

# ACF

Administration  
for Children  
and Families

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
**Administration for Children, Youth and Families**

**1. Log No:** ACYF-IM-88-15

**2. Issuance Date:** 5/26/88

**3. Originating Office:** Formula Grants Branch, MSD

**4. Key Words:** Title IV-B; Fiscal Year 1988 IV-B Funds; Allotments and Reallotments; Transfers from: IV-E-FC to IV-B-CWS

## INFORMATION MEMORANDUM

**TO:** STATE AGENCIES ADMINISTERING OR SUPERVISING THE ADMINISTRATION OF TITLE IV-B OF THE SOCIAL SECURITY ACT.

**SUBJECT:** FY 1988 ALLOTMENTS FOR TITLE IV-B AND PROCEDURES FOR APPLYING FOR:

1. A STATE'S SHARE OF FUNDS AVAILABLE UNDER TITLE IV-B IN FY 1988;
2. REALLOTTED IV-B FUNDS AND
3. FUNDS TO BE TRANSFERRED FROM TITLE IV-E-FOSTER CARE.

**LEGAL AND RELATED REFERENCES:** SECTIONS 421, 422, 423, 427, 474(c) and 475 OF THE SOCIAL SECURITY ACT and 45 CFR 1355.30, 1356.65, 1356.70, 1357.30 and 1357.40.

**CONTENT:** This transmittal establishes the procedure for States to follow in order to apply for:

1. Title IV-B funds available to a State from its share of FY 1988 funds;
2. Title IV-B FY 1988 funds that will become available for reallocation to other States; and
3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

The procedures that a State must follow in order to receive title IV-B funds for FY 1988 are the same as those that were in effect in FY 1987. They are again detailed below, with updated amounts of funds.

**ACTION REQUIRED:**

**Date and Place to send Requests:**

To be eligible to receive any funds awarded under title IV-B (funds allotted or reallocated under title IV-B or transferred to title IV-B) during FY 1988, States must send requests to ACYF as detailed under (1), (2) and (3) below. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked registered or certified mail no later than August 10. There will be no exceptions, since all state requests must be taken into account in determining the amount of awards. The original request should be sent to:

Formula Grants Branch  
ACYF  
P.O. Box 1182  
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate HDS Regional Administrator.

1. Funds available to a State from its share of FY 1988 funds.

Under the appropriation for FY 1988, Congress has approved a total of \$239.35M for title IV-B (Child Welfare Services). The allotments for each State at the levels of \$141M and \$239.35M are listed in Enclosure 1. These are the same amounts sent to you on February 1, 1988 in ACYF-IM-88-01. States are advised that, under section 428 of the Social Security Act (the Act) and 45 CFR 1357.40, direct grants are made to eligible Indian Tribal Organizations (ITOs) that apply, from the allotment of the State(s) in which the ITO or portion of it is located.

To receive its share of \$141M, a State must meet the general requirements of the title IV-B Program, including jointly planning its child welfare services with the Administration for Children, Youth and Families (ACYF) and submitting, properly completed, the Form CWS-101, including both the Annual Summary of Child Welfare Services and the Annual Budget Request.

To receive its share of the additional funds up to \$239.35M, a State must, in addition to the requirements stated above:

- a. Certify that it is meeting the requirements of section 427 of the Act. (States that have certified previously that they are eligible need not recertify unless they have withdrawn their certification and have not recertified);
- b. Be meeting and continue to meet the requirements of section 427 of the Act;
- c. Submit to ACYF a revised Annual Budget Request incorporating the additional funds requested up to the State's share of \$239.35M or whatever portion of its share over \$141M a State can obligate during FY 1988,
- d. Jointly plan with ACYF Regional staff the use of those funds applied for; and
- e. Submit to ACYF an Annual Summary of Child Welfare Services incorporating those additional services to be provided.

2. Title IV-B FY 1988 funds that will become available for reallocation to other States.

Some States may not use all title IV-B funds allotted to them for FY 1988, either because they do not meet the requirements of section 427 of the Act or because they cannot obligate all of the funds during FY 1988. (Note: Under 45 CFR 74.71, obligations for salaries must be used for services received during the fiscal year in which we award them, unless State purchasing laws permit otherwise or the services are provided under a contract entered into during the fiscal year.)

To apply for reallocated FY 1988 title IV-B funds, a State must meet all the same conditions listed under (1)(a) through (e), above.

Failure of a State to apply for its share of funds up to \$141M or \$239.35M by the required date will be considered equivalent to certification that the funds will not be required by the State during FY 1988, in accordance with 45 CFR 1357.30(g)(2). These funds will then be available for reallocation to other States.

3. Transfer of funds not needed for foster care under title IV-E to title IV-B.

Under 45 CFR 1356.70, a State may transfer funds not needed for foster care under title IV-E-Foster Care. For purposes of possible transfer, hypothetical allotments have been calculated on the same basis as if they were mandatory (Enclosure 2). The Act mandates that a State's allotment limitation be the higher amount under paragraph (A) or (B) of section 474(b)(3). Paragraph (C) does not apply to transfers. Since there is no mandatory allotment limitation, paragraph (C) is irrelevant in FY 1988. Therefore, Enclosure 2 shows the amount of the hypothetical foster care allotment limitation and indicates only the paragraph ((A) or (B)) which resulted in the greater amount.

To apply for the transfer, a State must:

- a. Submit a Form IV-E-1 (Quarterly Estimate of Expenditures) for the fourth quarter, with all sections applicable to foster care completed;
- b. Submit Form IV-E-2's (Quarterly Statements of Expenditures) for the quarters ended December 31, 1987, March 31, 1988 and June 30, 1988;
- c. Meet the requirements of 1(a), (b) and (d), above, regarding section 427 and joint planning, incorporating the additional services to be provided;
- d. Submit a revised Annual Summary of Child Welfare Services incorporating the additional services to be provided; and
- e. Submit a SEPARATE CWS-101 Annual Budget Request requesting the funds to be transferred. Transfer funds are further limited in two ways:
  - i. Under 45 CFR 1356.70(a)(3), the total funds each State may transfer to title IV-B may not, when added to the funds received under title IV-B directly or by reallocation ((1) and (2) above), exceed the amount the State

- would have been allotted under title IV-B if \$266M had been appropriated and allotted to States (Enclosure 3); and
- ii. Funds are limited to the amount made available to the Department by the Congress under the appropriation for FY 1988.

## **Summary**

Only one section 427 certification need be filed for all three types of funds: (1) those directly allotted under title IV-B, (2) those reallocated from funds not used by other States under title IV-B and (3) those transferred from title IV-E-Foster Care. One CWS-101 may be filed for the directly allotted and reallocated funds ((1) and (2)). A separate CWS 101 must be filed for transfer funds (3). However, in all cases, the amounts requested for the quarter, the year and the total expenditures should be cumulative.

States which have not yet requested the full amount of their allotment and wish to do so are strongly encouraged to send their revised CWS-101 requesting the increased amounts under their allotments as soon as possible. Early receipt of such requests, including receipt by the regional office, would enable all States to receive all funds directly allotted to them at an early date, possibly as a part of their fourth quarter award. States may request a share of reallocated funds on the same CWS-101. Transfer requests must necessarily await the filing of claims for IV-E-Foster Care expenditures for the third quarter of this fiscal year, ending June 30, 1988.

**To reiterate:** In order to apply for any of the additional funds discussed above, a State must send to ACYF, as detailed above, the necessary requests and reports. Requests will NOT BE CONSIDERED if they are not received by ACYF on or before August 15 or postmarked registered or certified mail no later than August 10. There will be no exceptions, since all State requests must be taken into account in determining the amount of awards. The original request should be sent to:

Formula Grants Branch  
ACYF  
P.O. Box 1182  
Washington, D.C. 20013

A copy should be sent at the same time to the appropriate HDS Regional Administrator.

**EFFECTIVE DATE:** Effective upon issuance.

**INQUIRIES:** Regional Administrators, Office of Human Development Services

/s/  
Dodie Truman Borup  
Commissioner

**Enclosures:**

[Enclosure I](#) - FY 1988 Title IV-B Table at \$239.35 M

[Enclosure II](#) - Hypothetical FY 1988 Foster Care Allotments

[Enclosure III](#) - FY 1988 Title IV-B Table at \$266 M

**Enclosure 1**

**FY 1988 Title IV-B Table at \$266 M**

<b>Name of State</b>	<b>Allotment at \$239.350 M</b>	<b>Allotment at \$141 M</b>
Alabama	\$5,000,258	\$2,941,273
Alaska	\$441,380	\$286,283
Arizona	\$3,591,726	\$2,120,975
Arkansas	\$2,942,450	\$1,742,852
California	\$21,465,358	\$12,530,186
Colorado	\$2,920,963	\$1,730,338
Connecticut	\$2,056,574	\$1,226,937
Delaware	\$631,895	\$397,235
Dist of Col	\$420,777	\$274,285
Florida	\$9,634,035	\$5,639,883
Georgia	\$6,876,458	\$4,033,931
Hawaii	\$1,089,859	\$663,943
Idaho	\$1,370,318	\$827,277
Illinois	\$10,357,395	\$6,061,152
Indiana	\$5,932,270	\$3,484,057
Iowa	\$2,993,107	\$1,772,353
Kansas	\$2,385,985	\$1,418,779
Kentucky	\$4,491,734	\$2,645,120
Louisiana	\$5,645,284	\$3,316,922
Maine	\$1,327,936	\$802,594
Maryland	\$3,598,015	\$2,124,638
Massachusetts	\$4,237,463	\$2,497,038
Michigan	\$9,140,331	\$5,352,360

Minnesota	\$4,013,550	\$2,280,311
Mississippi	\$3,865,322	\$2,366,636
Missouri	\$4,999,871	\$2,941,048
Montana	\$1,026,978	\$627,323
Nebraska	\$1,679,814	\$1,007,520
Nevada	\$880,069	\$541,766
New Hampshire	\$961,347	\$589,101
New Jersey	\$5,228,047	\$3,073,933
New Mexico	\$1,968,508	\$1,175,649
New York	\$13,687,058	\$8,000,274
North Carolina	\$6,974,615	\$4,091,095
North Dakota	\$825,173	\$509,796
Ohio	\$10,795,082	\$6,316,051
Oklahoma	\$3,589,505	\$2,119,682
Oregon	\$2,713,779	\$1,609,678
Pennsylvania	\$10,735,737	\$6,281,490
Rhode Island	\$907,316	\$557,635
South Carolina	\$4,249,515	\$2,504,057
South Dakota	\$907,318	\$557,635
Tennessee	\$5,445,097	\$3,200,338
Texas	\$17,899,275	\$10,453,376
Utah	\$2,710,635	\$1,607,847
Vermont	\$649,545	\$407,514
Virginia	\$5,142,674	\$3,024,213
Washington	\$4,127,088	\$2,432,759
West Virginia	\$2,342,809	\$1,393,634
Wisconsin	\$4,862,318	\$2,860,940

Wyoming	\$629,856	\$396,048
Guam	\$327,549	\$219,991
Northern Mariana	\$114,319	\$95,810
Puerto Rico	\$6,242,965	\$3,664,999
Virgin Islands	\$295,695	\$201,440
<b>Totals</b>	<b>\$239,350,000</b>	<b>\$141,000,000</b>

## Enclosure 2

### Hypothetical FY 1988 Foster Care Allotments

State	Amount of Hypothetical Allotment	Applicable Paragraph
Alabama	\$3,104,639	A
Alaska	\$341,081	A
Arizona	\$1,439,679	B
Arkansas	\$1,019,312	B
California	\$130,240,613	A
Colorado	\$3,115,009	A
Connecticut	\$3,730,314	A
Delaware	\$1,024,633	A
District of Columbia	\$1,083,842	A
Florida	\$4,156,263	B
Georgia	\$5,240,422	A
Hawaii	\$451,974	B
Idaho	\$653,883	A
Illinois	\$4,832,643	B
Indiana	\$3,279,773	A
Iowa	\$2,768,666	A
Kansas	\$5,960,794	A
Kentucky	\$4,479,046	A
Louisiana	\$6,258,662	A
Maine	\$4,032,292	A
Maryland	\$7,276,759	A
Massachusetts	\$6,286,021	A
Michigan	\$34,765,358	A
Minnesota	\$7,576,387	A
Mississippi	\$1,913,199	A
Missouri	\$4,203,926	A
Montana	\$1,315,565	A
Nebraska	\$1,630,995	A
Nevada	\$668,988	A
New Hampshire	\$1,194,275	A
New Jersey	\$2,893,581	B
New Mexico	\$701,666	B

New York	\$269,400,762	A
North Carolina	\$2,566,453	B
North Dakota	\$986,747	A
Ohio	\$6,213,538	A
Oklahoma	\$1,545,328	A
Oregon	\$11,241,209	A
Pennsylvania	\$39,605,810	A
Rhode Island	\$358,734	B
South Carolina	\$1,480,767	B
South Dakota	\$834,734	A
Tennessee	\$4,818,578	A
Texas	\$7,791,017	B
Utah	\$1,064,056	A
Vermont	\$1,507,471	A
Virginia	\$6,492,803	A
Washington	\$6,366,877	A
West Virginia	\$1,677,839	A
Wisconsin	\$14,108,150	A
Wyoming	\$243,371	B

### Enclosure 3

#### FY 1988 Title IV-B Table at \$266 M

<b>Name of State</b>	<b>Allotment at \$266 M</b>
Alabama	\$5,558,183
Alaska	\$483,407
Arizona	\$3,990,256
Arkansas	\$3,267,507
California	\$23,886,534
Colorado	\$3,243,588
Connecticut	\$2,281,382
Delaware	\$695,481
Dist of Col	\$460,472
Florida	\$10,716,335
Georgia	\$7,646,701
Hawaii	\$1,205,269
Idaho	\$1,517,467
Illinois	\$11,521,553
Indiana	\$6,595,665
Iowa	\$3,323,896
Kansas	\$2,648,070
Kentucky	\$4,992,113
Louisiana	\$6,276,202
Maine	\$1,470,288
Maryland	\$3,997,257
Massachusetts	\$4,709,068
Michigan	\$10,166,761
Minnesota	\$4,459,816
Mississippi	\$4,294,813
Missouri	\$5,557,752
Montana	\$1,135,273
Nebraska	\$1,861,986
Nevada	\$971,739
New Hampshire	\$1,062,215
New Jersey	\$5,811,749
New Mexico	\$2,183,350
New York	\$15,228,011
North Carolina	\$7,755,965

North Dakota	\$910,631
Ohio	\$12,008,770
Oklahoma	\$3,987,784
Oregon	\$3,012,958
Pennsylvania	\$11,942,709
Rhode Island	\$1,002,070
South Carolina	\$4,722,484
South Dakota	\$1,002,071
Tennessee	\$6,053,362
Texas	\$19,916,898
Utah	\$3,009,458
Vermont	\$715,129
Virginia	\$5,716,715
Washington	\$4,586,202
West Virginia	\$2,600,007
Wisconsin	\$5,404,634
Wyoming	\$693,211
Guam	\$356,694
Northern Mariana	\$119,334
Puerto Rico	\$6,941,520
Virgin Islands	\$321,235
<b>Totals</b>	<b>\$ 266,000,000</b>