

## **Appendix 1**

### **Quality Performance Report**

This second annual report will be submitted to ACF no later than December 31, 2013 and the first submittal will reflect the period October 1, 2012 through September 30, 2013. Lead Agencies will leave this report blank when the Plan is initially submitted.

In this report, Lead Agencies are asked about the State/Territory's progress in meetings its goals as reported in the FY 2012-2013 CCDF Plan, and provide available data on the results of those activities. At a minimum, Lead Agencies are expected to respond to the first question in each section of the Quality Performance Report (QPR) which asks for their progress toward meeting their goal(s) articulated in Part 3 of the CCDF Plan for this Biennium. Because of the flexibility in administering the CCDF program, it is expected that Lead Agencies may not have information and data available to respond to all questions. Lead Agencies may provide narrative updates in the subsequent data sections, including any plans for reporting data in the future, if actual data is not currently available.

The purpose of the QPR annual report is to capture State/Territory progress on improving the quality of child care. Specifically, this report will:

- Provide a national assessment of State's and Territory's progress toward improving the quality of child care, including a focus on program quality and child care workforce quality;
- Track State's and Territory's annual progress toward meeting high quality indicators and benchmarks, including those that they set for themselves in their CCDF Plans and those that are of interest to the U.S. Department of Health and Human Services in measuring CCDF program performance;
- Assist national and State/Territory technical assistance efforts to help States/Territories make strategic use of quality funds; and
- Assist with program accountability and compliance efforts tied to quality investments.

This report collects data in relation to the four components of child care quality used as a quality framework in Part 3 of the Child Care and Development Fund Plan for FY 2012-2013:

1. Ensuring health and safety of children through licensing and health and safety standards
2. Establishing early learning guidelines
3. Creating pathways to excellence for child care programs through program quality improvement activities
4. Creating pathways to an effective, well-supported child care workforce through professional development systems and workforce initiatives.

**Ensuring the Health and Safety of Children (Component #1)**

In this section, Lead Agencies provide information on the minimum health and safety standards and activities in effect over the past year as of September 30, 2013.

**A1.1 Progress on Overall Goals**

**Based on the goals described in the Lead Agency’s CCDF Plan at Section 3.1.7, please report your progress using the chart below.** You may include any significant areas of progress that that were not anticipated in the Plan, as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible ( e.g., revised licensing regulation to include elements related to SIDS prevention, Lowered caseload of licensing staff to 1:50, or increased monitoring visits to twice annually for child care centers). If applicable, describe any barriers to implementing your planned goals.

<b>Goals Described in FY 2012-2013 CCDF Plan</b>	<b>Describe Progress – Include Examples and Numeric Targets where Possible</b>

**Note: If your licensing standards changed during this period, please provide a brief summary of the major changes and submit the updated regulations to the National Resource Center for Health and Safety in Child Care ([www.nrckids.org](http://www.nrckids.org).)**

**A1.2 Key Data**

OCC is collecting this information as one part of our overall effort to better understanding State/Territory activities to improve the quality of child care. OCC recognizes that the data requested in this report will only provide part of that picture because there are many factors which affect the data being collected here. Each State/Territory’s policy context and priorities and standards will play a role in the way that quality improvement activities are developed and implemented. For example, the number of programs with licensing violations will be affected by how stringent the licensing standards are. States with more stringent standards may be more likely to report more violations than those with less stringent licensing standards. OCC intends to work with the States/Territories to gather any additional contextual information necessary in order to fully understand the context of these data for any reporting activities involving this information.

**A1.2.1 Number of licensed programs**

- a) How many licensed center-based programs operated in the State/Territory as of September 30, 2013?      or  Data not available

- b) How many licensed home-based programs operated in the State/Territory as of September 30, 2013?      or  Data not available
- c) Does the State/Territory have data on the number or percentage of programs (i.e., paid care provided on a regular basis by an unrelated caregiver outside of the child’s own home) operating in the State/Territory that are not subject to licensing regulations?
  - Yes. If yes, include the number/percentage of programs and describe
  - No

**A1.2.2 What percentage of programs received monitoring visits, and at what frequency, for each provider category during the last fiscal year?**

- a) What percentage of licensed center-based programs were visited as of the end of the last fiscal year?      What was the average number of visits?
- b) What percentage of licensed family child care programs were visited as of the end of the last fiscal year?      What was the average number of visits?
- c) What percentage of legally exempt providers, receiving CCDF were visited as of the end of the last fiscal year?      What was the average number of visits?
  - Data not available

**A1.2.3 How many programs had their licenses suspended or revoked due to licensing violations as defined in your State/Territory during the last fiscal year?**

<b>Programs</b>	<b>Suspended</b>	<b>Revoked</b>
Licensed Centers		
Licensed Homes		
<input type="checkbox"/> Data not available		

**A1.2.4 How many programs were terminated from participation in CCDF subsidies due to failure to meet licensing or minimum CCDF health and safety requirements during the last fiscal year?**

- Child Care Centers
- Group Child Care Homes
- Family Child Care Homes
- In-Home Providers
- Data not available

**A1.2.5 How many previously license-exempt providers were brought under the licensing system during the last fiscal year?**

**A1.2.6 How many injuries as defined by the State/Territory occurred in child care during the last year?** Please indicate the universe of programs on which the number is based (e.g., licensed providers, CCDF providers, or all providers).

**A1.2.6 How many fatalities occurred in child care as of the end of the last year?** Please indicate the universe of programs on which the number is based (e.g., licensed providers, CCDF providers, or all providers).

**Establishing Early Learning Guidelines (Component #2)**

**A2.1 Progress on Overall Goals**

**A2.1.1 Did the State/Territory make any changes to its voluntary early learning guidelines (including guidelines for school-age children) as reported in 3.2 during the last fiscal year?**

- Yes. Describe
- No

**A2.1.2 Based on the goals described in the Lead Agency’s CCDF Plan at Section 3.2.8, please report your progress.** You may include any significant areas of progress that were not anticipated in the Plan, as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible (e.g., Expanded the number of programs trained on using the ELG’s, Aligned the ELG’s with Head Start Outcomes Framework). If applicable, describe any barriers to implementing your planned goals.

<b>Goals Described in FY 2012-2013 CCDF Plan</b>	<b>Describe Progress – Include Examples and Numeric Targets where Possible</b>

**A2.2 Key Data**

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**A2.2.1 How many programs were trained on early learning guidelines (ELG’s) or standards over the last fiscal year?**

<b>Provider Categories</b>	<b>Birth to Three ELG's</b>	<b>Three-to-Five ELG's</b>	<b>Five and Older ELG's</b>
How many center-based programs were trained on ELG's over the past year?	_____	_____	_____
How many children are served in program implementing the ELG's? Separate by age group if possible (e.g., infants and toddlers, preschoolers, school-age children)	_____	_____	_____
How many family child care programs were trained on ELG's over the past year?	_____	_____	_____
How many children are served in program implementing the ELG's? Separate by age group if possible (e.g., infants and toddlers, preschoolers, school-age children)	_____	_____	_____
How many legally exempt providers were trained on ELG's over the past year?	_____	_____	_____
How many children are served in programs implementing the ELG's? Separate by age group if possible (e.g., infants and toddlers, preschoolers, school-age children)	_____	_____	_____
<input type="checkbox"/> Data not available			

**Pathways to Excellence for Child Care Programs through Program Quality Improvement Activities (Component #3)**

**A3.1 Progress on Overall Goals**

**A3.1.1 Based on the goals described in the Lead Agency's CCDF Plan at Section 3.3.9, please report your progress.** You may include any significant areas of progress that that were not anticipated in the Plan, as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible (e.g., Expanded the number of programs included in the QRIS, Aligned the QRIS standards with Head Start performance standards, or expanded the number of programs with access to an on-site quality consultant). If applicable, describe any barriers to implementing your planned goals.

<b>Goals Described in FY 2012-2013 CCDF Plan</b>	<b>Describe Progress – Include Examples and Numeric Targets where Possible</b>

### **A3.2 Key Data**

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#### **A3.2.1 How many programs received targeted technical assistance in the following areas during the last fiscal year?**

- Health and safety
- Infant and toddler care
- School-age care
- Inclusion
- Teaching dual language learners
- Understanding developmental screenings and/or observational assessment tools for program improvement purposes
- Mental health
- Business management practices
- Data not available

#### **A3.2.2 How many programs received financial support to achieve and sustain quality during the last fiscal year?**

a) One-time, grants, awards or bonuses:

- Child Care Centers
- Family Child Care Homes
- Data not available

b) On-going or Periodic quality stipends:

- Child Care Centers
- Family Child Care Homes
- Data not available

**A3.2.3 What is the participation rate (number and percentage) in the State/Territory QRIS or other quality improvement system for programs over the last fiscal year?** When reporting the percentages, please indicate the universe of programs on which the percentage is based (e.g., licensed providers, CCDF providers, or all providers).

- Child Care Centers QRIS                      or Other Quality Improvement System
- Family Child Care Homes QRIS                      or Other Quality Improvement System
- License-Exempt Providers QRIS                      or Other Quality Improvement System

Data not available

**A3.2.4 How many programs moved up or down within the QRIS or achieved another quality threshold established by the State/Territory over the last fiscal year?** If quality threshold is something other than QRIS, describe the metric used, such as accreditation.

- Child Care Centers
- Family Child Care Homes
- License-Exempt Providers
- Data not available

**A3.2.5 How many programs are at each level of quality?** Describe metric if other than QRIS, such as accreditation.

- Child Care Centers
- Family Child Care Homes
- License-Exempt Providers
- Data not available

**A3.2.6 What percentage of CCDF subsidized children were served in a program participating in the State or Territory’s quality improvement system during the last fiscal year?** What percentage are in high quality care as defined by the State/Territory? **Note.** If the State/Territory does not have a formal QRIS, the State/Territory may define another quality indicator and report it here.

- Percentage of CCDF children served in participating programs
- Percentage of CCDF children served in high quality care (May define with assessment scores, accreditation, or other metric, if no QRIS.)
- Data not available

**Pathways to Excellence for the Child Care Workforce: Professional Development Systems and Workforce Initiatives (Component #4)**

**A4.1 Progress on Overall Goals**

**A4.1.1 Based on the goals described in the Lead Agency’s CCDF Plan at Section 3.4.7, please report your progress.** You may include any significant areas of progress that that were not anticipated in the Plan, as well. For each goal listed, briefly describe the improvement with specific examples or numeric targets where possible (e.g., Implement a wage supplement program, Develop articulation agreements). If applicable, describe any barriers to implementing your planned goals.

Goals Described in FY 2012-2013 CCDF Plan	Describe Progress – Include Examples and Numeric Targets where Possible

**A4.2 Key Data**

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**A4.2.1 How many teachers/caregivers had the following qualifications as of the end of the last fiscal year?**

<b>Qualification</b>	<b>Child Care Center Teachers</b>	<b>Family Child Care Providers</b>
Child Development Associate (CDA)		
State/Territory Credential		
Associate's degree		
Bachelor's degree		
Graduate/Advanced degree		
<input type="checkbox"/> Data not available		

**A4.2.2 How many teachers/caregivers were included in the State/Territory's professional development registry during the last fiscal year?**

- Staff in child care centers
- Family child care home providers
- License-exempt practitioners
- Data not available

**A4.2.3 How many teachers/caregivers received credit-based training and/or education as defined by the State/Territory during the last fiscal year?**

- Staff in child care centers
- Family child care home providers
- License-exempt practitioners
- Data not available

**A4.2.4 How many credentials and degrees were awarded during the last fiscal year? If possible, list the type of credential or degree and in what type of setting the practitioner worked.**

<b>Setting</b>	<b>Type of Credential</b>	<b>Type of Degree</b>
Staff in child care centers	List and provide number	List and provide number
Family child care home providers	List and provide number	List and provide number
License-exempt practitioners	List and provide number	List and provide number
<input type="checkbox"/> Data not available		

**A4.2.5 How many teachers or other professionals received technical assistance such as coaching, mentoring or consultation during the last fiscal year?** Describe any data you track on coaching, mentoring, or specialist consultation. If possible, include in what type of setting the practitioner worked.

<b>Setting</b>	<b>Type of Technical Assistance</b>
Staff in child care centers	List and provide number
Family child care home providers	List and provide number
License-exempt practitioners	List and provide number
<input type="checkbox"/> Data not available	

**A4.2.6 What financial supports were funded over the past fiscal year to support teachers and caregivers in meeting and maintaining standards and qualifications as of the end of the last fiscal year?**

- Scholarships. How many teachers received?
- Reimbursement for Training Expenses. How many teachers received?
- Loans. How many teachers received?
- Wage supplements. How many teachers received?
- Other. Describe
- Data not available