

TIMELY RESPONSE TO QUESTIONS ON THIS SURVEY IS **MANDATORY**. INFORMATION WILL BE USED TO RESPOND TO CONGRESSIONAL INQUIRIES, TO CALCULATE LIHEAP COST EFFICIENCY, AND TO PROVIDE DATA FOR THE ANNUAL LIHEAP REPORT TO CONGRESS UNDER SECTION 2610 OF PUBLIC LAW 97-35, AS AMENDED.

See also LIHEAP AT-2013-01 at: [www.acf.hhs.gov/programs/ocs/liheap/guidance/action\\_transmittals/fy\\_2013\\_grantee\\_survey.html](http://www.acf.hhs.gov/programs/ocs/liheap/guidance/action_transmittals/fy_2013_grantee_survey.html)

### LIHEAP GRANTEE SURVEY FOR FEDERAL FISCAL YEAR (FFY) 2012

#### SECTION I. RESPONDENT DATA:

State of OZ

Respondent's Name:

Date:

Phone #:

#### SECTION II. ESTIMATED SOURCES OF LIHEAP FUNDS:

All OF FFY 2012 (10/1/2011 TO 9/30/2012)

(Round off to Nearest Dollar)

##### A. All Funds Except Leveraging Incentive Awards (Items 1-4)

1.	FFY 2012 LIHEAP Block Grant Allotment (Net of Indian Tribal Set-Asides)	\$49,592,071.00
2.	FFY 2011 LIHEAP Block Grant Funds Realloted to FFY 2012	\$292,442.00
3.	All Funds Carried Over From FFY 2011	\$0.00
4.	Oil Overcharge Funds (Petroleum Violation Escrow) Obligated in FFY 2012	\$0.00

##### B. Leveraging Incentive Award (Item 5)

5.	FFY 2012 Leveraging Incentive Award	\$976,957.00
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##### C. Estimated Total Funds Available

6.	Sum of Items 1-5. This should equal the sum in Section III, Item 10.	\$76,972,224.00
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Notes:

SECTION III. ESTIMATED USES OF LIHEAP FUNDS:					All OF FFY 2012 (10/1/2011 TO 9/30/2012)		
State:		State of OZ			I Total Funds/ Awards Funds	II Average Household Benefit	III Maximum Annual Dollar Income for 4-person Household as of 10/1/11 (Edit Check # 1)
<b>A. Type of LIHEAP Assistance</b>					<b>(Round off to Nearest Dollar)</b>		
1.	Heating Assistance Benefits				\$0.00	\$0.00	\$0.00
2.	Cooling Assistance Benefits				\$0.00	\$0.00	\$0.00
3.	Total Crisis Benefits =			Amount	\$0.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
<b>BREAKDOWN OF CRISIS BENEFITS</b>							
	Winter Crisis Benefits			\$0.00		\$0.00	\$0.00
	Summer Crisis Benefits			\$0.00		\$0.00	\$0.00
	Year-Round Crisis Benefits			\$0.00		\$0.00	\$0.00
	Other Crisis Benefits:			\$0.00		xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
	a.	Emergency furnace repair or replacement		\$0.00		\$0.00	\$0.00
	b.	?		\$0.00		\$0.00	\$0.00
	c.	?		\$0.00		\$0.00	\$0.00
4.	Weatherization Assistance Benefits (Edit Check # 2)				\$0.00	xxxxxxxxxx	\$0.00
<b>B. Other Permitted Uses of LIHEAP funds</b>							
5.	FFY 2012 Unobligated Funds (excluding funds in Item 6) Carried Over to FFY 2013 (Edit Check # 3)				\$4,298.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
6.	FFY 2012 Leveraging Incentive Award Obligated in FFY 2013				\$0.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
7.	Amount of FFY 2012 LIHEAP Block Grant Allotment Used to Identify, Develop & Demonstrate Leveraging Activities (Edit Check # 4)				\$0.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
8.	Amount for Assurance 16 Activities (Edit Check # 5)				\$0.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
9.	Amount for Administration/Planning Costs (Edit Check # 6)				\$0.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
<b>C. Estimated Total Uses of Funds</b>							xxxxxxxxxxxxxxxxxxxxxx
10.	Sum of Items 1-9 in Column A. This should equal sum in Section II, Item 6 (Edit Check # 7)				\$4,298.00	xxxxxxxxxx	xxxxxxxxxxxxxxxxxxxxxx
xxxxxxxxxx indicate that no information is to be filled in for that item							
Complete Survey by checking values for items 1-7 in "Survey Edit Checks" tab							
Notes:							

LIHEAP GRANTEE SURVEY EDIT CHECKS

Grantee:

State of OZ

FFY: 2012

Complete Grantee Survey First

Sources of Funds			
Block Grant Allotment	Block Grant Reallotted Funds	Petroleum Violation Escrow Funds (PVE)	Obligated Leveraging Funds
\$49,592,071	\$292,442	\$0	\$976,957

Base Calculations for Sources of Funds--SUMs 1 & 2	
SUM 1 = Block grant \$ + reallotted \$ + PVE \$	\$49,884,513
SUM 2 = Block grant \$ + reallotted \$ + PVE \$ + obligated leveraging \$	\$50,861,470

Edit  
Check #

1.

4-Person Household Income Cutoffs => 110% of 2011 HHS Poverty Guidelines									
	Heating	Cooling	Winter Crisis	Summer Crisis	Yr. Round Crisis	a. Other Crisis	b. Other Crisis	c. Other Crisis	Weatherization
4-Person Dollar Cutoff	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
HHS Poverty Guidelines	\$22,050	\$22,050	\$22,050	\$22,050	\$22,050	\$22,050	\$22,050	\$22,050	\$22,050
% of Poverty Guidelines	0%	0%	0%	0%	0%	0%	0%	0%	0%
= or Greater Than 110%	NO	NO	NO	NO	NO	NO	NO	NO	NO

2.

Weatherization (No greater than 15% of SUM 1)				Did your State receive a 25% weatherization waiver from HHS?
Weatherization Assistance	SUM 1	% Weatherization/SUM 1	At or below 15% of SUM 1?	Yes No
\$0	\$49,884,513	0%	YES	Yes No

3.

Carryover of Unobligated Funds (No greater than 10% of SUM 1)			
Carryover to Next Fiscal Year	SUM 1	% Carryover/SUM 1	At or below 10% of SUM 1?
\$4,298	\$49,884,513	0%	YES

4.

Leveraging Activities (No greater than 0.08% of SUM 1 or \$35,000)			
Leveraging Activities	SUM 1	Max. of \$35,000 or SUM 1 * .08%	At or below \$35,000 or SUM 1 * .08%
\$0	\$49,884,513	\$39,908	YES

5.

Assurance 16 Costs (No greater than 5% of SUM 2)			
Assurance 16 Costs	SUM 2	% Assurance 16 Costs/SUM 2	At or below 5% of SUM 2?
\$0	\$49,884,513	0%	YES

6.

Administration/Planning Costs (No greater than 10% of SUM 2)			
Administration & Planning Costs	SUM 2	% Administration & Planning/SUM 2	At or below 10% of SUM 2?
\$0	\$50,861,470	0%	YES

7.

Estimated Total Sources of Funds - Estimated Total Uses of Funds			
Total Sources**	Total Uses**	Total Sources - Total Uses	Balanced?
\$76,972,224	\$4,298	\$76,967,926	NO

\*\*Note: Totals from pages 1 and 2 of the survey.

NOTES: