

# Title IV-E Prevention Program Data Submission

September 20, 2021

---



**Children's Bureau**

An Office of the Administration for Children & Families

# Participating in Today's Webinar

---

- Respond to periodic polling questions
- Ask questions after the presentation
  - By typing into the *Questions and Answer* feature at the bottom of your screen
  - By asking over the phone using the *Raise Hand* feature and the presenter will unmute your phone line
  - If you aren't on the webinar and have called in using your phone, you can dial \*9 and the presenter will unmute your line
- After the webinar, email questions to [CBDataTeam@acf.hhs.gov](mailto:CBDataTeam@acf.hhs.gov)



# Presenters

---

- **Division of Performance Measurement and Improvement**
  - Jennifer Haight, Malcolm Hale, and Kathryn Kulbicki
- **Office on Child Abuse and Neglect**
  - Cara Kelly, and Dori Sneddon

# Presentation Overview

---

- Title IV-E Prevention Program Overview
- Reporting Timeline
- Reporting population
  - Examples
- Title IV-E Prevention Program Data Elements
- Title IV-E Prevention Program Schema and Structure
- Questions
- Resources and Next Steps

# Polling Questions

---

- Have you read the new Technical Bulletins?
  - Yes
  - No

# Polling Questions

---

- Title IV-E Prevention Program is an optional program for IV-E agencies. Has your IV-E agency submitted a title IV-E prevention program plan?
  - Yes
  - No
  - I don't know
  - Not applicable

# Polling Questions

---

- Has your agency started planning for data collection?
  - Yes
  - No
  - I don't know
  - Not applicable

# Title IV-E Prevention Program Overview

---

- Revised and reauthorized Title IV-B, subparts 1 and 2 of the Social Security Act (Child Welfare Services and Promoting Safe and Stable Families programs)
- Created New Title IV-E Prevention Services Program
  - Optional prevention funding under title IV-E
  - Beginning October 1, 2019, states and tribes with an approved title IV-E prevention plan have the option to claim title IV-E for a portion of trauma-informed mental health services, substance abuse services and in-home parent skill-based programs





# Status of Prevention Plans (as of 9/17/2021)

---

## Approved (16 plans)

Washington DC, Utah, Maryland, Arkansas, Kansas, Kentucky, North Dakota, West Virginia, Washington State, Nebraska, Iowa, Oregon, Hawaii, Virginia, Maine, Eastern Band of Cherokee Indians

## Under Review (18 plans)

Illinois, Colorado, Ohio, Indiana, Missouri, South Carolina, Tennessee, Oklahoma, New Hampshire, Montana, Connecticut, Arizona, California, Nevada, Michigan, Pennsylvania, Aleut Community of St. Paul, Salt River Pima-Maricopa Indian Community



# Title IV-E Prevention Program

## Data Overview

---

**States and tribes electing to provide IV-E prevention services and programs must report the following data to HHS annually for each child:**

- The specific services or programs provided and the total expenditures for each of the services or programs
- The duration of the services or programs provided

**Additionally, for candidates for foster care:**

- The child's placement status at the beginning, and at the end, of the 1-year period, respectively, and whether the child entered foster care within 2 years after being determined a candidate for foster care



# Reporting Timeline

---

- IV-E agencies must begin collecting data starting one full FFY following the FFY in which the plan was approved

FFY Approval	Calendar Approval Date	First Data Period*	First Data Transmission Period [Date Data Due to CB]
FFY 2020	Oct. 2019	FFY 2022: Oct. 1 2021 - March 31 2022	April 1 2022 – [May 15 2022]
FFY 2020	April 2020	FFY 2022: Oct. 1 2021- March 31 2022	April 1 2022 – [May 15 2022]
FFY 2021	Jan. 2021	FFY 2023: Oct. 1 2022 - March 31 2023	April 1 2023 – [May 15 2023]
FFY 2022	Nov. 2022	FFY 2024: Oct. 1 2023 - March 31 2024	April 1 2024 – [May 15 2024]



# Submissions

---

- Title IV-E agencies will be required to submit data on all children with a prevention plan on a six-month (per Federal Fiscal Year) basis with a transmission period of 45 days

Two 6-month report periods based on the federal fiscal year:	File due dates
October 1 to March 31 (A submission)	May 15 <sup>th</sup>
April 1 to September 30 (B submission)	November 14 <sup>th</sup>

# Reporting Population

---


- There are two distinct reporting populations that title IV-E agencies must include in their submissions as specifically defined in the law (below)
  - Candidate for foster care
  - Pregnant or parenting youth

# Title IV-E Prevention Program Data Elements

## Specific Population Elements

---

#	Element Name	Pregnant or Parenting Youth in Foster Care	Candidate for Foster Care
13	Foster Care Placement Status at 12 months from prevention plan start date		✓
14	Foster Care Entry		✓
14a	Date of Entry into Foster Care		✓



Did the candidate enter foster care as defined in 45 CFR 1355.20 within 24 months of the prevention plan start date?

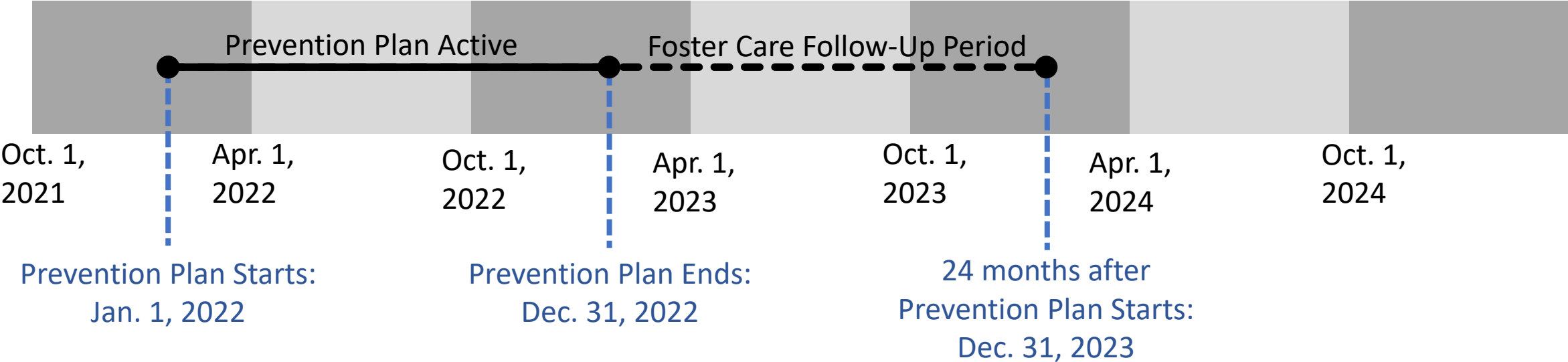


# What To Report And For How Long Pregnant Or Parenting Youth Example



Submission Date	Services/Costs to Report	Foster care Entries Elements
April 1, 2022	Jan. 1, 2022 to March 31, 2022	Not Applicable
October 1, 2022	Jan. 1, 2022 to Sept. 30, 2022	Not Applicable
April 1, 2023	Jan. 1, 2022 to Dec. 31, 2022	Not Applicable
October 1, 2023	Out of the reporting population	Not Applicable

# What To Report And For How Long Candidate For Foster Care Example

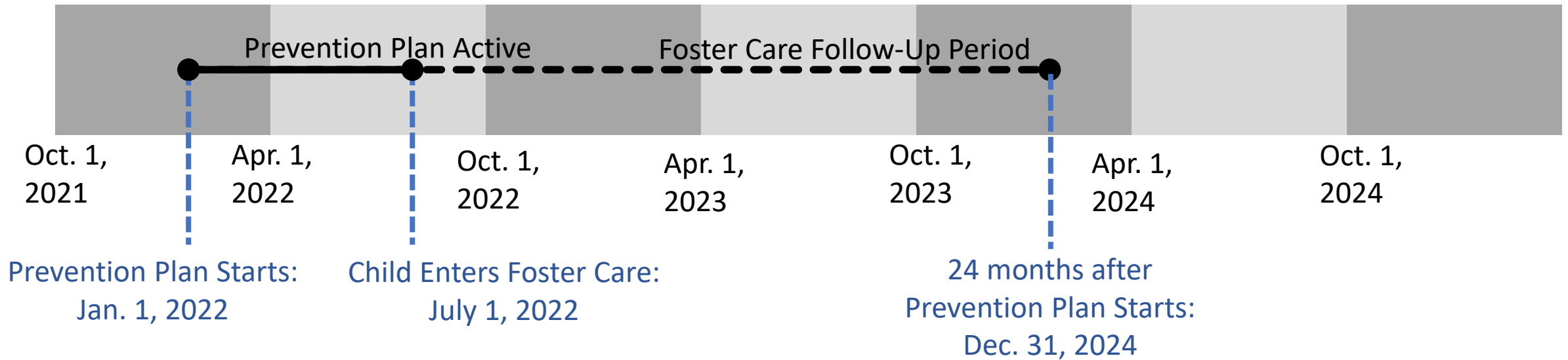


Submission Date	Services/Costs to Report	Foster Care Entries Elements
April 1, 2022	Jan. 1, 2022 to March 31, 2022	Not Applicable
October 1, 2022	Jan. 1, 2022 to Sept. 30, 2022	Not Applicable
April 1, 2023	Jan. 1, 2022 to Dec. 31, 2022	Foster Care Placement Status at 12 months
October 1, 2023	Jan. 1, 2022 to Dec. 31, 2022	Foster Care Placement Status at 12 months
April 1, 2024	Jan. 1, 2022 to Dec. 31, 2022	Foster Care Placement Status at 12 months, Foster Care Entry
October 1, 2024	Out of the reporting population	Out of the reporting population



# What To Report And For How Long

## Candidate For Foster Care – Enters Foster Care Example



Submission Date	Services/Costs to Report	Foster care Entries Elements
April 1, 2022	Jan. 1, 2022 to March 31, 2022	Not Applicable
October 1, 2022	Jan. 1, 2022 to July 1, 2022	Foster Care Entry
April 1, 2023	Jan. 1, 2022 to July 1, 2022	Foster Care Placement Status at 12 months, Foster Care Entry
October 1, 2023	Jan. 1, 2022 to July 1, 2022	Foster Care Placement Status at 12 months, Foster Care Entry
April 1, 2024	Jan. 1, 2022 to July 1, 2022	Foster Care Placement Status at 12 months, Foster Care Entry
October 1, 2024	Out of the reporting population	Out of the reporting population

# Title IV-E Prevention Program Data Elements

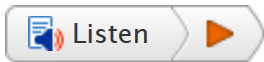
#	Element Name
1	Title IV-E Agency
	Report Date
2	Child Identifier
3	Date of Birth
4	Sex
5a	Race - American Indian or Alaska Native
5b	Race - Asian
5c	Race – Black or African American
5d	Race – Native Hawaiian or Other Pacific Islander
5e	Race – White
5f	Race – Declined
5g	Race – Unknown
6	Hispanic or Latino Ethnicity

#	Element Name
7	Pregnant or Parenting Youth in Foster Care
8	Prevention Plan Start Date
9a	Type of Service - Mental health
9b	Types of Service - Substance abuse prevention and treatment services
9c	Types of Service - In-home parent skill-based programs
10	Service Start Date(s)
11	Cost of Service(s)
12	Service End Date(s)
13	Foster Care Placement Status at 12 months from prevention plan start date
14	Foster Care Entry
14a	Date of Entry into Foster Care

# File Submission

- The submission will be an XML file
  - The schema is available on the Children's Bureau's website
- 

## Revised Technical Bulletin #1



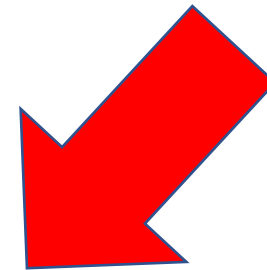
Publication Date: August 20, 2021

This technical bulletin is a revision to the Technical Bulletin #1 that was originally published on August 19, 2019. This revised Technical Bulletin #1 provides additional information regarding the file structure for the data elements to be submitted by IV-E agencies for each child who received IV-E prevention services, as required by FFPSA.

Files

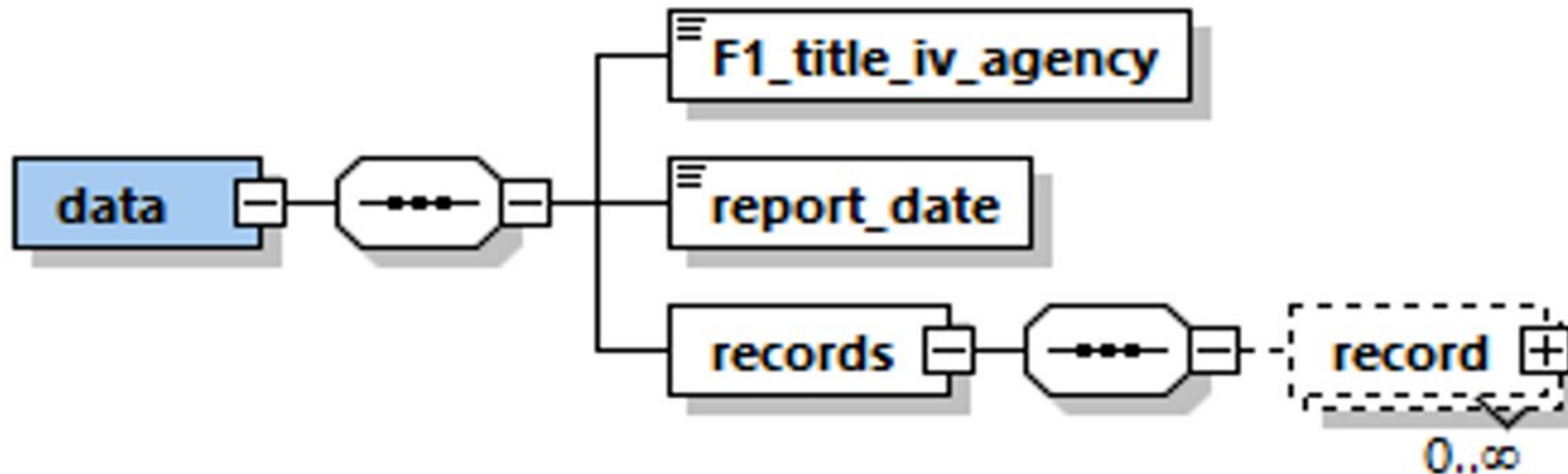
 [Revised Technical Bulletin #1.PDF](#) (896.06 KB)

ZIP [XSD Schema Definition for XML Schema Definition for the Title IV-E Prevention Services Data File](#) (1.13 KB)



# XML SCHEMA

```
<xs:element name="data">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="F1_title_iv_agency" type="acf:twoOrThreeChars" />
      <xs:element name="report_date" type="acf:dateYM" />
      <xs:element name="records">
        <xs:complexType>
          <xs:sequence>
            <xs:element name="record" type="acf:record" minOccurs="0" maxOccurs="unbounded"/>
          </xs:sequence>
        </xs:complexType>
      </xs:element>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```

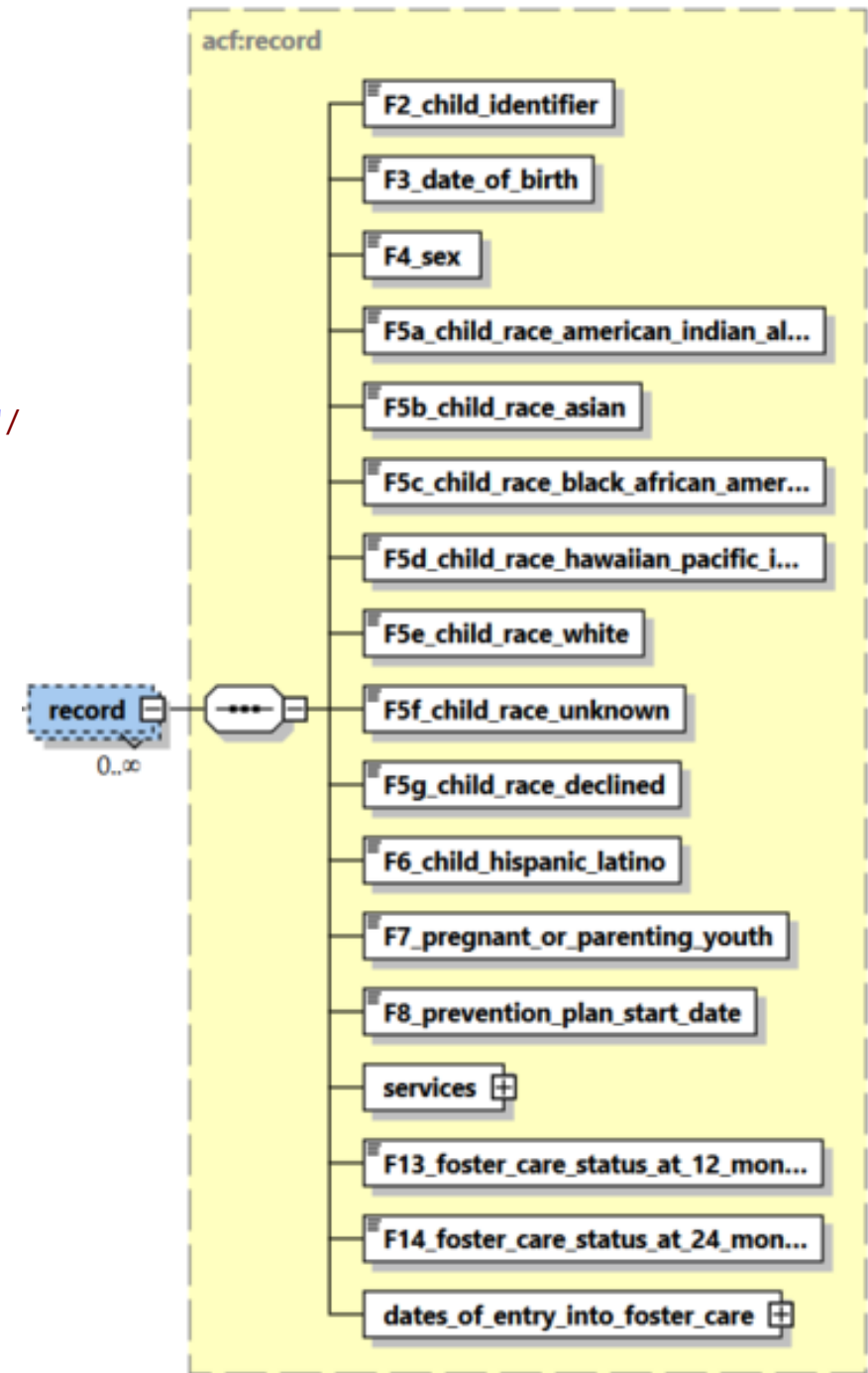


# DIAGRAM

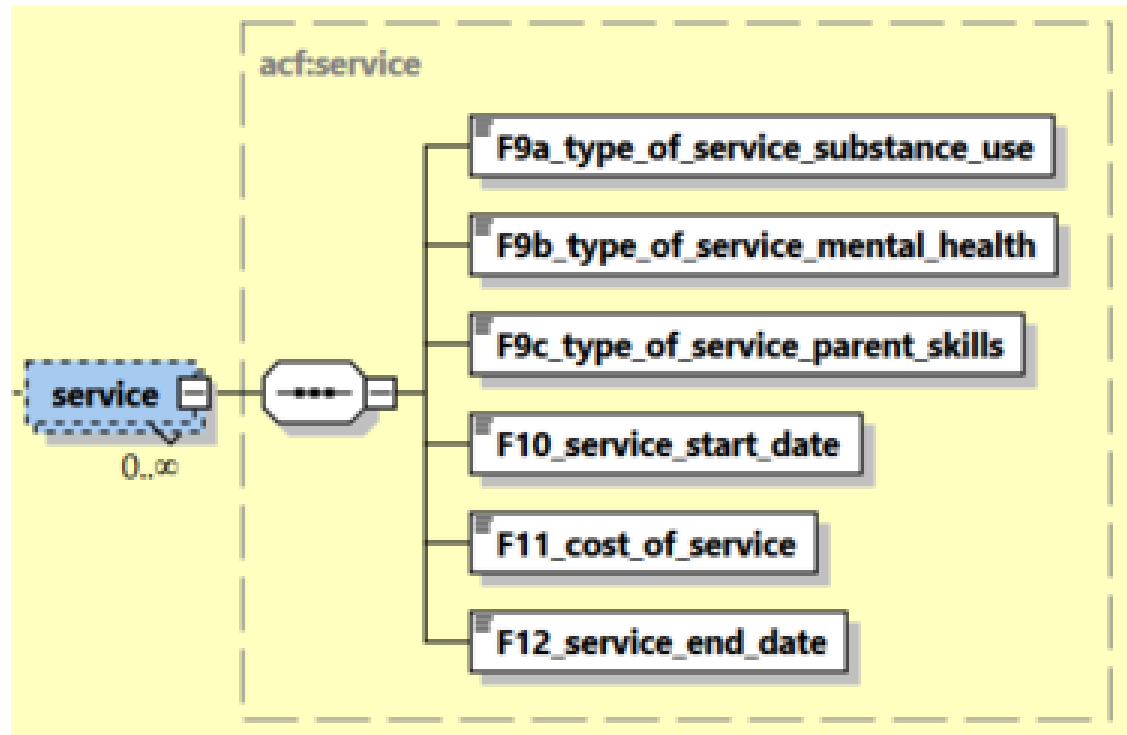
```

<xs:complexType name="record">
  <xs:sequence>
    <xs:element name="F2_child_identifier" type="acf:twelveChars" />
    <xs:element name="F3_date_of_birth" type="acf:dateYMD" />
    <xs:element name="F4_sex" type="acf:domainOneTwoOrNull" />
    <xs:element name="F5a_child_race_american_indian_alaska_native" type="acf:noYesNumeric"/>
    <xs:element name="F5b_child_race_asian" type="acf:noYesNumeric" />
    <xs:element name="F5c_child_race_black_african_american" type="acf:noYesNumeric"/>
    <xs:element name="F5d_child_race_hawaiian_pacific_islander" type="acf:noYesNumeric"/>
    <xs:element name="F5e_child_race_white" type="acf:noYesNumeric" />
    <xs:element name="F5f_child_race_unknown" type="acf:noYesNumeric" />
    <xs:element name="F5g_child_race_declined" type="acf:noYesNumeric" />
    <xs:element name="F6_child_hispanic_latino" type="acf:domainZeroOneEightNineOrNull"/>
    <xs:element name="F7_pregnant_or_parenting_youth" type="acf:noYesNumeric" />
    <xs:element name="F8_prevention_plan_start_date" type="acf:dateYMD" />
    <xs:element name = "services">
      ...
    </xs:element>
    <xs:element name="F13_foster_care_status_at_12_months" type="acf:noYesNumericOrNull"/>
    <xs:element name="F14_foster_care_status_at_24_months" type="acf:noYesNumericOrNull"/>
    <xs:element name="dates_of_entry_into_foster_care" >
      ...
    </xs:element>
  </xs:sequence>
</xs:complexType>

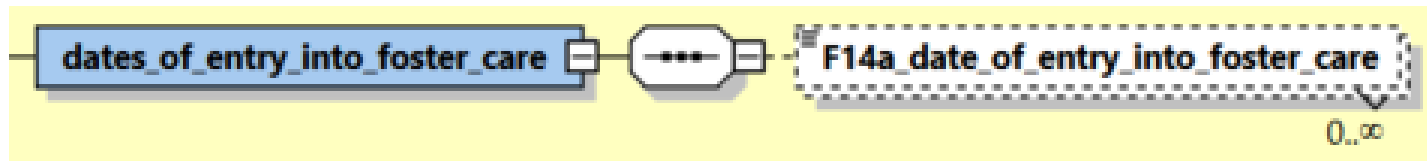
```



```
<xs:complexType name="service">
  <xs:sequence>
    <xs:element name="F9a_type_of_service_substance_use" type="acf:noYesNumeric"/>
    <xs:element name="F9b_type_of_service_mental_health" type="acf:noYesNumeric"/>
    <xs:element name="F9c_type_of_service_parent_skills" type="acf:noYesNumeric"/>
    <xs:element name="F10_service_start_date" type="acf:dateYMD" />
    <xs:element name="F11_cost_of_service" type="xs:int" />
    <xs:element name="F12_service_end_date" type="acf:dateYMD" />
  </xs:sequence>
</xs:complexType>
```



```
<xs:element name="dates_of_entry_into_foster_care" >
  <xs:complexType>
    <xs:sequence>
      <xs:element name="F14a_date_of_entry_into_foster_care" type="acf:dateYMD" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
```



# Recap

---

- File submissions are in XML which allows for nesting structure to the data
- Each record contains the information for a child/youth for a particular prevention plan
- As part of each record, we collect information on the services provided as part of the prevention plan for a child/youth
- Records for candidates for foster care can have any number of dates of entry into foster care (within a 24-month period)



# File Submission

---

- CB is working with a contractor to provide an enterprise-wide engineering and architecture service to support child welfare data management called the *National Child Welfare Data Management System (NCWDMS)* to manage two CB programs
  - AFCARS
  - IV-E Prevention Program Plan

# Questions

---

- How to ask questions:
  - Type into the *Questions and Answer* feature at the bottom of your screen
  - Ask over the phone using the *Raise Hand* feature and the presenter will unmute your phone line
  - If you aren't on the webinar and have called in using your phone, you can dial \*9 and the presenter will unmute your line
- After the webinar, email questions to [CBDataTeam@acf.hhs.gov](mailto:CBDataTeam@acf.hhs.gov)



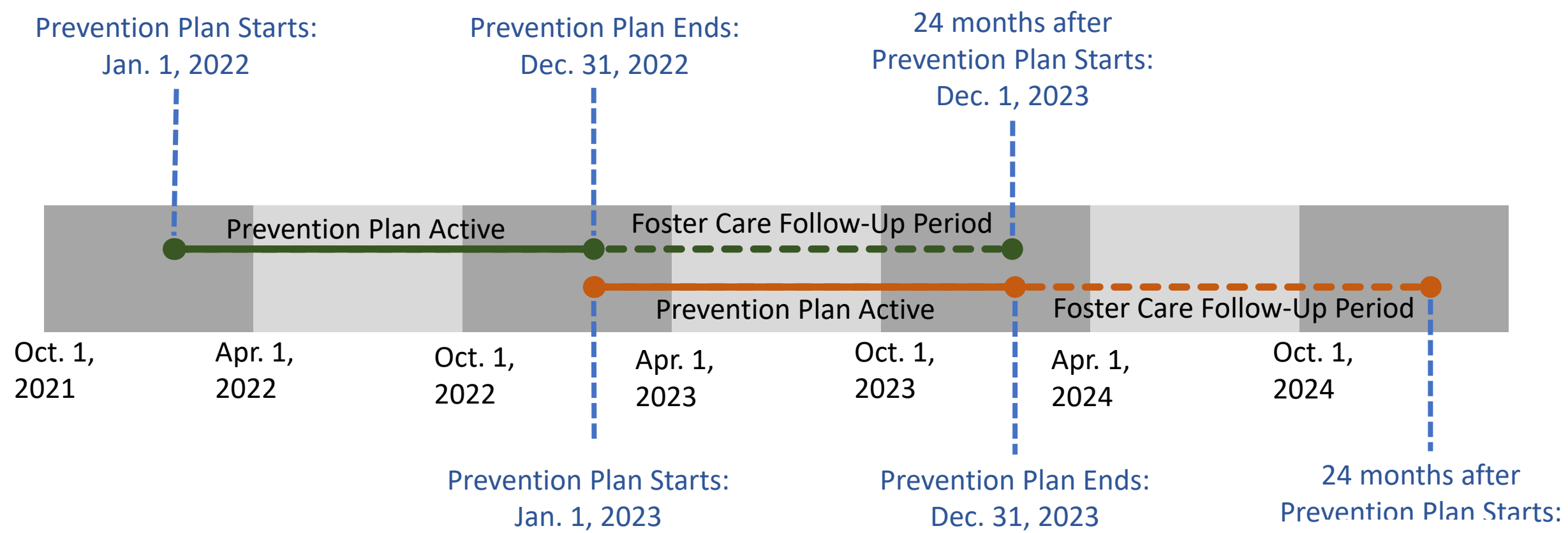
# Questions?

---

- “How do we map specific programs to specific types?”
- “How do we handle reporting youth who have multiple prevention plans within a 12-month or 24-month period?”

# What To Report And For How Long

## Candidate For Foster Care – Sequential Prevention Plans



# Questions?

---

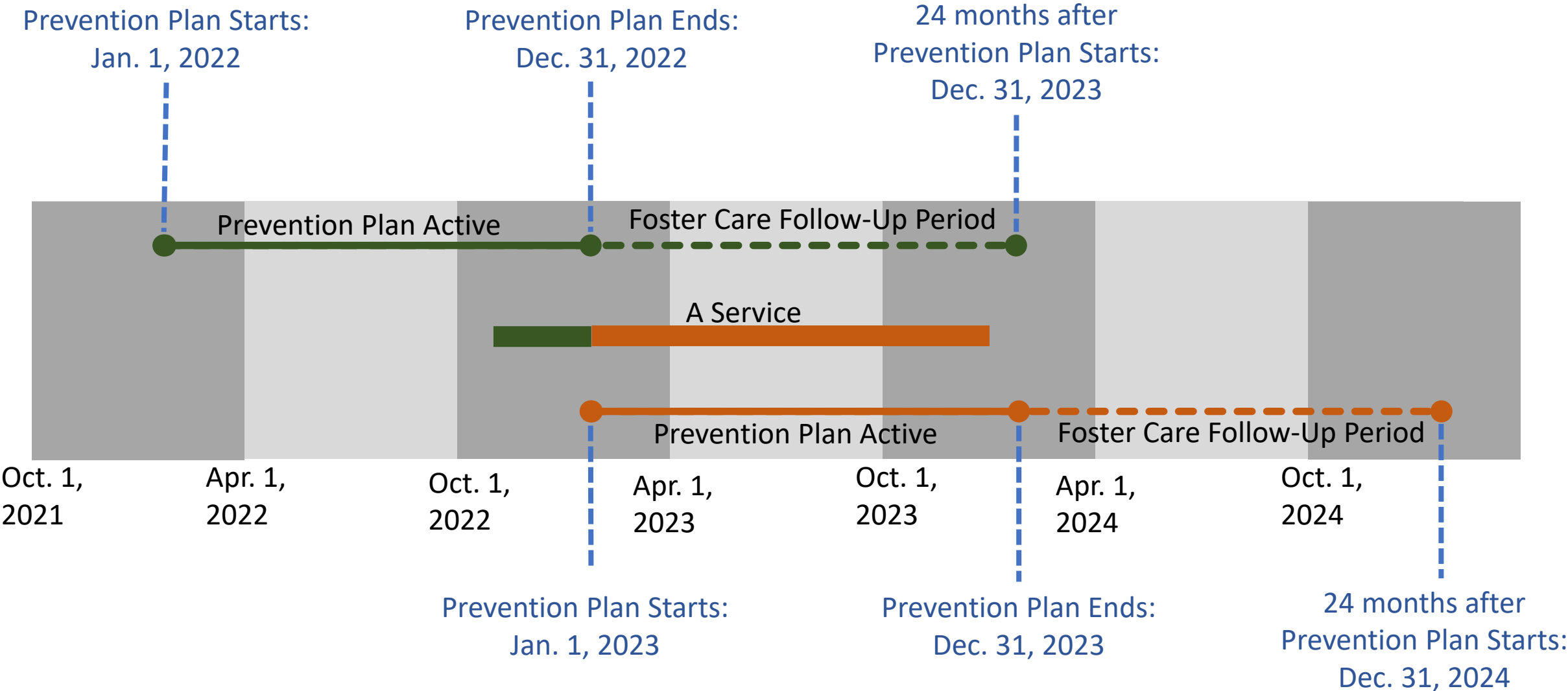
- “How do we calculate the cost?”
- “What does the Children’s Bureau mean by the “The data file should be cumulative?”

# Questions?

---

- “How do we report services that cross prevention plan?”

# Example – Candidate for Foster Care – Multiple Plans



# Questions?

---

- Further questions...



# Resources

---

- Title IV-E Prevention Program

<https://www.acf.hhs.gov/cb/title-iv-e-prevention-program>

- Revised Technical Bulletin #1

<https://www.acf.hhs.gov/cb/training-technical-assistance/revised-technical-bulletin>

- Technical Bulletin #2

[https://www.acf.hhs.gov/sites/default/files/documents/cb/iv\\_e\\_prevention\\_tb2.pdf](https://www.acf.hhs.gov/sites/default/files/documents/cb/iv_e_prevention_tb2.pdf)



# Thank you!

---

- Please send any additional questions to [CBDatateam@ACF.hhs.gov](mailto:CBDatateam@ACF.hhs.gov)

