

<h1>ACF</h1> <p>Administration for Children and Families</p>	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families	
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	3. Originating Office: Children’s Bureau	
	4. Key Words: Annual Progress and Services Report: Tribal Title IV-B Child and Family Services Plan	

PROGRAM INSTRUCTION

TO: Tribal Agencies administering or supervising the administration of Title IV-B, Subparts 1 and/or 2 of the Social Security Act (the Act), the Administration for Children and Families (ACF) Children's Bureau Regional Child Welfare Program Managers.

SUBJECT: The June 30, 2007 submission of the Annual Progress and Services Report (APSR) required under Title IV-B of the Act, including the submission of the CFS-101, Part I - Annual Budget Request, Part II-Annual Summary of Child and Family Services, and Part III-Annual Expenditures for Title IV-B.

REFERENCES: Title IV-B, Subparts 1 and 2, Sections 420-425, 428, 430-438, and Title IV-E, Section 477 of the Act including The Child and Family Services Improvement Act of 2006 (Public Law (P.L.) 109-288); the Indian Child Welfare Act of 1978 – (P.L. 95-608); the Indian Self-Determination and Education Assistance Act (P.L. 93-638); 45 CFR Parts 1355 and 1357 and ACYF-CB-PI-04-05, issued February 2, 2004 (Fiscal Year 2004-2009, Child and Family Services Plan (CFSP)).

PURPOSE: This Program Instruction (PI) summarizes the actions required under Title IV-B, Subparts 1 and 2 of the Act, and Federal regulations at 45 CFR Part 1357 so that Tribes may receive their allotments of Federal funds for Fiscal Year (FY) 2008 (subject to the availability of appropriations). This PI also announces the final FY 2007 allotments, provides guidance regarding the reporting of FY 2007 activities and accomplishments, and provides instructions regarding application for FY 2008 funds for Tribes for the above programs.

It is important that the Tribal grantee responds to all requirements outlined in this PI as missing or incomplete information will result in the withholding of funds for the program(s) affected until such time as approval can be granted by ACF. If the Tribe has additional questions in preparing the APSR, please contact the Tribe’s ACF Regional Office Program Specialist.

Section A. Overview

The **Child and Family Services Plan (CFSP)** is a five-year plan which lays out the strategy for administering the Tribe's child welfare system, in particular for the title IV-B, subparts 1 and 2 programs. Federal regulations at 45 CFR 1357.15 and 1357.16 provide the requirements for a five-year comprehensive CFSP and annual updates on the progress made toward accomplishing the goals and objectives in the CFSP. The **Annual Progress and Services Report (APSR)** is the annual update report that the Tribe submits which must consist of review of the progress the Tribe has made toward accomplishing the goals and objectives of the CFSP. Completion of the APSR satisfies the requirements in Federal regulations by providing updates on a Tribe's annual progress for the past fiscal year and planned activities for the upcoming fiscal year. The third cycle of CFSPs (FY 2005-2009) was due June 30, 2004. If a Tribe becomes eligible during the 5-year plan cycle, it must submit a plan for approval that may be less than 5 years with the expectation that a new plan will be submitted for the FY 2010-2014 cycle.

The Child and Family Services Improvement Act of 2006 amended title IV-B to allow Tribal consortia to apply for funding for FY 2008. Additional funding is available for all eligible Tribes and Tribal consortia under title IV-B, subpart 2, the Promoting Safe and Stable Families (PSSF) program. The list of Tribal Allocations (Attachment B) reflects the new three percent set-aside for the Tribes called for in the PSSF reauthorization. This resulted in more Tribes made eligible and larger grants for many Tribes.

For FY 2007 (Title IV-B subpart 2 only): ACF Regional Office (RO) representatives contacted many of the newly eligible Tribes and Tribes who have been eligible but never applied for PSSF funds and invited them to apply for FY 2007 title IV-B, subpart 2 program funds. Tribal officials were given the information and technical assistance needed in order to write a Child and Family Services Plan.

- Tribes who expect PSSF funds for FY 2007, but will receive more in FY 2007 than they received in FY 2006, must update their APSRs to detail the activities and services they plan to provide with the additional funds, as well as request the new amount of funds in updated CFS-101, Parts I and II. Updates to these plans from current grantees must be submitted to ACF by March 30, 2007.
- Newly-eligible Tribes and Tribes who were eligible but did not apply for Title IV-B subpart 2 for FY 2007 must have a complete CFSP for the period FY 2007-2009 approved by ACF by June 8, 2007 in order to receive funding for FY 2007.

Through the joint planning process, the ROs will continue to work with Tribes to ensure that the information provided adequately addresses the CFSP/APSR requirements, and will request additional information if needed for approval. The ACF ROs must have all FY 2007 updated CFSPs /APSRs and the correspondent CFS-101s mailed to Central Office by June 8, 2007.

For FY 2008, in order to receive Title IV-B, Subparts 1 and 2 funds, Tribes must submit the APSR electronically to the ACF Regional Offices (RO) by June 30, 2007. An original, signed CFS-101, Annual Budget Request for Title IV-B, Subparts 1 and 2 must be mailed to the RO (or a .pdf file of the signed CFS-101 must be e-mailed) as well. (See addresses at Attachment E.) The CFS-101, Part II and the CFS-101, Part III, if applicable, must also be submitted. The ROs will be working with Tribes to ensure that the information provided adequately addresses the information required. If the APSR is approvable by the deadline, the RO will forward the Tribe's request for funding to Central Office. The RO will not sign and forward the CFS-101 to the ACF Grants Management Office for funding until all requirements for the APSR are met. The CFS-101, Parts I, II and III are located in Attachment C.

In preparing the APSR, each Tribe must conduct an interim review of the progress made since the last APSR, to date, toward accomplishing the goals and objectives in the CFSP, based on updated information and current data. The Tribe must include the agencies, organizations (e.g., the State's Court Improvement Project, State and county social service programs and Temporary Assistance to Needy Families (TANF) and other human service agencies and individuals in the ongoing CFSP-related consultation and coordination process when conducting this review, and include a description of the collaboration process in the APSR.

Plans and activities included in the APSR must meet the provisions of 45 CFR 1355.35, 45 CFR 1357, and Title IV-B, Subparts 1 and/or 2. These programs provide a critical source of funding for supporting the service array necessary to meet the needs of children and families and to address gaps in services.

The Child and Family Services Improvement Act of 2006--P.L. 109-288

P.L. 109-288 was signed into law on September 28, 2006. The new law makes changes to Title IV-B, subparts 1 and 2, of the Act which affect Tribes. Information Memorandum (IM) ACYF-CB-IM-06-05, issued December 7, 2006 discusses the changes in the Act. This PI highlights major provisions below and details the various requirements that affect Tribes that receive funds under the title IV-B, subpart 1, Child Welfare Services program and/or subpart 2, the PSSF program.

Title IV-B, subpart 1:

The new law changes the title IV-B, subpart 1 program from a permanent authorization to a five-year authorization. It authorizes \$325 million for each of the Federal fiscal years (FY) 2007 through 2011. Tribes are eligible for the funds allotted to States based on the Tribal child population in the respective state where the reservation (and other legal configurations of land ownership) resides. Tribes must spend funds according to a newly established program purpose. The new program purpose is to: protect and promote the welfare of all children; prevent the neglect, abuse or exploitation of children; support at-risk families through services which allow

children to remain with their families or return to their families in a timely manner; promote the safety, permanence and well-being of children in foster care and adoptive families; and provide training, professional development and support to ensure a well-qualified workforce. (See Section 421 of the Act.)

The new law revises and updates the language in several plan requirements, including:

- provisions requiring a description of services and activities carried out under title IV-B, subpart 1 and a discussion of how the services and activities will achieve the purposes of the Child Welfare Services Program (Section 422 (b)(3)),
- additional provisions requiring a description of the steps taken to expand and strengthen the range of existing services and develop and implement services to improve child outcomes (Section 422 (b)(4)(A)),
- a description of the Tribe's child welfare services staff development and training plans (Section 422 (b)(4)(B)), and
- that Tribes must have policies and procedures that are applicable to children abandoned at or shortly after birth (Section 422 (b)(8)(B)).

Other changes relevant to Tribes are:

➤ **Payment limitations:**

The Tribe must provide an assurance that it will not spend more than 10 percent of each fiscal year's title IV-B, subpart 1 funds on administrative costs beginning October 1, 2007, and for each fiscal year thereafter (Section 422 (b)(14). This limitation applies to FY 2007 funds expended in FY 2008 and for each fiscal year thereafter, as no more than 10% of a fiscal year's allotment may be expended for administrative costs during the 2-year expenditure period. Administrative expenditures are defined as costs for the following activities to the extent they are incurred in administering the title IV-B State plan: procurement, payroll management, personnel functions (other than the portion of the salaries of supervisors attributable to time spent directly supervising the provision of services by case-workers), management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses (except those related to the provision of services by caseworkers or the oversight of programs funded under title IV-B, subpart 1) Sections 422(b)(14) and 422(c)(1) of the Act). This has the effect that, beginning in FY 2007, Tribes must limit their "indirect" cost allocation from this grant to 10% and may no longer apply their full indirect cost rate to their Federal grant award.

➤ **New descriptions and plans required:**

Tribes must:

- Describe how physicians or other appropriate medical professionals are consulted and involved in assessing the health and well-being of foster children and for determining appropriate medical treatment (Section 422(b)(15));

- Have in place written procedures for providing how programs funded by title IV-B would respond to a disaster (effective September 28, 2007) (Section 422(b)(16)); and
- Describe standards for the content and frequency of caseworker visits for children in foster care, which at a minimum must be monthly and focus on case planning and service delivery (effective October 1, 2007) (Section 422(b)(17)).

Title IV-B, subpart 2:

Eligible Applicants: The law amends the PSSF program to allow Tribal consortia to apply for and receive Title IV-B, subpart 2, funds if the consortia's combined child population is substantial enough to reach the \$10,000 base threshold required for the receipt of the funds (Sections 432(b)(2), 433(a), 434(c), 436(b)(3), 437(b)(3) and (c)(1)).

New Funding:

- The law increases the set-asides for Indian Tribes (including Tribal consortia) under the mandatory and discretionary funds to three percent each, from one and two percent respectively (Sections 436(b) (3) and 437(b) (3) of the Act).
- In FY 2007, \$40 million is set aside to be used for competitive grants to regional partnerships. The partnerships are to provide, through interagency collaboration and integration of programs and services, activities that are designed to increase the well-being of, improve permanency outcomes for, and enhance the safety of children who are in an out-of-home placement as a result of a parent's or caretaker's methamphetamine or other substance abuse. More information will be forthcoming separately from this PI because the regional partnerships will be funded as competitive grants. Tribes should read the law and prepare now to assess the need for the grants, form or enhance the partnerships that will address the need, and begin planning for the application process. (See Section 436 for funding authorization and Section 437 for discretionary and targeted grants.)
- The law limits the HHS Secretary's authority to exempt Indian Tribes (including Tribal consortia) from requirements under the PSSF program to the exemption of the 10 percent limit on administrative costs and the requirement to spend a significant portion of PSSF funds on each of the four service categories (Section 432(b) (2) (A)).
- Tribes are now required to assure that PSSF funds will not be used to supplant Federal or non-Federal funds provided under this subpart for existing services and activities which promote the purposes of this subpart (Section 432(a) (7) (A)).
- The law includes additional funding for caseworker visits for States and Territories which must be used to support monthly caseworker visits with children who are in foster care under the responsibility of the State with a primary emphasis on activities designed to improve caseworker retention, recruitment, training and ability to access the benefits of

technology. Tribes are not included in either the funding or the additional requirements at Section 424(e)(1) or (2).

Title IV-B, subparts 1 and 2 Reports:

Tribes must submit to ACF annually:

- child and family services expenditures planned by the agency for the next fiscal year (Form CFS-101, Part II).
- actual expenditures for the most recent fiscal year along with numbers of families and children served, the population served and the geographic areas served by the agency for title IV-B, subparts 1 and 2 funds (Form CFS-101, Part III).

Reports are due beginning June 30, 2007.

Section B. APSR Submission Requirements for FY 2008

Tribes must submit their APSR to the RO by June 30, 2007. The submission must include:

- an APSR (Only e-mail or compact disk transmission of the APSR will be accepted);
- the CFS-101, Parts I and II for FY 2008 with signature (fax and mail a hard copy or e-mail the signed .pdf file);
- Include relinquishment of, or requests for, FY 2008 Title IV-B funds available for reallocation; and

If appropriate, a revised and signed CFS-101 for FY 2007.

- Certifications and assurances. The new assurance regarding the ten percent administrative cost expenditures must be submitted (Attachment D). If certifications for these programs have been submitted previously, Tribes do not need to resubmit.

Note: APSRs must now be submitted electronically. Hard copies only will not be accepted by ACF RO staff.

The APSR must address each of the following programs for which Tribes have received, or are applying for, funds:

- Child Welfare Services (including child abuse and neglect prevention, intervention, and treatment services and foster care, kinship care or other permanent living arrangements); and
- Promoting Safe and Stable Families programs.

1. Service Description for Each of the Programs Listed Above

- Report on the specific accomplishments and progress achieved in the past fiscal year toward meeting each goal and objective in the CFSP including improved outcomes for children and families, and a more comprehensive, coordinated, effective child and family services continuum;
- Describe the steps taken to expand and strengthen the range of existing services and develop and implement services to improve child outcomes. Explain planned activities, new strategies for improvement, and the method(s) to measure progress in the upcoming fiscal year (October 1, 2007 to September 30, 2008);
- Explain any revisions to the existing goals and objectives and, if appropriate, update the goals and objectives to incorporate any new goals or objectives under the new purpose of title IV-B, subpart 1;
- Describe the services to be provided in FY 2008 under Title IV-B subpart 1 and/or title IV-B, subpart 2 (as applicable), highlighting any changes or additions in services or program design and how the services will achieve program purposes;
- For each service described above, report the population(s) to be served, the geographic areas where the services will be available, and the estimated number of individuals and/or families (or to be) served (CFS-101, Part II at Attachment C); and
- Indicate if there are no planned changes to the program.
- The current administration has identified major initiatives in areas that it feels will benefit children, adults, and society. The initiatives include the Department's Healthy Marriages, Responsible Fatherhood, Youth Development, Rural, and Faith-based and Community Initiatives. Describe the services that the Tribe provided, using only title IV-B funds, during the past year that support the above initiatives; identify who is providing the service, such as a contractor or county; and describe services that the Tribe plans to provide, using only title IV-B funds, in FY 2008.

2. Collaboration

- Describe activities in the ongoing process of coordination and collaboration efforts conducted across the entire spectrum of the child and family service delivery system. This should include stakeholder or partner involvement in the review of progress made and updates for the coming year (Section 422(b)).
- Update the Tribe's demonstration of substantial, ongoing and meaningful collaboration between the child welfare agency and the State or Tribal courts, as appropriate, with regard to the development of the APSR. (Section 422(b)(13))

3. Program Support

- The Tribe must address its child welfare services staff development and training plans. Discuss planned updates to the training plan, technical assistance, research, evaluation, or management information systems and quality assurance systems that will be updated or implemented in the upcoming fiscal year. Specify any additions or changes in services or program design due to the Tribe's own evaluation of programs that the Tribe has found particularly effective or ineffective (45 CFR 1357.16 (a)(5)).

4. Consultation with States

Tribes and their respective States are required to respond to the bullets below regarding Tribal consultation, and States and Tribes are required to exchange their CFSPs and APSRs.

- Provide an update regarding Tribal consultation with the State regarding ICWA concerns (Section 422(b)(9)).
- Provide a description of the understanding, gathered from State/Tribal consultation, as to who is responsible for providing the protections for Tribal children delineated at Section 422(b)(8) of the Act, whether they are in State or Tribal care and responsibility. This includes:
 - a. provisions requiring policies and procedures for children abandoned at or shortly after birth (Section 422(b)(8)(B)).
 - b. procedural safeguards that must be in place to ensure that courts conducting permanency hearings consult with youth, in an age-appropriate manner, regarding his/her permanency plan. The requirement applies to title IV-B, subpart 1 (Section 475(5)(C)).
- Describe how the Tribe has been consulted about the programs to be carried out under the CFCIP and explain the results of the consultation (specifically as it relates to determining eligibility for benefits and services and ensuring fair and equitable treatment for Indian youth in care under the Chafee Foster Care Independence Program (CFCIP) (Section 477(b)(3)(G)).

5. Consultations with Physicians and Other Medical Professionals

Describe how the Tribal agency actively consults with and involves physicians or other appropriate medical professionals in assessing the health and well-being of foster children and determining appropriate medical treatment (Section 422(b)(15)).

6. Disaster Plans

The Tribe must submit their procedures describing how the Tribe would respond to a disaster in accordance with the following criteria for programs funded under either title IV-B, subparts 1 and 2:

- identify, locate and continue availability of services for children under Tribal care or supervision who are displaced or adversely affected by a disaster;
- respond to new child welfare cases in areas adversely affected by a disaster, and provide services in those cases;
- remain in communication with caseworkers and other essential child welfare personnel who are displaced because of a disaster;
- preserve essential program records; and
- coordinate services and share information with States and other Tribes (Section 422(b)(16) of the Act).

Technical assistance is available through the Children's Bureau's National Resource Centers should the Tribe require additional information to comply with the statutory requirement at Section 422(b)(16) of the Act. Also, a document, "*Coping with Disasters and Strengthening Systems: A Framework for Child Welfare Agencies*" has been recently published which will aid Tribes in developing their plans.

Section C. Financial Information

The Tribe must submit for programs funded under title IV-B, subparts 1 and 2:

1. FY 2007 Funding—Revised Budget Request

- Submit a revised FY 2007 budget form (CFS-101, Part I) in order to receive the full share of FY 2007 funds allotted, only if the previously submitted request was less than the FY 2007 amount the Tribe is eligible to receive under Title IV-B, Subparts 1 or 2 (See Attachments A and B), plus any reallocation requests,
- Indicate on Line 7a or 7b on the FY 2007 CFS-101, Part I (Annual Budget Request) if the Tribe intends to apply for, or release, respectively, FY 2007 funds for reallocation. Funds will be re-allotted prior to the end of the fiscal year. If the Tribe determines that it will not obligate all of its FY 2007 allotment by September 30, 2008, show the estimated unobligated balance and ACF will re-allot the funds in accordance with the prescribed formulas.
- Submit this form as soon as possible and no later than March 30, 2007.

2. FY 2008 Budget Request—CFS-101, Parts I and II (See Attachment C.)

- Complete Part I of the CFS-101 form to request Title IV-B funds,
- Complete Part II to include the estimated amount of funds to be spent in title IV-B, subparts 1 and 2, the estimated number of individuals or families to be served, and the geographic service area within which the services are to be provided; and

- Use the FY 2007 allotments as the basis for your budget request for Title IV-B, Subparts 1 and 2 for FY 2008.

3. FY 2005 Title IV-B Expenditure Report—CFS-101, Part III (See Attachment C.)

Complete the CFS-101, Part III to include the actual amount of title IV-B funds expended in FY 2005, the estimated number of individuals or families served, and the geographic service area within which the services were provided. The Tribe must track and report annually its actual title IV-B expenditures, including administrative costs for the most recent preceding fiscal year. Tribes should report the actual title IV-B expenditures of FY 2005 funds in the APSR due June 30, 2007.

4. Financial Status Reports—SF 269

Submission requirements for expenditures of title IV-B must be met by all grantees on the Financial Status Report SF-269. The SF-269 covering the first 12-month budget period is the interim report and the report covering the entire grant period is the final report. Each report is due within 90 days of the fiscal year (December 31). A negative grant award will recoup unobligated and/or unliquidated funds reported on the final SF-269 for the title IV-B programs.

- Submit a separate SF-269 (by December 31) for expenditures made under each subpart of Title IV-B at the end of each 12 months (October 1-September 30) of the two-year expenditure period.
- Report the cumulative amount of funds expended and the required 25 percent Tribal match on the final SF-269.
- Expend Title IV-B funds by September 30 of the fiscal year following the fiscal year in which the funds were awarded (i.e., for FY 2007, funds must be expended by September 30, 2008).

Submit the original SF-269 for each program to your ACF RO and a copy to the following address:

Division of Mandatory Grants
Office of Administration
Administration for Children and Families
370 L'Enfant Promenade, SW.
Washington, D.C. 20447

Paperwork Reduction Act

Under the Paperwork Reduction Act of 1995 (P.L. 104-13), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The OMB control

number is # 0980-0047, approved through October 31, 2008. Reporting burden for the APSR and the CFS-101 is estimated to average 264 hours per response.

Inquiries To: Children's Bureau Regional Program Managers

/s/

Joan E. Ohl
Commissioner
Administration on Children,
Youth and Families

Attachments:

Attachment A: FY 2007 Title IV-B Subpart 1, Child Welfare Services Tribal Allocations

Attachment B: FY 2007 Title IV-B Subpart 2, Promoting Safe and Stable Families Tribal Allocations

Attachment C: CFS-101, Parts I, II and Part III

Attachment D: Assurances

Attachment E: Children's Bureau Regional Program Managers

**Tribal Allocation FY 2007
Child Welfare Services (CWS)**

Tribes	Total Tribal Allocations
Alaska	
Aleutian/Pribilof Island As	11,507
Artic Slope	24,140
Assoc Vil Coun Pres	81,224
Bristol Bay	24,753
Chugachmiut	6,131
Cook Inlet	99,485
Kawerak	41,758
Ketchikan Indian Corp.	15,909
Kodiak Area Native Association	8,040
Kotzebue Native Village	10,844
Maniilaq Assoc	22,381
Metlakatla Indian Community	8,844
Orutsaramuit	15,316
Shoonaq Tribe of Kodiak	3,779
Tanana Chiefs	47,315
Tlingit-Haida	21,437
Arizona	
Colorado River	15,319
Gila River	56,554
Hopi	45,499
Navajo (AZ portion)	557,631
Navajo (NM portion)	388,360
Navajo (UT portion)	36,806
Pascua Yaqui	45,767
Salt River	37,061
San Carlos Apache	49,616
Tohono O'odham	128,595
White Mt Apache	66,401
California	
Karuk Tribe	5,418
Smith River Rancheria	3,292
Tule River Tribal Council	6,414
Yurok	14,442

Tribes	Total Tribal Allocations
Colorado	
Ute Mountain (CO portion)	6,402
Ute Mountain (UT portion)	1,623
Idaho	
Nez Perce Tribe	12,620
The Shoshone-Bannock Tribes	20,939
Kansas Kickapoo Tribe	3,507
Louisiana Chitimacha	5,454
Maine	
Aroostook Micmacs	4,140
Houlton Band of Maliseets	3,422
Penobscot	7,349
Pleasant Point Passamaquoddy	4,948
Massachusetts Wampanoag of Gay Head	2,542
Michigan	
Bay Mills	6,332
Grand Traverse of Ottawa &	13,919
Keweenaw Bay Indian Community	5,085
Lac Vieux Desert Band	3,045
Little Traverse Bay Bands of Odawa Indians	10,000
Match-E-Be-Nash-She-Wish Band (Gun Lake Tribe)	1,367
Pokagon Band of Potawatomi (MI portion)	7,246
Pokagon Band of Potawatomi (IN portion)	1,800
Sault Ste. Marie Chippewa	112,962
Minnesota	
Bois Forte Reservation Tribal Council (Nett Lake)	1,878
Fond Du Lac Reservation Business Committee	7,125
Grand Portage Reservation	1,102
Leech Lake Reservation	19,658
Mille Lacs Band of Ojibwe Indians	12,579
Red Lake Chippewa	27,451
Upper Sioux	1,960
White Earth Chippewa	14,024
Mississippi Choctaw	57,358

Tribes	Total Tribal Allocations
Montana	
Blackfeet	62,223
Chippewa Cree	25,214
Confederated Salish & Kootenai	38,471
Crow Tribe	63,462
Ft. Belknap	23,206
Ft. Peck Assiniboine and Sioux Tribes	62,309
Northern Cheyenne	43,873
North Carolina Eastern Band Cherokee	51,569
Nebraska	
Omaha Tribe	14,102
Ponca Tribe	9,776
Santee Sioux Nation	2,951
Winnebago Tribe of Nebraska	7,581
New Mexico	
Acoma	22,029
Isleta	17,337
Jemez	13,683
Jicarilla Apache	13,647
Laguna	34,304
Mescalero Apache	22,841
San Felipe	18,053
San Ildefonso Pueblo	2,472
Sandia Pueblo	2,424
Santa Ana Pueblo	2,842
Santa Clara	6,077
Santo Domingo	22,447
Taos	8,657
Zia	3,104
Zuni	43,426
North Dakota	
Spirit Lake	22,070
Standing Rock Sioux Tribe (ND portion)	17,656
Standing Rock Sioux Tribe (SD portion)	17,670
Three Affiliated Tribes(Ft Berthold)	40,908
Turtle Mountain	45,816

Tribes	Total Tribal Allocations
Oklahoma	
Absentee Shawnee Tribe	10,944
Apache	8,618
Caddo Tribe	13,657
Cherokee	703,722
Cheyenne-Arapaho	37,301
Chickasaw	112,541
Choctaw	441,271
Citizen-Potawatomi	54,036
Comanche	47,880
Creek	233,757
Delaware Nation of Western OK	4,970
Fort Sill Apache Tribe	3,192
Iowa	2,052
Kaw Nation	3,295
Kialegee Tribal Town	1,710
Kickapoo Tribe of Oklahoma	5,381
Kiowa	26,049
Miami Tribe of Ok	4,070
Modoc Tribe of Oklahoma	889
Osage	43,753
Otoe-Missouria Tribe	1,778
Ottawa Tribe of Oklahoma	8,345
Pawnee Nation of Oklahoma	10,317
Peoria Tribe of Indians of OK	3,181
Ponca Tribe of Indians	12,814
Quapaw Tribe of Oklahoma	12,597
Sac-Fox	25,274
Seminole	64,239
Seneca-Cayuga	17,602
Tonkawa Tribe of Oklahoma	3,044
United Keetoowah Band	18,023
Wichita & Affiliated Tribes	12,346
Wyandotte Tribe	7,068
Oregon	
Conf./Coos, Lower Umpqua & Sinslaw	3,664
Confederated Tribe of Grand Ronde	20,260
Confederated Tribe of Warm Springs	20,133
Confederated Tribes of Sile	18,385
Coquille Indian Tribe	3,938
Klamath	9,614

Tribes	Total Tribal Allocations
Umatilla Confederated Tribes	9,287

Tribes	Total Tribal Allocations
Rhode Island Narragansett Indian Tribe	10,245
South Dakota	
Cheyenne River Sioux Tribe	54,370
Crow Creek Sioux Tribe	11,192
Oglala Sioux(Pine Ridge)	87,168
Rosebud Sioux	55,542
Sisseton-Wahpeton Sioux	22,059
Yankton Sioux	35,120
Texas Alabama-Coushatta	2,360
Utah	
Paiute	4,302
Ute-Unitah & Ouray	15,038
Washington	
Colville	29,776
Lummi Tribe	19,912
WA Makah	9,007
Port Gamble S'Klallam Tribe	3,785
Quinault Indian Nation	7,442
Swinomish Tribe	2,395
The Tulalip Tribes	16,412
Yakama	35,394
Wisconsin	
Bad River	20,884
Ho-Chunk Nation	17,145
Lac Courte Oreilles Tribal Gov Board	11,326
Lac Du Flambeau	10,613
Menominee Indian Tribe of Wisconsin	37,889
Oneida Nation of Wisconsin	42,210
Red Cliff Band of Lake Superior Chippewas	18,432
Sokaogon Chippewa Community	4,975
Stockbridge-Munsee	2,955
Wyoming	
Eastern Shoshone Tribe	16,151
Northern Arapaho	41,623

**TRIBES FY 2007 Allotments
Promoting Safe and Stable Families (PSSF)**

Tribe	Tribe Population under 21	Eligible Tribes	Tribal Allocation
	516,607	134	11,823,000
AK Aleutian/Pribilof Island Association	1,145	1	26,082
Artic Slope	2,402	1	54,716
Association of Village Council Presidents	9,606	1	218,820
Bristol Bay	2,463	1	56,106
Chugachmiut	610	1	13,895
Cook Inlet	9,899	1	225,494
Kawerak	4,155	1	94,649
Kodiak Area Native Association	1,176	1	26,789
Maniilaq Assoc	3,306	1	75,309
Tanana Chiefs	4,708	1	107,246
Tlingit-Haida	4,596	1	104,694
AZ Colorado River	1,369	1	31,185
Fort Yuma (Quechan)	642	1	14,624
Gila River	5,054	1	115,127
Hopi	4,066	1	92,621
Hualapai	577	1	13,144
Navajo	85,465	1	1,946,847
Pascua Yaqui	4,090	1	93,168
Salt River	3,312	1	75,446
San Carlos Apache	4,434	1	101,004
Tohono O'odham	11,492	1	261,782
White Mt Apache	5,934	1	135,173
CA Hoopa Valley	1,045	1	23,805
Karuk Tribe	604	1	13,759
Tule River Tribal Council	715	1	16,287
Yurok	1,610	1	36,675
CO Southern Ute	588	1	13,394
Ute Mountain	858	1	19,545
ID Nez Perce Tribe	1,065	1	24,260
The Shoshone-Bannock Tribes	1,767	1	40,251
Coeur d'Alene	576	1	13,121
LA Chitimacha	471	1	10,729
ME Penobscot	655	1	14,921
Pleasant Point Passamaquoddy	441	1	10,046
Indian Township Passamaquoddy	528	1	12,028

Tribe	Tribe Population under 21	Eligible Tribes	Tribal Allocation
MI Bay Mills	630	1	14,351
Grand Traverse of Ottawa	1,385	1	31,550
Isabella	607	1	13,827
Keweenaw Bay Indian Community	506	1	11,526
Little River Band of Odawa Indians	694	1	15,809
Little Traverse Bay Bands of Odawa	995	1	22,666
Pokagon Band of Potawatomi	890	1	20,274
Sault Ste. Marie Chippewa	11,240	1	256,041
MN Fond Du Lac Reservation Business Commit	789	1	17,973
Leech Lake Reservation	2,177	1	49,591
Mille Lacs Band of Ojibwe Indians	1,393	1	31,732
Red Lake Chippewa	3,040	1	69,250
White Earth Chippewa	1,553	1	35,377
MS Mississippi Choctaw	4,596	1	104,694
MT Blackfeet	5,022	1	114,398
Chippewa Cree	2,035	1	46,356
Conf'd Salish & Kootenai	3,105	1	70,730
Crow Tribe	5,122	1	116,676
Ft. Belknap	1,873	1	42,666
Ft. Peck Assiniboine and Sioux Tribes	5,029	1	114,558
Northern Cheyenne	3,541	1	80,662
NC Eastern Band Cherokee	4,815	1	109,683
ND Spirit Lake	1,830	1	41,686
Standing Rock Sioux Tribe	2,986	1	68,019
Three Affiliated Tribes(Ft Berthold)	3,392	1	77,268
Turtle Mountain	3,799	1	86,539
NE Omaha Tribe	1,343	1	30,593
Winnebago Tribe of Nebraska	722	1	16,447
NV Pyramid Lake	532	1	12,119
NY Seneca			31,618
St. Regis Mohawk			23,349
NM Acoma	1,845	1	42,028
Isleta	1,452	1	33,076
Jemez	1,146	1	26,105
Jicarilla Apache	1,143	1	26,037
Laguna	2,873	1	65,445
Mescalero Apache	1,913	1	43,577

Tribe	Tribe Population under 21	Eligible Tribes	Tribal Allocation
San Felipe	1,512	1	34,443
San Juan Pueblo	492	1	11,207
Santa Clara	509	1	11,595
Santo Domingo	1,880	1	42,825
Taos	725	1	16,515
Zuni	3,637	1	82,849
OK Absentee Shawnee Tribe	960	1	21,868
Apache	756	1	17,221
Caddo Tribe	1,198	1	27,290
Cherokee	61,730	1	1,406,177
Cheyenne-Arapaho	3,272	1	74,534
Chickasaw	9,872	1	224,879
Choctaw	38,708	1	881,748
Citizen-Potawatomi	4,740	1	107,975
Comanche	4,200	1	95,674
Creek	20,505	1	467,093
Kickapoo Tribe of Oklahoma	472	1	10,752
Kiowa	2,285	1	52,051
Osage	3,838	1	87,428
Ottawa Tribe of Oklahoma	732	1	16,675
Pawnee Nation of Oklahoma	905	1	20,615
Ponca Tribe of Indians	1,124	1	25,604
Quapaw Tribe of Oklahoma	1,105	1	25,171
Sac-Fox	2,217	1	50,502
Seminole	5,635	1	128,362
Seneca-Cayuga	1,544	1	35,172
United Keetoowah Band	1,581	1	36,014
Wichita & Affiliated Tribes	1,083	1	24,670
Wyandotte Tribe	620	1	14,123
OR Conf Tribe of Grand Ronde	1,924	1	43,828
Conf Tribe of Warm Springs	1,912	1	43,554
Conf Tribes of Siletz	1,746	1	39,773
Cow Creek Band of Umpqua and Siuslaw	513	1	11,686
Klamath	913	1	20,798
Umatilla Confederated Tribes	882	1	20,091
RI Narragansett Indian Tribe	987	1	22,483
SD Cheyenne River Sioux Tribe	4,683	1	106,676
Crow Creek Sioux Tribe	964	1	21,959
Lower Brule	645	1	14,693
Oglala Sioux(Pine Ridge)	7,508	1	171,028
Rosebud Sioux	4,784	1	108,977
Sisseton-Wahpeton Sioux	1,900	1	43,281
Yankton Sioux	3,025	1	68,908

Tribe	Tribe Population under 21	Eligible Tribes	Tribal Allocation
UT Ute-Unitah & Ouray	1,269	1	28,907
WA Colville	3,233	1	73,646
Lummi Tribe	2,162	1	49,249
Makah	978	1	22,278
Muckleshoot	527	1	12,005
Puyallup	1,551	1	35,331
Quinault Indian Nation	808	1	18,406
Spokane	709	1	16,151
The Tulalip Tribes	1,782	1	40,593
Yakama	3,843	1	87,541
WI Bad River	2,078	1	47,336
Ho Chunk	1,706	1	38,862
Lac Courte Oreilles Tribal Gov Board	1,127	1	25,672
Lac Du Flambeau	1,056	1	24,055
Menominee Indian Tribe of Wisconsin	3,770	1	85,879
Oneida	4,200	1	95,674
Potawatomi (Forest County)	600	1	13,668
Red Cliff Band of Lake Superior Chippewas	1,834	1	41,778
Sokaogon Chippewa Community	495	1	11,276
WY Eastern Shoshone Tribe	1,459	1	33,235
Northern Arapaho	3,760	1	85,651
Total	516,607	134	11,823,000

INSTRUCTIONS

CFS-101, PART I: ANNUAL BUDGET REQUEST FOR TITLE IV-B, SUBPARTS 1 AND 2, CAPTA, CHAFEE FOSTER CARE INDEPENDENCE (CFCIP) and EDUCATION and TRAINING VOUCHER (ETV) PROGRAMS

Note: While the information on the programs are consolidated into one Child and Family Services Plan (CFSP), eligibility and expenditure reports for the individual programs are separate. Funding will not be delayed for one program due to potential eligibility issues in another program.

Complete separate forms for each fiscal year.

1. Enter the name of the State or Indian Tribal Organization (ITO).
2. Indicate the Employer Identification Number (EIN).
3. Enter the address of the State or ITO Agency.
4. Indicate if this is a new or revised budget request.

5. Estimated title IV-B, subpart 1 funds:

Specify the estimated amount of title IV-B, subpart 1 Federal funds that the State or ITO expects to spend for the designated fiscal year for Child Welfare Services. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the Annual Progress and Services Report (APSR) is to be used as the estimated amount for the State's/Tribe's request. A 25% match is required and the State or Tribe's match amount must be reflected on the final SF-269 report.

6. Estimated title IV-B, subpart 2 funds:

Specify the total estimated amount of title IV-B, subpart 2 Federal funds that the State or ITO expects to spend for the designated fiscal year for Promoting Safe and Stable Families services. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the APSR is to be used as the estimated amount for the State's/Tribe's request. A 25% match is required and the State or Tribe's match amount must be reflected on the final SF-269 report.

a) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for Family Preservation Services.

b) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for Family Support Services.

c) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for Time-Limited Family Reunification Services.

d) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for Adoption Promotion and Support Services.

e) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for other service related activities (e.g. planning).

f) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for Administration (not to exceed 10% of a State's estimated allotment).

g) Specify the estimated amount of title IV-B, subpart 2 funds to be spent for the designated fiscal year for caseworker visits (States only).

7. Re-allotment of title IV-B, Subpart 2 funds for State and Indian Tribal Organizations

The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State and Tribal allocations of title IV-B, subpart 2 funds to other States and eligible Indian Tribes so that the total appropriation remains available for program purposes. In order for a State or ITO to be awarded a portion of these funds, or for funds to be released, ACF must have on file a request from the State or Indian Tribe for additional funds, or the release of funds.

a) Indicate the amount of Federal funds that the State or Tribe does not expect to utilize for the upcoming fiscal year;

b) Indicate the amount of Federal funds that the State or Tribe is requesting if additional funds become available.

8. Child Abuse Prevention and Treatment Act (CAPTA) (States only).

Indicate the State's estimated CAPTA State Grant allocation as provided in the annual Program Instruction on the APSR. No matching funds are required for this grant.

9. Estimated Chafee Foster Care Independence Program (CFCIP) Funds (States only)

Indicate the estimated amount of CFCIP funds that the State expects to spend during the fiscal year on independent living activities as described in the annual Program Instruction on the APSR.

10. Estimated Funds Allotted under Section 477 for the Education and Training Vouchers (ETV) Program (States only)

Indicate the estimated amount of ETV funds that the State expects to spend during the fiscal year on education and training vouchers as described in the annual Program Instruction on the APSR.

11. Re-allotment of CFCIP and/or ETV program funds for States

The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State allocations of CFCIP and ETV funds to other States so that the total appropriation remains available for program purposes. In order for a State to be awarded portions of these funds, or for funds to be released, ACF must have on file a request from the State for additional funds, or the release of funds.

a) Indicate the amount of funds that the State does not expect to utilize from its allotment during the upcoming fiscal year to carry out the CFCIP activities.

b) Indicate the amount of funds that the State does not expect to utilize from its allotment during the upcoming fiscal year to carry out the ETV program activities.

c) Indicate the amount of funds the State is requesting if additional funds become available for the CFCIP and/or ETV programs. A 20% State match is required. The State match amount must be reflected on the final SF-269 report.

12. Certification: This report must be signed and dated in the spaces provided. The signature and title of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, the CAPTA and CFCIP programs, must be included.

The tentative allocations are based on the premise that all States and Indian Tribes will apply for and receive the funds available to them. In the event that not all States or Indian Tribes apply for or receive their tentative allocations, those funds will be redistributed among eligible States and Indian Tribes, where permitted by statute. States requesting additional funds in anticipation of such a redistribution should submit information on the proposed use of such additional funds to the appropriate Regional Office of the Administration for Children and Families in the State's/Tribe's APSR.

When States or Tribes that have not applied for funds available to them in past fiscal year(s) decide to do so, depending upon the total amount of funds appropriated by Congress for the next fiscal year, there may be a reduction in the final distribution of funds.

By signing this form the State/Tribal official assures that the State/Tribe will meet all applicable match requirements.

Attachment C

U.S. Department of Health and Human Services
Administration for Children and Families

OMB Approval #0980-0047
Approved through October 31, 2008

**CFS-101, Part I: Annual Budget Request For Title IV-B, Subpart 1 & 2 Funds, CAPTA, Chafee Foster Care Independence Program (CFCIP) and Education and Training Vouchers (ETV):
Fiscal Year 20__, October 1, 20__ through September 30, 20__**

1. State or ITO:	2. EIN:
3. Address:	4. Submission: [] New [] Revision
5. Total estimated Federal title IV-B, Subpart 1 Funds.	\$
6. Total Estimated Federal title IV-B, Subpart 2 Funds. (This amount should equal the sum of lines a – g)	\$
a) Total Family Preservation Services.	\$
b) Total Family Support Services.	\$
c) Total Time-Limited Family Reunification Services.	\$
d) Total Adoption Promotion and Support Services.	\$
e) Total for Other Service Related Activities (e.g. planning).	\$
f) Total Administration (not to exceed 10% of estimated allotment).	\$
g) Caseworker visits	\$
7. Re-allotment of Title IV-B, Subpart 2 funds for State and Indian Tribal Organizations	
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the Promoting Safe and Stable Families program. \$ _____	
b) If additional funds become available to States and ITOs, specify the amount of additional funds the State or Tribes is requesting. \$ _____	
8. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required)	
Estimated Amount \$ _____, plus additional allocation, as available.	
9. Estimated Chafee Foster Care Independence Program (CFCIP) funds.	\$
10. Estimated Education and Training Voucher (ETV) funds.	\$
11. Re-allotment of CFCIP and ETV Program Funds:	
a) Indicate the amount of the State's allotment that will not be required to carry out CFCIP \$ _____.	
b) Indicate the amount of the State's allotment that will not be required to carry out ETV \$ _____.	
c) If additional funds become available to States, specify the amount of additional funds the State is requesting for CFCIP \$ _____ for ETV program \$ _____.	
12. Certification by State Agency and/or Indian Tribal Organization.	
The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the ACF Regional Office, for the Fiscal Year ending September 30, 20__.	
Signature and Title of State/Tribal Agency Official	Signature and Title of Regional Office Official

INSTRUCTIONS**CFS-101, PART II: ANNUAL SUMMARY OF CHILD AND FAMILY SERVICES
(Complete separate forms for each fiscal year)**

This form summarizes the State Agency's and eligible Indian Tribal Organizations' estimated expenditures on Child and Family Services programs, including the Child Abuse Treatment and Prevention Act (CAPTA) programs, the Chafee Foster Care Independence Program (CFCIP) and Education and Training Vouchers (ETV) for the next year. This information is an integral part of the State Child and Family Services Plan and should be discussed by the ACF Regional Office and State Agency representatives. States should list estimated Federal expenditures and other information in the category that best fits their programs.

Services/Activities

For each of the services/activities listed, indicate in the appropriate columns the estimated Federal expenditures by program, the estimated number of clients to be served, the population to be served and the geographic area to be served.

1. Prevention and Support Services (Family Support):

Community-based services which promote the well-being of children and families and are designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting abilities, to afford children a stable and supportive family environment, and to enhance child development. These services may include respite care for parents and other caregivers; early developmental screening of children to assess the needs of these children and assistance in obtaining specific services to meet their needs; mentoring, tutoring, and health education for youth; a range of center-based activities (informal interactions in drop-in centers, parent support groups); services designed to increase parenting skills; and counseling and home visiting activities.

2. Protective Services:

Services designed to prevent or remedy the abuse, neglect, or exploitation of children. Services include investigation and emergency medical services, emergency shelter, legal action, developing case plans, counseling, assessment/evaluation of family circumstances, arranging alternative living arrangements, preparing for foster placement, if needed, and case management and referral to service providers.

3. Crisis Intervention (Family Preservation):

Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis. Allowable services include:

- 1) Pre-Placement Prevention Services to prevent family disruption and unnecessary removal of children from their homes (as appropriate). These services may include intensive

family preservation, post-adoptive support services, case management, counseling, day care, respite services, homemaker services, services designed to increase parenting skills, family budgeting, coping with stress, health, and nutrition.

- 2) Reunification Services to help children, where appropriate, return to families from which they have been removed, or be placed for adoption or legal guardianship. These services may include day care services, homemaker or caretaker services, family or individual counseling for parent(s) and child, follow-up care for families to whom a child has been returned after placement and other reunification services the State identifies as necessary.

4. Time-Limited Family Reunification Services:

Services and activities that are provided to a child who is removed from the child's home and placed in a foster family home or a child care institution, and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date that the child, pursuant to section 475(5)(F), is considered to have entered foster care. The services and activities are the following:

- 1) Individual, group, and family counseling.
- 2) Inpatient, residential, or outpatient substance abuse treatment services.
- 3) Mental health services.
- 4) Assistance to address domestic violence.
- 5) Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
- 6) Transportation to or from any of the services and activities described above.

5. Adoption Promotion and Support Services:

Services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre- and post-adoptive services and activities designed to expedite the adoption process and support adoptive families.

6. Foster Care Maintenance:

This includes estimated State expenditures for "room and board" for children/youth in foster care. State expenditures of non-Federal funds for foster care maintenance payments shall not be considered to be expenditures under the State plan developed under title IV-B, subpart 1 for the fiscal year to the extent that the total of such expenditures for the fiscal year exceeds the total of such expenditures under the State plan developed under this subpart for fiscal year 2005.

a) Foster Family and Relative Foster Care:

Payments to cover the cost of, and cost of providing, food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation.

b) Group/Institutional Care:

This includes the reasonable costs of administration and the operation of institutional/group home care that are required to provide food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation; and the cost of the items themselves.

7. Adoption Subsidy Payments:

Funds provided to adoptive parents on a recurring and non-recurring basis to assist in the support of special needs children.

8. Independent Living Services:

Services designed to help youth expected to remain in foster care until the age of 18, and former foster care recipients between 18 and 21 years of age, make the transition to self-sufficiency. Services may include: education, career exploration, vocational training, job placement and retention, training in daily living skills, training in budgeting and financial management skills, substance abuse prevention, and preventive health activities.

States are allowed to expend up to 30 percent of their allotments under the Chafee Foster Care Independence Program for room and board (including rental deposits, utilities and other expenses that may be included with rent) for children who have left foster care because they have attained 18 years of age, and who have not yet attained 21 years of age.

9. Education and Training Vouchers: Include the amount of funds the State plans to utilize for the Education and Training Vouchers (ETV) program in this line item under column (e).

10. Administrative Costs:

Includes supervisors and staff whose activities support child welfare services and which cannot be allocated under other services/activities. Also, includes administrative costs for family preservation, family support, time-limited family reunification, and adoption promotion and support, which are not more than ten percent of Federal expenditures under title IV-B, subpart 1 (applies to States and Tribes) or not more than ten percent of total expenditures under title IV-B, subpart 2 (for States only) of the plan for the fiscal year. Allowable costs may include, but are not limited to, procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting,

auditing, travel expenses (except those related to the provision of services by caseworkers or the oversight of programs funded under Title IV-B, subpart 1), and indirect costs allocable in accordance with the agency's approved cost allocation plan (See 45 CFR 1357.32(h)).

11. Staff Training:

Includes the cost of short and long-term training to increase staff's ability to provide assistance and support to children and families, but does NOT include the costs specifically related to supporting the monthly caseworker visit requirement (see #14 below).

12. Foster Parent Training and Recruitment:

Includes the cost of short-term training to increase foster parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/resulting from the recruitment of potential foster parents. (Note: CAPTA funds for Foster Parent Training and Recruitment should only be used for services to facilitate adoptive placement of infants who have been relinquished for adoption).

13. Adoptive Parent Training and Recruitment:

Includes the cost of short-term training to increase adoptive parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/from the recruitment of potential adoptive parents. (Note: CAPTA funds for Adoptive Parent Training and Recruitment should only be used for services to facilitate adoptive placement of infants who have been relinquished for adoption).

14. Child Care Related to Employment/Training:

Includes licensed day care purchased for the purpose of supporting the employment of one or both of the parents.

15. Monthly Caseworker Visits:

Includes costs related to supporting monthly caseworker visits with children who are in foster care under the responsibility of the State, with a primary emphasis on activities designed to improve caseworker retention, recruitment and ability to access the benefits of technology.

16. Total:

The total amount of funds estimated for the year (equal to the sum of lines 1 through 14) for each column.

Estimated Expenditures

1. Federal Funds (columns a - f)

Indicate for each service/activity the estimated amount of Federal funds to be expended by the State Agency for each program identified in columns (a)-(f).

2. State, Local and Donated Funds (column g)

Indicate the estimated amount of State, local and donated funds to be expended, even if they are not used to match Federal funds.

3. Estimated Number of Clients to be Served (column h)

Estimate, as accurately as possible, the number of clients to be served service/activity with the total estimated funding indicated. Indicate both the number of individuals **and** the number of families served as labeled in column (h).

4. Population to be Served (column i)

Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations such as:

- 1) Children at imminent risk of placement;
- 2) All children in foster care;
- 3) Families with children returning home following placement;
- 4) All eligible children, eligible children under 21 years, or eligible children requiring treatment;
- 5) Families with a child abuse or neglect investigation;
- 6) Children in contracted care; or
- 7) Families in crisis

5. Geographic Area to be Served (column j)

Indicate both the number **and** type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

CFS-101, PART II: ANNUAL SUMMARY OF CHILD AND FAMILY SERVICES

OMB APPROVAL # 0980-0047
Approved through October 31, 2008

State or IT _____

For FFY OCTOBER, ____ TO SEPTEMBER 30, ____

SERVICES/ACTIVITIES	TITLE IV-B		(c) CAPTA*	(d) CFCIP*	(e) ETV*	(f) TITLE IV-E	(g) State Local Donated Funds	(h) NUMBER TO BE SERVED		(i) POPULATION TO BE SERVED	(j) GEOG. AREA TO BE SERVED <small>(Include both # and type of areas to be served)</small>
	(a) I-CWS	(b) II-PSSF						Individuals	Families		
1) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)											
2) PROTECTIVE SERVICES											
3) CRISIS INTERVENTION (FAMILY PRESERVATION)											
4) TIME-LIMITED FAMILY REUNIFICATION SERVICES											
5.) ADOPTION PROMOTION AND SUPPORT SERVICES											
6) FOSTER CARE MAINTENANCE: (A) FOSTER FAMILY & RELATIVE FOSTER CARE											
(B) GROUP/INST CARE											
7) ADOPTION SUBSIDY PMTS.											
8) INDEPENDENT LIVING SERVICES											
9) EDUCATION AND TRAINING VOUCHERS											
10) ADMINISTRATIVE COSTS											
11) STAFF TRAINING											
12) FOSTER PARENT RECRUITMENT & TRAINING											
13) ADOPTIVE PARENT RECRUITMENT & TRAINING											
14) CHILD CARE RELATED TO EMPLOYMENT/TRAINING											
15) MONTHLY CASEWORKER VISITS											
16) TOTAL											

* States Only, Indian Tribes are not required to include information on these programs

INSTRUCTIONS

CFS-101, PART III: EXPENDITURES for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Programs

Note: Funds for the four programs above are awarded to States on a yearly basis, but may be spent over a two-year period ending on September 30 of the year following the year in which they were awarded. For example, the FY 2005 grant allocation has an expenditure period from October 1, 2004 to September 30, 2006. Therefore, any fiscal year 2005 funds must be spent during this two year period of time and subsequently reported on this form.

1. Enter the name of the State or Indian Tribal Organization (ITO).
2. Indicate the Employer Identification Number (EIN).
3. Enter the address of the State or ITO Agency.
4. Indicate if this is a new or revised expenditures report.

Most figures in the “estimate” column can be found in a State’s/Tribe’s previously approved CFS 101 - Part I for the fiscal year being reported on.

5. Total title IV-B, subpart 1 funds:

Indicate both the estimated and actual expenditures of title IV-B, subpart 1 Federal funds allotted for the designated fiscal year for Child Welfare Services. Indicate as accurately as possible, the number of clients served. Indicate both the number of individuals and the number of families served, the population served and the geographic area where services were provided. The required 25% State match should not be reflected on this form.

6. Total title IV-B, subpart 2 funds:

Indicate both the estimated and actual expenditures of title IV-B, subpart 2 Federal funds allotted for the designated fiscal year for Promoting Safe and Stable Families services. The required 25% match should not be reflected on this form.

a) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for Family Preservation Services. Indicate as accurately as possible, the number of clients served, indicate both the number of individuals **and** the number of families served*, the population served* and the geographic area where services were provided*.

b) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for Family Support Services. Indicate as accurately as possible, the number of clients served (Indicate both the number of individuals **and** the number of families served), the population served and the geographic area where services were provided.

c) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for Time-Limited Family Reunification Services. Indicate as accurately as possible, the number of clients served (Indicate both the number of individuals **and** the number of families served), the population served and the geographic area where services were provided.

d) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for Adoption Promotion and Support Services. Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded. Indicate as accurately as possible, the number of clients served (Indicate both the number of individuals **and** the number of families served), the population served and the geographic area where services were provided.

e) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for other service related activities (e.g. planning). Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded.

f) Indicate both the estimated and actual expenditures of title IV-B, subpart 2 funds allotted for the designated fiscal year for Administrative Costs. Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded.

7. Total Chafee Foster Care Independence Program (CFCIP) Funds (States only)

Indicate both the estimated and actual expenditures of CFCIP funds allotted for the designated fiscal year for independent living activities as provided in the annual Program Instruction on the APSR.

a) Indicate both the estimated and actual expenditures of the State's allotment for the designated fiscal year for room and board for eligible youth (not to exceed 30% of CFCIP). Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded. Indicate as accurately as possible, the number of clients served, the population served and the geographic area where services were provided.

8. Total funds allotted under Section 477 for the Education and Training Vouchers (ETV) Program (States only)

Indicate both the estimated and actual expenditures of Education and Training Voucher funds allotted for the designated fiscal year as provided in the annual Program Instruction on the APSR. Indicate as accurately as possible, the number of clients served (indicate both the number of individuals), the population served and the geographic area where services were provided.

9. Certification: This report must be signed and dated in the spaces provided. The signature and title of the official of the State agency, or Indian Tribal Organization, with authority

to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, and CFCIP programs, must be included.

*Estimated Number of Clients to be Served: Estimate, as accurately as possible, the number of clients served per service/activity with the total estimated funding indicated. Indicate both the number of individuals **and** the number of families served as labeled in the column.

*Population to be Served: Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations such as:

- 1) Children at imminent risk of placement;
- 2) All children in foster care;
- 3) Families with children returning home following placement;
- 4) All eligible children, eligible children under 21 years, or eligible children requiring treatment;
- 5) Families with a child abuse or neglect investigation;
- 6) Children in contracted care; or
- 7) Families in crisis

*Geographic Area to be Served: Indicate both the number **and** type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

By signing this form the State/Tribal official certifies that all figures provided here are accurate.

CFS 101, Part III: Expenditures for Title IV-B, Subpart 1 & 2 Funds, Chafee Foster Care Independence Program (CFCIP), and Education and Training Vouchers (ETV) for Grant Year FY 20__

1. State or ITO:		2. EIN:		3. Address:			
4. Submission: <input type="checkbox"/> New <input type="checkbox"/> Revision							
<i>Description of Funds</i>	<i>Estimate</i>	<i>Expenditure</i>	<i>Number served</i>		<i>Population served</i>	<i>Geographic area served</i>	
			<i>Individuals</i>	<i>Families</i>			
5. Federal Title IV-B, Subpart 1 Funds	\$	\$					
6. Total Federal title IV-B, Subpart 2 Funds. (This amount should equal the sum of lines a – f).	\$	\$					
a) Family Preservation Services	\$	\$					
Family Support Services	\$	\$					
b) Time-Limited Family Reunification Services	\$	\$					
c) Adoption Promotion and Support Services	\$	\$					
d)e) Total for Other Service Related Activities (e.g. planning).	\$	\$					
f) Total Administrative Costs (not to exceed 10% of total allotment after October 1, 2007)	\$	\$					
7. Total Chafee Foster Care Independence Program (CFCIP) funds	\$	\$					
a) Indicate the amount of State's allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment).	\$	\$					
8. Total Education and Training Voucher (ETV) funds.	\$	\$					
9. Certification by State Agency or Indian Tribal Organization (ITO). The State agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 20__.							
<i>Signature and Title of State/Tribal Agency Official</i>		<i>Date</i>		<i>Signature and Title of Regional Office Official</i>		<i>Date</i>	

Attachment D
Assurances Addendum

The State/Tribe assures that not more than 10 percent of each fiscal year's title IV-B, subpart 1 funds (including FY 2007) will be spent on administrative costs beginning October 1, 2007.

State Director of State Agency that
administers titles IV-E and IV-B of the
Social Security Act

Date

OR

Tribal Leader

Date

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