



## Adolescent Pregnancy Prevention Program

# **Tribal Personal Responsibility Education Program (Tribal PREP)**

Pre-Application Webinar



U.S. Department of Health and Human Services  
Administration on Children, Youth and Families (ACYF)  
Family and Youth Services Bureau (FYSB)  
Adolescent Pregnancy Prevention (APP) Program

# Introductions

- Sarah Axelson, Management Analyst
  - Family and Youth Services Bureau (FYSB), Adolescent Pregnancy Prevention (APP) Program
- Kathleen McCoy, Social Science Research Analyst
  - Administration for Children and Families (ACF) Office of Planning, Research, and Evaluation (OPRE)



# Purpose of the Webinar

Review the Tribal Personal Responsibility Education Program (Tribal PREP) Funding Opportunity Announcement (FOA), including the program design, eligibility requirements, and application instructions.

## Agenda

- Welcome and Introductions
- Overview of ACYF and FYSB
- Overview of the Tribal PREP FOA
  - Program Expectations
  - Evaluation Criteria
  - Application Submission Instructions



# Questions?

- The webinar should answer most questions and concerns of applicants.
- ACF does not provide direct guidance or instruction in the development of an applicant's project design or in writing their applications. Applicants should use their best judgment in determining whether they are able to meet the requirements contained in the FOA, whether they are able to develop an application they believe to be responsive to the FOA and in designing and writing their applications. Applications will be reviewed and evaluated by objective review panels using the criteria described in Section V.1 of the FOA. The review panels will use the FOA as their principal guidance available to them in the same way that it is the principal guidance for applicants."



# OVERVIEW OF ACYF AND FYSB



**FYSB** Family & Youth  
Services Bureau

**Adolescent Pregnancy  
Prevention Program**

# Administration on Children, Youth, and Families (ACYF)

- Administers the major Federal programs that support:
  - Social services that promote positive growth and development of children and youth and their families
  - Protective services and shelter for children and youth in at-risk situations
  - Adoption for children with special needs
- Houses the Family and Youth Services Bureau



# Family and Youth Services Bureau (FYSB)

- Mission: To support the organizations and communities that work every day to put an end to youth homelessness, adolescent pregnancy and domestic violence.
- Vision: A future in which all our nation's youth, individuals and families—no matter what challenges they may face—can live healthy, productive, violence-free lives.
- Promotes positive outcomes for children, youth, and families by supporting a wide range of comprehensive services and collaborations at the local, Tribal, State, and national levels



# Adolescent Pregnancy Prevention (APP) Program

- Began in 2010
- Manages the Personal Responsibility Education Program and Abstinence Education Program
  1. State PREP
  2. Competitive PREP
  3. Tribal PREP
  4. PREIS
  5. State Abstinence Education
  6. Competitive Abstinence Education





# OVERVIEW OF TRIBAL PREP FOA



# Award/Funding Information

- Type of Award: Cooperative Agreement
- Expected Number of Awards: 10
- Estimated Total Funding: \$3,436,600
- Estimated Award Ceiling: \$700,000 Per Budget Period
- Estimated Award Floor: \$300,000 Per Budget Period
- Cost Sharing/Matching Requirement: No
- Length of Project Periods: 60-month project with five 12-month budget periods
- Anticipated Award Date: September 30, 2016



# Eligibility Information

- Eligible applicants are Indian Tribes and tribal organizations, as such terms are defined in section 4 of the Indian Health Care Improvement Act (25 U.S.C. 1603) and may be found at:  
<http://www.gpo.gov/fdsys/>
  - Native American tribal governments (Federally recognized)
  - Native American tribal organizations (other than Federally recognized tribal governments)



## Eligibility Information Cont.

- Indian Tribes must be acknowledged by the Bureau of Indian Affairs as federally recognized
  - <http://www.bia.gov/WhoWeAre/BIA/OIS/TribalGovernmentServices/TribalDirectory/index.htm>.
- Tribal organizations must submit documentation that supports its classification as a recognized governing body of any federally recognized Indian tribe
- A tribe that is federally recognized, but is not on the BIA webpage, or a tribal organization that does not meet the requirement listed above, must submit documentation that is signed and dated by an official of the governing body.
- This documentation must be included with the applicant's submission to FYSB. Without FYSB's receipt of signed and dated documentation, the application cannot be reviewed.



# Tribal PREP Legislative Authority

- PREP: authorized and funded by Section 513 of the Social Security Act (42 U.S.C. § 713)
  - FY2010-FY2014: Patient Protection and Affordable Care Act of 2010 (Public Law 111-148) Section 2953
  - FY2015 Extension: Section 206 of the Protecting Access to Medicare Act of 2014 (Pub. L. 113-93)
  - FY2017 Extension: Section 215 of the Medicare Access and the Children's Health Insurance Program (CHIP) Reauthorization Act of 2015 (Pub. L. 114-10)
- Section 513(c)(2)(A) (42 U.S.C. § 713(c)(2)(A)) specifically addresses the Tribal PREP, particularly the requirement for the Department of Health and Human Services (HHS) to consult with tribes and tribal organizations to review requirements for awarding the 5 percent set-aside for funding.



# Tribal PREP Goal

- Goal: To reduce pregnancy and birth rates and the spread of STIs for AI/AN youth populations.
- Tribal PREP programs are to accomplish this goal by:
  - Replicating evidence-based programs (using culturally and linguistically appropriate adaptations as necessary);
  - Substantially incorporating elements of effective programs that have been proven on the basis of scientific research to change behavior; or
  - Substantially incorporating elements or practices from programs that have demonstrated effectiveness within AI/AN tribal communities.
- Programs must promote changes in behaviors associated with pregnancy or STIs through methods such as delaying sexual activity, increasing condom or contraceptive use, and reducing the number of partners.



# Tribal PREP Objectives

- The objectives of Tribal PREP programs are to:
  - design and implement programs to educate adolescents on both abstinence and contraception to prevent adolescent pregnancy and STIs;
  - provide medically accurate, age-appropriate programming that is inclusive and culturally appropriate;
  - use best practices to replicate evidence-based effective programs (using culturally and linguistically appropriate adaptations as necessary), incorporate elements of effective programs that are proven to change behaviors (to include delaying sexual activity or increasing condom and contraceptive use), or substantially incorporate elements or practices from programs that have demonstrated effectiveness within AI/AN tribal communities;



# Tribal PREP Objectives Cont.

The objectives of Tribal PREP programs are to:

- promote successful, healthy transitions to adulthood through the implementation of Adulthood Preparation Subjects (APS); and
- target youth between the ages of 10 and 19 who are at high risk for becoming pregnant or who have special circumstances.





# Target Populations

- Must serve AI/AN youth ages 10-19
  - pregnant youth who are under 21 years of age, or mothers who are under 21 years of age
  - fathers who are under 21 years of age
- May serve AI/AN youth who have additional risk factors for teen pregnancy or otherwise have special circumstances, including:
  - youth in or aging out of foster care
  - homeless youth
  - youth with HIV/AIDS
  - systems-involved youth
  - rural youth
  - runaway youth
  - out of school youth
  - youth who are at risk of or have experienced sex trafficking.
- Must consider the needs of lesbian, gay, bisexual, transgender, and questioning (LGBTQ) youth, or “two-spirit” youth



# Tribal PREP Program Design

- Under the Tribal PREP program, the following requirements must be addressed:
  - Program content addressing abstinence and contraception
  - Adulthood Preparation Subjects (APS)
  - Culturally and linguistically appropriate evidence-based, effective, or promising programs
  - Fidelity and adaptations
  - Medical accuracy and age appropriateness
  - Trauma-informed approaches
  - Referrals to healthcare and other services



# 1. Abstinence and Contraception

- Must include activities to educate AI/AN youth on both abstinence and contraception
- Must place "substantial emphasis" on both abstinence and contraception for preventing pregnancy and STIs among AI/AN youth



## 2. Adulthood Preparation Subjects

- At least three of six APS must be addressed in program implementation
  - Healthy relationships
  - Adolescent development
  - Financial literacy
  - Parent-child communication
  - Educational and career success
  - Healthy life skills
- Topics must be tailored and developed in appropriate ways to reflect the cultural, linguistic, and regional practices of the tribal communities.



### 3. Evidence-based, effective, or promising programs

- To the extent possible, Tribal PREP programs should replicate evidence-based programs or substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior.
  - HHS list of evidence-based programs:  
<http://tppevidencereview.aspe.hhs.gov/EvidencePrograms.aspx>
- Tribal PREP programs may include practices that AI/AN communities know to be effective for delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth.



## 4. Fidelity and Adaptations

- Fidelity: how well the program is implemented without compromising core content that is essential for program effectiveness
- Adaptations should generally be minimal
- Significant adaptations may be needed to address mandatory components
  - equal emphasis on abstinence and contraception
  - Inclusion of at least 3 APS
  - unique cultural and linguistic needs of AI/AN tribal communities
- Adaptations should not alter the core components of the evidence-based program model



## 5. Medical Accuracy and Age-Appropriateness

- Programs must ensure that information provided is medically accurate and age appropriate
  - Medical accuracy: verified or supported by the weight of research conducted in compliance with accepted scientific methods and published in peer-reviewed journals, where applicable, or comprised of information that professional organizations and agencies with relevant expertise in the field recognize as accurate, objective, and complete.
  - Age appropriate: Topics, messages, and teaching methods must be suitable to specific age groups of youth based upon varying capacities of cognitive, emotional, and behavioral development.



## 6. Trauma-Informed Approaches

- Recognize the unique needs of AI/AN related to trauma
- Trauma-informed approaches may:
  - recognize the high prevalence of trauma in the target populations that they are serving;
  - assess for traumatic histories and symptoms;
  - recognize and reject practices that are re-traumatizing;
  - place increased emphasis on the importance of culture;
  - focus on collaboration with caregivers and support systems;
  - address training needs of staff to improve knowledge and sensitivity;
  - ensure that program staff understand the function of coping behaviors; and
  - use objective, neutral language.





## 7. Referrals to Health Care and Other Services

- Must provide teenage pregnancy prevention-related health care service referrals to other providers of health care services.
- Should encourage enrolling eligible youth in health assistance programs.
- Health services cannot be purchased with Tribal PREP funds.



# Tribal PREP Project Phases

- Phase 1: Needs Assessment, Planning, and Capacity-Building
  - Begins upon award of the grant and is expected to be completed no later than June 30, 2017
  - Phase 1 activities include:
    - assessing the needs of the identified target community(ies) in the areas of teen pregnancy prevention and youth preparation for adulthood
    - developing a program implementation plan that includes the selection of a culturally appropriate teen pregnancy prevention program
    - Beginning to build capacity to respond to identified needs.



# Tribal PREP Project Phases Cont.

- Phase 2: Implementation
  - Begins submission and approval of their implementation plan
  - Phase 2 activities include:
    - Building infrastructure to implement effective teen pregnancy prevention programs in the community;
    - Providing high-quality Tribal PREP programs to AI/AN youth in the community;
    - Measuring and reporting on progress in meeting benchmarks; and
    - Participating in ongoing performance measurement data collection and program evaluation activities that will result in building the knowledge base around evidence-based teen pregnancy prevention programs for AI/AN populations.



# OVERVIEW OF PERFORMANCE MEASURES AND EVALUATION



# Performance Measures

- FYSB uses one standard set of performance measures to collect data from all PREP programs
  - Supports program monitoring, improvement, and reporting
  - Does not evaluate program effectiveness or impact
- Post-grant Requirements related to Performance Measures
  - Report performance measures on a semi-annual basis
  - Ensure all staff involved in data collection, management, and reporting activities are adequately trained



# Categories and Types of Performance Measures

- Structure, Cost, and Support
  - Ex: # of facilitators who received training; # of intended program delivery hours; target populations
- Participants' Characteristics, Perceptions of Program Experiences, and Perceptions of Program Effects
  - Ex: participants' characteristics; measures of sexual risk behavior
- Measures of Attendance, Reach and Dosage
  - Ex: # of youth served; # of program hours delivered; # of youth completing at least 75% of program



# Local Evaluation - General Requirements

- During Phase 1, grantees must design and further develop their capacity to implement a plan for local evaluations to answer one or more grantee-specific evaluation questions
- Local evaluations be conducted by a local evaluator
- Minimum of 10% and a maximum of 25% of annual budget for the local evaluation



# Local Evaluation - General Information (Cont.)

- Can either be descriptive or impact evaluations
  - Descriptive evaluations do not have a control/comparison group
  - Impact evaluations have a control/comparison group
- At a minimum, grantees must conduct a descriptive evaluation
  - Awards less than \$400,000 = ONLY descriptive
  - Awards \$400,000 or more = Either descriptive or impact
- All impact local evaluations must plan to document program implementation





# Local Evaluation - General Information (Cont.)

- May only conduct one local evaluation
- Evaluation questions must drive the local evaluation in its design, methods, data, and analysis
- Can focus on the entire Tribal PREP program, the programming of one or more implementation sites or a component of the program
- Must be designed to help inform current and future programming and expand the evidence base



# Examples of Evaluation Topics

- Populations
  - Ex: describing the populations reached and whether programming addresses the perceived needs of the target population
- Adaptations
  - Ex: determine the differential outcomes or impacts of programming that adheres to standard curricula vs programming with significant adaptations
- APS
  - The impact of adding specific programming related to APS
- Overall program effectiveness



# Post-Award Requirements for Local Evaluation

- During the planning period, work with local evaluations to develop evaluation questions and identify evaluation designs
  - This will involve collaborating with ACF to refine, improve design or select assessments, potentially pilot and make necessary changes
  - ACF approval is required prior to implementation of the evaluation plan
  - ACF may work with programs to adjust funding for evaluation activities based on final approved plan
  - If a local evaluation is not approved, budget and staff that would have supported the local evaluation will be directed toward other approved Tribal PREP program activity



# Post-Award Requirements for Local Evaluation (Cont.)

- After evaluation plans have been approved:
  - Expected to implement robust evaluations throughout grant period, including instrument development, data collection, analysis, drafting of reports, and dissemination
  - May only undertake evaluation activities with PREP funds that are described in this FOA
  - ACF will provide technical assistance throughout the grant period



# Application Requirements Related to the Program Performance Evaluation Plan

- Must describe the plan for the program performance evaluation that will contribute to continuous quality improvement
- The program performance evaluation should monitor ongoing processes and the progress towards the goals and objectives of the projects
- Include descriptions of the inputs, key processes and expected outcomes of the funded activities



# Application Requirements Related to the Program Performance Evaluation Plan (Cont.)

- Must be supported by a logic model and must explain how the inputs, processes and outcomes will be measured and how the resulting information will be used to inform improvement of funded activities
- Must describe systems and processes that will support the organization's performance management requirement through effective tracking of performance outcomes
- Must describe potential obstacles for implementing the program performance evaluation and how those obstacles will be addressed



# Application Requirements Related to Funded Activities Evaluation Plan

- Describe the plan for rigorous evaluation of funded activities
- Describe how the evaluation will assess processes and progress towards the goals and objectives of the project
- Specify expected outcomes and any research questions
- Discuss how the results of this evaluation will provide greater understanding and improvement of funded activities
- Include a valid and reliable measurement plan and sound methodological design



# Application Requirements Related to Funded Activities Evaluation Plan (Cont.)

- Details regarding proposed data collection activities, participants, data management, and analyses plans
- Describe any potential obstacles foreseen in implementation of the evaluation and how those obstacles will be addressed
- Describe the approach in the planning period to plan for evaluation
- Describe the approach to building capacity to engage in local evaluation activities
- Clearly describe how the local evaluation will inform and improve current programming at the applicant organization and improve future programming and expand evidence base





# Application Requirements Related to Funded Activities Evaluation Plan contd.

- Must describe two to five key evaluation questions, which are feasible and acceptable in tribal contexts
- Include plans for incorporating input from tribal organizations and other partner agencies and community partners in the development of local evaluations
- Provide letters of support from all partner organizations and tribal leadership or community advisory boards
- Identify local evaluator and include signed letter of agreement with local evaluator
- Include CV for leader of research project
- Identify IRB



# FOA EVALUATION CRITERIA



# Evaluation Criteria

- Objectives, Outcomes, and Needs for Assistance: 15 points
- Approach: 35 points
- Performance Measurement: 10 points
- Evaluation: 15 points
- Organizational Profile: 18 points
- Budget and Budget Justification: 7 points



# Remember!

- Because Tribal PREP grantees, once awarded, will have a Planning Period to fully develop their program plans, applications must focus on:
  - providing a clear description of the applicant's plan and capacity to conduct a comprehensive needs assessment during Phase 1
  - demonstrating the applicant's capacity to implement programming that meets the identified components for the Tribal PREP program during Phase 2



# APPLICATION SUBMISSION INSTRUCTIONS



# Application Submission

- The deadline for electronic application submission is 11:59 p.m., ET, on the due date listed in the FOA.
- Electronic applications submitted to [www.Grants.gov](http://www.Grants.gov) after 11:59 p.m., ET, on the due date, as indicated by a dated and time-stamped email from [www.Grants.gov](http://www.Grants.gov), will be disqualified from competitive review and from funding under this announcement.



# Application Tips

- Remember that the FOA is the primary guide to programmatic requirements
- Read the entire FOA and application kit before writing your application
- Submit the application by the identified due date



# Reference Websites

- U.S. Department of Health and Human Services (HHS)  
<http://www.hhs.gov/>.
- HHS Grants Forecast <http://www.acf.hhs.gov/hhsgrantsforecast/index.cfm>.
- Administration for Children and Families (ACF)  
<http://www.acf.hhs.gov/>.
- ACF Grants Homepage <https://www.acf.hhs.gov/grants>.
- ACF Funding Opportunities  
<http://www.acf.hhs.gov/grants/open/foa/>.
- ACF "How to Apply for a Grant" <https://www.acf.hhs.gov/grants/how-to-apply-for-grants>.
- Catalog of Federal Domestic Assistance (CFDA)  
<https://www.cfda.gov/>.





## Reference Websites (Cont.)

- For submission of a paper format application, all required Standard Forms (SF), assurances, and certifications are available on the ACF Grants-Forms page through <https://www.acf.hhs.gov/grants-forms>.
- Standard grant forms are available at the Grants.gov Forms Repository webpage at <http://www.grants.gov/web/grants/forms/sf-424-family.html>.
- For information regarding accessibility issues, visit the Grants.gov Accessibility Compliance Page at <http://www.grants.gov/web/grants/accessibility-compliance.html>
- Code of Federal Regulations (CFR) <http://www.ecfr.gov/>.
- The Federal Register <https://www.federalregister.gov/>.
- United States Code (U.S.C.) <http://uscode.house.gov/>.



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# Additional Resources

- PREP Evaluation  
<http://www.acf.hhs.gov/programs/fysb/programs/adolescent-pregnancy-prevention/evaluation>
- HHS Evidence Review <http://tppevidencereview.aspe.hhs.gov/>
- Tribal PREP Fact Sheet  
<http://www.acf.hhs.gov/programs/fysb/resource/tribal-prep-fact-sheet>
- FYSB Adulthood Preparation Subjects Tip Sheet  
<http://www.acf.hhs.gov/programs/fysb/content/programs/tpp/adult-prep-tip-sheet.pdf>
- FYSB APP Tip Sheets and Resources  
<http://www.acf.hhs.gov/programs/fysb/programs/adolescent-pregnancy-prevention>
- FYSB Grantee Directory  
<http://www.acf.hhs.gov/programs/fysb/grants/fysb-grantees>



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# Question about Grants.gov?

- For additional training materials, visit [www.grants.gov](http://www.grants.gov) “Customer Support.”
- For Grants.gov Help Desk support, contact [Support@grants.gov](mailto:Support@grants.gov)
- 1-800-518-4726
- 1-800-518-GRANTS



# Thank you!

For additional questions, contact

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