

ACF-801 AND ACF-800 REVISIONS BASED ON THE NEW LAW

Overview

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The Office of Child Care (OCC) is proposing changes to the ACF-801 and ACF-800 based on reauthorization of the Child Care and Development Block Grant Act.



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ACF-801

ACF-801

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The proposed revisions to the ACF-801 form include seven (7) new data elements to capture data on the

- geographic distribution of families and providers (zip codes),
- homeless status of families and children served by CCDF,
- whether the parent(s) is/are on active duty or in the National Guard or Military Reserves,
- whether the child receiving services has disabilities
- whether the provider is subject to Head Start/Early Head Start standards, and
- the most recent provider inspection date.

ACF-801 (cont).

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The form also includes changes to several existing data elements, namely Family SSN, Child SSN, and Provider FEIN and Provider Unique ID, necessitated by the fact that OCC is no longer authorized to collect SSNs.

States will also have to report quality data for every provider, including QRIS participation and rating for States with QRIS.

ACF-801 (cont.)

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The new format has been intentionally designed to minimize any coding burden for Grantees.

- New data elements have been appended to the end of the Family, Child, and Provider Records, and
- Changed data elements remain in their same positions within the Family, Child, and Provider Records.

ACF-801 Implementation

Timeline

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All States must begin using the new format (that is, reporting data for the new data elements and complying with the changes to old data elements) beginning in Fiscal Year 2016 (i.e., the October 2015 report submission).

Changes to the provider record (inspection date and expanded quality reporting) begin in Fiscal Year 2018 (October 2017).



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NEW ACF-801 DATA ELEMENTS

New ACF-801 Data Elements

- The current ACF-801 features:
 - ▣ Header (or Summary)
 - ▣ 3 Case-level Records: Family, Child, and Setting
 - ▣ Provider Records
 - ▣ Footnotes
- The new ACF-801 adds 3 data elements to the Family Record, 1 data element to the Child Record, and 3 data elements to the Provider Record:

New ACF-801 Data Elements (cont.)

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- Family Record:
 - ▣ Family Zip Code (#16a)
 - ▣ Family Homeless Status (#16b)
 - ▣ Parent Military Service (#16c)
- Child Record:
 - ▣ Child Disability (#25a)
- Provider Record:
 - ▣ Provider is subject to Head Start or Early Head Start Standards (#38)
 - ▣ Provider Zip Code (#39)
 - ▣ Inspection Date (#40)



Family Homeless Status

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- Lead Agency must report the homeless status of each family in data element #16b.
- Family Homeless Status should be reported using the following codes:
 - 0 – No (not Homeless)
 - 1 – Yes (Homeless)
- This data element should be left blank for families for whom the homeless status is unknown.
- Lead Agency must use definition of homeless at section 725 of subtitle VII-B of the McKinney-Vento Act.



Military Service

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- Lead Agency must report whether a parent is currently active duty in the U.S. Military or a member of either a National Guard or a Military Reserve Unit.

- Military Service should be reported using the following codes:
 - 0 – No
 - 1 – Yes, Active duty in U.S. Military
 - 2 – Yes, National Guard/Military Reserve

- This data element should be left blank for families for whom the military service of the parent(s) is unknown.



Child Disability

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- Lead Agency must report if the child receiving services has a disability (as defined in section 602 of the Individuals with Disabilities Education Act; part C of the Individuals with Disabilities Education Act; section 504 of the Rehabilitation Act of 1973 or otherwise defined by the State providing services data element) in element #25a. 0 – No
1 – Yes

- This data element should be left blank for children whose disability status is unknown.



Family Zip Code and Provider Zip Code

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- Lead Agency must report the 5-digit U.S. Postal Service Zip Code for each Family in data element #16a and for each Provider serving CCDF children data element #39.
- The CCDBG Act Reauthorization requires States to address the supply of child care services in underserved areas and for certain populations.
- Zip Codes cover much smaller geographic areas than FIPS Codes—which are currently reported.



Provider Quality Data

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- Beginning with the October 2017 report submission, States with a QRIS system must report (at a minimum) QRIS participation (#33) and QRIS rating (#34) for every provider.
- A report code of “9” is no longer acceptable for States with a QRIS.

Provider Quality Data (cont.)

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- Beginning with the October 2017 report submission, States without a QRIS must report quality information for every provider using one or more of the following elements: #35 (accreditation status), #36 (provider is subject to State or local Pre-K Standards), #37 provider meets other State-defined quality measure, or #38 (provider is subject to Head Start or Early Head Start standards).
- Using a report code of “9” for all of these data elements is no longer acceptable.

Provider Head Start or Early Head Start Standards

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- Lead Agency can report if the Provider is subject to or required to meet Head Start (HS) or Early Head Start (EHS) Standards in element #38.

0 – No

1 – Yes

9 – NA

- This data element should be reported as a '9' for Providers for whom this information is not available.

Provider Inspection Date

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- Beginning with the October 2017 report, Lead Agency must report the date (in MMDDYYYY format) of the most recent inspection for compliance with health, safety, or fire standards. If portions of the inspection were completed on different dates, the Lead Agency should report the last date, that is, the date on which all components of the inspection were completed.

ACF Will No Longer Collect Personally Identifiable Information

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- Because OCC is no longer authorized to collect SSNs, six data elements on the ACF-801 are affected:
 - Family SSN (#3)
 - Child SSN (#17)
 - Provider FEIN (#29 and #31)
 - Unique State Provider ID (#30 and #32)



Family SSN and Child SSN

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Beginning with the October 2015 report submission, SSNs may no longer be reported on the ACF-801. Therefore,

- Family SSN (#3) has been changed to Filler. Instead of reporting a 9-digit SSN, States must report 9 blanks.
- Child SSN (#17) has been changed to Filler. Instead of reporting a 9-digit SSN, States must report 9 blanks.

States must still report a Unique State Identifier, other than the SSN, assigned to each family (head of household) .

Provider FEIN

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- Beginning with the October 2015 report submission, a Provider's SSN may not be used in lieu of the Provider FEIN (#29 on the Setting Record and #31 on the Provider Record).

Unique State Provider ID

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- Beginning with the October 2015 report submission, SSN may not be used as or as part of the Unique State Provider ID (#30 on the Setting Record and #32 on the Provider Record).



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ACF-800

ACF-800 Overview

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The proposed revision to the ACF-800 form includes one new data element (Child Fatalities) as legally mandated in the new Law.

It also includes revisions to the Consumer Education data elements (#10 and #11) to align with provisions in Reauthorization and removes one possible source of non-CCDF pooled funds from the Pooled Funds list (#14).

ACF-800 Implementation Timeline

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All States must begin reporting the new data element and comply with any changes to the Consumer Education data elements for the Fiscal Year 2016 Annual Report due December 31, 2016.



Child Fatalities

States must report the total number of Child Fatalities that occurred in child care settings or as the result of an accident or injury sustained in those settings. These numbers must be reported by care type in new data element #2a.

FOR SERVICES PROVIDED FROM _____ THROUGH _____												Expires: 06/30/2015	
Complete Name of Grantee:		CATEGORY/TYPE OF CHILD CARE											
Address:		CARE PROVIDED BY A LICENSED OR REGULATED PROVIDER IN A					CARE PROVIDED BY A LEGALLY OPERATING PROVIDER (LICENSE CATEGORY UNAVAILABLE IN A STATE OR LOCALITY) IN A						
Contact Person, Phone & Email:		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
		TOTAL	Child's Home	Family Home	Group Home	Center	Relative	Non-Relative	Relative	Non-Relative	Relative	Non-Relative	Center
Number Served:													
1. Number of families receiving child care services													
2. Number of children receiving child care services													
2a. Number of child fatalities													



Consumer Education

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OCC is no longer requiring Lead Agencies to report any data for data element #10 (consumer education content used on a regular basis). It will be made non-editable on the ACF-800 submission site.

OCC has added two new methods to the list of consumer education methods used on a regular basis in data element #11.

11b. Counseling from Resource and Referral Agencies, eligibility workers, and other entities

11e. Referral to another benefit program for which parents might be eligible.



Sources of Pooled Funds

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OCC has removed “Supplemental Nutrition Assistance Program (formerly Food Stamps)” from the list of potential sources of non-CCDF pooled funds in #14.

Technical Assistance

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- OCC and the National Center on Data and Technology (NCDT) will provide technical assistance to States during and after implementation.
 - ▣ OCC will provide technical assistance on program and policy related questions.
 - ▣ NCDT will provide technical assistance on technical and systems related questions.
- All TA requests should be made to the Regional Program Manager.

QUESTIONS