# Title IV-E Prevention Program Data Submission

November 1, 2022

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  - For closed captioning, please use the "cc" icon at the bottom of your screen
  - This webinar will be recorded, and available on the website
- After the webinar, email questions to CBDataTeam@acf.hhs.gov

# Presenters

- Division of Performance Measurement and Improvement
  - Jennifer Haight and Malcolm Hale

- Office on Child Abuse and Neglect
  - Cara Kelly and Dori Sneddon

# Presentation Overview

- Title IV-E Prevention Program overview
- Reporting Period and Submission Period overview
- Overview of current submissions and upcoming submissions
- Lessons learned from the first submission
- Updates to Technical Bulletin #1
    - Data Quality Rules
    - Timelines
    - Foster Care Elements
- Questions?
- Resources and next steps

# Title IV-E Prevention Program Overview

- Revised and reauthorized title IV-B, subparts 1 and 2 of the Social Security Act (Child Welfare Services and Promoting Safe and Stable Families programs)

- Created New title IV-E Prevention Services Program
  - Optional prevention funding under title IV-E
  - Beginning October 1, 2019, states and tribes with an approved title IV-E prevention plan have the option to claim title IV-E for a portion of trauma-informed mental health services, substance abuse services and in-home parent skill-based programs

# First Reporting Period Overview

- IV-E agencies must begin collecting data starting one full FFY following the FFY in which the plan was approved.

| FFY Approval | Calendar Approval Date | First Data Period* | First Data Transmission Period [Date Data Due to CB] |
|---|---|---|---|
| **FFY 2021** | Oct. 1, 2020- Sept. 30, 2021 | FFY 2023: Oct. 1, 2022 – Mar. 31, 2023 | Apr. 1, 2023 – [May 15, 2023] |
| **FFY 2022** | Oct. 1, 2021- Sept. 30, 2022 | FFY 2024: Oct. 1, 2023 – Mar. 31, 2024 | Apr. 1, 2024 – [May 15, 2024] |
| **FFY 2023** | Oct. 1, 2022- Sept. 30, 2023 | FFY 2023: Oct. 1, 2024 – Mar. 31, 2025 | Apr. 1, 2025 – [May 15, 2025] |

# Submission Window Overview

- Title IV-E agencies will be required to submit data on all children with a prevention plan on a 6-month (per Federal Fiscal Year) basis with a transmission period of 45 days.

| Two 6-month report periods based on the federal fiscal year: | File due dates |
|---|---|
| Oct. 1 to Mar. 31 (A submission) | May 15 |
| Apr. 1 to Sept. 30 (B submission) | Nov. 14 |

- Title IV-E agencies will receive notifications from NCWDMS 1 month prior to the submission deadline, 2 weeks prior, and the final day when submissions are due. Title IV-E agencies will also receive a letter to confirm that the CB Data Team has successfully received their submission.

# Ongoing Reporting Period Overview

- The first submissions were received from April – May 2022. Example 6-month submission dates for jurisdictions approved in FY 2020 below.
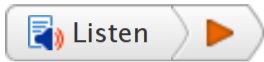
| FFY Approval | Calendar Approval Date | Ongoing Data Collection Periods* | Data Transmission Periods [Date Data Due to CB] |
|---|---|---|---|
| FFY 2020 | Oct. 1, 2019-Sept. 30, 2020 | FFY 2022: Oct. 1, 2021 - Mar. 31, 2022 | Apr. 1, 2022 – [May 15, 2022] |
| … | … | FFY 2022: Apr. 1, 2022-Sept. 30, 2022 | Oct. 1, 2022 – [Nov. 14, 2022] |
| … | … | FFY 2023: Oct. 1, 2022- Mar. 31, 2023 | Apr. 1, 2023 – [May 15, 2023] |

# File Submission

- The submission will be an XML file

- The schema is available on the Children's Bureau's website
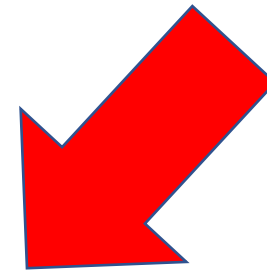
## Revised Technical Bulletin #1

Listen ▶

Publication Date: August 20, 2021

This technical bulletin is a revision to the Technical Bulletin #1 that was originally published on August 19, 2019. This revised Technical Bulletin #1 provides additional information regarding the file structure for the data elements to be submitted by IV-E agencies for each child who received IV-E prevention services, as required by FFPSA.

Files

Revised Technical Bulletin #1.PDF (896.06 KB)

ZIP XSD Schema Definition for XML Schema Definition for the Title IV-E Prevention Services Data File (1.13 KB)

# Overview of Current Submissions and Upcoming Submissions

| FY2022 Reporting Agencies | FY2023 Reporting Agencies |
|---|---|
| Arkansas | Eastern Band of Cherokee Indians |
| Kansas | Hawaii |
| Kentucky | Illinois |
| Maryland | Iowa |
| North Dakota | Maine |
| Utah | Nebraska |
| Washington | Oregon |
| Washington D.C. | Virginia |
| West Virginia | |

# Lessons Learned from the first submission

**Resubmissions**

- Title IV-E agencies may resubmit their data at any time.

**Child Level Reporting**

- Reporting services and costs at the child level when it's a service provided to the family requires consideration.

- Multiple candidates in the home receiving similar or different services also requires consideration.
  - An appropriate approach is to take the total service costs and allocate them across the multiple candidates receiving the service.

**XML/NCWDMS**

- Some schema errors were found and those have been corrected. Please make sure you are reviewing the most current technical bulletins and reach out to the CB Data Team email if you have questions.

- Some agencies had difficulties navigating NCWDMS. NCWDMS questions can be directed to the NCWDMS helpdesk. All agencies can test files in NCWDMS at any time once they have login credentials.

# Lessons Learned from the first submission

**Service Providers**

- Managing data collection from outside service providers can be challenging for a range of reasons.
  - Methods for collecting and sharing service data.
  - Circumstances associated with providing costs data.

**Staff Turnover**

- At the agency level, staff turnover led to some confusion around understanding the process for submission.

- At the service provider level, staff turnover created challenges because providers needed clients in order to hire staff, but in some cases, clients would not be sent to them if services couldn't be provided right away.

# Lessons Learned from the first submission

## System Challenges

- CCWIS development and FFPSA data collection can create competing demands.
    - When program staff and technical staff work closely together, some of these problems are avoided.
    - Developing a data catalog that can be mapped to program goals, reporting requirements, and existing CCWIS data fields could be very helpful.
- Legacy systems at times did not contain the means to extract relevant data, i.e., identifying pregnant or parenting youth in foster care, duplicate service codes across programs.
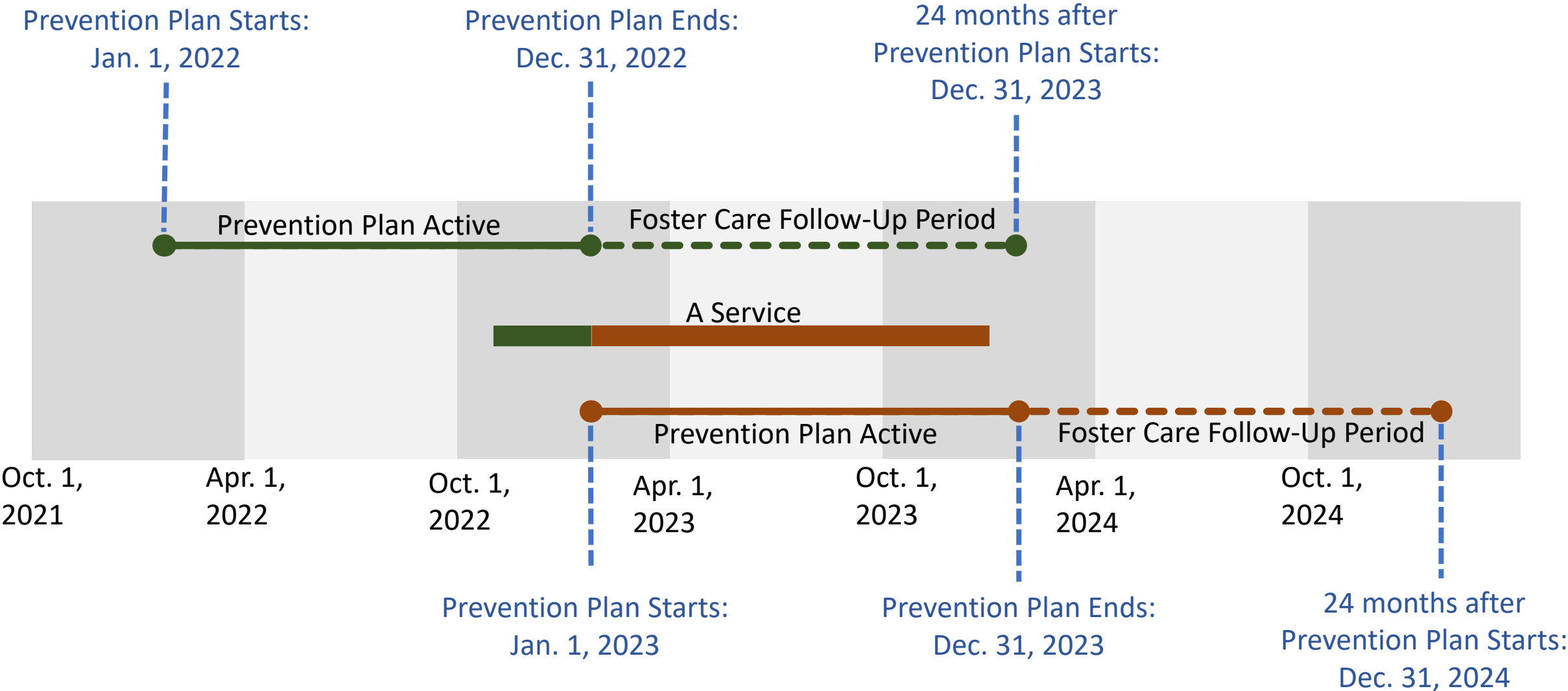
# Lessons Learned from the first submission

**Data Quality Challenges**

- Candidates over the age of 18 being reported.
  - This may occur due to extended foster care since youth who remain in extended foster care after the age of 18 are eligible to be candidates.
  - In other instances, this appeared to be errors in the date of birth.

- Service end dates for a candidate that enters foster care
  - If a child enters foster care, they are no longer part of a prevention plan so the service end date for the purposes of FFPSA would be the date they entered foster care.

- Multiple prevention plan service start and end dates
  - Legislation specifies that a prevention plan may only be 12 months. Eligibility may be re-established for an additional prevention plan at the 12 month point and a second prevention plan would begin. Even if the service is still ongoing, the service should end when the prevention plan ends. That same service would have a new start which would be the same day that the second prevention plan starts.

- Foster Care Entry Elements
  - Element 13 asks for foster care status **at** 12 months (not up to the 12-month point), therefore if the prevention plan has not lasted 12 months, "null" should be reported in that field.

# Updates to Technical Bulletin #1

- Data Quality Rules

- Visual Timelines

- Foster Care Entry Elements

- XML clarification on "Null"

# Example – Candidate for Foster Care – Multiple Plans



Prevention Plan Starts:
Jan. 1, 2022

Prevention Plan Ends:
Dec. 31, 2022

24 months after
Prevention Plan Starts:
Dec. 31, 2023

Prevention Plan Active    Foster Care Follow-Up Period

A Service

Prevention Plan Active    Foster Care Follow-Up Period

Oct. 1, 2021    Apr. 1, 2022    Oct. 1, 2022    Apr. 1, 2023    Oct. 1, 2023    Apr. 1, 2024    Oct. 1, 2024

Prevention Plan Starts:
Jan. 1, 2023

Prevention Plan Ends:
Dec. 31, 2023

24 months after
Prevention Plan Starts:
Dec. 31, 2024

# Questions

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# Questions?

# Resources

- Title IV-E Prevention Program

https://www.acf.hhs.gov/cb/title-iv-e-prevention-program

- Revised Technical Bulletin #1

https://www.acf.hhs.gov/cb/training-technical-assistance/revised-technical-bulletin

- Technical Bulletin #2

https://www.acf.hhs.gov/sites/default/files/documents/cb/iv_e_prevention_tb2.pdf

# Thank you!

- Please send any additional questions to CBDatateam@acf.hhs.gov