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PROGRAM INSTRUCTION

TO: Indian Tribes, Indian Tribal Organizations or Indian Tribal Consortia Administering Title IV-B, subparts 1 and 2 of the Social Security Act; Tribal Independent Living and Education and Training Voucher Coordinators.

SUBJECT: June 30, 2012 Submission of the Annual Progress and Services Report (APSR) required under Title IV-B of the Social Security Act (the Act) for the Stephanie Tubbs Jones Child Welfare Services and Promoting Safe and Stable Families (PSSF) Programs, and the Chafee Foster Care Independence Program (CFCIP), including the Education and Training Vouchers (ETV) program; submission of the CFS-101, Part I, Annual Budget Request; Part II, Annual Summary of Child and Family Services; and Part III, Annual Expenditures for Title IV-B, Subparts 1 and 2.

LEGAL AND RELATED REFERENCES: Title IV-B, subparts 1 and 2, sections 421-425, 428, 430-438, and Title IV-E, section 477 of the Act; the Indian Child Welfare Act of 1978 (Public Law (P.L.) 95-608); the Indian Self-Determination and Education Assistance Act (P.L. 93-638); and 45 CFR Parts 1355 and 1357; the Fostering Connections to Success and Increasing Adoptions Act of 2008 (P.L. 110-351); the Patient Protection and Affordable Care Act (P.L. 111-148); and the Child and Family Services Improvement and Innovation Act (P.L. 112-34).

PURPOSE: This Program Instruction (PI) provides guidance to Indian Tribes, Tribal Organizations or Tribal Consortia (hereafter "Tribes,") on actions they are required to take in order to receive their allotments for Fiscal Year (FY) 2013 (subject to the availability of appropriations) authorized under title IV-B, subparts 1 and/or 2, the CFCIP and ETV programs.

In addition, Tribes that plan to submit a **title IV-E plan** to operate a Foster Care, Adoption Assistance and, at Tribal option, Guardianship Assistance Program, are reminded that they must also have an approved plan under title IV-B, subpart 1. Both plans can be submitted for the same fiscal year. Therefore, a Tribe that wants to submit a plan to operate directly a title IV-E programs

in FY 2013, must submit a Child and Family Services Plan (CFSP)/APSR to apply for title IV-B, subpart 1 funds by June 30, 2012, as described below. There are additional requirements that apply and Tribes should review the requirements as delineated in the PI, ACYF-CB-PI-09-07, at: http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2009/pi0907.htm.

Also note that Tribes with either an approved plan to operate a title IV-E program directly or through a title IV-E Tribal/State cooperative agreement or contract have the option to apply to receive FY 2013 CFCIP and/or ETV funds directly from the Secretary. (See Section C of this PI.)

This PI summarizes the actions required of Tribes to receive funding for the title IV-B, CFCIP, and ETV programs, by completing and submitting the APSR, and the CFS-101 form, Parts I, II, and III.

Reauthorization of Title IV-B Programs and New Program Requirements:

Title IV-B programs are required to be reauthorized periodically by the Congress. On September 30, 2011 the President signed into law P.L. 112-34, the Child and Family Services Improvement and Innovation Act which re-authorized programs funded under title IV-B for five years through FY 2016 and made a number of other changes.

The Children's Bureau (CB) issued Information Memorandum (IM) ACYF-CB-IM-11-06 on October 6, 2011 summarizing the major provisions of the law. Several of the changes made by the law will affect Tribes' APSR submissions. These provisions are outlined below and are also included in the appropriate later sections of this PI.

Changes to Title IV-B, subpart 1 (Stephanie Tubbs Jones Child Welfare Services Program) Plan Requirements:

P.L. 112-34 requires grantees receiving title IV-B, subpart 1 funds to include additional information in the APSR regarding services provided to young children. The law also requires grantees to expand the information provided in the plan relating to health care coordination and oversight for children in foster care.

In the APSR due on June 30, 2012, Tribes must include:

- A description of the activities undertaken by the Tribe to reduce the length of time that young children under age five are in foster care without a permanent family; and
- A description of the activities the Tribe undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs (section 422(b)(18) of the Act).

In addition, as part of the plan for the ongoing oversight and coordination of health care services for children in foster care, Tribes that receive title IV-B, subpart 1 funding and that directly operate foster care programs must include an outline of:

- How the Tribe will monitor and treat emotional trauma associated with a child’s maltreatment and removal, in addition to other health needs identified through screenings (section 422(b)(15)(A)(ii) of the Act); and
- Protocols for the appropriate use and monitoring of psychotropic medications (section 422(b)(15)(A) (v) of the Act).

Changes to Title IV-B, subpart 2 (Promoting Safe and Stable Families) Plan Requirements:

P.L. 112-34 added a requirement for grantees receiving title IV-B, subpart 2 funds to address how they identify and target services to populations at greatest risk of maltreatment. In the APSR, Tribes must include:

- A description of how the Tribe identifies which populations are at the greatest risk of maltreatment and how the Tribe targets services to the populations at greatest risk of maltreatment (section 432(a)(10) of the Act).

P.L. 112-34 also amended several definitions applicable to the title IV-B, subpart 2 program:

- The law amended the definitions of “Indian Tribe” and “Tribal organization” to conform to the definitions used in the Indian Self-Determination and Education Assistance Act. This makes the definition of Tribes and Tribal organizations eligible to apply for funding consistent across the title IV-B, subpart 1 and 2 programs (sections 431(a)(5) and (6) of the Act).
- The law amended the definition of “family support services” to add mentoring as an allowable purpose (section 431(a)(2)(B)(vi));
- The law amended the definition of “time-limited reunification services” to add peer-to-peer mentoring and support groups for parents and primary caregivers, as well as services and activities to facilitate access to and visitation of children with parents and siblings as allowable purposes (section 431(a)(7)(B)(vi-viii) of the Act).

In the APSR, Tribes must indicate if they plan to revise their use of title IV-B, subpart 2 funds to support new activities, consistent with the changes in the definitions of family support services and time-limited reunification services.

In addition, Tribes that were previously unable to apply for title IV-B, subpart 2 due to the definition of Indian Tribe may now apply for funding under title IV-B, subpart 2 by including

required information in the APSR. Tribes in this situation should contact the Regional Office (RO) to discuss the information that will be required.

INFORMATION: Organization of the Program Instruction:

Section A. Overview

Section B. Instructions for Tribes for APSR Submissions

Section C. Chafee Foster Care Independence and Education and Training Vouchers Programs

Section D. Financial Information

Attachments

Section A. Overview

CFSP is a five-year plan which lays out the strategy for administering the Tribe's child welfare system, in particular for title IV-B, subpart 1, Child Welfare Services (CWS) and subpart 2, Promoting Safe and Stable Families PSSF programs. For Tribes that receive direct funding under the CFCIP and ETV programs, the CFSP must also address these programs.

Federal regulations at 45 CFR 1357.15 and 1357.16 provide the requirements for a five-year comprehensive CFSP and annual updates on the progress made toward accomplishing the goals and objectives in the CFSP. The CFSP sets forth the framework for a system of services that are coordinated, integrated, culturally relevant and child and family-focused. The CFSP for FYs 2010–2014 was due on June 30, 2009. If a Tribe did not request funding for FYs 2010, 2011 or 2012 and did not submit a CFSP in June 2009, June 2010 or June 2011, but wishes to apply for FY 2013 funds, then the Tribe must submit a two-year CFSP covering FYs 2013 and 2014, following the instructions provided in PI ACYF-CB-PI-09-07. Tribes in this situation may contact their CB RO for more assistance.

APSR is the annual update report that the Tribe submits on the progress made toward accomplishing the goals and objectives in the CFSP. Completion of the APSR satisfies the Federal regulations by providing updates on a Tribe's annual progress for the previous Federal Fiscal Year (FFY) and planned activities for the upcoming FFY.

In order to receive FY 2013 funds for title IV-B, CFCIP and ETV programs, Tribes must submit the APSR electronically to the CB ROs by June 30, 2012. APSRs received after June 30, 2012 will not be considered for funding for FY 2013. The ROs will work with the Tribe to ensure that the information provided adequately addresses the requirements. Upon approval, the RO will forward the Tribe's request for funding to CB Central Office. The RO will not forward the CFS-101 to the CB Central Office for funding until all requirements for the APSR are met. The CFS-101, Parts I, II, and III, is located in Attachment B.

It is important that applicants address all requirements outlined in this PI, as missing or incomplete information will result in the withholding of funds for the program(s) affected until such time as the information is complete and approval can be granted by ACF. If there are questions in preparing the APSR, please contact the Tribe's CB RO Program Specialist.

Plans and activities included in the APSR must meet the provisions of 45 CFR 1355.21, 45 CFR 1357, and title IV-B, subparts 1 and/or 2, as well as the CFCIP and ETV programs (section 477 of the Act) for Tribes seeking direct funding. These programs provide a critical source of funding for ensuring the safety, permanency and well-being of children.

In preparing the APSR, each Tribe must conduct an interim review of the progress made in the past year toward accomplishing the goals and objectives in the CFSP based on updated information and current data. When conducting this annual review, the Tribe must include the agencies, organizations, and individuals involved in the development of the CFSP and in the ongoing CFSP-related consultation and must describe the coordination process used in developing the APSR. Examples of the agencies, organizations and individuals with whom the Tribe may need to collaborate include representatives from other Tribal or State agencies providing services to children and families; the Tribal court, the State's Court Improvement Program, State and county social service programs, the Temporary Assistance for Needy Families (TANF) program, the Child Support program and other human service agencies.

Section B. Instructions for Tribes for APSR Submissions

To receive funding for FY 2013, a Tribe must submit its APSR to the RO by June 30, 2012. The submission must include:

- An electronic copy of the APSR submitted via e-mail or compact disc in Word format. (Paper copies will not be accepted.)

In addition, Tribes must submit required budget forms by mail or e-mail in portable document format (PDF), including:

- CFS-101, Part I for FY 2013 with signature;
- CFS-101, Part II with planned expenditures for FY 2013;
- CFS-101, Part III with previously estimated and actual expenditures for FY 2010 with signature. Part III is not required if the Tribe did not receive funding in FY 2010.

In addition, if a Tribe wishes to revise its previously approved funding request for FY 2012, either by releasing funds for reallocation or by requesting more funds than originally requested and approved, then the Tribe must submit a revised CFS-101 Part I for FY 2012 indicating:

1. the amount of funds from its FY 2012 allotments that the Tribe does not expect to utilize during the upcoming year to carry out the title IV-B, subparts 1 and 2 program activities;
2. for Tribes that received CFCIP and/or ETV awards for FY 2012, any amount of CFCIP and/or ETV funds that the Tribe does not expect to utilize from its FY 2012 CFCIP allocation; and/or
3. the amount of funds the Tribe is requesting if additional funds become available for the title IV-B programs, the CFCIP and/or ETV (if different from earlier requests).

Certifications and Assurances: Certifications and assurances submitted with the 2010-2014 CFSP do not need to be re-submitted unless a change has occurred that warrants a new certification. If this is a new application for title IV-B, CFCIP or ETV, the application must include the signed certifications and assurances for the respective programs. Copies of the assurances can be found at:

http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2009/pi0907.pdf. In addition, a Tribe requesting funds for CFCIP and/or ETV for the first time must also provide a signed copy of the certification added as part of the Patient Protection and Affordable Care Act, which is available at: http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010/pi1010.htm.

Plan Availability: The Tribe must make the APSR available to interested parties. The plan must describe how the APSR will be made available. States and Tribes are required to share their plans with each other.

The APSR must address each of the programs for which the Tribe is eligible and wishes to receive funding:

- **Stephanie Tubbs Jones Child Welfare Services Program (title IV-B, subpart 1)**

Funds under this program may be used for:

1. protecting and promoting the welfare of all children;
2. preventing the neglect, abuse, or exploitation of children;
3. supporting at-risk families through services which allow children, where appropriate, to remain safely with their families or return to their families in a timely manner;
4. promoting the safety, permanence, and well-being of children in foster care and adoptive families; and
5. providing training, professional development and support to ensure a well-qualified child welfare workforce.

- **The Promoting Safe and Stable Families Program (title IV-B, subpart 2)**

Funds under this program may be used to support services in the following four areas:

1. family preservation;
2. family support;
3. time-limited family reunification; and
4. adoption promotion and support services.

- **The Chafee Foster Care Independence Program and the Education and Training Vouchers Program**

Funds under CFCIP are used to help youth in foster care and former foster youth ages 18-21 make a successful transition from foster care to self-sufficiency by providing educational, vocational and other services and supports. The ETV program provides funds for vouchers for postsecondary education and training. Information on Tribal eligibility for these programs and additional information on the use of funds under these programs is discussed in Section C of this PI.

For each of the above programs under which the Tribe received funding for FY 2012 and/or is requesting funding for FY 2013, the APSR must address the Service Description, Collaboration and Program Support as detailed in items 1–3 below.

1. Service Description for Each of the Programs Listed Above

- Report on the specific accomplishments and progress achieved to date in the past fiscal year toward meeting each goal and objective in the CFSP, including improved outcomes for children and families, as well as providing a more comprehensive, coordinated and effective child and family services continuum (45 CFR 1357.16(a)(1)).
- Describe the steps the Tribe will take to expand and strengthen the range of existing services and to develop and implement services to improve child outcomes (45 CFR 1357.16(a)). Describe planned activities, new strategies for improvement, and the method(s) to measure progress in the upcoming FY (October 1, 2012 to September 30, 2013).
- Explain any revisions to existing goals and objectives (45 CFR 1357.16(a)(2)); and, if appropriate, update the goals and objectives to incorporate any new goals or objectives.
- Describe the services to be provided in FY 2013 under title IV-B, subpart 1 and/or 2 (as applicable), highlighting any changes or additions in services or program design and how the services will assist in achieving program purposes (45 CFR 1357.16(a)(3)). For each service described above, report:
 1. the population(s) to be served;
 2. the geographic areas where the services will be available; and
 3. the estimated number of individuals and families to be served.

This information is required to be provided in Part II of the CFS-101 form (Attachment B).

- For Tribes applying for title IV-B, subpart 1 funds and who have placement and care responsibility for children, provide a description of the activities that Tribe has undertaken or will undertake in FY 2013 to reduce the length of time that young children under age five are in foster care without a permanent family; and a description of the activities the Tribe undertakes to address the developmental needs of children under the age of five who receive services under the title IV-B or IV-E programs (section 422(b)(18) of the Act).

- For Tribes applying for title IV-B, subpart 2 funds, describe how the Tribe identifies which populations are at the greatest risk of maltreatment and how services are targeted to these populations.
- Indicate if there are no planned changes to the program.

2. Collaboration

- Describe activities in the ongoing process of coordination and collaboration efforts conducted across the entire spectrum of the child and family service delivery system. Include a description of stakeholder or partner involvement in the review of progress made in the past fiscal year and expected updates for the coming year (section 422(b)(2) of the Act).
- Update the Tribe's demonstration of substantial, ongoing, and meaningful collaboration between the child welfare agency and the Tribal or State courts, as appropriate, with regard to the development of the APSR and any Tribal actions being undertaken in response to a State's Child and Family Services Review or title IV-E program improvement plans (section 422(b)(13) of the Act).

3. Program Support

- Discuss updates to the Tribe's child welfare services staff development and training plans.
- Discuss the child and family services program's research, evaluation, or management information systems and quality assurance systems that will be updated or implemented in the upcoming fiscal year. Specify any additions or changes in services or program design due to the Tribe's own evaluation of programs that the Tribe has found particularly effective or ineffective (45 CFR 1357.16(a)(5)).
- Discuss any technical assistance that the Tribe anticipates requesting from the CB's Training and Technical Assistance network (including the National Resource Centers and Implementation Centers) in support of the CFSP goals and objectives (45 CFR 1357.16(a)(5)).

4. Consultation Between States and Tribes

Section 422(b)(9) of the Act requires States to consult with Tribes regarding improving State compliance with the Indian Child Welfare Act (ICWA). In addition, Tribes and the States in which they are located are also required to exchange their CFSPs and APSRs. Respond to the following bullets regarding State/Tribal consultation:

- Provide an update regarding the consultation between the State and the Tribe with respect to State compliance with ICWA, as required by section 422(b)(9). Describe any concerns with respect to the ICWA consultation and compliance.

- Provide a description of the understanding, gathered from State/Tribal consultation, as to who is responsible for providing the protections for Tribal children delineated at section 422(b)(8) of the Act, whether they are in State or Tribal care and responsibility.
- Describe how the Tribe has been consulted about the programs to be carried out under the CFCIP and explain the results of the consultation, specifically as it relates to determining eligibility for benefits and services and ensuring fair and equitable treatment for Indian youth in care under the CFCIP (section 477(b)(3)(G)).

5. Health Care Services

P.L. 110-351 amended section 422(b)(15)(A) of the Act to require States and Tribes receiving title IV-B, subpart 1 to develop a plan for ongoing oversight and coordination of health care services for children in foster care, including mental health, behavioral health and dental health needs. This plan must be developed in coordination with the State title XIX (Medicaid) agency, and in consultation with pediatricians and other experts in health care, and experts in and recipients of child welfare services. This requirement was further expanded by P.L. 111-148, which required that the plan include "steps to ensure that the components of the transition plan development process required under section 475(5)(H) that relate to the health care needs of children aging out of foster care, including the new requirement to include options for health insurance, information about a health care power of attorney, health care proxy, or other similar document recognized under State law, and to provide the child with the option to execute such a document, are met."

Most recently, P.L. 112-34 amended section 422(b)(15)(A)(ii) and (v) of the Act to require that the plan describe how the title IV-B agency will monitor and treat emotional trauma associated with a child's maltreatment, and removal and the protocols the agency will follow to ensure appropriate use and monitoring of psychotropic medications.

Reflecting all recent amendments, the Health Care Oversight and Coordination Plan must now include the information listed below (new requirements appear in *italics*):

- A schedule for initial and follow-up health screenings that meet reasonable standards of medical practice;
- How health needs identified through screenings will be monitored and treated, *including emotional trauma associated with a child's maltreatment and removal from home*;
- How medical information will be updated and appropriately shared, which may include developing and implementing an electronic health record;
- Steps to ensure continuity of health care services, which may include establishing a medical home for every child in care;
- The oversight of prescription medicines, *including protocols for the appropriate use and monitoring of psychotropic medications*; and

- How the Tribe actively consults with and involves physicians or other appropriate medical or non-medical professionals in assessing the health and well-being of children in foster care, and in determining appropriate medical treatment for the children;
- Steps to ensure that the components of the transition plan development process required under section 475(5)(H) that relate to the health care needs of children aging out of foster care, including the requirements to include options for health insurance, information about a health care power of attorney, health care proxy, or other similar document recognized under State law, and to provide the child with the option to execute such a document, are met.

Tribes were required to submit the Health Care Oversight and Coordination Plan in the CFSP/APSR. For the APSR due June 30, 2012, provide an update, including information relating to the new requirements on screening for emotional trauma and information on protocols for monitoring psychotropic medications. Assess implementation of the plan to date and describe any modifications to the plan that the Tribe, in conjunction with health care professionals, has determined are necessary. If substantial changes have been made, submit a copy of the revised plan.

All Tribes receiving funding under title IV-B, subpart 1, are required to address, in the APSR, how the Health Care Oversight and Coordination plan requirements are being met for Tribal children in foster care. Tribes that do not directly operate a foster care program and whose children are served by the State agency should note in the APSR the responsibility of the State for developing the Health Care Oversight and Coordination Plan and for providing health care information as part of the transition plan development process. Tribes must also address how they are involved in those State processes.

6. Disaster Plans

Section 422(b)(16) of the Act requires that Tribes have in place, procedures explaining how the programs assisted under title IV-B, subparts 1 and 2, or title IV-E, would respond to a disaster, in accordance with criteria established by the Secretary which include how the Tribe will:

- Identify, locate, and continue availability of services for children under Tribal care or supervision who are displaced or adversely affected by a disaster;
- Respond, as appropriate, to new child welfare cases in areas adversely affected by a disaster, and provide services in those cases;
- Remain in communication with caseworkers and other essential child welfare personnel who are displaced because of a disaster;
- Preserve essential program records; and
- Coordinate services and share information with States and other Tribes.

Tribes are required to review their previously submitted disaster plan to determine if changes are needed. If the Tribe determines changes are necessary, describe the changes the Tribe is making in the APSR or include an updated disaster plan. If the Tribe determines that no changes are

necessary, note this fact in the APSR. If the Tribe has been affected by a disaster in the past year, describe how the plan was used and assess its effectiveness.

7. Certification of Population Count

Title IV-B funds are allotted to Tribes based on the number of children under the age of 21 as reflected in Census Bureau data, unless a Tribe has certified an alternative number that has been approved by the CB RO. Tribes have the opportunity to update their certification numbers to reflect the number of children under age 21 in their populations. If they choose to do so, Tribes must send a statement certifying the revised number of children who have not yet reached their 21st birthday and provide a justification for the revision to their RO. This certification must be signed by the Tribal enrollment officer and the Tribal President/Chairperson and is due with the June 30th plan submittal. Because grant amounts for both States and Tribes will be affected by the revisions, the RO may ask for additional verification/documentation if the numbers vary significantly from the Census or previous data. (See Attachment D)

Section C. Chafee Foster Care Independence and Education and Training Vouchers Programs

Some Tribes are eligible to apply to receive funds directly to operate independent living and education and training voucher programs. Tribes that are not eligible or that do not wish to apply for a direct allocation are reminded that States are required to ensure fair and equitable treatment of Indian youth in foster care. States are also required to negotiate in good faith with any Tribe that requests to develop an agreement to administer or supervise the CFCIP or an ETV program with respect to eligible Indian children and to receive an appropriate portion of the State's allotment for such administration or supervision. (See section 477(b)(3)(G) of the Act.)

Tribes with an approved title IV-E plan to directly operate a title IV-E program or Tribes that have a title IV-E Tribal/State cooperative agreement or contract have the option to apply to receive FY 2013 CFCIP and/or ETV funds directly from the Secretary. These Tribes may receive directly a portion of the CFCIP and/or ETV funds allotted to the State in which the Tribe is located. Tribes receiving direct funding under CFCIP and/or ETV will also be required to provide a 20 percent match.

Note that consistent with section 479B(f) of the Act, ACF has determined that Tribes who receive direct funding for CFCIP and/or ETV are not required to implement the National Youth in Transition Database.

Requirements for Tribes Applying to Receive Direct Funding for CFCIP and/or ETV:

A Tribe that wishes to apply for direct funding for the first time beginning with FY 2013 must meet all of the requirements listed in Section E of PI ACYF-CB-PI-09-07 and sign the Certifications for the Chafee Foster Care Independence Program and/or Tribal Leader's Certification for the Education and Training Voucher Program/Chafee Foster Care Independence Program in Attachment C of that same PI. The document can be found at:

http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2009/pi0907.htm. An additional Chafee certification may be found at:
http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010/pi1010.htm.

In addition, in order to calculate the Tribe's allocation under the CFCIP and ETV programs, the Tribe must submit data on the number of children in foster care under the responsibility of the Tribe, tribal organization or tribal consortium (either directly or under supervision of the State) in the most recent fiscal year for which information is available.

Requirements for Tribes Re-applying to Receive Direct Funding:

Tribes that directly received CFCIP and/or ETV funds for FY 2011 and/or FY 2012 and wish to receive funds for FY 2013 must submit an updated plan that includes the information required under Section B, items 1-3 above (i.e., Service Description, Collaboration and Program Support) for the CFCIP and report on the specific accomplishments achieved in FY 2012 and planned activities for FY 2013 for each of the seven purpose areas, as applicable:

1. Assist youth to transition from dependency to self-sufficiency;
2. Help youth receive the education, training, and services necessary to obtain employment;
3. Help youth prepare for and enter post-secondary training and educational institutions;
4. Provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults;
5. Provide financial, housing, counseling, employment, education, and other appropriate support and services to former foster care recipients between 18 and 21 years of age;
6. Make available vouchers for education and training, including postsecondary training and education, to youths who have aged out of foster care; and
7. Provide the services referred to in this subsection to children who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption.

In order to fulfill the intent of the Act, which is in keeping with positive youth development, Tribes are strongly encouraged to include youth, presently in care as well as former foster youth, as critical stakeholders in the development of the plan.

Tribes applying to receive funds for CFCIP and/or ETV are not required to sign the certifications for these programs if there are no changes to the certifications signed with the plan previously submitted.

Note that if a Tribe wishes to receive direct funding under the ETV program only (and not the CFCIP program), then the Tribe's plan shall address plans for achieving that purpose only.

In addition, in order to calculate the Tribe's allocation under the CFCIP and ETV programs, the Tribe must submit data on the number of children in foster care under the responsibility of the Tribe, (either directly or under supervision of the State) in the most recent fiscal year for which information is available.

Education and Training Vouchers Program.

In addition to the information described in Section C, items 1-3, above (Program Service Description, Collaboration and Program Support), the ETV program requires that the following specific information be incorporated into the APSR:

- Describe the specific accomplishments and progress to establish, expand, or strengthen the Tribe's postsecondary educational assistance program to achieve the purpose of the ETV program.
- Indicate how the ETV program is administered.

Consultation and Collaboration:

Tribes must describe the consultation process regarding the CFCIP and ETV programs with each State for which a portion of an allotment would be redirected to the Tribe. (See section 477(j)(2)(B) and (C).) Describe the results of that consultation, particularly with respect to:

- Determining the eligibility for benefits and services of Indian children to be served under the CFCIP and/or ETV programs that the Tribe has opted to operate directly; and
- The process for consulting with the State in order to ensure the continuity of benefits and services for such children who will transition from receiving benefits and services under the State's CFCIP and ETV programs to receiving such benefits and services under programs carried out directly by the Tribe.

Statistical Information: Identify the number of youth who received ETV awards from July 1, 2010 through June 30, 2011 (the 2010-2011 School Year) and July 1, 2011 through June 30, 2012 (the 2011-2012 School Year). Tribes may estimate if they do not have the total number for the 2011-2012 School Year. If not able to report the number of ETV awarded by school year, Tribes may report the information by Federal fiscal year. Report the number of youth who were new voucher recipients in each of the school years.

Section D. Financial Information

1. Payment Limitations - Title IV-B, subpart 1:

As required by section 424(e) of the Act, Tribes may spend no more than 10 percent of title IV-B, subpart 1 funds for administrative costs. As defined at section 422(c)(1) of the Act, administrative expenditures are costs for the following activities to the extent they are incurred in administering the title IV-B State plan: procurement, payroll management, personnel functions (other than the portion of the salaries of supervisors attributable to time spent directly supervising the provision of services by caseworkers), management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses (except those related to the provision of services by caseworkers or the oversight of programs funded under title IV-B, subpart 1). Because of the limitation on

administrative expenditures, Tribes may have to limit the application of their indirect cost rate. If the application of the indirect cost rate results in the administrative cost limit being exceeded, the Tribe must reduce direct and/or indirect costs assigned to the program to assure that total administrative costs are limited to no more than 10 percent of total program expenditures.

2. FY 2012 Funding-Revised Budget Request

- If the Tribe's final FY 2012 allotment for any of the programs addressed in the APSR (see Attachment A) is greater than the amount indicated on its previously submitted and approved CFS-101 for FY 2012, and the Tribe wishes to receive that higher amount of funding, it must submit a revised FY 2012 budget form reflecting the higher level of funding (CFS-101, Part I). (A revised CFS-101 is not required if the final allocation is less than the amount previously approved on the CFS-101 for FY 2012.)
- Unneeded portions of FY 2012 Tribal allocations of title IV-B, CFCIP and ETV funds may be re-allotted so that the total appropriation remains available for program purposes (sections 423(e), 433(d) and 477(d)(4) of the Act). Therefore, if the Tribe intends to release or apply for funds for reallocation for the title IV-B, subpart 2, the CFCIP, or the ETV program, please note the amount the Tribe is releasing or requesting on the appropriate lines of a revised FY 2012 CFS-101. (See form instructions in Attachment B for more details.) ACF will re-allocate the funds in accordance with the prescribed formulas. Funds will be re-allocated before the end of the fiscal year and Tribes must expend the funds by September 30, 2013.

3. FY 2013 Budget Request-CFS-101, Parts I and II (See Attachment B)

- Complete Part I of the CFS-101 form to request title IV-B, subparts 1 and 2, CFCIP and ETV funds, as applicable. For the purpose of applying for FY 2013 funds for title IV-B, subpart 2, the Tribe must specify the total amount of funds and has the option, but is not required, to specify the amount of title IV-B, subpart 2 funds that it will expend on each of the individual program areas of planning and service coordination and on actual delivery of family preservation, community-based family support, time-limited family reunification, and adoption promotion and support services on the CFS-101 Part I.
- Complete Part II of the CFS-101 to include the estimated amount of funds to be spent in each program area by source, the estimated number of individuals and families to be served, and the geographic service area within which the services are to be provided. The break-out of the amounts by program area is required for Part II only.

Use the attached FY 2012 allocation tables as the basis for budget requests for title IV-B, subparts 1 and 2, CFCIP and/or ETV. For any eligible Tribe interested in applying directly to receive CFCIP and/or ETV funds for the first time, please contact your CB RO to obtain an estimate of the amount of funds that will be available to the Tribe under these programs. The Tribe must provide the number of children in foster care under the responsibility of the Tribe

(either directly or under the supervision of the State) as of September 30, 2011 to CB, so that it can calculate the estimated amount of funds available to the Tribe under either program.

4. FY 2010 Title IV-B Expenditure Report-CFS-101, Part III

- Complete the CFS-101, Part III to include the actual amount of funds expended in FY 2010 for each program area of title IV-B funding by source:
 1. Total title IV-B, subpart 1 funds
 2. Administrative costs under title IV-B, subpart 1 (not to exceed 10 percent)
 3. Total title IV-B, subpart 2 funds (the break out by service category is optional for Tribes)

Provide an explanation for any differences between the FY 2010 actual expenditures and estimated costs in the APSR narrative.

- Provide the number of individuals and families served, and the geographic service area within which the services were provided.

5. Federal Financial Report (Standard Form (SF)-425)

All grantees must report expenditures under title IV-B, subparts 1 and 2, CFCIP and ETV on the Federal Financial Report, SF-425. This report replaced the formerly used Financial Status Report (SF-269) effective for reports due on February 1, 2011 or later dates. A separate SF-425 must be submitted for each program and the Federal funds awarded under it for each FFY. Submission requirements for each program are listed below under the appropriate heading. A negative grant award will recoup unobligated and/or unliquidated funds reported on the final SF-425 for the title IV-B programs, CFCIP and ETV programs.

Tribes are encouraged to submit an electronic SF-425 for the above listed programs through the ACF Online Data Collection (OLDC) system. For reports submitted electronically through OLDC, paper copies do not need to be submitted. For more information on gaining access to and using the OLDC submission process, please contact your ACF RO Grants Officer or Specialist. (See ACF Grants Management Action Transmittal OA-ACF-AT-01-05.)

Title IV-B, subparts 1 and 2

Funds under title IV-B, subparts 1 and 2 must be expended within the FFY awarded or in the following FFY. Tribes are required to submit the SF-425 fiscal report for expenditures under both title IV-B, subpart 1 and title IV-B, subpart 2 (in separate reports) for each 12 month FFY (i.e. October 1st–September 30th) beginning when program funds are awarded and ending with the expiration of the two-year expenditure period. Reports are due 90 days after the end of the FFY (45 CFR 92.41). The SF-425 fiscal report covering the first FFY budget period constitutes

an interim report and is to be checked under report type as “Annual”. The report due for the second FFY must cover the entire grant period and is to be checked under report type as “Final”. The required 25 percent Tribal match (non-Federal share) may be reported on the interim report and must be reported on the final fiscal report. (Note that the match is based on 25 percent of the total program costs, including the combined Federal and non-Federal share, which equals one-third of the Federal amount awarded.) For both programs, funds must be expended by September 30th of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2013, funds must be obligated by September 30, 2014 and liquidated (i.e., paid out) by December 29, 2014).

The title IV-B, subpart 2 grant is comprised of both discretionary and mandatory funds. Since the discretionary funds under title IV-B, subpart 2 (PSSF) are to be expended for the same purposes as the mandatory funds, no separate reporting is required to distinguish between the expenditure of the two amounts. Grantees are to report the cumulative amount on the Federal Financial Report (SF-425). Funds reported as unobligated on the final SF-425 report will be recouped from the discretionary amount first.

CFCIP and ETV

Funds under CFCIP and ETV must be expended within the FFY awarded or in the following FFY. Tribes are required to submit separate SF-425 fiscal reports for the CFCIP and ETV programs, if they directly receive grants under both programs. Reports capturing the FFY (October 1st – September 30th) expenditure periods are due 90 days after the end of each 12 month period. The SF-425 fiscal report covering the first FFY budget period constitutes an interim report and is to be checked under report type as an “Annual” report. The report due for the second FFY must cover the entire grant period and is to be checked under report type as a “Final” report. The required 20 percent Tribal match (non-Federal share) may be reported on the interim report and must be reported on the final report. (Note that the match is based on 20 percent of total program costs, including the combined Federal and non-Federal share, which equals 25 percent of the Federal amount awarded). CFCIP and ETV funds must be expended by September 30th of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2013, funds must be obligated by September 30, 2014 and liquidated (i.e., paid out) by December 29, 2014).

Summary of Required Submittals:

In summary, by June 30, 2012, Tribes must submit to their CB RO electronically via e-mail or on a compact disc:

- the APSR for FY 2013 (in Microsoft Word);
- the signed and dated CFS-101, Parts I and II for FY 2012 (PDF), applicable if the Tribe has been allotted and requests more than its previously submitted request for funds indicates;

- the signed and dated CFS-101, Parts I and II for FY 2013 (PDF);
- the signed and dated CFS-101 Part III for FY 2010 (PDF); and
- Certifications and Assurances and Certified Population under Age 21, only if applicable.

Tribes must submit the documents electronically to the CB RO. The signed CFS-101s should be submitted in .pdf format as a separate attachment. It is preferred that any attachments to the APSR be in Microsoft Word although other formats will be accepted. The RO will review the application and contact the Tribe if they have any questions or if revisions are needed. Once the RO has completed the review, it will forward a copy of the approved CFS-101 to CB's Central Office.

Paperwork Reduction Act:

Under the Paperwork Reduction Act of 1995 (P.L. 104-13), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number. The Control Number for this OMB approved information collection is 0980-0047, which expires on October 31, 2014.

Inquiries To: CB Regional Program Managers

/s/

Bryan Samuels
Commissioner

Attachment A:

- Fiscal Year 2012 Allotment Title IV-B Subpart 1 - Stephanie Tubbs Jones Child Welfare Services
- Fiscal Year 2012 Allotment Title IV-B Subpart 2 - Promoting Safe and Stable Families
- Fiscal Year 2012 Allotment Title IV-E - Chafee Foster Care Independence Program
- Fiscal Year 2012 Allotment Title IV-E - Educational Training Vouchers

Attachment B:

- CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Instructions
- CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Form
- CFS-101, Part II: Annual Summary of Child and Family Services Instructions
- CFS-101, Part II: Annual Summary of Child and Family Services Form
- CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Instructions

CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Form

Attachment C:

Children's Bureau Regional Program Managers

Attachment D:

Certification of Tribal Population under Age 21

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
ALABAMA		
AL Poarch Band of Creek Indians	\$6,072	556
ALASKA		
AK Aleutian/Pribilof Islands Assoc.	\$10,889	1,145
AK Artic Slope	\$11,231	1,181
AK Assoc Vil Coun Pres	\$89,803	9,443
AK Bristol Bay	\$23,585	2,480
AK Chugachmiut	\$6,609	695
AK Cook Inlet	\$94,139	9,899
AK Kawerak	\$33,761	3,550
AK Ketchikan Indian Corp.	\$16,034	1,686
AK Kodiak Area Native Association	\$7,608	800
AK Maniilaq Assoc	\$27,798	2,923
AK Metlakatla Indian Community	\$8,521	896
AK Native Village of Barrow	\$12,344	1,298
AK Nome Eskimo Community	\$8,226	865
AK Orutsaramuit	\$14,493	1,524
AK Sitka Tribe	\$9,329	981
AK Sun'aq Tribe of Kodiak (Shoonaq)	\$5,078	534
AK Tanana Chiefs	\$44,944	4,726
AK Tlingit-Haida	\$43,404	4,564
ARIZONA		
AZ Colorado River	\$14,683	1,371
AZ Hopi	\$45,196	4,220
AZ Hualapai Nation	\$9,896	924
AZ Navajo		
AZ Navajo (AZ portion)	\$533,711	49,833
AZ Navajo (NM portion)	\$364,942	32,526
AZ Navajo (UT portion)	\$35,036	3,106
AZ Pascua Yaqui	\$64,753	6,046
AZ Salt River	\$48,109	4,492
AZ San Carlos Apache	\$58,691	5,480
AZ Tohono O'odham	\$131,572	12,285
AZ Yavapai-Apache Nation	\$10,806	1,009
CALIFORNIA		
CA Karuk Tribe	\$15,095	1,778
CA Smith River Rancheria	\$5,145	606
CA Tule River Tribal Council	\$4,814	567
CA Yurok	\$15,061	1,774
COLORADO		
CO Southern Ute	\$12,587	1,432

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
IDAHO		
ID Nez Perce Tribe	\$12,141	1,065
ID The Shoshone-Bannock Tribes	\$20,144	1,767
KANSAS		
KS Kickapoo Tribe	\$4,215	435
KS Prairie Band of Potawatomi	\$9,583	989
KS Sac & Fox Tribe of Missouri in Kansas	\$1,521	157
LOUISIANA		
LA Chitimacha	\$6,020	599
LA Coushatta Tribe of Louisiana	\$5,517	549
LA Jena Band of Choctaw	\$1,417	141
LA Tunica-Biloxi	\$5,578	555
MAINE		
ME Houlton Band of Maliseets	\$5,137	477
ME Passa. Indian Township	\$4,534	421
ME Passa. Pleasant Point	\$6,667	619
ME Penobscot	\$6,322	587
MASSACHUSETTS		
MA Mashpee Wampanoag Tribe	\$5,718	818
MA Wampanoag of Gay Head	\$2,160	309
MICHIGAN		
MI Bay Mills	\$7,255	689
MI Grand Traverse of Ottawa &	\$12,552	1,192
MI Keweenaw Bay Indian Communi	\$5,549	527
MI Lac Vieux Desert Band	\$3,338	317
MI Little River Band of Ottawa Indians of Michigan	\$6,644	631
MI Little Traverse Bay Bands Of Odawa Indians	\$10,793	1,025
MI Match-E-Be-Nash-She-Wish Band (Gun Lake Tribe)	\$2,127	202
Pokagon Band of Potawatomi (IN portion)	\$2,585	242
Pokagon Band of Potawatomi (MI portion)	\$14,647	1,391
MI Sault Ste. Marie Chippewa	\$146,788	13,940
MINNESOTA		
MN Fond Du Lac Reservation Business Committee	\$7,292	824
MN Leech Lake Reservation	\$19,266	2,177
MN Mille Lacs Band of Ojibwe Indians	\$14,072	1,590
MN Red Lake Chippewa	\$31,683	3,580
MISSISSIPPI		
MS Mississippi Choctaw	\$49,395	4,211
MISSOURI		
MO Eastern Shawnee Tribe of Oklahoma	\$11,679	1,135

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
MONTANA		
MT Blackfeet	\$57,668	5,126
MT Chippewa Cree	\$22,894	2,035
MT Conf'd Salish & Kootenai	\$38,993	3,466
MT Crow Tribe	\$65,070	5,784
MT Ft. Belknap	\$21,071	1,873
MT Ft. Peck Assiniboine and Sioux Tribes	\$56,576	5,029
MT Northern Cheyenne	\$39,836	3,541
NEBRASKA		
NE Omaha Tribe	\$19,698	2,008
NE Ponca Tribe	\$12,263	1,250
NE Santee Sioux Nation	\$6,926	706
NE Winnebago Tribe of Nebraska	\$14,911	1,520
NEVADA		
NV Washoe Tribe of Nevada and California		
Washoe Tribe of Nevada and California	\$620	73
Washoe Tribe of Nevada and California	\$2,446	254
NEW MEXICO		
NM Ramah Navajo School Board, Inc	\$12,241	1,091
NM Acoma	\$20,701	1,845
NM Isleta	\$17,223	1,535
NM Jemez	\$14,205	1,266
NM Jicarilla Apache	\$12,824	1,143
NM Laguna	\$32,235	2,873
NM Mescalero Apache	\$21,677	1,932
NM PUEBLO DE COCHITI	\$5,128	457
NM PUEBLO OF NAMBE	\$6,979	622
NM Pueblo of Tesuque	\$1,975	176
NM San Felipe	\$16,965	1,512
NM San Ildefonso Pueblo	\$5,330	475
NM San Juan Pueblo	\$9,077	809
NM Sandia Pueblo	\$6,867	612
NM Santa Ana Pueblo	\$2,973	265
NM Santa Clara	\$9,032	805
NM Santo Domingo	\$19,063	1,699
NM Taos	\$8,135	725
NM Zuni	\$50,512	4,502
NEW YORK		
NY Seneca Nation of Indians	\$20,250	2,668
NORTH CAROLINA		
NC Eastern Band Cherokee	\$50,269	4,815

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
NORTH DAKOTA		
ND Spirit Lake	\$31,095	2,987
ND Standing Rock Sioux Tribe	\$22,860	2,196
SD Standing Rock Sioux Tribe	\$19,103	1,809
ND Three Affiliated Tribes(Ft Berthold)	\$49,177	4,724
ND Turtle Mountain	\$66,686	6,406
OKLAHOMA		
OK Absentee Shawnee Tribe	\$9,921	953
OK ALABAMA-QUASSARTE TRIBAL TOWN	\$1,718	165
OK Apache	\$11,815	1,135
OK Cherokee	\$755,131	72,539
OK Cheyenne-Arapaho	\$81,552	7,834
OK Chickasaw	\$201,767	19,382
OK Choctaw	\$509,861	48,978
OK Citizen-Potawatomi	\$88,985	8,548
OK Comanche Nation Indian Child Welfare	\$58,712	5,640
OK Delaware Nation of Western OK	\$4,206	404
OK Delaware Tribe of Indians	\$12,024	1,155
OK Fort Sill Apache Tribe	\$3,383	325
OK Iowa	\$3,675	353
OK Kaw Nation	\$11,232	1,079
OK Kialegee Tribal Town	\$2,321	223
OK Kickapoo Tribe of Oklahoma	\$12,877	1,237
OK Kiowa	\$53,341	5,124
OK Miami Tribe of Ok	\$15,022	1,443
OK Modoc Tribe of Oklahoma	\$1,218	117
OK Muscogee (Creek) Nation	\$234,371	22,514
OK Osage	\$64,667	6,212
OK Otoe-Missouria Tribe	\$8,890	854
OK Ottawa Tribe of Oklahoma	\$8,536	820
OK Pawnee Nation of Oklahoma	\$9,150	879
OK Peoria Tribe of Oklahoma	\$8,932	858
OK Ponca Tribe of Indians	\$12,554	1,206
OK Quapaw Tribe of Oklahoma	\$16,323	1,568
OK Sac-Fox	\$23,079	2,217
OK Seminole	\$96,251	9,246
OK Seneca-Cayuga	\$21,455	2,061
OK Shawnee Tribe	\$4,102	394
OK Thlopthlocco Tribal Town	\$3,050	293
OK Tonkawa Tribe of Oklahoma	\$3,675	353
OK United Keetoowah Band	\$20,518	1,971
OK Wichita & Affiliated Tribes	\$11,555	1,110
OK Wyandotte Tribe	\$13,929	1,338

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
OREGON		
OR Conf./Coos, Lower Umpqua & Sinslaw	\$4,054	394
OR Confederated Tribes of Grand Ronde	\$19,078	1,854
OR Confederated Tribes of Siletz	\$18,573	1,805
OR Confederated Tribes of Umatilla	\$10,547	1,025
OR Confederated Tribes of Warm Springs	\$22,031	2,141
OR Klamath	\$9,395	913
RHODE ISLAND		
RI Narragansett Indian Tribe	\$8,275	854
SOUTH CAROLINA		
SC Catawba Indian Nation	\$11,230	1,009
SOUTH DAKOTA		
SD Cheyenne River Sioux Tribe	\$60,002	5,682
SD Oglala Sioux (Pine Ridge)	\$119,075	11,276
SD Rosebud Sioux	\$110,901	10,502
SD Sisseton-Wahpeton Sioux	\$43,201	4,091
SD Yankton Sioux	\$29,726	2,815
TEXAS		
TX Alabama-Coushatta	\$3,514	366
TX Kickapoo Traditional Tribe	\$3,850	401
TX Ysleta Del Sur Pueblo	\$12,653	1,318
UTAH		
UT Paiute	\$4,095	363
UT Ute-Unitah & Ouray	\$14,314	1,269
WASHINGTON		
WA Lower Elwha Klallam	\$2,462	284
WA Colville	\$25,464	2,937
WA Confederated Tribes of the Chehalis Reservation	\$2,627	303
WA Lummi Tribe	\$18,745	2,162
WA Makah	\$4,248	490
WA Port Gamble S'Klallam Tribe	\$3,737	431
WA Quileute Indian Tribe	\$2,818	325
WA Quinault Indian Nation	\$7,040	812
WA Skokomish Indian Tribe	\$1,977	228
WA South Puget Intertribal Planning Agency	\$5,826	672
WA Swinomish Tribe	\$2,731	315
WA The Tulalip Tribes	\$16,412	1,893
WA Yakama	\$31,533	3,637
WISCONSIN		
WI Bad River	\$18,217	1,829
WI Forest County Potawatomi Community	\$5,906	593
WI Ho-Chunk Nation	\$24,930	2,503

FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS

TITLE IV-B SUBPART I

STEPHANIE TUBBS JONES CHILD WELFARE SERVICES

Indian Tribe/Tribal Organization	2012 Allotments	Population under 21
WISCONSIN (continued)		
WI Lac Courte Oreilles Tribal Gov Board	\$13,765	1,382
WI Lac Du Flambeau	\$10,518	1,056
WI Menomine Indian Tribe Of Wisconsin	\$22,599	2,269
WI Oneida Nation of Wisconsin	\$46,185	4,637
WI Red Cliff Band of Lake Superior Chippewas	\$24,282	2,438
WI Stockbridge-Munsee	\$1,454	146
WYOMING		
WY Eastern Shoshone Tribe	\$15,455	1,795
WY Northern Arapaho Business Council	\$36,196	4,204
Total	\$6,427,297	

**FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS
TITLE IV-B SUBPART 2
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

Indian Tribe/Tribal Organization	Total Allotment	Population Under 21
ALABAMA		
AL Poarch Band of Creek Indians	\$10,241	556
ALASKA		
AK Aleutian/Pribilof Island Assoc.	\$21,089	1,145
AK Artic Slope	\$45,660	2,479
AK Assoc Vil Coun Pres	\$201,997	10,967
AK Bristol Bay	\$45,678	2,480
AK Chugachmuit	\$12,801	695
AK Cook Inlet	\$182,326	9,899
AK Kawerak	\$81,318	4,415
AK Kodiak Area Native Assoc.	\$14,735	800
AK Maniilaq Assoc	\$53,838	2,923
AK Tanana Chiefs	\$87,047	4,726
AK Tlingit-Haida	\$100,566	5,460
ARIZONA		
AZ Colorado River	\$25,252	1,371
AZ Hopi	\$77,727	4,220
AZ Hualapai Tribal Council	\$17,019	924
AZ Navajo	\$1,574,151	85,465
AZ Pascua Yaqui	\$111,359	6,046
AZ Salt River	\$82,737	4,492
AZ San Carlos Apache	\$100,934	5,480
AZ Tohono O'odham	\$226,273	12,285
AZ Yavapai-Apache Nation	\$18,584	1,009
CALIFORNIA		
CA Karuk Tribe	\$32,748	1,778
CA Tule River Tribal Council	\$10,443	567
CA Yurok	\$32,675	1,774
IDAHO		
ID Nez Perce Tribe	\$19,616	1,065
ID Shoshone-Bannock(Fort Hall)	\$32,546	1,767
KANSAS		
KS Prairie Band of Potawatomi Indians	\$18,216	989
LOUISIANA		
LA Chitimacha	\$11,033	599
LA Coushatta Tribe of Louisiana	\$10,112	549
LA Tunica-Biloxi	\$10,222	555

**FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS
TITLE IV-B SUBPART 2
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

Indian Tribe/Tribal Organization	Total Allotment	Population Under 21
MAINE		
ME Passa. Pleasant Point	\$11,401	619
ME Penobscot	\$10,812	587
MASSACHUSETTS		
MA Mashpee Wampanoag Tribe	\$15,066	818
MICHIGAN		
MI Bay Mills	\$12,690	689
MI Grand Traverse of Ottawa & Chippewa	\$21,955	1,192
MI Little River Band of Ottawa Indians of Michigan	\$11,622	631
MI Little Traverse Bay Bands of Odawa Indians	\$18,879	1,025
MI Pokagon Band of Potawatomi	\$30,078	1,633
MI Sault St. Marie Tribe of Chippewa Indians	\$256,756	13,940
MINNESOTA		
MN Fond Du Lac Reservation Business Committee	\$15,177	824
MN Leech Lake Chippewa	\$40,097	2,177
MN Mille Lacs Band of Ojibwe	\$29,286	1,590
MN Red Lake Chippewa	\$65,939	3,580
MISSISSIPPI		
MS Mississippi Choctaw	\$77,561	4,211
MISSOURI		
MO Eastern Shawnee of Oklahoma	\$20,905	1,135
MONTANA		
MT Assiniboine Sioux(Ft Peck)	\$92,627	5,029
MT Blackfeet	\$94,414	5,126
MT Chippewa Cree	\$37,482	2,035
MT Crow Tribe	\$106,534	5,784
MT Ft. Belknap	\$34,498	1,873
MT Northern Cheyenne	\$65,221	3,541
MT Salish Kootenai	\$63,839	3,466
NEBRASKA		
NE Omaha	\$36,985	2,008
NE Santee Sioux Tribe	\$13,004	706
NE Winnebago Tribe of Nebraska	\$27,996	1,520
NEW MEXICO		
NM Acoma	\$33,982	1,845
NM Isleta	\$28,273	1,535
NM Jemez	\$23,318	1,266
NM Jicarilla Apache	\$21,053	1,143
NM Laguna	\$52,917	2,873
NM Mescalero Apache	\$35,585	1,932

**FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS
TITLE IV-B SUBPART 2
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

Indian Tribe/Tribal Organization	Total Allotment	Population Under 21
NEW MEXICO (continued)		
NM Pueblo of Nambe	\$11,456	622
NM Ramah Navajo School Board, Inc.	\$20,095	1,091
NM San Felipe	\$27,849	1,512
NM San Juan Pueblo	\$14,901	809
NM Santa Clara	\$14,827	805
NM Santo Domingo	\$31,293	1,699
NM Taos	\$13,354	725
NM Zuni	\$82,921	4,502
NEW YORK		
NY Seneca Nation	\$49,141	2,668
NORTH CAROLINA		
NC Eastern Band Cherokee	\$88,686	4,815
NORTH DAKOTA		
ND Spirit Lake	\$55,017	2,987
ND Standing Rock Sioux	\$73,767	4,005
ND Three Affiliated Tribes(Ft Berthold)	\$87,010	4,724
ND Turtle Mt. Chippewa	\$117,990	6,406
OKLAHOMA		
OK Absentee Shawnee Tribe	\$17,553	953
OK Apache Tribe	\$20,905	1,135
OK Caddo Tribe	\$29,875	1,622
OK Cherokee Nation	\$1,336,072	72,539
OK Cheyenne-Arapaho	\$144,292	7,834
OK Chickasaw	\$356,991	19,382
OK Choctaw	\$902,109	48,978
OK Citizen-Potawatomi	\$157,443	8,548
OK Comanche Nation Indian Child Welfare	\$103,881	5,640
OK Delaware Tribes of Indians	\$21,274	1,155
OK Kickapoo Tribe of Oklahoma	\$22,784	1,237
OK Kiowa	\$94,377	5,124
OK Muscogee (Creek) Nation	\$414,678	22,514
OK Osage	\$114,417	6,212
OK Otoe-Missouria Tribe	\$15,730	854
OK Ottawa Tribe of Oklahoma	\$15,103	820
OK Pawnee	\$16,190	879
OK PEORIA INDIAN TRIBE OF OKLAHOMA	\$15,803	858
OK Ponca Tribe of Indians	\$22,213	1,206
OK Quapaw Tribe of Oklahoma	\$28,880	1,568
OK Sac-Fox	\$40,834	2,217

**FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS
TITLE IV-B SUBPART 2
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

Tribe	Total Allotment	Population Under 21
OKLAHOMA (continued)		
OK Seminole	\$170,299	9,246
OK Seneca-Cayuga	\$37,961	2,061
OK United Keetoowah Band of Cherokee	\$36,303	1,971
OK Wichita and Affiliated Tribes	\$20,445	1,110
OK Wyandotte Tribe	\$24,644	1,338
OREGON		
OR Klamath Tribe	\$16,816	913
OR Confederated Tribes of Grand Ronde	\$34,148	1,854
OR Confederated Tribes of Siletz Indians, Inc.	\$33,246	1,805
OR Confederated Tribes of Umatilla	\$18,879	1,025
OR Confederated Tribes of Warm Springs	\$39,434	2,141
RHODE ISLAND		
RI Narragansett Indian Tribe	\$15,730	854
SOUTH CAROLINA		
SC CATAWBA INDIAN NATION	\$18,584	1,009
SOUTH DAKOTA		
SD Cheyenne River Sioux	\$104,655	5,682
SD Oglala Sioux(Pine Ridge)	\$207,689	11,276
SD Rosebud Sioux	\$193,433	10,502
SD Sisseton-Wahpeton Sioux	\$75,351	4,091
SD Yankton Sioux	\$51,849	2,815
TEXAS		
TX Ysleta Del Sur Pueblo	\$24,276	1,318
UTAH		
UT Ute-Uintah & Ouray	\$23,373	1,269
WASHINGTON		
WA Colville	\$54,096	2,937
WA Lummi Tribe	\$39,821	2,162
WA Quinault Indian Nation	\$14,956	812
WA Tulalip	\$34,867	1,893
WA Yakama Nation	\$66,989	3,637
WISCONSIN		
WI Bad River	\$33,688	1,829
WI Forest County Potawatomi	\$10,922	593
WI Ho-Chunk Nation	\$46,102	2,503
WI Lac Courte Oreilles	\$25,455	1,382
WI Lac Du Flambeau	\$19,450	1,056
WI Menominee	\$41,792	2,269
WI Oneida	\$85,407	4,637
WI Red Cliff Band	\$44,905	2,438

**FISCAL YEAR 2012 ALLOTMENTS FOR INDIAN TRIBES/TRIBAL ORGANIZATIONS
TITLE IV-B SUBPART 2
PROMOTING SAFE AND STABLE FAMILIES PROGRAM**

Tribe	Total Allotment	Population Under 21
WYOMING		
WY Eastern Shoshone	\$33,062	1,795
WY Northern Arapaho Business Council	\$77,432	4,204
Total	\$11,030,665	

FISCAL YEAR 2012 ALLOTMENTS FOR STATES AND TRIBES
CHAFEE INDEPENDENT LIVING PROGRAM

State	FY 2012 Allocation
Alabama	1,749,078
Alaska	588,801
Arizona	3,246,419
Arkansas	1,232,528
California	18,866,508
Colorado	2,281,975
Connecticut	1,458,764
Delaware	500,000
District of Columbia	1,091,992
Florida	6,130,927
Georgia	2,254,185
Hawaii	500,000
Idaho	500,000
Illinois	5,796,477
Indiana	4,013,399
Iowa	2,135,837
Kansas	1,944,943
Kentucky	2,282,955
Louisiana	1,455,821
Maine	565,888
Maryland	1,993,622
Massachusetts	2,928,643
Michigan	5,365,583
Minnesota	1,650,999
Mississippi	1,171,065
Missouri	3,230,073
Montana	563,301
Nebraska	1,726,819
Nevada	1,571,229
New Hampshire	500,000
New Jersey	2,297,848
New Mexico	611,034
New York	11,585,958
North Carolina	2,886,142
North Dakota	500,000
Ohio	3,903,550
Oklahoma	2,568,693
Oregon	2,879,433
Pennsylvania	5,017,075
Rhode Island	681,977
South Carolina	1,466,937
South Dakota	500,000
Tennessee	2,188,799

FISCAL YEAR 2012 ALLOTMENTS FOR STATES AND TRIBES
CHAFEE INDEPENDENT LIVING PROGRAM

State	FY 2012 Allocation
Texas	9,465,945
Utah	943,521
Vermont	500,000
Virginia	1,741,231
Washington	3,305,814
West Virginia	1,339,434
Wisconsin	2,149,568
Wyoming	500,000
Puerto Rico	1,463,341
TOTAL STATE	137,794,131
Tribe	
KS Prairie Band of Potawatomi	9,774
NE Santee Sioux Nation	24,874
OR Confederated Tribe of Warm Springs	63,268
WA Port Gamble S'Klallam Tribe	7,953
TOTAL TRIBE	105,869
TOTAL	137,900,000

**FISCAL YEAR 2012 ALLOTMENTS FOR STATES AND TRIBES
CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM**

State	FY 2012 Allotments
Alabama	\$583,215
Alaska	\$196,331
Arizona	\$1,082,492
Arkansas	\$410,976
California	\$6,290,879
Colorado	\$760,906
Connecticut	\$486,413
Delaware	\$95,168
District of Columbia	\$225,219
Florida	\$2,044,307
Georgia	\$751,640
Hawaii	\$132,450
Idaho	\$159,376
Illinois	\$1,932,787
Indiana	\$1,338,235
Iowa	\$712,177
Kansas	\$648,525
Kentucky	\$761,233
Louisiana	\$485,432
Maine	\$168,533
Maryland	\$664,757
Massachusetts	\$976,532
Michigan	\$1,789,109
Minnesota	\$550,512
Mississippi	\$390,482
Missouri	\$1,077,041
Montana	\$187,828
Nebraska	\$584,088
Nevada	\$523,913
New Hampshire	\$91,461
New Jersey	\$751,313
New Mexico	\$203,744
New York	\$2,919,675
North Carolina	\$962,360
North Dakota	\$117,515
Ohio	\$1,301,606
Oklahoma	\$856,509
Oregon	\$960,123
Pennsylvania	\$1,672,902
Puerto Rico	\$487,939
Rhode Island	\$227,400
South Carolina	\$489,138
South Dakota	\$161,883

**FISCAL YEAR 2012 ALLOTMENTS FOR STATES AND TRIBES
CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM**

State	FY 2012 Allotments
Tennessee	\$729,837
Texas	\$3,156,341
Utah	\$314,609
Vermont	\$101,708
Virginia	\$580,599
Washington	\$1,102,296
West Virginia	\$446,623
Wisconsin	\$716,756
Wyoming	\$106,941
Total	\$44,469,834

Tribe	FY 2012 Allotments	Population Under
		21
KS Prairie Band of Potawatomi	\$3,259	30
OR Confederated Tribe of Warm Springs	\$21,096	198
WA Port Gamble S'Klallam Tribe	\$2,652	24
Total	\$27,007	

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV Instructions

Note: While the information on the programs are consolidated into one Child and Family Services Plan (CFSP), eligibility and expenditure reports for the individual programs are separate. Funding will not be delayed for one program due to potential eligibility issues in another program.

Complete separate forms for each fiscal year.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a NEW submission for the upcoming Fiscal Year, or a REVISION of the budget request for the current year.
5. **Total estimated title IV-B, subpart 1 funds:** Specify the estimated amount of title IV-B, subpart 1 Federal funds that the State or ITO expects to spend during the fiscal year on the Stephanie Tubbs Jones Child Welfare Services (CWS) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the Annual Progress and Services Report (APSR) or CFSP is to be used as the estimated amount for the State's/Tribe's request.¹ A 25% match is required and the State or Tribe's match amount should be reflected on the SF-425 report.
 - a) Specify the estimated amount of title IV-B, subpart 1 CWS funds entered on line 5 to be spent on administration (not to exceed 10% of the total title IV-B, subpart 1 estimated allotment).
6. **Total estimated title IV-B, subpart 2 funds:** Specify the total estimated amount of title IV-B, subpart 2 Federal funds that the State or ITO expects to spend during the fiscal year on the Promoting Safe and Stable Families (PSSF) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the APSR or CFSP is to be used as the estimated amount for the State's/Tribe's request. A 25% match is required and the State or Tribe's match amount should be reflected on the SF-425 report. Tribes are not required to complete items 6 a-f.
 - a) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Preservation Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

¹ The tentative allotments for title IV-B, subpart 1 and 2, CFCIP, and ETV are based on the premise that all States and Indian Tribes will apply for and receive the funds available to them. In the event that not all States or Indian Tribes apply for or receive their tentative allocations, those funds will be redistributed among eligible States and Indian Tribes, where permitted by statute. States requesting additional funds in anticipation of such a redistribution should submit information on the proposed use of such additional funds to the appropriate Children's Bureau Regional Office in the State's/Tribe's APSR or CFSP.

When States or Tribes that have not applied for funds available to them in past fiscal year(s) decide to do so, depending upon the total amount of funds appropriated by Congress for the next fiscal year, there may be a reduction in the final distribution of funds.

- b) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- c) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Time-Limited Family Reunification Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- d) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Adoption Promotion and Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- e) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for other service related activities (e.g. planning).
- f) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent on administration. (For STATES only, not to exceed 10% of the total title IV-B, subpart 2 estimated allotment.)
- 7. Monthly Caseworker Visit title IV-B, subpart 2 funds (for STATES only):** Specify the estimated amount of title IV-B, subpart 2 Monthly Caseworker Visitation (MCV) Federal Funds the State expects to spend during the fiscal year.
- a) Specify the estimated amount of title IV-B, subpart 2 MCV funds entered on line 7a to be spent on administration (not to exceed 10% of the total title IV-B, subpart 2 MCV estimated allotment).
- 8. Re-allotment of Title IV-B, subparts 1 & 2 funds for State and ITO:** The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State and Tribal allocations of title IV-B, subparts 1& 2 funds to other States and eligible Indian Tribes so that the total appropriation remains available for program purposes. In order for a State or ITO to be awarded a portion of these funds, or for funds to be released, ACF must have on file a request from the State or Indian Tribe for additional funds, or the release of funds.
- a) Indicate the amount of Federal funds of CWS, PSSF and/or MCV (for States only) that the State or Tribe does not expect to utilize.
- b) Indicate the amount of Federal funds of CWS, PSSF and/or MCV (for States only) that the State or Tribe is requesting, if additional funds become available.
- 9. Child Abuse Prevention and Treatment Act (CAPTA) (for STATES only):** Indicate the State's estimated CAPTA State Grant allocation as provided in the annual Program Instruction on the APSR. Supplemental funds may be available for distribution if there are States that are not awarded grant funds or there are unobligated funds available for redistribution. No matching funds are required for this grant.
- 10. Estimated Title IV-E Chafee Foster Care Independence Program (CFCIP) Funds:** Indicate the estimated amount of CFCIP funds that the State or Tribe expects to spend on independent living activities as provided in the APSR or CFSP Program Instruction.

- a) At State or Tribe option, indicate the estimated amount of the funds entered on line 10 a to be spent for room and board for eligible youth (not to exceed 30% of total estimated CFCIP allotment.)

11. Estimated Title IV-E Funds Allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated amount of ETV funds that the State or Tribe expects to spend on ETV as provided in the APSR or CFSR Program Instruction.

12. Re-allotment of CFCIP and/or ETV Funds for States or Tribes: The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State or Tribe allocations of CFCIP and ETV funds to other States or Tribes so that the total appropriation remains available for program purposes. In order for a State or Tribe to be awarded portions of these funds, or for funds to be released, ACF must have on file a request from the State or Tribe for additional funds, or the release of funds.

- a) Indicate the amount of funds that the State or Tribe will **not** utilize from its allotment to carry out the CFCIP activities.

- b) Indicate the amount of funds that the State or Tribe will **not** utilize from its allotment to carry out the ETV program activities.

- c) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the CFCIP program. A 20% State or Tribe match is required. The State or Tribe match amount must be reflected on the SF-425 report.

- d) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the ETV program. A 20% State or Tribe match is required. The State or tribe match amount must be reflected on the SF-425 report.

13. Certification: This report must be signed and dated in the spaces provided. The signature and title of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, the CAPTA and CFCIP programs, must be included.

By signing this form the State/Tribal official assures that the State/Tribe will meet all applicable match requirements.

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV

Fiscal Year 2013, October 1, 2012 through September 30, 2013

1. State or Indian Tribal Organization (ITO):	2. EIN:
3. Address:	4. Submission: <input type="checkbox"/> New <input type="checkbox"/> Revision
5. Total estimated title IV-B Subpart 1, Child Welfare Services (CWS) Funds	\$
a) Total administration (not to exceed 10% of title IV-B Subpart 1 estimated allotment)	\$
6. Total estimated title IV-B Subpart 2, Provides Safe and Stable Families (PSSF) Funds. This amount should equal the sum of lines a - f.	\$
a) Total Family Preservation Services	\$
b) Total Family Support Services	\$
c) Total Time-Limited Family Reunification Services	\$
d) Total Adoption Promotion and Support Services	\$
e) Total for Other Service Related Activities (e.g. planning)	\$
f) Total administration (FOR STATES ONLY: not to exceed 10% of title IV-Bsubpart 2 estimated allotment)	\$
7. Total estimated Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)	\$
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated MCV allotment)	\$
8. Re-allotment of title IV-B subparts 1 & 2 funds for States and Indian Tribal Organizations:	
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$ _____, PSSF \$ _____, and/or MCV(States only)\$ _____.	
b) If additional funds become available to States and ITOs, specify the amount of additional funds the States or Tribes requesting: CWS \$ _____, PSSF \$ _____, and/or MCV(States only)\$ _____.	
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)	\$
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds	\$
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$
11. Estimated Education and Training Voucher (ETV) funds	\$
12. Re-allotment of CFCIP and ETV Program Funds:	
a) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out CFCIP Program	\$
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program	\$
c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program	\$
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program	\$
13. Certification by State Agency and/or Indian Tribal Organization.	
The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau.	
Signature and Title of State/Tribal Agency Official	Signature and Title of Central Office Official

CFS-101, PART II

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B
OMB Approval #0980-0047
Approved through October 31, 2014

CFS-101, PART II: Annual Estimated Expenditure Summary of Child and Family Services Instructions

This form summarizes the State Agency's and eligible Indian Tribal Organization's (ITO) estimated expenditures on Child and Family Services programs, including the Child Abuse Treatment and Prevention Act (CAPTA) programs and the Chafee Foster Care Independence Program (CFCIP) including Education and Training Vouchers (ETV) for the next Federal fiscal year. This information is an integral part of the Child and Family Services Plan and should be discussed by the ACF Regional Office, State Agency Representatives, and Tribes. States and Tribes should list estimated expenditures and other information in the category that best fits their programs.

For each of the services/activities listed, indicate in the appropriate columns the estimated expenditures by program, the estimated number of clients to be served, the population to be served and the geographic area to be served.

Services/Activities:

- 1. Prevention and Support Services (Family Support):** Community-based services which promote the safety and well-being of children and families and are designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting abilities, to afford children a safe, stable, and supportive family environment, and to enhance child development. These services may include respite care for parents and other caregivers; early developmental screening of children to assess the needs of these children and assistance in obtaining specific services to meet their needs; mentoring, tutoring, and health education for youth; a range of center-based activities (informal interactions in drop-in centers, parent support groups); services designed to increase parenting skills; and counseling and home visiting activities.
- 2. Protective Services:** Services designed to prevent or remedy the abuse, neglect, or exploitation of children. Services include investigation and emergency medical services, emergency shelter, legal action, developing case plans, counseling, assessment/evaluation of family circumstances, arranging alternative living arrangements, preparing for foster placement, if needed, and case management and referral to service providers.
- 3. Crisis Intervention (Family Preservation):** Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis. The types of services within this category include:
 - **Pre-Placement Prevention:** Services to prevent family disruption and unnecessary removal of children from their homes (as appropriate). These services may include intensive family preservation, post-adoptive support services, case management, counseling, day care, respite services, homemaker services, services designed to increase parenting skills, family budgeting, coping with stress, health, and nutrition.
 - **Reunification:** Services to help children, where appropriate, return to families from which they have been removed, or be placed for adoption or legal guardianship. These services may include day care services, homemaker or caretaker services, family or individual

CFS-101, PART II

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B
OMB Approval #0980-0047
Approved through October 31, 2014

counseling for parent(s) and child, follow-up care for families to whom a child has been returned after placement and other reunification services the State identifies as necessary.

4. Time-Limited Family Reunification Services: Services and activities that are provided to a child who is removed from the child's home and placed in a foster family home or a child care institution, and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date that the child, pursuant to section 475(5)(F) of the Social Security Act (the Act), is considered to have entered foster care. The services and activities are the following:

- Individual, group, and family counseling.
- Inpatient, residential, or outpatient substance abuse treatment services.
- Mental health services.
- Assistance to address domestic violence.
- Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
- Transportation to or from any of the services and activities described above.

5. Adoption Promotion and Support Services: Services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre- and post-adoptive services and activities designed to expedite the adoption process and support adoptive families.

6. Other Service Related Activities: Planning, service coordination, preparation or follow-up to service delivery such as the recording of progress notes or other activities, other than direct services or administration, supporting the delivery of services under the program etc.

7. Foster Care Maintenance: Expenditures for “room and board” for children/youth in foster care.

a) Foster Family and Relative Foster Care: Payments to cover food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation and reasonable travel for the child to remain in the school in which the child is enrolled at the placement in foster care as well as the cost of providing these services.

b) Group/Institutional Care: This includes the reasonable costs of administration and the operation of institutional/group home care that are required to provide food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation; the cost of reasonable travel for the child to remain in the same school he or she was attending prior to placement in foster care and the cost of the items themselves.

8. Adoption Subsidy Payments: Funds provided to adoptive parents on a recurring and non-recurring basis to assist in the support of special needs children.

CFS-101, PART II

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B
OMB Approval #0980-0047
Approved through October 31, 2014

9. Guardianship Assistance Payments: Funds provided to kinship legal guardians on a recurring and non-recurring basis to assist in the support of children formerly in foster care placed in their care.

10. Independent Living Services: Services designed to help youth expected to remain in foster care until the age of 18, youth who after age 16 leave foster care for kinship guardianship or adoption and former foster care recipients between 18 and 21 years of age, make the transition to self-sufficiency. Services may include: education, career exploration, vocational training, job placement and retention, training in daily living skills, training in budgeting and financial management skills, substance abuse prevention, and preventive health activities.

States and Tribes are allowed to expend up to 30 percent of their allotments under the Chafee Foster Care Independence Program for room and board (including rental deposits, utilities and other expenses that may be included with rent) for children who have left foster care because they have attained 18 years of age, and who have not yet attained 21 years of age.

11. Education and Training Vouchers: Include the amount of funds the State or Tribe plans to utilize for the Education and Training Vouchers (ETV) program in this line item

12. Administrative Costs: Include the amount of funds the State or Tribe plans to utilize for administrative costs.

- For States and Tribes, administrative costs under title IV-B, subpart 1 may not be more than ten percent of title IV-B, subpart 1 expenditures. Allowable costs for title IV-B, subpart 1 may include procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses. Applicable costs exclude administrative costs related to the provision of services by caseworkers or the oversight of programs funded under Title IV-B, subpart 1 (Section 422(c)(1) of the Act).
- For States only, administrative costs under title IV-B, subpart 2 (including Monthly Caseworker Visit grants) may not be more than ten percent of title IV-B, subpart 2 expenditures. Allowable costs for title IV-B, subpart 2 may include, but are not limited to procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, and auditing. Allowable costs may also include indirect costs allocable in accordance with the agency's approved cost allocation plan (45 CFR 1357.32(h)).

13. Staff and External Partners Training: Includes the cost of short and long-term training to increase the ability of staff and external partners (other than foster/adoptive parents (see #14 & #15 below)) to provide assistance and support to children and families, but does NOT include the costs specifically related to supporting the monthly caseworker visit requirement (see #17 below).

14. Foster Parent Training and Recruitment: Includes the cost of short-term training to increase foster parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/resulting from the recruitment of potential foster parents.

CFS-101, PART II

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B
OMB Approval #0980-0047
Approved through October 31, 2014

15. Adoptive Parent Training and Recruitment: Includes the cost of short-term training to increase adoptive parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/from the recruitment of potential adoptive parents.

16. Child Care Related to Employment/Training: Includes licensed day care purchased for the purpose of supporting the employment of one or both of the parents.

17. Monthly Caseworker Visits: Includes costs related to supporting monthly caseworker visits with children who are in foster care under the responsibility of the State, with a primary emphasis on activities designed to improve caseworker retention, recruitment and ability to access the benefits of technology.

18. Total: The total amount of funds estimated for the year (equal to the sum of lines 1 through 18) for each column.

Estimated Expenditures:

1. Federal Funds (columns a - g): Indicate for each service/activity the amount to be expended from the Federal program indicated in columns (a) through (g).

2. State, Local, and Donated Funds (column h): Indicate the estimated amount of State, local, and donated funds to be expended, even if they are not used to match Federal funds

3. Estimated Number to be Served (column i): Estimate, as accurately as possible, the number of individuals and families to be served by service/activity with the total estimated funding indicated.

4. Population to be Served (column j): Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations such as:

- Children at imminent risk of placement;
- All children in foster care;
- Families with children returning home following placement;
- All eligible children, eligible children under 21 years, or eligible children requiring treatment;
- Families with a child abuse or neglect investigation;
- Children in contracted care; or
- Families in crisis.

5. Geographic Area to be Served (column k): Indicate **both** the number and type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services

State or Indian Tribal Organization (ITO)

For FFY OCTOBER 1 ,2012 TO SEPTEMBER 30, 2013

SERVICES/ACTIVITIES	TITLE IV-B			(d) CAPTA*	(e) CFCIP	(f) ETV	(g) TITLE IV- E	(h) STATE, LOCAL, & DONATED FUNDS	(i) NUMBER TO BE SERVED		(j) POPULATION TO BE SERVED	(k) GEOG. AREA TO BE SERVED
	(a) Subpart I- CWS	(b) Subpart II- PSSF	(c) Subpart II- MCV *						Individuals	Families		
1.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)												
2.) PROTECTIVE SERVICES												
3.) CRISIS INTERVENTION (FAMILY PRESERVATION)												
4.) TIME-LIMITED FAMILY REUNIFICATION SERVICES												
5.) ADOPTION PROMOTION AND SUPPORT SERVICES												
6.) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)												
7.) FOSTER CARE MAINTENANCE: (a) FOSTER FAMILY & RELATIVE FOSTER CARE (b) GROUP/INST CARE												
8.) ADOPTION SUBSIDY PMTS.												
9.) GUARDIANSHIP ASSIST. PMTS.												
10.) INDEPENDENT LIVING SERVICES												
11.) EDUCATION AND TRAINING VOUCHERS												
12.) ADMINISTRATIVE COSTS												
13.) STAFF & EXTERNAL PARTNERS TRAINING												
14.) FOSTER PARENT RECRUITMENT & TRAINING												
15.) ADOPTIVE PARENT RECRUITMENT & TRAINING												
16.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING												
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING												
18.) TOTAL												

* States Only, Indian Tribes are not required to include information on these programs

CFS-101, Part III: Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Programs

Note: Federal funds for the most of the programs identified above are awarded to States or Tribes on a yearly basis, but may be spent over a two-year period ending on September 30 of the year following the year in which they were awarded. For example, the FY 2010 grant allocation has an expenditure period from October 1, 2009 to September 30, 2011. Therefore, any fiscal year 2010 funds must be obligated during that two-year period of time and subsequently reported on this form.

Most figures in the "estimate" column can be found in a State's/Tribe's previously approved CFS 101, Part I for the fiscal year being reported on.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a new or revised expenditure report.
5. **Total title IV-B, subpart 1 funds:** Indicate the estimated expenditures, and actual expenditures of title IV-B, subpart 1 Federal funds for the designated fiscal year for Child Welfare Services. (The estimated expenditure total should equal the amount of the grant awarded for the designated fiscal year.) Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. The required 25% match should not be reflected on this form.
 - a) Specify the estimated allotment and actual expenditures of title IV-B, subpart 1 funds for administration. Note that administrative costs may not exceed 10% of the title IV-B, subpart 1 total expenditures.
6. **Total title IV-B, subpart 2 Promoting Safe and Stable Families (PSSF) funds:** Indicate the estimated expenditures, actual expenditures of title IV-B, subpart 2 funds for the designated fiscal year for Promoting Safe and Stable Families services. (The estimated expenditure total should equal the amount of the grant awarded for the designated fiscal year.) The required 25% match should not be reflected on this form. Indicate as accurately as possible, the number of individuals and the number of families served,¹ the population served,² and the geographic area

¹ Report, as accurately as possible, the number of clients served per service/activity for the amount of funds expended. Indicate the number of individuals **and** the number of families served as labeled in the column.

² Indicate the population that has received the designated services. This may include a range of vulnerable populations such as children at imminent risk of placement, all children in foster care, families with children returning home following placement, all eligible children, eligible children under 21 years, or eligible children

CFS-101, Part III

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B

OMB Approval #0980-0047
Approved through October 31, 2014

where services were provided.³ States must also complete lines 6 a – f; for Tribes this is optional.

- a) Indicate the estimated allotment and actual expenditures of title IV-B, subpart 2 PSSF funds for the designated fiscal year for Family Preservation Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.
- b) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Family Support Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.
- c) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Time-Limited Family Reunification Services. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.
- d) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Adoption Promotion and Support Services. Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded.
- e) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for other service related activities (e.g. planning). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.
- f) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for administrative costs. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008, States' administrative costs may not exceed 10% of the total Federal expenditures for title IV-B, subpart 2. (This limitation does not apply to Tribes.)

7. Total title IV-B subpart 2, Monthly Caseworker Visit (MCV) Funds (States only):

Indicate the estimated and actual expenditures allotted for the designated fiscal year. Note that with the exception of FY 2006 grants, these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

requiring treatment, families with a child abuse or neglect investigation, children in contracted care, and/or families in crisis.

³ Indicate the number **and** type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods.

CFS-101, Part III

U.S. Department of Health and Human Services
Administration for Children and Families

Attachment B

OMB Approval #0980-0047
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a). Indicate the estimated and actual expenditures of title IV-B, subpart 2 Monthly Caseworker Visit funds allotted for the designated fiscal year (States only). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008 total administrative costs may not exceed 10% of the total expenditures for MCV.

8. Chafee Foster Care Independence Program (CFCIP) Funds: Indicate the estimated and actual expenditures of CFCIP funds allotted for the designated fiscal year for independent living activities as provided in the APSR or CFSP Program Instruction.

a) Indicate the estimated and actual expenditures of the State's or Tribe's allotment for the designated fiscal year for room and board for eligible youth (not to exceed 30% of CFCIP funds). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following they year in which they were awarded. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

9. Total funds allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated and actual expenditures of Education and Training Voucher funds allotted for the designated fiscal year as provided in the annual Program Instruction on the APSR or CFSP. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

10. Certification: This report must be signed and dated in the spaces provided. The signature and title must be included of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, MCV and CAPTA programs.

By signing this form the State/Tribal official certifies that all figures provided here are accurate.

* Title IV-B, subpart 2 includes both the Promoting Safe and Stable Families Program and the Monthly Caseworker Visit Program.

CFS-101, PART III: Annual Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education And Training Voucher (ETV) : Fiscal Year 2010: October 1, 2009 through September 30, 2010

1. State or Indian Tribal Organization (ITO):		2. EIN:		3. Address:			
4. Submission: <input type="checkbox"/> New <input type="checkbox"/> Revision							
<i>Description of Funds</i>	<i>Estimated Expenditures</i>	<i>Actual Expenditures</i>	<i>Number served</i>		<i>Population served</i>	<i>Geographic area served</i>	
			<i>Individuals</i>	<i>Families</i>			
5. Total title IV-B, subpart 1 funds	\$	\$					
a) Total Administrative Costs (not to exceed 10% of title IV-B, subpart 1 total allotment)	\$	\$					
6. Total title IV-B, subpart 2 funds (This amount should equal the sum of lines a - f.)	\$	\$					
a) Family Preservation Services	\$	\$					
b) Family Support Services	\$	\$					
c) Time-Limited Family Reunification Services	\$	\$					
d) Adoption Promotion and Support Services	\$	\$					
e) Other Service Related Activities (e.g. planning)	\$	\$					
f) Administrative Costs (FOR STATES: not to exceed 10% of total title IV-B, subpart 2 allotment after October 1, 2007)	\$	\$					
7. Total Monthly Caseworker Visit Funds (STATE ONLY)	\$	\$					
a) Administrative Costs (not to exceed 10% of MCV allotment)	\$	\$					
8. Total Chafee Foster Care Independence Program (CFCIP) funds	\$	\$					
a) Indicate the amount of allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$	\$					
9. Total Education and Training Voucher (ETV) funds	\$	\$					
10. Certification by State Agency or Indian Tribal Organization (ITO). The State agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau.							
<i>Signature and Title of State/Tribal Agency Official</i>		<i>Date</i>		<i>Signature and Title of Central Office Official</i>		<i>Date</i>	

I	<p>Region I - Boston Bob Cavanaugh bob.cavanaugh@acf.hhs.gov JFK Federal Building, Rm. 2000 Boston, MA 02203 (617) 565-1020 States: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont</p>	VI	<p>Region VI - Dallas Janis Brown janis.Brown@acf.hhs.gov 1301 Young Street, Suite 945 Dallas, TX 75202-5433 (214) 767-8466 States: Arkansas, Louisiana, New Mexico, Oklahoma, Texas</p>
II	<p>Region II - New York City Junius Scott junius.scott@acf.hhs.gov 26 Federal Plaza, Rm. 4114 New York, NY 10278 (212) 264-2890 States and Territories: New Jersey, New York, Puerto Rico, Virgin Islands</p>	VII	<p>Region VII - Kansas City Rosalyn Wilson rosalyn.wilson@acf.hhs.gov Federal Office Building Room 349 601 E 12th Street Kansas City, MO 64106 (816) 426-3981 States: Iowa, Kansas, Missouri, Nebraska</p>
III	<p>Region III - Philadelphia Lisa Pearson lisa.pearson@acf.hhs.gov 150 S. Independence Mall West - Suite 864 Philadelphia, PA 19106-3499 (215) 861-4000 States: Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia</p>	VIII	<p>Region VIII - Denver Marilyn Kennerson marilyn.kennerson@acf.hhs.gov Federal Office Building 1961 Stout Street - 9th Floor Denver, CO 80294-3538 (303) 844-3100 States: Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming</p>
IV	<p>Region IV - Atlanta Ruth Walker ruth.walker@acf.hhs.gov Atlanta Federal Center 61 Forsyth Street S.W. Suite 4M60 Atlanta, GA 30303 (404) 562-2900 States: Alabama, Mississippi, Florida, North Carolina, Georgia, South Carolina, Kentucky, Tennessee</p>	IX	<p>Region IX - San Francisco Douglas Southard douglas.southard@acf.hhs.gov 90 7th Street - 9th Floor San Francisco, CA 94103 (415) 437-8425 States and Territories: Arizona, California, Hawaii, Nevada, Outer Pacific—American Samoa Commonwealth of the Northern Marianas, Federated States of Micronesia (Chuuk, Pohnpei, Yap) Guam, Marshall Islands, Palau</p>
V	<p>Region V - Chicago Angela Green angela.green@acf.hhs.gov 233 N. Michigan Avenue Suite 400 Chicago, IL 60601 (312) 353-9672 States: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin</p>	X	<p>Region X - Seattle Tina Minor tina.minor@acf.hhs.gov 2201 Sixth Avenue, Suite 300, MS-70 Seattle, WA 98121 (206) 615-3657 States: Alaska, Idaho, Oregon, Washington</p>

Attachment D

CERTIFICATION OF TRIBAL POPULATION UNDER AGE 21

The _____ (Tribal Name and State) finds that the Census Bureau year 2000 under age 21 population for Tribes data do not accurately reflect the actual number of children in our Tribe.

The correct number of Tribal members under age 21 is _____ .

The reason that our population number is different from the Census Bureau is: (attach additional page(s) if necessary)

We understand that information given above will be reviewed by ACF and that we may be required to submit additional supporting documentation as requested by ACF to substantiate this notification of change in population data.

We are submitting this information and certify that it is accurate and true to the best of our knowledge and belief. This certification requires the signatures of both the Tribal enrollment coordinator and Tribal leader responsible for ACF programs.

Tribal Enrollment Coordinator

Date

Tribal Leader

Date