

ACF

Administration
for Children
and Families

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children, Youth and Families

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4. Key Words: Direct Grants to Eligible ITOs; P.L. 96-272

INFORMATION MEMORANDUM

TO: INDIAN TRIBES, STATE ADMINISTRATORS OF STATE PUBLIC WELFARE AGENCIES AND OTHER INTERESTED INDIVIDUALS

SUBJECT: Apportionment of Direct Grants to Eligible Indian Tribes and Indian Tribal Organizations: Section 428 of the Social Security Act.

LEGAL AND RELATED REFERENCES: Sections 422(a), (b)(2)-(8), 425, 427 and 428 of the Social Security Act; 45 CFR 1355.30, 1357.10(c), 1357.15(b)(c) & (d), 1357.25(d), 1357.40; and ACYF-PI-83-3 (June 6, 1983) and ACYF-IM-83-4-(June 6, 1983).

CONTENT: For FY 1987, the appropriation for the Child Welfare Services State Grant Program (title IV-B of the Social Security Act) is \$222.5 million. In order to be eligible for a direct grant at the amount of their share of \$141 million, an Indian Tribal Organization (ITO) must meet the requirements of 45 CFR 1357.40(a) (e) and (g). In order to be eligible for any funds above that level, an ITO must:

1. be located in a State meeting the requirements of section 427(a) or (b) of the Act,
2. be meeting the same requirements and
3. certify that it is meeting the requirements.

Direct title IV-B grants to ITOs are paid from the title IV-B allotment of the State or States in which the ITO is located. If an ITO is located in more than one State, funds are paid from the allotment of each State in which the ITO is located. Title IV-B allotments for ITOs are calculated by computing the amount per Child in the State multiplied by the ITO population in the State and multiplied (or weighted) by a factor of 1.4. This factor, (similar to Territories) is used to compensate for the low tribal income.

In order to receive the funds potentially available to it, the ITO must provide at least 25 percent of the total funds expended by the ITO for Child Welfare Services. The Federal share is 75 percent of the total, up to the amount listed in the attached table. The ITO's total expenditures

may be greater than the amount needed to draw down the Federal funds, but the Federal reimbursement is limited.

In filling out the Annual Budget Request, the ITO should enter both its name and the name of the State in which it is located in the upper left hand corner. The ITO should enter the total ITO and Federal funds it will expend during the Federal fiscal year in item IA (regardless of the amount of reimbursement available) and the Federal share of the total in item IB up to the maximum amount listed in the attached table for which the ITO is eligible. ITOs must also have jointly planned the use of the funds and must have submitted an Annual Summary of Child Welfare Services to ACYF.

Within 30 days after each quarter has ended, the ITO must file a quarterly expenditure report, using the Financial Status Report, SF-269 (copy attached).

ACTION REQUIRED: Eligible ITOs should submit an Annual Budget Request and an Annual Summary of Child Welfare Services to:

Formula Grants Branch
Management Support Division, ACYF
P.O. Box 1182
Washington, D.C. 20013

A copy of the Annual Budget Request and the Annual Summary of Child Welfare Services and the original of the State Plan or update should be sent to the appropriate Regional Office, ACYF.

/s/

Dodie Livingston
Commissioner

Attachments:

[Attachment A](#) - Potentially eligible ITOs and the FY 1987 title IV-B allotments.

[Attachment B](#) - Sample Annual Budget Request.

[Attachment C](#) - Financial Status Report (SF 269).

ATTACHMENT A**INDIAN TRIBES POTENTIALLY ELIGIBLE FOR DIRECT GRANTS UNDER
SECTION 428 CHILD POPULATION AND FEDERAL SHARE OF FUNDS****Fiscal Year 1987**

State	Tribal Organization	Estimated Tribal Population Under 21	Share of \$222,500,000	Share of \$141,000,000
Region I				
Maine	Passamaquoddy Indian Township	200	\$982	\$636
Maine	Passamaquoddy Pleasant Point	297	\$1,458	\$944
Maine	Penobscot	281	\$1,380	\$894
Maine	Holton Band of Maliseets	135	\$663	\$429
Total			\$4,483	\$2903
Region IV				
Florida	Seminole	626	\$2,542	\$1,603
Region VII				
Kansas	Four Tribes of Kansas Consortium			
	Prairie Potawatomi	154	\$596	\$382
	Kickapoo	185	\$716	\$459
	Sac and Fox	27	\$104	\$67
	Iowa			
	KS Part NE part	10	\$39	\$25
TOTAL			\$1,455	\$933
Region VIII				

Colorado	Southern Ute	490	\$1,813	\$1,156
Colorado	Ute Mountain	566	\$2,094	\$1,336
TOTAL			\$3,907	\$2,492
Montana	Confederated Tribes of Salish & Kootenai Flathead Tribe	1,738	\$8,464	\$5,544
Montana	Northern Cheyenne	1,824	\$8,883	\$5,819
Montana	Rocky Boy's Chippewa Cree	897	\$4,368	\$2,861
TOTAL			\$21,715	\$14,224
N. Dakota	Devils Lake Sioux Tribe	1,370	\$6,042	\$4,000
N. Dakota	Sisseton- Wahpeton Sioux N.D. Part	4	\$18	\$12
N. Dakota	Standing Rock N.D. Part	1,341	\$5,914	\$3,916
N. Dakota	Three Affiliated	1,384	\$6,103	\$4,041
TOTAL			\$18,077	\$11,969
S. Dakota	Sisseton- Wahpeton Sioux			
	S.D. Part	1,524	\$7,620	\$5,014
	Crow Creek	850	4,250	2,797
	Sioux	1,243	6,215	4,089
	Standing Rock	3,225	16,125	10,610
	Sioux	922	4,610	3,033
	Rosebud Sioux			
	Yankton Sioux			
TOTAL			\$38,820	\$25,543
Utah	Paiute Indian Tribe of Utah	130	\$649	\$413
Utah	Navajo Nation			
	New Mexico Part			
	Arizona Part			
	Utah Part	2,917	\$14,556	\$9,276

Utah	Unitah Quray	1,163	\$5,803	\$3,698
TOTAL			\$20,359	\$12,974
Region IX				
Arizona	Navajo Nation			
	Arizona Part	39,470	\$174,063	\$110,516
	New Mexico Part			
	Utah Part			
Region X				
Alaska	Copper River Native Assoc.	1		
Alaska	Kenaitze Indian Tribe	1		
	Wildwood Semaldorf Kenaitze			
Alaska	Kodiak Area Native Assoc.	1,311	\$3,776	\$2,609
Alaska	North Pacific Rim	559	\$1,610	\$1,112
Alaska	Assoc. of Village Council	1		
Alaska	Fairbanks Native Assoc.	2,800	\$8,064	\$5,572
Alaska	Inupiat Community of Arctic Slope	1		
Alaska	Tanana Chief's Conference	3,448	\$9,930	\$6,862
Alaska	Sitka Community Assoc.	1,026	\$2,955	\$2,042
TOTAL			\$58,321	\$40,368
Oregon	Warm Springs	1,089	\$4,781	\$3,049
Oregon	Siletz	1		
Washington	Colville Agency	1,777	\$6,806	\$4,318
Washington	Quinault	490	\$1,877	\$1,191
TOTAL			\$8,683	\$5,509

**TOTAL ALL TRIBAL
ORGANIZATIONS**

\$788,090

\$506,791

¹ No population data available

ATTACHMENT B

ANNUAL BUDGET REQUEST FOR TITLE IV-B FUNDS

OMB Approval #0980-0047

Approved thru 9/30/89

State of: Maine

Fiscal Year 1985: October 1, 1984 through September 30, 1985

Revision #

Tribe: Passamaquoddy Indian Township

- I. COMPUTATION OF FEDERAL GRANT AWARD
 - A. Total estimated title IV-B expenditures: 500,000
 - B. Enter Federal Share (75% of A) 375,000
- II. REQUEST FOR GRANT AWARD

Indicate the total request for the year and the request for each of the four quarters. (Funds totaling more than the State's share of the \$141 million allotment will not be released to the State until there has been verification that the State meets the conditions of P.L. 96-272 for those funds.)

Total (from Line I.B)	1st Q	2nd Q	3rd Q	4th Q
	(Oct.-Dec.)	(Jan.-Mar.)	(Apr.-Jun.)	(Jul.-Sept.)
375,000	93,750	93,750	93,750	93,750

III. CERTIFICATION BY STATE AGENCY

The State Agency submits the above estimate and request of grant award under title IV-B of the Social Security Act, as amended, and agrees that the estimated expenditures will be made in accordance with the Child Welfare Services Plan, agreed to by the Agency and the Administration for Children, Youth and Families, for the fiscal year ending September 30.

John Doe 12-27-83

(Signature)

Administrator, Social Services Agency

Date

(Title--please type)

Robert White 12-27-83

(Signature)

Director, Single Organizational Unit
(Optional) Date

Regional Office Approval

Regional Program

Director

(Title--please type)

Date

¹ If this amount is more than the amount available, a revised CWS 101 is not necessary as the request will be adjusted to reflect the maximum amount available. If this amount is less than the amount available, and a revised CWS 101 is not submitted, the grantee will receive only the amount requested.

ATTACHMENT C

FINANCIAL STATUS REPORT

Please type or print legibly. Items 1, 2, 3, 6, 7, 9, 10d, 10a, 10g, 10i, 10l, 11a, and 12 are self-explanatory, specific instructions for other items are as follows:

Item	Entry
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4 Enter the employer identification number assigned by the U.S. Internal Revenue Service of FICE (institution) code, if required by the Federal sponsoring agency.

5 This space is reserved for an account number or other identifying numbers that may be assigned by the

8 Enter the month, day, and year of the beginning and ending of this project period. For formula grants that are not awarded on a project basis, show the grant

10 The purpose of vertical columns (a) through (f) is to provide financial data for each program, function, and activity in the budget as approved by the Federal sponsoring agency. If additional columns are needed, use as many additional forms as needed and indicate page number in space provided In upper right: however, the totals of all programs, functions or activities should be shown in column (g) of the first page. For agreements pertaining to several Catalog of Federal Domestic Assistance programs that do not require a further functional or activity classification breakdown, enter under columns (a) through (f) the title of the program. For grants or other assistance agreements containing multiple programs where one or more programs require a further breakdown by function or activity, use a separate form for each program showing the applicable functions or activities in the separate columns. For grants or other assistance agreements containing several functions or activities which are funded from several programs, prepare a separate form for each activity or function when requested by the Federal sponsoring agency.

10a Enter the net outlay. This amount should be the same as the amount reported in Line 10o of the last report. If there has been an adjustment to the amount shown previously, please attach explanation. Show zero if this is the initial report.

10b Enter the total gross program outlays (less rebates, refunds, and other discounts) for this report period, including disbursements of cash realized as program income. For reports that are prepared on a cash basis, outlays are the sum of actual cash disbursements for goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to contractors and subgrantees. For reports prepared on an accrued expenditure basis, outlays are the sum of actual cash disbursements, the amount of indirect expense incurred, the value of inkind contributions applied, and the net increase (or decrease) in the amounts owed by the recipient for goods and other property received and for services performed by employees, contractors, subgrantees, and other payees.

10c Enter the amount of all program income realized in this period that is required by the terms and conditions of the Federal award to be deducted from total project costs. For reports prepared on a cash basis, enter the amount of cash income received during the reporting period. For reports prepared on an accrual recipient basis, enter the amount of income earned since the beginning of the reporting period. When the terms or conditions allow program income to be added to the total award, explain in remarks, the source, amount and disposition of the income.

10f Enter amount pertaining to the non-Federal share of program outlays included in the amount on line e.

Enter total amount of unliquidated obligations for this project or program, including unliquidated obligations to subgrantees and contractors. Unliquidated obligations are:

Cash basis--obligations incurred but not paid;

Accrued expenditure basis--obligations incurred but for which an outlay has not been recorded.

Do not include any amounts that have been included on lines a through g. On the final report, line h should have a zero balance.

10j Enter the Federal share of unliquidated obligations shown on line h. The amount shown on this line should be the difference between the amounts on lines h and i.

10k Enter the sum of the amounts shown on lines g and j. If the report is final the report should not contain any unliquidated obligations.

10m Enter the unobligated balance of Federal funds. This amount should be the difference between lines k and l.

11b Enter rate in effect during the reporting period.

11c Enter amount of the base to which the rate was applied.

11d Enter total amount of indirect cost charged during the report period.

11e Enter amount of the Federal share charged during the report period.

If more than one rate was applied during the project period, include a separate schedule showing bases against which the indirect cost rates were applied, the respective indirect rates the month, day, and year the indirect rates were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.