	<p>3 2</p>	<p><u>Screen: Adoption subsidy</u> There are two options, public and private, the staff can select to identify who placed the child. The other AFCARS options need to be included for those situations in which the agency may be paying only the non-recurring adoption fees. Also, see the findings for GR #11.</p> <p><u>Frequency Report</u> (n=642): Public agency = 642 (100%)</p> <p><u>Program Code LN 3688</u> The program code determines if a record is identified as a private agency subsidy adoption. If it is, then this element is set to "private agency." Otherwise, this element is set to "public agency."</p>

**AFCARS Assessment Review Findings: Adoption Elements
State: Ohio**

Data Element	Rating Factor	Findings/Notes
<p>35. Is the Child Receiving a Monthly Subsidy?</p> <p>1=Yes 2=No</p>	2	<p><u>Frequency Report (n=642):</u> Yes = 624; No = 18; Not reported = 0</p> <p><u>Program Code LNs 1747 – 1771 and 3689</u> The program code maps this element to “yes” when a record is found for the child with a subsidy type of “AA” or “SAMS.” The code also checks for a status of “approved” that is effective within the report period. If these conditions are not satisfied, the element is set to “no.” There is no logic to address unreported or missing data. This routine applies to both the public agency adoptions and the private agency adoptions.</p> <p>This element must include those adoption agreements that are for Medicaid only.</p> <p><u>Post Site-Visit Findings</u> Changes were made to the program code. First, the program code looks for an approved status. If found, then this element is set to “yes.” Otherwise, it is set to “no.” The State needs to clarify if this will now get the adoption subsidies that are only for “Medicaid.” The code no longer checks for the values “AA” or “SAMS” when setting this element.</p>
<p>36. Monthly amount</p>	2 3	<p><u>Program Code LNs 1743</u> The program code obtains the amount by checking the latest paid adoption subsidy amount where the subsidy type is “AA” or “SAMS.” The program code also checks that the effective date of the subsidy is within the reporting period. The amount reported for this element is to be the amount from the adoption agreement. If the agency has been paying the adoption subsidy amount prior to the finalization date of the adoption, the amount reported for this element still must be the amount in the adoption agreement.</p> <p><u>Post Site-Visit Findings</u> The program code was modified and the prior routine was removed. The program code now extracts the “subsidy amount” from the joined adoption subsidy and subsidy review records where the AFCARS discharge date is not after the end of the reporting period. There is a subsidy type of “AA” and the status is “APPROVED.”</p>
<p>37. Is Child Receiving title IV-E Adoption Subsidy?</p> <p>1=Yes 2=No</p>	4	<p><u>Program Code LNs 1747 – 1771, 2979 – 2984 and 3691</u> The program code sets this element “yes” when a record is found on the child’s adoptive table. The subsidy type is “AA,” an approval status of “approved,” and the effective date is within the report period. If these conditions are not satisfied this element is set to “no.” There is no logic to address unreported or missing data.</p>

Characteristics Group=Clinical

	Clinical Characteristic	AFCARS Mapping	Ohio	
	Borderline Intellectual Functioning	11	11	
	Cognitive disability (Developmental handicap/DH)	11	11	
*	Developmentally Delay Early Intervention	11	11	* - Diagnosis must include a cognitive component to be mapped to #11
*	Developmentally Delayed	11		
*	Developmentally Delayed Mild	11	11	
*	Developmentally Delayed Moderate	11	11	
*	Developmentally Delayed - Severe	11	11	
*	Developmentally Delayed - Profound	11	11	
	Downs Syndrome	11	11	
	Hydrocephaly	11	11	
	Macrocephalic	11	11	
	Macrocephalic/Microcephalic	11	11	
	Mental retardation	11	11	
	Mental Retardation - Mild	11	11	
	Mental Retardation - Moderate	11	11	
	Mental Retardation - Profound	11	11	
	Mental Retardation - Severe	11	11	
	Microcephalic	11	11	
	Blind	12	12	
	Cataracts	12	12	
	Deaf	12	12	
	Glaucoma	12	12	
#	Hearing Impaired - Moderate - Treatment Required		12	# - Inclusion in element #12 appropriate if ADLs are affected
#	Hearing Impaired - Not Deaf - Treatment Required		12	
	Hearing Impaired - Severe - Treatment Required	12	12	
	Hearing Impaired - Severe	12	12	
	Legally Blind	12	12	
#	Visually Impaired - Moderate		12	
#	Visually Impaired Moderate - Treatment Required		12	
	Visually Impaired - Severe	12	12	
	Visually Impaired - Severe - Treatment Required	12	12	
#	Visually Impaired - Not Blind - Moderate - Treatment Required		12	
	Visually Impaired - Not Blind - Severe - Treatment Required	12	12	
#	Visually Impaired - Not Blind - Treatment Required		12	

Characteristics Group=Clinical

#	Visually Impaired - Not Blind - Severe - No Treatment Required		12	
	Brittle Bones/Osteogenesis Imperfectus	13	13	
	Cerebral Palsy	13	13	
	Cerebral Palsy - Moderate	13	13	
	Cerebral Palsy - Severe	13	13	
	Club Foot	13	13	
	Diplegia	13	13	
	Juvenile Arthritis	13	13	
	Missing Limb(s)	13	13	
	Multiple Sclerosis	13	13	
	Muscular Dystrophy	13	13	
	Myasthenia Gravis	13	13	
	Non-Ambulatory		13	This should be accompanied with an actual dx.
	Orthopedic Impairment - Requires Leg Braces	13	13	
	Orthopedic Impairment - Requires Other Treatment	13	13	
	Orthopedic Impairment - Requires Special Shoes	13	13	
	Paralysis	13	13	
	Physically Disabled	13	13	
	Spina Bifida	13	13	
	Tic Disorder	13	13	
	ADHD	14	14	
	ADD	14	14	
	Adjustment Disorder	14	14	
	Anorexia	14	14	
	Antisocial Personality Disorder	14	14	
	Avoidant Personality Disorder	14	14	
	Bi-Polar Disorder	14	14	
	Borderline Personality Disorder	14	14	
	Bulimia	14	14	
	Conduct disorder	14	14	
	Delusional Disorder	14	14	
	Dependent Personality Disorder	14	14	
	Depression	14	14	
	Diagnosed Attention Deficit Disorder (ADD)	14	14	
	Diagnosed Attention Deficit Hyperactivity Disorder (ADHD)	14	14	

Characteristics Group=Clinical

Dysthymia	14	14	
Histrionic Personality Disorder	14	14	
Impulse Control Disorder	14	14	
Intermittent Explosive disorder	14	14	
Juvenile Schizophrenia	14	14	
Medical Condition: Schizophrenia	14	14	
Mental Health: Schizophrenia	14	14	
Narcissistic Personality Disorder	14	14	
Obsessive-Compulsive Personality Disorder	14	14	
Oppositional Defiant disorder	14	14	
Paranoid Personality Disorder	14	14	
Pica	14	14	
Post Traumatic Stress Disorder	14	14	
Psychotic Disorder	14	14	
Reactive Attachment Disorder	14	14	
Schizophreniform	14	14	
Schizotypal Personality Disorder	14	14	
Somatoform Disorder	14	14	
Tourette's Syndrome	14	14	
AIDS	15	15	
Asperger Syndrome	15	15	
Asthma - Moderate - Treatment Required	15	15	
Asthma - Severe - Treatment Required	15	15	
Autism	15	15	
Blood Disorder	15	15	
Brain Disorder	15	15	
Cancer	15	15	
Cancer - In Remission	15	15	
Cancer - Requires Treatment	15	15	
Chronic Liver Problem	15	15	
Cleft Lip/Palate	15	15	
Cleft Lip/Palate - Already Corrected	15		
Cleft Lip/Palate - May Require Surgery	15	15	
Crohn's disease	15	15	
Cushing's syndrome	15	15	

Characteristics Group=Clinical

	Cystic Fibrosis	15	15	
	Cystic Fibrosis - Moderate	15	15	
	Cystic Fibrosis - Severe	15	15	
	Diabetes	15	15	
	Diabetes - Insulin Dependent	15	15	
	Diabetes - Non-Insulin Dependent	15	15	
+	Drug Addiction at Birth	15	15	+ The infant should show withdrawal symptoms at birth and have a positive toxicology report
+	Drug Addiction at Birth - Heroin	15	15	
+	Drug Addiction at Birth - Methadone	15	15	
+	Drug Addiction at Birth - Morphine	15	15	
+	Drug Addiction at Birth - Other	15	15	
	Encephalopathy	15	15	
	Epilepsy	15	15	
	Failure to Thrive	15	15	
	Failure to Thrive - Environmental	15	15	
	Failure to Thrive - Organic	15	15	
	Fetal Alcohol Effects	15	15	
	Fetal Alcohol Syndrome	15	15	
	Heart Disorder-Diagnosed	15	15	
	Heart Disorder-Diagnosed - Major (May Require Surgery)	15	15	
	Heart Disorder-Diagnosed - Minor (May Require Surgery)	15	15	
	Hemophilia	15	15	
	HIV	15	15	
	Hypertension-High Blood Pressure	15	15	
	Immunological Disorder, including lupus	15	15	
	Kidney Disease	15	13	
	Klienefelter's syndrome	15	15	
	Learning Disabilities	15	15	
	Learning Disability	15	15	
	Leukemia	15	15	
	Leukemia - In Remission	15	15	
	Leukemia - Requires Treatment	15	15	
	Neurofibromatosis	15	15	
	Neurological Problems	15	15	
	Other Medically Diagnosed Condition			

Characteristics Group=Clinical

	Other Respiratory Problems - Treatment Required	15	15	
	Pancreatic Disease	15	15	
	Rett Disorder	15	15	
	Seizure Disorder (Other Than Epilepsy)	15	15	
	Seizures	15	15	
	Shaken Infant Syndrome	15	15	
	Sickle Cell Disease	15	15	
#	Speech Problems - Moderate		15	
#	Speech Problems - Moderate - Impaired Articulation		15	
#	Speech Problems - Moderate - Language Impairment		15	
	Speech Problems - Moderate - Other		15	
#	Speech Problems - Moderate - Stuttering	15	15	
#	Speech Problems - Language Impairment		15	
	Speech Problems - Moderate - Voice Impairment	15	15	
	Speech Problems - Severe	15	15	
	Speech Problems - Severe - Impaired Articulation	15	15	
#	Speech Problems - Severe - Language Impairment		15	
	Speech Problems - Severe - Other	15	15	
	Speech Problems - Severe - Stuttering	15	15	
	Speech Problems - Severe - Voice Impairment	15	15	
	Terminally Ill (Life Expectancy < 1 Year)	15	15	
	Traumatic Brain Injury	15	15	
	Tuberous Sclerosis	15	15	
	Non-Ambulatory	Does not map to AFCARS		Needs an actual condition
	Other Mental Health Problem	Does not map to AFCARS		Item is too vague
	Visually Impaired - Not Blind - Moderate - No Treatment Required	Does not map to AFCARS		
	Visually Impaired - Not Blind - No Treatment Required	Does not map to AFCARS		
	Speech Problems - Impaired Articulation	Does not map to AFCARS		
	Speech Problems - Other	Does not map to AFCARS		
	Speech Problems - Voice Impairment	Does not map to AFCARS		