

Office of Child Care (OCC) Monitoring System

Crosswalk: Monitoring Rules for Cycle 1 (FY 2019-2021) and State Plan Questions

Topic 1 — Disaster Preparedness, Response, and Recovery

CCDF Rule	State Plan Question(s)
98.16(aa)(1): Statewide Disaster Planning Collaboration	1.8.1
98.16(aa)(2): Statewide Disaster Plan Components	1.8.2-1.8.6

Topic 2 — Consumer Education: Dissemination of Information to Parents, Providers, and General Public (focusing on the posting of Monitoring Reports and Annual Aggregate Data)

CCDF Rule	State Plan Question(s)
98.33(a)(4): Consumer Ed. - Posting Monitoring & Inspection Reports	2.3.7
98.33(a)(5): Consumer Ed. – Annual Aggregate Data	2.3.8

Topic 3 — Twelve-Month Eligibility

CCDF Rule	State Plan Question(s)
98.21(a)(1): 12-Month Eligibility Determination & Re-determination	3.3.1
98.21(a)(2): Continued Assistance/Job Search (OPTIONAL)	3.3.2 and 3.1.2c
98.21(b)(1): Graduated Phase-Out	3.1.7

Topic 4 — Child to Staff Ratios and Group Sizes

CCDF Rule	State Plan Question(s)
98.41(d)(1): Group Size Limits	5.2.1
98.41(d)(2): Child:Staff Ratios	5.2.1

Topic 5 — Health and Safety Requirements for Providers

CCDF Rule	State Plan Question(s)
98.41(a)(1)(i): H&S Requirements – Prevention and Control of Infectious Diseases	5.2.2a.1
98.41(a)(1)(i)(A): H&S Requirements - Immunization Requirements	5.2.2a.1
98.41(a)(1)(i)(B): H&S Requirements - Immunization Exemptions (OPTIONAL)	5.2.2a.1
98.41(a)(1)(i)(C): H&S Requirements for Providers - Immunization Grace Period	3.2.6
98.41(a)(1)(ii): H&S Requirements - SIDS Prevention	5.2.2a.2

98.41(a)(1)(iii): H&S Requirements - Medication Administration	5.2.2a.3
98.41(a)(1)(iv): H&S Requirements - Food & Allergic Reactions	5.2.2a.4
98.41(a)(1)(v): H&S Requirements - Building and Physical Premises	5.2.2a.5
98.41(a)(1)(vi): H&S Requirements - SBS, Head Trauma, and Maltreatment	5.2.2a.6
98.41(a)(1)(vii): H&S Requirements -Emergency Preparedness and Response Planning	5.2.2a.7
98.41(a)(1)(viii): H&S Requirements -Hazardous Materials and Biocontaminants	5.2.2a.8
98.41(a)(1)(ix): H&S Requirements -Transporting Children	5.2.2a.9
98.41(a)(1)(x): H&S Requirements - Pediatric First Aid and CPR	5.2.2a.10
98.41(a)(1)(xi): H&S Requirements - CA/N Recognition and Reporting	5.2.2a.11

Topic 6 — Pre-Service/Orientation and Ongoing Training for Providers

CCDF Rule	State Plan Question(s)
98.44(b)(1): Pre-Service/Orientation Training for Providers	5.2.3
98.44(b)(2): Ongoing Training for Providers	5.2.4-5.2.5 and 6.2.1-6.2.4

Topic 7 — Inspections for CCDF Licensed Providers

CCDF Rule	State Plan Question(s)
98.42(b)(2)(i): Inspections for Licensed Providers	5.3.2

Topic 8 — Inspections for License-Exempt CCDF Providers

CCDF Rule	State Plan Question(s)
98.42(b)(2)(ii): Inspections for License-Exempt Providers	5.3.3

Topic 9 — Ratios for Licensing Inspectors

CCDF Rule	State Plan Question(s)
98.42(b)(3): Ratios for Licensing Inspectors	5.3.5

Topic 10 — Child Abuse and Neglect Reporting

CCDF Rule	State Plan Question(s)
98.41(e): Provider Compliance with Child Abuse Reporting Requirements	5.2.2a.11

Topic 11 — Program Integrity and Accountability

CCDF Rule	State Plan Question(s)
98.68(a)(3): Effective Internal Controls – Provider and Staff Training	6.2.6 and 8.1.1
98.68(a)(4): Effective Internal Controls – Evaluation of Activities	8.1.3

98.68(b)(1): Identifying Fraud or Other Program Violations	8.1.4
98.68(b)(2): Fraud Investigation, Payment Recovery, and Sanctions	8.1.5-8.1.6
98.68(c): Documenting and Verifying Child Eligibility	3.1.9

