Abstinence Program

Title V State Abstinence Grantee Orientation Webinar

U. S. Department of Health and Human Services
Administration on Children, Youth and Families
Family And Youth Services Bureau/Children’s Bureau

November 9, 2010
4:30 to 5:30 pm EST
Asking Questions During the Webinar

The webinar should answer most questions and concerns. Additionally, every attempt will be made to answer a limited number of questions posed by participants at the conclusion of the webinar that are not specific to an individual Grantees.

Questions may be typed in at any time during the Webinar. Please, be reminded that participants will not have access to make audible comments. However, if time does not permit response to written questions we will post responses on the FYSB website or via email.
Agenda for Today’s Call

- Welcome and Introductions
- Overview of ACF/FYSB (Family and Youth Services Bureau)
- Overview of Abstinence Program Requirements
- Guidance for Submission of the Post-Award State Plan
  - Post-Award State Plan Requirements
  - Post-Award State Plan Submission Instructions
- Resources
Introduction of Federal Staff

- **Debbie Powell, Associate Commissioner**
  Administration on Children Youth and Families/Family and Youth Services Bureau

- **Griffin Mulcahey, Program Specialist**
  Family and Youth Services Bureau

- **LeBretia White, Program Analyst**
  Family and Youth Services Bureau

- **Sonali Patel, Senior Policy Advisor**
  Administration on Children Youth and Families
Administration on Children, Youth, and Families (ACYF)

- Administers the major Federal programs that support:
  - Social services that promote positive growth and development of children and youth and their families
  - Protective services and shelter for children and youth in at-risk situations
  - Adoption for children with special needs
Where FYSB Fits

U.S. Department of Health & Human Services

Administration for Children and Families

Administration on Children, Youth and Families

Family and Youth Services Bureau

Runaway & Homeless Youth

Family Violence Prevention

Mentoring Children of Prisoners

Research & Evaluation

Teen Pregnancy Prevention

Youth Division
Regions

U.S. Department of Health & Human Services

Regions

1. Boston
2. NYC
3. DC
4. Atlanta
5. Chicago
6. Dallas
7. Kansas City
8. Denver
9. San Francisco
10. Seattle

Puerto Rico
Virgin Islands
FYSB’s Mission

To provide national leadership on youth and family issues. The Bureau promotes positive outcomes for children, youth, and families by supporting a wide range of comprehensive services and collaborations at the local, Tribal, State, and national levels.
FYSB’s Teen Pregnancy Prevention Programs

- State PREP $55,250,000
- State Abstinence $50,000,000
- PREP Innovative Strategies $10,000,000
- Indian Tribes and Tribal Organizations $3,250,000
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Abstinence Federal Team

Program Specialist: Griffin Mulcahey
Program Analyst: LeBretia White
Grant Specialist: Nathaniel Morris West
Title V Abstinence Program

The purpose of this Webinar:

1) Review the obligations of the Post-Award State Plan

2) Describe the Performance and Budget Reporting Requirements

3) Clarify any answered questions from the grantees.
Program Requirements

State Abstinence Program
Grantee Expectations

• Participate in quarterly calls with project officer
• Bring up all programmatic issues and challenges with your Project Officer
• Attend annual conferences
• Submit all reports on time
• Use grant numbers on ALL official correspondence
Target Population

• Teen groups most likely to bear children out-of-wedlock.
• Youth in foster care or aging out of foster care.
Project Requirements

1) Medically Accurate and Age Appropriate Programming;
2) Adhering to the legislative requirements in 510 A-H; and
3) Mentoring, Counseling and Adult Supervision
Medical Accuracy

• FYSB is currently in the process of reviewing several resources for Medical Accuracy
• We will distribute additional guidance on medical accuracy through email or another Webinar in the near future.
A-H Emphasis

- States may determine the relative emphasis to place on each A-H components of section 510(b)(2).
- Can a program address the elements of 510(b)(2) A-H in one aspect of the program, but not specifically address the elements in another aspect?
  - Yes, See 1.B Program Design (p. 7) of the FOA. States seeking funding should use their discretion in coordinating components to construct abstinence programs that best meet the needs of the populations likely to bear children out of wedlock. It is at the States discretion to balance the programmatic emphasis to meet the needs of their target population.
Mentoring, Counseling & Adult Supervision

- States may also use funds for Mentoring, Counseling or adult supervision.

- Definitions:
  - **Mentoring:** provide young people with safe and trusting relationships; healthy messages about life and social behavior; appropriate guidance from a positive adult role model; and opportunities for increased participation in education, civic service, and community activities.
  - **Counseling:** Guidance to individuals, families, groups, and communities by such activities as giving advice, delineating alternatives, helping to articulate goals, and providing needed information.
  - **Adult Supervision:** Monitoring and assistance provided to support positive developmental, structured activities.

- Please see the Guidance Documents and the FYSB website for additional resources.
Guidance for Mentoring, Counseling and Adult Supervision

Mentoring, Counseling and Adult Supervision Resources

The purpose of an allotment under subsection (a) to a State is to enable the State to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity...

Defined as:

- **Mentoring:** provide young people with safe and trusting relationships; healthy messages about life and social behavior; appropriate guidance from a positive adult role model; and opportunities for increased participation in education, civic service, and community activities.

- **Counseling:** Guidance to individuals, families, groups, and communities by such activities as giving advice, delineating alternatives, helping to articulate goals, and providing needed information.

- **Adult Supervision:** Monitoring and assistance provided to support positive developmental, structured activities.

**Resources:**

- The Find Youth Info website offers a comprehensive look at a broad spectrum of youth programs, including programs that incorporate mentoring, counseling and adult supervision. [http://www.findyouthinfo.gov/index.shtml](http://www.findyouthinfo.gov/index.shtml)

- Center for Disease Control. [http://www.cdc.gov/TeenPregnancy/index.htm](http://www.cdc.gov/TeenPregnancy/index.htm)

- National Mentoring Center: The national mentoring center is a great resource where you can find information and tools to support mentoring initiatives. [http://nationalmentoringcenter.org](http://nationalmentoringcenter.org)
Post Award State Plan

Due by Dec. 10, 2010
Federal review and response within 45 days of receipt
Post-Award State Plan Requirements

- State Plan Cover Page & Table of Contents
- Program Narrative
  - Description of Problem and Need
  - Implementation Plan
  - Objective Performance and Efficiency Measures
  - Description of Programmatic Assurances (Medical Accuracy)
- Budget Narrative/Discussion
- Appendices
Program Narrative

• Include brief Program Abstract

• Description of Problem and Need
  o Target Population

• Implementation Plan
  o Logic Models
  o Barriers
  o Mechanisms
  o Monitoring
  o Coordination
  o Service Recipient Involvement
  o Referrals

• Objective Performance Measures and Efficiency Measures
Program Narrative...

Objective Performance Measures & Efficiency Measures

- Objective Efficiency Measures
  - at Least 2 outcome measures.
  - Focus on objective measures that can be tracked by the data collected in the Performance Progress Reports.
  - Progress Report tables are shown in Appendix C, A-D. Also, word versions have been provided with the Guidance.
- Unduplicated Count of Clients Served
- Hours of Service Received by Clients
- Program Completion Data.
- Communities Served
Program Narrative...

Assurances and Budget

• Description of Programmatic Assurances
  o Sub-awardee Obligations
  o Medical Accuracy

• Budget Discussion
  o Sect 9-11 of the Funding Opportunity Announcement.
  o General description
  o Monitoring Sub-awardees
  o Source of non-federal funds
  o Sub-awardee assurances and documentation
Guidance Program Checklist

Post Award State Plan Checklist

The Abstinence Funding Opportunity Announcement (FOA) provides extensive guidance on the form and substance required for the Post-Award state plan. When developing your plan, please adhere strictly to the FOA outlined requirements.

- State Plan Coverage and table of contents
  - Program narrative
    - In addition to all the Funding Opportunity Announcement required content, please also include:
      - The target population or demographic.
      - Location of program delivery (school, community facility, etc.)
  - Description of Problem and Need
    - Implementation Plan
      - Barriers
      - Mechanisms
      - Monitoring
      - Coordination
      - Service recipient involvement
      - Referrals
  - Objective Performance and Efficiency Measures
    - Description of Programmatic Assurances (Medical Accuracy)
      - Legislative application to sub-awardees.
      - Public Health Service Act
  - Budget Discussion (different than narrative), describe the following:
    - sub-award process
    - Allocation to support services recipients
    - Identify sources of non-federal fund (match)
    - Qualifications of key personnel
Appendices

- Medical Accuracy Certification
  - Only if not submitted with the initial application
- Any additional supporting information referred to in the narrative.
Required Documents

- SF-424 - Application for Federal Assistance
  - (as applicable to note changes)
- SF424A - Budget for Non-Construction Programs
- SF-P/PSL - Project Site Location Form
- Budget
- Budget Narrative
- Transmittal Cover Letter
- Table of Contents
- Project Title, Applicant Name, FY, Award Amount, Address, Project Director (Name, Phone#, Fax#, Email Address)
- Program Narrative
- Appendix

SF- Standard Form
Budget/ Budget Narrative

• Section B of the Budget Information Form:
  o Itemized Budget as described in the funding opportunity announcement Section 6, and detailed guidance provided in the Guidance.
  o Detailed budget narrative/ justification for each line item, including sub-categories.
• 30 day grace period for sub-awardee information after approval provided and funds released.
  o Expanded budget information is included in the Guidance Document.
Costs of Plan and Submission

- **Can funds be obligated retroactively before approval of the plan?**
  - Yes. States are entitled to pre award costs including the costs of developing and submitting the Application and Post Award Plan to the Grants office. This contradicts previous information provided in the FOA, Expenditure Restrictions (p. 12) which misstated the funding restrictions. Please include the costs of Plan development and submission in your program budget and budget narrative.
Non-Federal Share/ Budget Matching

- Must identify the matching funds in the budget and budget narrative

**Sources of Match/Cost Sharing**
- Non-federal public or private funds;
- Funds that are not used as match for any other Federal program;
- Earned income, such as a payment for the site’s services;
- Cash; and
- In kind services, supplies and/or equipment.

**In-Kind Matching:**
- Facility use, space, equipment or services
- Must be fairly evaluated
- Must be an allowable cost under the terms of the grant, if the party receiving the contributions were to pay for them; and
- Volunteer services must be an integral and necessary part of the project
Budget Guidance

Program Application Budget Related Documents

SF-424A Form Budget Information — Non-Construction Programs
The form can be found at this URL: http://www.acf.hhs.gov/programs/Resources.html

Budget and Budget Narrative Documents
1. Budget — This should be in a spreadsheet or table format. Both the Federal and non-Federal budget items should be clearly marked. The budget should reflect the budget cost categories outlined in SF-424A (i.e., personnel, benefits, travel expenses, equipment, supplies, contractual, other, indirect costs, matching and in-kind contributions) and identify all line items within each category. Programs should provide a specific itemization of the budget.
2. Budget Narrative — The Programs should provide a justification supporting the need to allocate funds for items in the spreadsheet or table format of the itemized budget. The justification should provide a clear description of how the budget items directly relate to the completion of project activities. In the contractual category, indicate if the sub-award was awarded competitively or non-competitively. If the sub-award is a sole source/competitive award, provide a brief explanation of the rationale for the selection of the sub-recipient(s).

Budget Categories
The components of the budget and budget narrative are the line item categories and the type of funding (Federal and non-Federal share), as indicated below:
1) Personnel — Salaries of staff performing tasks directly related to the project.
2) Fringe Benefits — The amount paid by an employer to cover non-wage benefits. This includes, but is not limited to, health insurance, disability insurance, retirement, pensions, life insurance, and dental insurance.
3) Travel — Lodging, transportation and per diem costs for out of town meetings and conferences for salaried personnel only (not consultants or contractors).
4) Equipment — Tangible nonexpendable personal property having a useful life of more than one year and an acquisition cost of $5,000 or more per unit.
5) Supplies — All personal property excluding equipment, such as office supplies.
6) Contractual — Costs of subcontracts for services and goods except for trainer and consultant costs, which should be indicated in the “Other” category. Subprograms and sub-awards are contracts, as they require another entity to provide services to meet the scope of work as indicated in the funding opportunity announcement.

Other — Indicates any line item that is not easily categorized elsewhere.
Post-Award State Plan Timeline

- Due Date - Dec 10, 2010, but may be submitted earlier.
- Review Period - within 45 days after receipt
- Approval Decision - Grantee will receive written notification of approval, or if necessary, instructions on how to submit Plan modifications.
- Implementation Period/Approval Date – Date grantee receives approval and the funding is released through September 30, 2011.
Post-Award State Plan Submission Formatting

- Maximum of 60 pages – including the appendix and excluding the 500 word abstract
- Double spaced/ 8 ½” x 11” paper/ 12” font
- Single sided copies, numbered pages
- Original and two (2) copies
Administrative and Fiscal Requirements for Grantees
Role of Program Office

- Review Applications, State Plans and Programmatic Reports
- Respond to programmatic and technical aspects of the grant
- Correspond with grantees on a monthly conference call.
- Ensure the amounts to be awarded to grantees are consistent with current statutory requirements
- Monitor grantee performance
Role of Grants Office

- Official signatory for obligating federal grant funds and all grant business
- Provide payment information to the Division of Payment Management (DPM), Program Support Center (PSC)
- Ensure applications comply with applicable business and non-programmatic statutory and regulatory requirements
- Monitor all business/financial transactions on grants for compliance to Federal Regulations (including interpretation of Federal Regulations)
- Maintain official grant files for individual grant awards
Role of Sub-Awardee

• All sub-awardee disbursements are subject to the Uniform Administrative Requirements and Cost Principles of 45 CFR 74, or 45 CFR 92.

• Grantees also subject to 45 CFR 74: Funds cannot be used for profit for Commercial Organizations; and

• 45 CFR Part 87: Equal Treatment for Faith-based Organizations.
OMB Circulars and Federal Regulations

- **Office of Management and Budget (OMB) Circulars**
  - A-133: Audits for States, Local, Governments, and Non Profit Organizations
  - [http://www.whitehouse.gov/omb/circulars/](http://www.whitehouse.gov/omb/circulars/)

- **Title 45 Code of Federal Regulations (CFR) Parts 74 and 92**
Financial Reporting Requirements

- Financial Status Reports (SF-269's) are due 30 days after the end of the second and fourth quarters of the budget period (every six months).
  - Start date: 9/30/2010
  - 1st Report: Due 4/30 (covers 9/30-3/31)
  - 2nd Report: Due 10/31 (covers 4/1-9/29)

- A final SF-269 is due 90 days after the end of the project period. This financial status report and the Payment Management System (PMS) expenditures report for the reporting period must reconcile. For the report to be considered final, all unliquidated obligations must have been paid and $-0- entered on line 10(k) of the final Financial Status Report.

- The federal grant award number should be indicated on all reports.
- Detailed timeline provided in the Guidance documents.
Performance Progress Reports

- Performance Progress Reports are due 30 days after the end of each 6 month period of the budget cycle.
  - 1st Report: Due 4/30 (covers 9/30 - 3/31)
  - 2nd Report: Due 10/31 (covers 4/1 – 9/29)

- A final Performance Progress Report is due 90 days after the end of the project period.
- Grant number must be included on all Reports.
- Detailed Timeline provided in the Guidance.
Performance Progress Reports

- Cover Sheet
- Adhere to all requirements of Appendix C.
  - Performance Narrative
  - Activity Results
- Please see the Guidance document for word version of the Progress Report Forms, checklist and timeline
Budget Modifications

- Report any modifications of the budget and program activities as outlined in the original application.

- Submit an updated Budget with the Letter of Intent for each additional FY the grantee elects to continue the program.
Progress Report Guidance

Financial Status Reports Checklist

- General Reporting Requirements: State Programs are required to submit program progress reports and financial status reports semi-annually. Reports will be due 30 days after the conclusion of the reporting period.
- Financial Status Reports for FY 2010 Funds:
- Interim Financial Status Report due December 30, 2011?
- Final fiscal report is due December 30, 2011.
- Savable version of the SF-269A can be found at Programs.gov.
- See Abstinence Timeline for future FY filing dates.
- Financial Report Submission to the State Abstinence Programs Management Office, care of Nathaniel Morris West
  Financial Management Specialist
  Administration of Children and Families
  Office of Programs Management
  Division of Mandatory Programs
  570 L’Enfant Promenade, SW.
  Washington, D.C. 20024

Progress Report Checklist

- Program Progress Report Documents:
  - Cover Letter
  - Forms, as provided. Instructions to complete the forms can be found in appendix C of the Funding Opportunity Announcement.
- Due: FY 2010 due on March 30, 2011
- Mail To:
Yearly Continuation of Project

• **Letter of Intent must address:**
  - Grant Document Number (1001-\textit{State Abbreviation}-PREP)
  - Level of refunding (i.e. allotment)
  - Description of changes to the previously approved plan
  - Corrective Action update, as applicable and as requested

• **Addendum Documents**
  - Submission of modifications of changes to any aspect of the original application (FY2010 or FY2011), to include SF-424, SF-424A, budget, budget narrative, SF-P/PSL, and lobbying certification.

• **Letter of Intent to be submitted via mail to:**
  **Griffin Mulcahey**
  - Signed by Authorized Representative on the Grant Application (SF-424)
Letter of Intent

- FYSB will notify and instruct the grantees via writing of the documents required for the letter of intent.
  - FYSB Request for Letter of Intent: February 2011

- Grantees are to submit the letter of intent and other required documentation to FYSB no later than August 30, 2011.
Program Continuation (FY 2011-FY 2014)

Letter of Intent must address:

- Grant Document Number (1001-State Abbreviation-Abstinence)
- Level of funding (i.e. allotment) and Amount of Match
- Description of changes to the previously approved plan
- Corrective Action update, as applicable and as requested
- Addendum Documents: Submission of modifications of changes to any aspect of the original application (FY 2010 or FY 2011), to include SF-424, SF-424A, budget narrative, SF-DPSL, and lobbying certification.
- Signed by Authorized Representative on the Grant Application (SF-424)
- FYSB will notify and instruct the grantees via writing of the documents required for the letter of intent.
- Timeline. FYSB Request for Letter of Intent. Due by August 30, 2011
- FYSB will distribute additional guidance regarding letters of intent for FY 2012, 2013, and 2014.
- Letter of Intent to be submitted via mail to:

Griffin W. Mulcahey
US Department of Health and Human Services
Administration for Children and Families
Family and Youth Services Bureau
1220 Maryland Avenue SW
8th Floor, Rm. 8315
# Program Timeline

State Abstinence Education Program  
Reporting Requirements Time Line  
Budget Period: FY 2010

**Program Number:**  
202-205-9605  
griffin.mulcahey@acf.hhs.gov  
202-401-1230  
nathaniel.west@acf.hhs.gov

**Program Specialist Mailing Address:**  
ATTN: Program Specialist  
Family and Youth Services Bureau  
1250 Maryland Ave, SW 8th Floor  
Washington, DC 20248  
Fax: 202-205-9233

**Grant Officer Mailing Address:**  
ATTN: Grant Specialist  
Office of Programs Management  
370 L’Enfant Promenade, SW, 6th Floor  
Washington, DC 20447  
Fax: 202-205-8267

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| December 10, 2010 | Post Award State Plan (SF-424)           | 3/1/2010 - 2/29/2011                     | Original to Program Specialist  
Faxed or emailed copy to Program Specialist                              |
Faxed or emailed copy to Program Specialist                              |
Faxed or emailed copy to Program Specialist                              |
| March 30, 2011   | 1st Semi-annual                          | 9/30/2010                               | Original mailed to Program Specialist and |
Submit Reports and Requests to:

Nathaniel Morris West, Grant Specialist
U.S. Department of Health and Human Services
Administration for Children and Families
Office of Grants Management
Division of Discretionary Grants
370 L'Enfant Promenade, SW 6th Floor
Washington, D.C. 20447
202-401-5540

Griffin W. Mulcahey, Program Specialist
U.S. Department of Health and Human Services
Administration for Children and Families
Family and Youth Services Bureau
1250 Maryland Ave, SW 8th Floor
Washington, D.C. 8315
202-401-5733
Griffin.mulcahey@acf.hhs.gov
Billing – Division of Payment Management

- Review New Recipient Info document (See Guidance)

- Draw down funds through the Division of Payment Management (DPM) website – http://www.dpm.psc.gov

- Contact DPM at 877-614-5533 for payment questions.
Payment Management System (PMS)

Grantees are responsible for:

- Implementing grants,
- Maintaining minimum federal cash on hand by requesting funds from the Payment Management System only for immediate disbursement,
- Reporting cash disbursements to the Payment Management System, and
- Maintaining their own accounting records of federal and non-federal share funding.
Grant Website Resources

- **OMB Circulars:**

- **Code of Federal Regulations:**

- **Division of Payment Management:**
  [http://www.dpm.psc.gov/](http://www.dpm.psc.gov/)

- **Link to the forms**
General Information

- Information to be posted on the FYSB Internet Website (http://www.acf.hhs.gov/programs/fysb/)

- Guidance Documents
  - Budget Guidance
  - Grantee Reporting Timeline
  - Project Calendar
  - Performance Progress Report

- Resources
  - Pregnancy Prevention Related Websites
  - PREP Grantee Directory
Other Resources

• Webinar Presentation/Recording
  • “What’s New” heading of FYSB Internet
    http://www.acf.hhs.gov/programs/fysb/

• General Resource Listing and Guidance Documents
  • http://www.acf.hhs.gov/programs/fysb/
Abstinence Resources

- Center for Disease Control and Prevention Outcome Based Models
  - [http://www.cdc.gov/reproductivehealth/adolescentreprohealth/PDF/LittlePSBA-GTO.pdf](http://www.cdc.gov/reproductivehealth/adolescentreprohealth/PDF/LittlePSBA-GTO.pdf)

- Youth Information Depository
  - [www.findingyouthinfo.gov](http://www.findingyouthinfo.gov)

- National Clearinghouse for Families and Youth (NCFY)
  - [http://ncfy.acf.hhs.gov/](http://ncfy.acf.hhs.gov/)

- Center for Disease Control and Prevention
  - [http://www.cdc.gov/TeenPregnancy/PreventTeenPreg.htm](http://www.cdc.gov/TeenPregnancy/PreventTeenPreg.htm)

- Office of Adolescent Health – Teen Pregnancy Prevention

- Office of Adolescent Health Programs for Replication – Intervention Implementation Reports

- Office of Adolescent Health Description of Tier 2 Grants
Questions and answers...

Thank you for your participation!