



Summary of Waiver Approvals (this summary will be updated as new waivers are approved)

The tables below summarize waiver approvals for states, territories and tribes for all waiver requests reviewed between March 1, 2020 through September 24, 2020.

Cumulative Summary of Approved State and Territory Waiver Requests

This table summarizes the 66 waiver approvals by state and territory and by provision approved as of September 28, 2020. This cumulative table includes all waivers approved to date. New waiver approvals added to this table are in italics (17 new approvals). Waivers previously approved as of August 20, 2020 are not italicized (49 approvals).

The cumulative summary of all waiver approvals for these state and territory requests is:

- (1) 32 states and territories requested and were approved for waivers of pre-licensure and annual health and safety inspections. The Centers for Disease Control and Prevention (CDC) has recommended restricting visitor access to child care programs and physical distancing for all Americans at this time, therefore many states have opted to postpone or cancel these inspections and have restricted the opening of new programs. Many of these states report they will still conduct visits should they receive complaints about abuse and neglect.
- (2) 31 states and territories requested and were approved to waive copayments for all families. As many families are struggling with income during this time, states have chosen to remove this economic hardship and are opting to pay providers with other means.
- (3) 25 states and territories requested and were approved for waivers for fingerprint, National Sex Offender Registry, inter-state checks, and provisional hire requirements of criminal background checks as these fingerprint locations are closed in many states and therefore unable to process requests.
- (4) 15 states requested and were approved for waivers of health and safety training requirements as in-person trainings have been suspended following CDC guidelines.
- (5) 15 states and territories requested and were approved for waivers related to eligibility including 14 waivers of the 12-month eligibility period requirement, four waivers of the income eligibility limit for essential workers– as they are unlikely to continue to need assistance with child care for a full 12-month period, and two waivers for the definition of relative child care provider to include other extended family members (such as cousins, great-grandparents, etc.) as the waiver indicates the relative is providing child care for essential workers for the emergency period only.
- (6) 11 states requested and were approved for waivers of certain health and safety standards. The standards requested were tied to the inability to perform in-person training on the standard. No requests to waive ALL Health and Safety Standards were received.
- (7) 8 states and territories requested and were approved to waive fiscal requirements related to liquidation of FY2018 funds and obligation of FY2019 funds and percentage requirements for direct services and quality spending.
- (8) 2 states requested and were approved for waivers in order to pay two providers for the same child.

Summary of State/Territory Approved Waivers

State	Requested Waiver Start Date	Provision(s) Requested								Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices	Fiscal Requirements	
Alabama	4/17/2020					X – 12-month eligibility				6/8/2020
Alaska	3/11/2020						X			4/21/2020
American Samoa	6/1/2020	X - fingerprinting					X			6/30/2020
<i>Arizona</i>	3/11/2020		X	X	X	<i>X – 12-month eligibility</i>				6/8/2020
	3/30/2020									9/28/2020
	4/1/2020									
	5/1/2020									
Arkansas	3/13/2020	X – fingerprinting				X – 12-month eligibility				6/8/2020
	3/25/2020									
California	3/21/2020		X			X – 12-month eligibility	X			6/8/2020
	4/1/2020									8/20/2020
	4/8/2020									
Colorado	4/1/2020		X				X			6/8/2020

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
Connecticut	3/26/2020	X – fingerprinting; provisional hire	X		X					4/21/2020
<i>Delaware</i>	4/1/2020 6/15/2020 7/1/2020	<i>X – provisional hire</i>	X				X			6/8/2020 9/28/2020
<i>District of Columbia</i>	4/1/2020	X	X				X			9/28/2020
Florida	4/1/2020						X			6/8/2020
Georgia	4/1/2020					X – 12-month eligibility				4/21/2020
<i>Guam</i>	3/14/2020 9/30/2020						X		<i>X – FY2019 obligation deadline</i>	6/30/2020 9/28/2020
<i>Hawaii</i>	3/31/2020 3/20/2020 5/8/2020	X – fingerprint	X				X	X – paying two providers		6/8/2020 9/28/2020
Iowa	3/16/2020	X – fingerprinting; provisional hire	X				X			4/21/2020

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
Kansas	3/12/2020	X – fingerprinting; suspended renewals; provisional hire	X		X	X – expand relative provider definition; 12-month eligibility				4/21/2020 6/30/2020
Kentucky	3/1/2020 3/20/2020	X – fingerprinting		X - prevention of shaken baby syndrome, abusive head trauma, and child maltreatment; handling and storage of hazardous materials and the appropriate disposal of bio-contaminants	X		X			4/21/2020 6/8/2020
Louisiana	3/11/2020	X – fingerprinting	X				X			4/21/2020
Maine	3/26/2020 4/11/2020		X				X			6/8/2020
Maryland	3/30/2020						X			9/28/2020

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
Massachusetts	3/16/2020	X – fingerprinting; provisional hire; 180 employment separation; 45 day response	X – inspections; posting of inspection reports	X			X			6/8/2020
Minnesota	3/13/2020 3/18/2020		X	X - pediatric first aid and cardiopulmonary resuscitation	X			X – paying two providers		6/30/2020
Mississippi	3/1/2020			X		X – income limits for essential workers: 12-month eligibility	X			6/8/2020
Missouri	3/13/2020 5/1/2020	X – fingerprinting	X		X	X- 12-month eligibility				4/21/2020 6/8/2020
Montana	3/12/2020 3/16/2020	X – fingerprinting; provisional hire	X	X					X – Use 70% of discretionary funds on direct services	6/30/2020 9/28/2020
Nevada	3/16/2020 8/1/2020		X	X	X					6/8/2020 9/28/2020

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
New Hampshire	3/27/2020 4/6/2020	X – fingerprinting	X				X			6/8/2020 8/20/2020
New Mexico	3/13/2020		X				X			6/8/2020
New York	3/12/2020 3/18/2020	X – fingerprinting; interstate checks	X		X		X			6/8/2020
<i>North Carolina¹</i>	3/10/2020 4/1/2020 9/30/2020		X		X	X- 12-month eligibility	X		X – FY2018 liquidation deadline; FY2019 obligation deadline	4/21/2020 6/8/2020 8/20/2020 9/28/2020
<i>North Dakota</i>	3/1/2020		X		X					9/28/2020
<i>Northern Mariana Islands</i>	3/16/2020 4/1/2020 5/1/2020 9/30/2020		X			X-12-month eligibility	X		X – FY2018 liquidation deadline; FY2019 obligation deadline	6/8/2020 9/28/2020
Ohio	3/18/2020	X – fingerprinting; provisional hire	X	X	X					6/8/2020

¹ North Carolina’s previously issued waiver for Background Checks – fingerprinting has been withdrawn by the state.

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
Oklahoma	3/15/2020					X – Job search; expand relative provider definition	X			6/8/2020
Oregon	3/8/2020	X – fingerprinting	X		X		X			4/21/2020
Pennsylvania	3/19/2020	X – suspend renewals	X	X – pediatric first aid and cardiopulmonary resuscitation						4/21/2020
<i>Puerto Rico</i>	6/30/2020 9/30/2020						X		X - FY2018 liquidation deadline; FY2019 obligation deadline	8/20/2020 9/28/2020
Rhode Island	3/2/2020						X			6/8/2020
<i>South Carolina</i>	3/13/2020	X – fingerprinting; provisional hire	X	X	X	X – income limits for essential workers; 12-month eligibility	X			6/8/2020 9/28/2020

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
<i>Tennessee</i>	<i>3/3/2020</i>	X – fingerprinting	X	X	X	X – income limits for essential workers; 12-month eligibility	X		X – Use 70% of discretionary funds on direct services	<i>6/8/2020</i> <i>9/28/2020</i>
Texas	3/1/2020 3/25/2020	X – fingerprinting	X		X	X – income limits for essential workers; 12-month eligibility	X			6/8/2020
Utah	4/20/2020 5/14/2020	X – fingerprinting; interstate checks	X			X- 12-month eligibility			X – Use not less than 3% of funds on quality activities for infants and toddlers	6/8/2020
Vermont	3/18/2020 3/21/2020	X – fingerprinting; NSOR; interstate checks	X				X			6/30/2020
<i>Virgin Islands</i>	<i>9/30/2020</i>								<i>X – FY2019 obligation deadline</i>	<i>9/28/2020</i>

State	Requested Waiver Start Date	Provision(s) Requested							Approval Date	
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Payment Practices		Fiscal Requirements
Virginia	3/12/2020 4/1/2020	X					X			9/28/2020
Washington	2/29/2020	X – fingerprinting; provisional hire	X				X			6/8/2020 9/28/2020
Wisconsin	3/24/2020		X							6/8/2020

Cumulative Summary of Approved Tribal Waiver Requests

This table summarizes the 71 waiver approvals by tribe and by provision approved as of September 28, 2020. This cumulative table includes all waivers approved to date. New waiver approvals added to this table are in italics (21 new approvals). Waivers previously approved as of August 20, 2020 are not italicized (50 approvals).

The cumulative summary of all waiver approvals for these tribal requests is:

- (1) 38 tribes requested and were approved to waive copayments for all families. As many families are struggling with income during this time, tribes have chosen to remove this economic hardship and are opting to pay providers with other means.
- (2) 37 tribes requested and were approved to waive fiscal requirements related to liquidation of FY2018 and obligation of FY2019 funds as many tribes are closed and unable to spend any funds.
- (3) 7 tribes requested and were approved for a waivers related to eligibility, including one waiver of the 12-month eligibility period requirement, one waiver of the income eligibility limit for essential workers– as they are unlikely to continue to need assistance with child care for a full 12-month period, and five waivers for the definition of relative child care provider to include other extended family members (such as cousins, great-grandparents, etc.) as the waiver indicates the relative is providing child care for essential workers for the emergency period only.
- (4) 6 tribes requested and was approved for a waiver of health and safety inspections. The Centers for Disease Control and Prevention (CDC) has recommended restricting visitor access to child care programs and physical distancing for all Americans at this time, therefore tribes have opted to postpone or cancel these inspections and have restricted the opening of new programs. These tribes report they will still conduct visits should they receive complaints about abuse and neglect.
- (5) 4 tribes requested and were approved for waivers for fingerprint requirements of criminal background checks as these fingerprint locations are closed and therefore unable to process requests.
- (6) 4 tribes requested and was approved for a waiver of health and safety training requirements as in-person trainings have been suspended following CDC guidelines.
- (7) 1 tribe requested and was approved for waiver of certain health and safety standards. No requests to waive ALL Health and Safety Standards were received.

Summary of Tribal Approved Waivers

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
Arctic Slope Native Association	3/30/2020	X - fingerprinting							6/30/2020
<i>Association of Village Council Presidents</i>	7/1/2020						X		9/28/2020
<i>Bear River Band of the Rohnerville Rancheria</i>	9/30/2020							X - FY2019 obligation deadline	9/28/2020
Bois Forte Tribal Community	9/30/2020							X - FY2019 obligation deadline	8/20/2020
Caddo Indian Tribe of Oklahoma	4/1/2020						X		6/30/2020
Central Council of the Tlingit and Haida Indian Tribes of Alaska (CCTHITA)	3/24/2020						X		6/30/2020
Cherokee Nation	4/1/2020					X - expand relative provider definition			8/20/2020
Cheyenne & Arapaho Tribes of Oklahoma	3/15/2020						X		6/8/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested					Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training					
<i>Choctaw Nation of Oklahoma</i>	<i>9/30/2020</i>						X	<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>9/28/2020</i>	
<i>Confederated Tribes of the Goshute Reservation</i>	<i>9/30/2020</i>							<i>X – FY2018 liquidation deadline</i>	<i>9/28/2020</i>	
<i>Confederated Tribes of Grand Ronde</i>	<i>9/30/2020</i>							<i>X – FY2019 obligation deadline</i>	<i>9/28/2020</i>	
<i>Confederated Tribes of Siletz Indians</i>	<i>9/30/2020</i>							<i>X – FY2019 obligation deadline</i>	<i>9/28/2020</i>	
Confederated Tribes of the Warm Springs Reservation	3/1/2020						X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020	
Cook Inlet Tribal Council (CITC)	4/1/2020						X		6/30/2020	
Coquille Indian Tribe	9/30/2020							X – FY2019 obligation deadline	6/30/2020	
<i>Cowlitz Indian Tribe</i>	<i>2/29/2020</i> <i>9/30/2020</i>						X	<i>X – FY2019 obligation deadline</i>	<i>6/30/2020</i> <i>9/28/2020</i>	
Eastern Band of Cherokee Indians	4/1/2020						X		6/30/2020	

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
Eastern Shawnee Tribe of Oklahoma	3/1/2020						X		6/30/2020
<i>Ely Shoshone Tribe</i>	<i>9/30/2020</i>							<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>9/28/2020</i>
Fort McDowell Yavapai Nation	9/30/2020							X – FY2018 liquidation deadline; FY2019 obligation deadline	8/20/2020
<i>Hoopa Valley Tribe</i>	<i>9/30/2020</i>							<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>9/28/2020</i>
The Hopi Tribe	9/30/2020							X – FY2019 obligation deadline	8/20/2020
<i>Inter-Tribal Council of Nevada</i>	<i>3/1/2020</i> <i>9/30/2020</i>		X		X			<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>8/20/2020</i> <i>9/28/2020</i>
<i>Kickapoo Tribe of Oklahoma</i>	<i>4/1/2020</i> <i>9/30/2020</i>		X				X	<i>X – FY2018 liquidation deadline</i>	<i>9/28/2020</i>
Kiowa Tribe of Oklahoma	3/23/2020					X – income limits for essential workers	X		6/30/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
The Klamath Tribes	9/30/2020							X – FY2019 obligation deadline	6/30/2020
Kodiak Area Native Association (KANA)	4/1/2020						X		6/30/2020
Lummi Nation	4/1/2020						X	X – FY2018 liquidation deadline	6/30/2020
Mechoopda Indian Tribe of Chico Rancheria	9/30/2020							X – FY2018 liquidation deadline	8/20/2020
Miami Tribe of Oklahoma	3/1/2020						X		6/30/2020
Mille Lacs Band of Ojibwe	7/1/2020						X		8/20/2020
Mocdoc Tribe of Oklahoma	3/1/2020						X		6/30/2020
Muckleshoot Indian Tribe	3/16/2020						X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020
Navajo Nation	9/30/2020							X – FY2018 liquidation deadline; FY2019 obligation deadline	8/20/2020
Nez Perce	9/30/2020							X – FY2019 obligation deadline	6/30/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
Nome Eskimo Community	9/30/2020							X – FY2019 obligation deadline	6/30/2020
Northern Cheyenne Tribe	3/16/2020	X - fingerprinting			X				6/30/2020
<i>Oglala Sioux Tribe</i>	<i>3/16/2020</i>	<i>X - fingerprinting</i>	X				X		<i>9/28/2020</i>
Osage Nation	3/1/2020						X		6/8/2020
Ottawa Tribe of Oklahoma	3/1/2020						X		6/30/2020
<i>Pascua Yaqui Tribe</i>	<i>9/30/2020</i>							<i>X – FY2019 obligation deadline</i>	<i>9/28/2020</i>
<i>Peoria Tribe of Indians</i>	<i>3/1/2020</i> <i>9/30/2020</i>						X	<i>X – FY2018 liquidation deadline</i>	<i>6/30/2020</i> <i>9/28/2020</i>
Pueblo of Zuni	3/19/2020		X	X					8/20/2020
Puyallup Tribe of Indians	3/16/2020					X – expand relative provider definition	X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020
<i>Pyramid Lake Paiute Tribe</i>	<i>9/30/2020</i>							<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>9/28/2020</i>
Quapaw Nation	3/1/2020						X		6/30/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
<i>Quinault Indian Nation</i>	<i>9/8/2020 9/30/2020</i>					<i>X – expand relative provider definition</i>		<i>X – FY2019 obligation deadline</i>	<i>9/28/2020</i>
<i>Robinson Rancheria</i>	<i>9/30/2020</i>							<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	<i>9/28/2020</i>
<i>Rosebud Sioux Tribe</i>	<i>3/16/2020</i>	<i>X - fingerprinting</i>	<i>X</i>		<i>X</i>		<i>X</i>	<i>X – FY2018 liquidation deadline</i>	<i>9/28/2020</i>
Round Valley Indian Tribes	9/30/2020							X – FY2018 liquidation deadline	8/20/2020
Sac and Fox	5/1/2020						X		6/30/2020
San Carlos Apache Tribe	9/30/2020							X – FY2018 liquidation deadline; FY2019 obligation deadline	8/20/2020
<i>Seminole Nation</i>	<i>4/1/2020</i>				<i>X</i>		<i>X</i>		<i>9/28/2020</i>
Seneca-Cayuga Nation	4/1/2020						X		6/30/2020
Shawnee Tribe	3/1/2020						X		6/30/2020
Shoshone-Bannock Tribes	3/1/2020 6/1/2020					X – 12-month eligibility	X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
Snoqualmie Indian Tribe	2/29/2020					X – expand relative provider eligibility definition			6/30/2020
South Puget Intertribal Planning Agency (SPIPA)	3/13/2020						X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020
Tanana Chiefs Conference	3/18/2020		X				X		6/30/2020
Tohono O’odham Nation	9/30/2020							X – FY2018 liquidation deadline; FY2019 obligation deadline	8/20/2020
Tulalip Tribes	3/7/2020						X		6/8/2020
United Kaetoowah Band of Cherokee Indians	3/1/2020						X		6/30/2020
<i>White Earth Reservation</i>	4/1/2020 9/30/2020						X	<i>X – FY2018 liquidation deadline; FY2019 obligation deadline</i>	6/8/2020 9/28/2020
White Mountain Apache Tribe	9/30/2020							X – FY2018 liquidation deadline	8/20/2020

Tribe	Requested Waiver Start Date	Provision(s) Requested						Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families		
<i>Wichita and Affiliated Tribes</i>	<i>3/1/2020</i>						X		<i>9/28/2020</i>
Wyandotte Nation of Oklahoma	3/1/2020						X		6/30/2020
Yakama	4/17/2020 6/1/2020					X – expand relative provider definition	X	X – FY2018 liquidation deadline; FY2019 obligation deadline	6/30/2020